Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

| Pension E | Benefit Guaranty Corporation | Complete all entries in accord | ance with the instru | ctions to the Form 5500 |)-SF. | | | |
|--|--|--|--|---|--|---|--|--|
| Part I | | Identification Information | | | | | | |
| For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013 | | | | | | | | |
| A This return/report is for: | | | | er) a one-participant plan | | | | |
| B This return/report is: ☐ the first return/report ☐ the final return/report | | | | | | | | |
| an amended return/report a short plan year return/report (less than 12 r | | | | | <u> </u> | | | |
| C Check box if filing under: | | | | | DFVC program | | | |
| | 1 | special extension (enter description | <u> </u> | | | | | |
| Part II | | rmation—enter all requested informa | ition | | | | | |
| | 1a Name of plan EVIN T KEARON PC 401 K PROFIT SHARING PLAN TRUST | | | | 1b Three-digit plan number (PN) ▶ | 001 | | |
| | | | | | 1c Effective date | of plan | | |
| | sponsor's name and ad | dress; include room or suite number (er | mployer, if for a single- | -employer plan) | 01/01/2009 2b Employer Identification Number (FIN) 20-2722917 | | | |
| | | | | | 2c Sponsor's telephone number | | | |
| 140 FELL (HAUPPAU | CT STE 101 GE, NY 11788-4360 | | | | 2d Business code | | | |
| 3a Plan a | administrator's name ar | nd address XSame as Plan Sponsor Na | ame Same as Plar | n Sponsor Address | 3b Administrator's | | | |
| | | _ | _ | | 3c Administrator's | s telephone number | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 4 If the | name and/or FIN of the | a nian enoneor has changed since the la | est return/report filed fo | or this plan, enter the | 4b EIN | | | |
| name | e, EIN, and the plan nur | e plan sponsor has changed since the lamber from the last return/report. | ast return/report filed fo | or this plan, enter the | 4b EIN | | | |
| name a Spons | e, EIN, and the plan nur sor's name | mber from the last return/report. | · | · | 4c PN | 1 | | |
| a Spons 5a Total | e, EIN, and the plan nur sor's name number of participants | | | | 4c PN 5a | 1 | | |
| name a Spons 5a Total b Total c Numb | e, EIN, and the plan nur sor's name number of participants number of participants ber of participants with a | at the beginning of the plan year | lan year (defined bene | efit plans do not | 4c PN | 1 1 1 | | |
| name a Spons 5a Total b Total c Numl comp | e, EIN, and the plan nur sor's name number of participants number of participants ber of participants with a blete this item) | at the beginning of the plan year | lan year (defined bene e assets? (See instruc | efit plans do not | 4c PN 5a 5b 5c | 1 1 1 X Yes No | | |
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Form 5500-SF 2013 Page **2**

| Do | t III Financial Information | | | | | | | | | |
|---|--|------------|--------------------------------|---------|----------|-----------------|-------------|--------|------|------|
| Pa | t III Financial Information | | | | 1 | | | | | |
| | Plan Assets and Liabilities | | (a) Beginning of Yea | | | (b) End of Year | | | | |
| | Total plan assets | 7a 7b | 352 | | | | | | 2660 | |
| | b Total plan liabilities | | | 0 | | | | | 0000 | |
| | | | 352 | 0 | | | | | 2660 |) |
| | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | | | (b) 1 | otal | | |
| а | Contributions received or receivable from: (1) Employers | 8a(1) | | 0 | | | | | | |
| | (2) Participants | 8a(2) | | 0 | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | | |
| | Other income (loss) | 8b | | 0 | | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | 0 |) |
| | Benefits paid (including direct rollovers and insurance premiums | 00 | | | | | | | | |
| | to provide benefits) | 8d | | 0 | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | 86 | 0 | | | | | | |
| g | Other expenses | . 8g | | 0 | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | . 8h | | | | | | | 860 |) |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | | -860 |) |
| j | Transfers to (from) the plan (see instructions) | 8i | | 0 | | | | | | |
| Pai | t IV Plan Characteristics | | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2T 3D | feature co | des from the List of Plan Char | acteris | stic Co | des in | the instru | ctions | : | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | es from the List of Plan Chara | cterist | ic Cod | es in t | he instruct | ions: | | |
| _ | | | | | | | | | | |
| Part V Compliance Questions | | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amo | ount | |
| Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | | | | | | | | |
| b | Were there any nonexempt transactions with any party-in-interest on line 10a.) | ` | • | 10b | | X | | | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | | X | | | | |
| d | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | - | | 10d | | X | | | | |
| е | | | | | | | | | | |
| | insurance service, or other organization that provides some or all | | | 40- | | X | | | | |
| | instructions.) | | | 10e | | X | | | | |
| | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | | | | | |
| g | g Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | | | 10g | | X | | | | |
| h | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | X | | | | |
| i | i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | 10i | | | | | | |
| Part | | - | | | <u> </u> | | | | | |
| Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form | | | | | | | | | | |
| 5500) and line 11a below) | | | | | | | | | | |
| 11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 | | | | | | | | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding | | | or se | ection 3 | 302 of | ERISA? | | Yes | X No |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | | |
| a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Month Day Year | | | | | | | | | | |
| If | you completed line 12a, complete lines 3, 9, and 10 of Schedule | e MB (For | m 5500), and skip to line 13. | | | | | | | |
| h | Enter the minimum required contribution for this plan year | | | | | 12b | | | | |

| Page | 3 - | 1 |
|------|-----|---|
|------|-----|---|

| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | |
|---|---|----------|-----------------|---------------------|--|--|
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | | |
| e Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | Yes | No N/A | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | Y | es X No | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | |
| b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | | | | Yes X No | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | |
| 1 | 3c(1) Name of plan(s): | c(2) Ell | V(s) | 13c(3) PN(s) | | |
| | | | | | | |
| | | | | | | |
| Part | VIII Trust Information (optional) | | | | | |
| 14a Name of trust | | | 14b Trust's EIN | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |