Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Part l		rt Identification Information										
For cale	ndar plan year 2013 or	fiscal plan year beginning 01/01/201	3	and ending 1	12/31/	2013						
A This	return/report is for:	X a single-employer plan	a multiple-employer p	lan (not multiemployer)	yer) a one-participant plan							
B This	return/report is:	the first return/report	the final return/report		_							
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)						
C Che	ck box if filing under:		DFVC progra	am								
	gg	special extension (enter descripti	automatic extension on)									
Part I	Rasic Plan In	formation—enter all requested inform	<u> </u>									
	ne of plan	Torriation—enter all requested inform	iadon		1b	Three-digit						
	G FORD, INC. 401(K)	PLAN & TRUST				plan number						
						(PN) •	001					
			1c	Effective date o	•							
2a Plai	s enoneor's name and	address; include room or suite number (amployer if for a single	employer plan)	2h	01/01						
	IG FORD, INC.	address, include 10011 of Suite Humber (6	simployer, ir for a single-	-employer plan)	20	Employer Identi (EIN) 06-08	62441					
					2c	Sponsor's telep	hone number					
801 BLO	OMFIELD AVENUE					860-688						
	R, CT 06095				2d	Business code ((see instructions)					
			_			44111						
3a Pla	n administrator's name	and address Same as Plan Sponsor I	Name Same as Plai	n Sponsor Address	3b	Administrator's	EIN 362441					
ILL SELIC	FORD, INC.	801 BLOOMF WINDSOR, C	IELD AVENUE		3c		telephone number					
		WINDOON, O	1 00000			8-3651						
4												
		the plan sponsor has changed since the number from the last return/report.	last return/report filed for	or this plan, enter the	4b	EIN						
	nsor's name	idiliber from the last return/report.			4c	PN						
		its at the beginning of the plan year			5a		47					
b Tot	al number of participar	its at the end of the plan year			5b							
		th account balances as of the end of the			-		42					
				-	5c		21					
		ets during the plan year invested in eligil					X Yes No					
		of the annual examination and report of 6? (See instructions on waiver eligibility					X Yes □ No					
		either line 6a or line 6b, the plan can					M 100 [] 110					
		nefit plan, is it covered under the PBGC i					Not determined					
	•	<u> </u>				<u> </u>	1 1101 dotominod					
		e or incomplete filing of this return/re	•									
		other penalties set forth in the instruction and signed by an enrolled actuary, as w										
	is true, correct, and co				-,		g					
OLON.	Filed with authorize	ed/valid electronic signature.	07/29/2014	THOMAS SELIG								
SIGN HERE		-										
	Signature of plar	administrator	Date	Enter name of individ	ual si	gning as plan adn	ninistrator					
SIGN HERE												
		oloyer/plan sponsor	Date	Enter name of individ								
Prepare	rs name (including firn	n name, if applicable) and address; inclu	de room or suite numbe	er (optional)	Prep	parer's telephone	number (optional)					

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Da	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea				/b) En	4 at V		
_ <u>'</u> _a	Total plan assets	(7)			(b) End of Year					3
<u>a</u>	Total plan liabilities	100 100	•					070700	,	
	Net plan assets (subtract line 7b from line 7a)				+			10	673763	3
8	Income, Expenses, and Transfers for this Plan Year	76		•			(b)		37 07 00	
	Contributions received or receivable from:		(a) Amount				(D)	Total		
	(1) Employers	8a(1)								
	(2) Participants	8a(2)	6216	2						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	32605	9						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						3	888221	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	10882	2						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	30	0						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							109122	2
i	Net income (loss) (subtract line 8h from line 8c)	8i							279099	9
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics	•								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Char	acteris	tic Co	des in	the instr	uctions	3:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cteristi	ic Coc	les in t	he instru	ctions		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
а	Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		X				
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X					150000
d		fidelity box	nd, that was caused by fraud	10d		X				100000
—	Were any fees or commissions paid to any brokers, agents, or oth			.00						
	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See		Χ					
	instructions.)			10e		V/				381
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year e	end.)	10g	X					66803
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes	∏ No
11a	Enter the unpaid minimum required contribution for current year for					11a			4	
12										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a	If a waiver of the minimum funding standard for a prior year is beingranting the waiver.	ng amortiz	ed in this plan year, see instru		and e	enter th	ne date o	f the le		ling
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule					Day		. 100	<u> </u>	
	Enter the minimum required contribution for this plan year	•				12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.))				
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a	Name of trust	14b Trust's EIN				

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the instruc	ctions to the Form 550	0-SF.	тореспол			
Part I		lentification Information							
For calend	ar plan year 2013 or fisc		01/01/2013	and ending	12/	31/2013			
A This ret	turn/report is for:	a single-employer plan	a multiple-employer pl	an (not multiemployer)	Па	one-participant plan			
B This ref	turn/report is:	the first return/report	the final return/report						
	[an amended return/report	a short plan year return	n/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558	automatic extension			FVC program			
		special extension (enter descri	ription)						
Part II	Basic Plan Inforr	nation—enter all requested inf	formation						
1a Name					1b Thre	e-digit			
BILL S	ELIG FORD, INC	. 401(K) PLAN & TRUS	ST	/		number 001			
					(PN))			
						ctive date of plan 01/1992			
2a Plans	ponsor's name and addr	ess; include room or suite number	er (employer if for a single-	employer plan)		loyer Identification Number			
	ELIG FORD, INC.		or (employer, in lot a emgle	cripicy or plany) 06-0862441			
						nsor's telephone number			
801 BL	OOMFIELD AVENUE				55 7550	-688-3651			
					2d Busin	ness code (see instructions)			
WINDSO	R	CT 06095			441	.110			
3a Plan a	dministrator's name and	address Same as Plan Spons	sor Name Same as Plan	Sponsor Address	1-3-2-3-1- 1000000000000000000000000000000000	inistrator's EIN			
BILL S	ELIG FORD, INC.				06-0862441				
					3c Administrator's telephone number				
801 BL	OOMFIELD AVENUE				860-688-3651				
WINDSO	R 	CT 06095							
		lan sponsor has changed since	the last return/report filed for	r this plan, enter the	4b EIN				
	, Env, and the plan numb or's name	er from the last return/report.			4c PN				
		the beginning of the plan year			5a	47			
42 9355 5590		the end of the plan year			5b	42			
C Numb	er of participants with ac	count balances as of the end of t	the plan year (defined bene	fit plans do not					
compl	lete this item)				5c	21			
	100	uring the plan year invested in e	70	115		X Yes No			
		ne annual examination and repor See instructions on waiver eligib				X Yes ☐ No			
		er line 6a or line 6b, the plan c							
		plan, is it covered under the PBG							
		incomplete filing of this return r penalties set forth in the instruc							
SB or Sche	edule MB completed and	signed by an enrolled actuary, a	is well as the electronic vers	sion of this return/report	, and to the	best of my knowledge and			
	true, correct, and comple								
SIGN	710	011	7-29.14	Thomas Selig					
HERE	Signature of plan adn	ninistrator	Date	Enter name of individu	ial signing s	ae nlan administrator			
SIGN	Oignature or plan aun	iiiistiatoi	Date	Enter harne of marvior	uai signing a	as plair autilitistrator			
HERE	Signature of employer/plan sponsor Date Enter name of individu			ual eigning s	as employer or plan sponsor				
Preparer's		ne, if applicable) and address; in				s telephone number (optional)			
	5-			W-125	唐				

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Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) End	of Y	ear	
a	Total plan assets	7a		9466	54		(3) =:::			7376
b	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	13:	9466	54				16	7376
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(b) Total						
a	Contributions received or receivable from:		(a) ranount				(2)	. Otal		
	(1) Employers	8a(1)								
	(2) Participants	8a(2)		6216	52					
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	3:	2605	59					
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							3	8822
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	1	0882	22					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		30	00					
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							1	0912
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							2	7909
j	Transfers to (from) the plan (see instructions)	8j								
Pa	rt IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Chara	acteris	stic Co	odes in	the instru	ctions	S:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	des in t	he instruc	tions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Δm	ount	
	Was there a failure to transmit to the plan any participant contribution 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidure)			10a		Х		Air	June	
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	? (Do not	nclude transactions reported	10b		Х				
					Х				1	5000
				10c						.5000
	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all	•	,							
	instructions.)			10e	Х					38
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		Х				
	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	nd)	10g	Х					6680
	· · · · · · · · · · · · · · · · · · ·	(See instru	ictions and 29 CFR	10g 10h		Х				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	d notice or one of the	10ii						
Daw		1-3		101						
Par	<u> </u>		/ :twti		Caba	-ll CI	D / Farms	1		
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes	No
	Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	Is this a defined contribution plan subject to the minimum funding	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,									
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mon		, and	enter tl Day		the le		ing ——
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.							
						12b				

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	Enter the amount contributed by the employer to the plan for this plan year	120	:			
	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount).	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		П	Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	1 X 2	No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a	T			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the PBGC?		ol		☐ Ye	es X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)					
1	3c(1) Name of plan(s):	13c(2)	EIN(s)	130	(3) PN(s)
					+	
Part	VIII Trust Information (optional)					
14a 1	Name of trust	14b	Trus	t's EIN		