Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information									
For calen	dar plan year 2013 or	fiscal plan year beginning 0	01/01/2013 and ending 12/31/2013						
A This re	eturn/report is for:	X a single-employer plan	a multiple	e-employer plai	n (not multiemployer)	er) a one-participant plan			
B This re	nis return/report is: the first return/report the final return/report								
		an amended return/repor	rt a short pla	an year return/ı	eport (less than 12 m	onths)		
C Check box if filing under: Form 5558 automatic extension						DFVC progra	ım		
	· ·	special extension (enter	description)						
Part II	Basic Plan Info	ormation—enter all requeste	ed information						
1a Name		•				1b	Three-digit		
ESE CORP	PORATION PROFIT SI	HARING PLAN					plan number		
						10	(PN)	001	
						10	Effective date o	•	
2a Plan	sponsor's name and a	iddress; include room or suite n	umber (employer, i	f for a single-er	mplover plan)	2h	Employer Identi		
	PORATION		(-	3	F - 7 - F - 7	~		07010	
						2c	Sponsor's telep	hone number	
	LLER RD E						253-53		
TACOMA,	WA 98446					2d		(see instructions)	
20.51				. DI (26	23890		
3a Pian	administrator's name a	and address XSame as Plan S	sponsor Name	same as Pian S	Sponsor Address	SD	Administrator's	=IIN	
						3с	Administrator's	telephone number	
4 If the	name and/or EIN of the	he plan sponsor has changed s	ince the last return	report filed for	this plan, enter the	4b	EIN		
		umber from the last return/repo					Liiv		
	sor's name						PN		
5a Total	I number of participant	ts at the beginning of the plan y	ear			5a		9	
		ts at the end of the plan year				5b		11	
		n account balances as of the en		•	•	5с		11	
6a Wer	e all of the plan's asse	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IC					ons.)			X Yes No	
		of the annual examination and	report of an indeper	ndent qualified	public accountant (IQ	PA)			
	er 29 CFR 2520.104-46	of the annual examination and 6? (See instructions on waiver	report of an indeper eligibility and condit	ndent qualified tions.)	public accountant (IQ	PA)		Yes No Yes No	
If yo	er 29 CFR 2520.104-46 u answered "No" to	of the annual examination and 6? (See instructions on waiver 6 either line 6a or line 6b, the p	report of an indeper eligibility and condit lan cannot use Fo	ndent qualified tions.) orm 5500-SF a	public accountant (IQ nd must instead use	PA) Form	 5500.	X Yes No	
If yo	er 29 CFR 2520.104-46 u answered "No" to	of the annual examination and 6? (See instructions on waiver	report of an indeper eligibility and condit lan cannot use Fo	ndent qualified tions.) orm 5500-SF a	public accountant (IQ nd must instead use	PA) Form	 5500.		
C If the	er 29 CFR 2520.104-46 u answered "No" to o plan is a defined bene A penalty for the late	of the annual examination and 6? (See instructions on waiver 6 either line 6a or line 6b, the pefit plan, is it covered under the e or incomplete filing of this r	report of an indeper eligibility and condit blan cannot use Fo e PBGC insurance p return/report will b	ndent qualified tions.) orm 5500-SF al orogram (see E e assessed ur	public accountant (IQ nd must instead use RISA section 4021)?	PA) Form	s5500. Yes No established.	X Yes No	
C If the Caution:	er 29 CFR 2520.104-46 u answered "No" to e plan is a defined bene A penalty for the late nalties of perjury and o	of the annual examination and 6? (See instructions on waiver 6 either line 6a or line 6b, the pefit plan, is it covered under the eor incomplete filing of this rother penalties set forth in the in	report of an indeper eligibility and conditual plan cannot use Fo e PBGC insurance preturn/report will be instructions, I declare	ndent qualified tions.)	public accountant (IQ and must instead use RISA section 4021)? Illess reasonable cau camined this return/rep	PA) Form	yes No established.	Yes No Not determined able, a Schedule	
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Pai	rt III Financial Information										
7	n Assets and Liabilities		(a) Paginning of Voor			(b) End of Year					
	Total plan assets	7a	(a) Beginning of Yea			(b) End of Year 1185824					
	b Total plan liabilities			0		0					
	C Net plan assets (subtract line 7b from line 7a)		101002					11	85824		
8 Income, Expenses, and Transfers for this Plan Year		7c	(a) Amount				(b) ⁷				
	Contributions received or receivable from:		(a) Amount				(D)	Otal			
	(1) Employers	8a(1)	500	0							
	(2) Participants	8a(2)		0							
	(3) Others (including rollovers)		0								
b	Other income (loss)	8b	17855	8							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1	83558		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0							
e	Certain deemed and/or corrective distributions (see instructions)	8e		0							
f	Administrative service providers (salaries, fees, commissions)	8f	775	8							
g	Other expenses	8g		0							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							7758	3	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						1	75800)	
j	Transfers to (from) the plan (see instructions)	8j									
Par	t IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension for 2E 3D	eature co	des from the List of Plan Chara	acteris	tic Co	des in	the instru	ctions	:		
b	If the plan provides welfare benefits, enter the applicable welfare fea	ature cod	es from the List of Plan Charac	cteristi	c Coo	les in t	he instruct	ions:			
Part	Part V Compliance Questions										
10	During the plan year:				Yes	No		Amo	ount		
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
b	Were there any nonexempt transactions with any party-in-interest? on line 10a.)	(Do not	include transactions reported	10b		Х					
	Was the plan covered by a fidelity bond?			10c	Χ					1250	00
d	Did the plan have a loss, whether or not reimbursed by the plan's f	idelity bo	nd, that was caused by fraud	10d		X				1230	JO
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or other			100							
C	insurance service, or other organization that provides some or all of					X					
	instructions.)			10e							
f	Has the plan failed to provide any benefit when due under the plan	?		10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as	of year e	end.)	10g		X					
h		If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i							
Part	VI Pension Funding Compliance										
11	Is this a defined benefit plan subject to minimum funding requireme	•					•		Yes	П	No
11a	5500) and line 11a below)										
12							<u></u>				
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,	-		, OI 3C	CHOIL	002 UI	LINOA:		. 00	<u>'</u>	
а	If a waiver of the minimum funding standard for a prior year is being granting the waiver.	g amortiz	ed in this plan year, see instruc		and e	enter th	ne date of	the le Yea		ing	
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule					Day		, ca	·		
	Enter the minimum required contribution for this plan year	•				12b					_

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			