Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

		Complete all entries in accord	ance with the mand		,,,,,				
Part I	Annual Report	Identification Information							
For calend	ar plan year 2013 or fis	scal plan year beginning 01/01/2013	3	and ending	12/31/2	2013			
A This ret	turn/report is for:	X a single-employer plan	a multiple-employer pl	an (not multiemployer)		a one-particip	oant plan		
B This ret	turn/report is:	x the first return/report	the final return/report						
		an amended return/report	a short plan year returr	n/report (less than 12 m	onths)			
C Check I	box if filing under:	Form 5558	automatic extension			DFVC progra	am		
		special extension (enter description	n)			_			
Part II	Basic Plan Info	rmation—enter all requested informa	ation						
1a Name		·			1b	Three-digit			
RAISING TH	IE BARRE LLC 401 K I	PROFIT SHARING PLAN TRUST				plan number			
					4-	(PN) •	001		
					1C	Effective date of	•		
	sponsor's name and add	dress; include room or suite number (er	nployer, if for a single-	employer plan)	2b	Employer Identif	fication Number		
					20	Sponsor's telep			
7551 166TH	HAVE NE SUITE 240					925-389			
REDMOND,					2d	Business code ((see instructions)		
						81299	90		
3a Plan a	dministrator's name an	d address XSame as Plan Sponsor N	ame Same as Plan	Sponsor Address	3b	Administrator's I	EIN		
					3с	Administrator's t	telephone number		
4 If the r	name and/or FIN of the	plan sponsor has changed since the la	est return/report filed fo	or this plan, enter the	4h	EIN			
		nber from the last return/report.	ast return/report med it	or this plan, enter the	40	EIN			
a Spons	sor's name	·			4c	PN			
5a Total	number of participants	at the beginning of the plan year			5a		5		
b Total i	number of participants	at the end of the plan year			5b		10		
		account balances as of the end of the p	• '	•	5c		1		
6a Were	all of the plan's assets	during the plan year invested in eligible	e assets? (See instruc	tions.)			X Yes No		
,	· ·	the annual examination and report of a			,				
			under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						
If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.							X Yes No		
			ot use Form 5500-SF	and must instead use	Form	5500.	_		
		ther line 6a or line 6b, the plan cannot t plan, is it covered under the PBGC in	ot use Form 5500-SF	and must instead use	Form	5500.	X Yes No Not determined		
C If the p	plan is a defined benefi		ot use Form 5500-SF surance program (see	and must instead use ERISA section 4021)?	Form	5500. Yes	_		
C If the p	plan is a defined benefi A penalty for the late of alties of perjury and other	t plan, is it covered under the PBGC insort incomplete filing of this return/report penalties set forth in the instructions	ot use Form 5500-SF surance program (see ort will be assessed s, I declare that I have	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re	Form	Stablished. Stablished. Cluding, if applications.	Not determined able, a Schedule		
Caution: A Under pena SB or Sche	plan is a defined benefi A penalty for the late of alties of perjury and other	t plan, is it covered under the PBGC insort incomplete filing of this return/report penalties set forth in the instructions and signed by an enrolled actuary, as we	ot use Form 5500-SF surance program (see ort will be assessed s, I declare that I have	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re	Form	Stablished. Stablished. Cluding, if applications.	Not determined able, a Schedule		
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Pa	rt III Financial Information										
7			(a) Beginning of Yea	of Year		(b) End of Year					
	Total plan assets	7a	(a) beginning or rea	0			(b) Liiu	01 16	0		_
	Total plan liabilities	7b		0					0		
	Net plan assets (subtract line 7b from line 7a)	7c		0					0		
	Income, Expenses, and Transfers for this Plan Year	- 10	(a) Amount				(b) T	otal			
	Contributions received or receivable from:		(a) Amount				(6) 1	Otai			
	(1) Employers	8a(1)		0							
	(2) Participants	8a(2)		0							
	(3) Others (including rollovers)	8a(3)		0							
b	Other income (loss)	8b		0							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							0		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0							
е	Certain deemed and/or corrective distributions (see instructions)	8e		0							
f	Administrative service providers (salaries, fees, commissions)	. 8f		0							
g	Other expenses	8g		0							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							C		
i	Net income (loss) (subtract line 8h from line 8c)	. 8i							C		
j	Transfers to (from) the plan (see instructions)	8j		0							
Pai	t IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instruc	tions	:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instructi	ons:			
Par	t V Compliance Questions										_
10	•				Yes	No		A			
a	During the plan year: Was there a failure to transmit to the plan any participant contribu	tione withi	n the time period described in		162	NO		Amo	unt		
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidulian Were there any nonexempt transactions with any party-in-interest	ıciary Corr	ection Program)	10a		X					
N	on line 10a.)			10b		X					
				10c		X					
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd, that was caused by fraud			X					
	or dishonesty?			10d						—	
E	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all					V					
	instructions.)			10e		X					
f	Has the plan failed to provide any benefit when due under the pla	n?									
	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a			10f 10g		X					
g	If this is an individual account plan, was there a blackout period?	s of year e	end.)uctions and 29 CFR								
<u>`</u>	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the state of th	s of year e	uctions and 29 CFR	10g 10h		X					
h	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	s of year e	uctions and 29 CFR	10g		X					
h	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem	s of year of (See instrument required 1-3	d notice or one of the Yes," see instructions and com	10g 10h 10i		X X			Vec		No.
i Part	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)	s of year e (See instru- ne required 1-3	end.)	10g 10h 10i	<u>.</u>	X X dule SE			Yes	X	No
i Part 11	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) Enter the unpaid minimum required contribution for current year from the subject to minimum for current year from the subject to mi	s of year e (See instru- ne required 1-3nents? (If "	d notice or one of the Yes," see instructions and con	10g 10h 10i		X X dule SE					
i Part	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 If 10h was answered "Yes," check the box if you either provided the exceptions to provided the exceptions to provide the provided the exceptions to provide the exception of the provided the exceptions to provide the exception of the provided the exceptions to provide the exception of th	s of year e (See instru- ne required 1-3 rents? (If "	d notice or one of the Yes," see instructions and con	10g 10h 10i		X X dule SE			Yes		No No
Part 11 11 11 12	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 If 10h was answered "Yes," check the box if you either provided the exceptions to provided the exceptions to provide the scale of the exceptions to provide the exception to provide th	s of year e (See instru- ne required 1-3 eents? (If " com Sched requireme , as applic	end.)	10g 10h 10i nplete	ection	X X dule Si 11a 302 of	ERISA?		Yes	X	
11 11a 12	If this is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) Enter the unpaid minimum required contribution for current year for list his a defined contribution plan subject to the minimum funding (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below. If a waiver of the minimum funding standard for a prior year is being granting the waiver.	s of year e (See instru- ne required 1-3 rents? (If " om Sched requirement, as applicang amortiz	end.)	10g 10h 10i nplete	ection	X X dule Si 11a 302 of	ERISA?	he lei	Yes tter rul	X	
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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			