Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	➤ Complete all entries in accord	lance with the instruc	tions to the Form 550	0-SF.				
Part I	Annual Report lo	dentification Information							
For calend	ar plan year 2013 or fisc	al plan year beginning 01/01/2013	3	and ending 1	2/31/2	2013			
A This ref	This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan						pant plan		
B This ref	turn/report is:	片 ' 片	the final return/report						
		an amended return/report	a short plan year returr	n/report (less than 12 mo	onths)				
C Check box if filing under:					DFVC program				
Dort II	Dania Dian Infan	special extension (enter description	<u> </u>						
Part II	I .	mation—enter all requested informa	ition		4 14	T	1		
1a Name	of plan ON & SON, INC. 401(K)	DETIDEMENT DI ANI			1D	Three-digit plan number			
D. F. STINS	JN & 50N, INC. 401(K)	RETIREMENT PLAN				(PN) ▶	001		
					1c	Effective date of	f plan		
						01/01			
	ponsor's name and addr ON & SON, INC.	ress; include room or suite number (er	mployer, if for a single-	employer plan)	2b	2b Employer Identification Number (EIN) 61-0845761			
040 O El OV	ID 0.T				2c	Sponsor's telephone number 502-587-1449			
818 S FLOY LOUISVILLE	E, KY 40203-2340				2d	2d Business code (see instructions)			
3a Plan a	dministrator's name and	address XSame as Plan Sponsor N	ame Same as Plan	Sponsor Address	238100 3b Administrator's EIN				
		_	_		3c	Administrator's	telephone number		
		plan sponsor has changed since the la	ast return/report filed fo	or this plan, enter the	4b	EIN			
	, EIN, and the plan numi or's name	ber from the last return/report.			4c	PN			
		t the beginning of the plan year					12		
_		t the end of the plan year			5a 5b				
		ecount balances as of the end of the p					. 12		
	,	during the plan year invested in eligibl			5c		X Yes □ No		
		he annual examination and report of a							
		(See instructions on waiver eligibility a					X Yes No		
If you	answered "No" to eith	ner line 6a or line 6b, the plan canno	ot use Form 5500-SF	and must instead use	Form	5500.			
C If the	olan is a defined benefit	plan, is it covered under the PBGC in	surance program (see	ERISA section 4021)? .	🗌	Yes No	Not determined		
Caution: /	nenalty for the late or	incomplete filing of this return/rep	ort will be assessed t	unless reasonable cau	ıca ic	established			
							able a Schedule		
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN	Filed with authorized/va	alid electronic signature.	07/30/2014	JANICE DORECK					
HERE	Signature of plan add	ministrator	Date	Enter name of individu	dual signing as plan administrator				
SIGN	Filed with authorized/va	alid electronic signature.	07/30/2014	JANICE DORECK					
HERE	Signature of employe	er/plan sponsor	Date	Enter name of individu	ual sig	ning as employe	er or plan sponsor		
Preparer's	name (including firm nar	me, if applicable) and address; include	e room or suite numbe	r (optional)	Prep	arer's telephone	number (optional)		

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Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Reginning of Voc	·	T		(b) End	l of V	oar		
		7a	(a) Beginning of Yea				(b) End of Year 78690				
<u>a</u>	a Total plan assets b Total plan liabilities			0					(
			5663	2					78690)	
8 Income, Expenses, and Transfers for this Plan Year		7c	(a) Amount				(b)	Total			
	Contributions received or receivable from:		(a) Amount				(6)	IOtai			
	(1) Employers	8a(1)	160	0							
	(2) Participants	8a(2)	320	1							
	(3) Others (including rollovers)	8a(3)		0							
<u>b</u>	Other income (loss)	8b	1725	8							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							22059)	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0							
е	Certain deemed and/or corrective distributions (see instructions)	8e		1							
f	Administrative service providers (salaries, fees, commissions)	. 8f		0							
g	Other expenses	8g		0							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								1	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							22058	3	
j	Transfers to (from) the plan (see instructions)	8j		0							
Pai	t IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Chara	acteris	tic Co	odes in	the instru	ctions	S :		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Charac	cteristi	ic Cod	des in t	he instruc	tions:			
Par	V Compliance Questions										
10	During the plan year:				Yes	No		Ame	ount		
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a	Χ					15	532
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
c	Was the plan covered by a fidelity bond?			10c	Χ					250	000
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity box	nd, that was caused by fraud	10d		X				200	700
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			100							
C	insurance service, or other organization that provides some or all					X					
	instructions.)			10e							
f	Has the plan failed to provide any benefit when due under the plan?					X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i							
Part											
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)	•					•	Īг	Yes	П	No
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
						12b					

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):			V(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN				