Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension B	enefit Guaranty Corporation	▶ Complete all entries in accord	ance with the instru	ctions to the Form 550	0-SF.		spection	
Part I	Annual Report I	dentification Information						
For calend	ar plan year 2013 or fisc	cal plan year beginning 01/01/2013	3	and ending 1	2/31/2	2013		
	turn/report is for:		a marke simpleyer plan (normalismipleyer)					
B This re	turn/report is:	님 ' 님	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)			
C Check	box if filing under:	Form 5558 Special extension (enter description	automatic extension		DFVC program			
Dowt II	Doois Dien Infor	<u> </u>	<u> </u>					
Part II		mation—enter all requested informa	ition		46	There all all	1	
1a Name	•	IGTON, PLLC 401 (K) PLAN			ID	Three-digit plan number		
PAIN CONS	OLIANIS OF WASHIN	NGTON, PLEC 401 (K) PLAN				(PN) ▶	001	
					1c	Effective date o	f plan	
						01/01	•	
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) PAIN CONSULTANTS OF WASHINGTON, PLLC				employer plan)	2b	Employer Identi (EIN) 01-06	fication Number 370144	
13125 1215	T WAY NE SUITE E				2c	Sponsor's telep		
KIRKLAND,					2d	Business code 6211	(see instructions)	
3a Plan a	dministrator's name and	d address XSame as Plan Sponsor N	ame Same as Plar	Sponsor Address	3b	Administrator's	EIN	
					3с	Administrator's	telephone number	
4 If the	name and/or FIN of the	plan sponsor has changed since the la	pet return/report filed fo	or this plan, enter the	4h	EIN		
name		nber from the last return/report.	ast return/report med it	or this plan, enter the	4c			
		at the beginning of the plan year			5a	 	13	
_		at the end of the plan year				+		
		account balances as of the end of the p			5b	+	13	
		p	• •	•	5c		13	
_	·	during the plan year invested in eligible	•	*		······	X Yes No	
		the annual examination and report of a (See instructions on waiver eligibility a					X Yes No	
		ther line 6a or line 6b, the plan canno					M 100 110	
•		t plan, is it covered under the PBGC in					Not determined	
	•	•		<u> </u>			140t determined	
	•	r incomplete filing of this return/rep						
SB or Scho		er penalties set forth in the instructions d signed by an enrolled actuary, as we lete.						
SIGN	Filed with authorized/v	valid electronic signature.	07/28/2014	DANIEL NELSON				
HERE	Signature of plan administrator Date Enter name of indiv		vidual signing as plan administrator					
SIGN								
HERE	Signature of employ	/er/plan sponsor	Date	Enter name of individu	dual signing as employer or plan sponsor			
Preparer's	name (including firm na	ame, if applicable) and address; include	e room or suite numbe	r (optional)	Prep	arer's telephone	number (optional)	

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Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Year			(b) End of Year					
	Total plan assets				+		(b) Liid 0	6772	201		_
	Total plan liabilities	7b	14	15	+		21				
	Net plan assets (subtract line 7b from line 7a)	7c	51111					6771	80		
8	Income, Expenses, and Transfers for this Plan Year	70	(a) Amount				(b) To				
	Contributions received or receivable from:		(a) Amount				(b) 10	ıaı			
	(1) Employers	8a(1)	5092	7							
	(2) Participants	8a(2)	5417	'1							
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	6807	8							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1731	76		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	711	3							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						71	113		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						1660	063		
j	Transfers to (from) the plan (see instructions)	8j									
Pa	rt IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2J 2K 3D 3B 2G 2T	feature cod	des from the List of Plan Char	acteris	stic Co	des in	the instructi	ons:			
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature code	es from the List of Plan Chara	cterist	ic Cod	les in t	he instructio	ns:			
Dor	t V Compliance Questions										
Par	•				Yes	Na	1				
10 a	During the plan year: Was there a failure to transmit to the plan any participant contribute.	tiono within	the time period described in	1	res	No	<i>,</i>	moun	t		
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidure Were there any nonexempt transactions with any party-in-interest	ciary Corre	ection Program)	10a		X					
I.	on line 10a.)	,		10b		X					
	·	Was the plan covered by a fidelity bond?			X				5	000	00
				10c					3	000	JU
	or dishonesty?	······		10d		X					
e	 Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all 										
	instructions.)		• •	10e	Х					313	37
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
	Did the plan have any participant loans? (If "Yes," enter amount a	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g 10h		X					
i	2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3										
Dow		1-0		10i							
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
	5500) and line 11a below)										
	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12	Is this a defined contribution plan subject to the minimum funding			e or se	ection	302 of	ERISA?	Ye	es X	١	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
	The continue of the metalistic of the control of th		and the Alexe and	- 4:			and the second			n	
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mor	ıth	, and e	enter th Day		e letter /ear	rulinę		
If		e MB (For	Mor m 5500), and skip to line 13.	ith		_			rulinę		

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С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.))		
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)
Part	VIII Trust Information (optional)			
14a	Name of trust	4b Tr	ust's EIN	

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This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information						
For calendar plan year 2013 or fiscal plan year beginning	01/01/2013	and ending	12/	/31/2013		
A This return/report is for:	a multiple-employer p	lan (not multiemployer)	Па	one-participant plan		
B This return/report is:	the final return/report					
an amended retum/report	a short plan year retur	n/report (less than 12 m	onths)			
C Check box if filing under: Form 5558	automatic extension		По	FVC program		
special extension (enter des	cription)			. •		
Part II Basic Plan Information—enter all requested in	nformation					
1a Name of plan			1b Thre	ee-digit		
PAIN CONSULTANTS OF WASHINGTON, PLLC 40	1 (K) PLAN		plan	number		
			(PN			
				ctive date of plan 01/2007		
2a Plan sponsor's name and address; include room or suite numb	per (employer, if for a single	-employer plan)	2b Emp	oloyer Identification Number		
PAIN CONSULTANTS OF WASHINGTON, PLLC			(EIN) 01-0670144			
13125 121ST WAY NE SUITE E			2c Sponsor's telephone number			
13123 12151 WAT NE SOTTE E				5-899-6030		
KIRKLAND WA 98034			1	iness code (see instructions)		
3a Plan administrator's name and address Same as Plan Spor	sor Name XSame as Pla	n Sponsor Address		ninistrator's EIN		
			3c Adm	inistratoria talanhana number		
			3C Adm	ninistrator's telephone number		
4 If the name and/or EIN of the plan sponsor has changed since name, EIN, and the plan number from the last return/report.	the last return/report filed f	or this plan, enter the	4b EIN			
a Sponsor's name			4c PN			
5a Total number of participants at the beginning of the plan year			5a	13		
b Total number of participants at the end of the plan year			5b	13		
Number of participants with account balances as of the end of complete this item)			5c	13		
6a Were all of the plan's assets during the plan year invested in				₽ v □ v.		
b Are you claiming a waiver of the annual examination and repo	ort of an independent qualific	ed public accountant (IQ	PA)			
under 29 CFR 2520.104-46? (See instructions on waiver eligi						
If you answered "No" to either line 6a or line 6b, the plan						
c If the plan is a defined benefit plan, is it covered under the PB	GC insurance program (see	ERISA section 4021)? .	Yes	No Not determined		
Caution: A penalty for the late or incomplete filing of this return	n/report will be assessed	uniess reasonable cau	se Is estai	blished.		
Under penalties of perjury and other penalties set forth in the instru	ctions, I declare that I have	examined this return/rep	ort, includi	ing, if applicable, a Schedule		
SB or Schedule MB completed and signed by an enrolled actuary, belief, it is true, correct, and complete.	as well as the electronic ver	sion of this return/report	, and to the	e best of my knowledge and		
SIGN X //ex	7-1-14	DANIEL NELSON	11	er -		
SIGN HERE		4	u			
Signature of plan administrator	Date	Enter name of individu	ual signing	as plan administrator		
SIGN HERE						
Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address; if	Date	Enter name of individu		as employer or plan sponsor		
Preparer's name (including lifth name, if applicable) and address; if	nclude room or suite numbe	er (optional)	Preparer	s telephone number (optional)		