Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension Be	enetit Guaranty Corporation	 Complete all entries in accord 	dance with the instruc	ctions to the Form 550	0-SF.				
Part I		dentification Information							
For calenda	ar plan year 2013 or fisc	cal plan year beginning 01/01/2013	3	and ending 1	2/31/2	013			
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer)					a one-participant plan				
B This ret	B This return/report is:								
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)				
C Check I	box if filing under:	Form 5558	automatic extension		DFVC program				
		special extension (enter description	n)						
Part II	Basic Plan Infor	mation—enter all requested information	ation						
1a Name	of plan				1b	Three-digit			
NW CASE M	IANAGEMENT 401K PL	_AN				plan number			
						(PN) ▶	001		
					1c	Effective date of	f plan		
					07/01/2005				
	ponsor's name and add ST CASE MANAGEMEN	ress; include room or suite number (ei NT, INC.	mployer, if for a single-	-employer plan)	2b Employer Identification Numb (EIN) 91-1907095				
D 0 D0V 4	44000				2c	2c Sponsor's telephone number 509-927-8285			
P.O. BOX 14 SPOKANE \	41600 /ALLEY, WA 99214-160	00			2d	(see instructions)			
						99			
3a Plan a	dministrator's name and	d address Same as Plan Sponsor N	ame Same as Plar	n Sponsor Address	3b Administrator's EIN 91-1907095				
IORTHWEST	CASE MANAGEMENT		600 LLEY, WA 99214-1600)	3c		telephone number		
			,		509-927-8285				
		plan sponsor has changed since the la	ast return/report filed fo	or this plan, enter the	4b	EIN			
name	, EIN, and the plan num	plan sponsor has changed since the laber from the last return/report.	ast return/report filed fo	or this plan, enter the					
name	, EIN, and the plan num or's name	ber from the last return/report.	· 		4c				
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Form 5500-SF 2013 Page **2**

Part III Financial Information									
7	_		(a) Beginning of Yea		ar		(b) End of Year		
<u>′</u>	Total plan assets		(a) Beginning of Tea			(b) Elia di Teal			
	·		-	0			0		
			13227				152333		
8	_		(a) Amount				(b) Total		
	Contributions received or receivable from:		(a) ranount				(0) 1010.		
	(1) Employers	8a(1)	286						
	(2) Participants	8a(2)	375	0					
	(3) Others (including rollovers)	8a(3)		0					
<u>b</u>	Other income (loss)	8b	1551	0					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					22122		
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f	206	4					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					2064		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				20058			
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0					
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 2R 2A 2F 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Coc	les in t	he instructions:		
Par	V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				10a		Х			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С				10c	X		30000		
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd, that was caused by fraud	10d		X	30000		
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			100					
C	insurance service, or other organization that provides some or all					X			
instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i				10i					
Part VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form									
5500) and line 11a below)									
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling									
	granting the waiver Month Day Year								
	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
n	Enter the minimum required contribution for this plan year				- 1	12b	Ī		

Page	3 -	1
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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			