Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2010

OMB Nos. 1210-0110

1210-0089

2013

This Form is Open to Public Inspection

| Pension Be | enefit Guaranty Corporation | ▶ Complete all entries in acc | ordance with the instruc | tions to the Form 5500 | 0-SF. | | | | |
|-------------------|---|---|--------------------------------|---------------------------|---|---|--------------------|--|--|
| Part I | Annual Report | Identification Information | | | | | | | |
| For calend | ar plan year 2013 or fis | scal plan year beginning 01/01/2 | 2013 | and ending 1 | 2/31/2 | 2013 | | | |
| A This ref | A This return/report is for: | | | | | | pant plan | | |
| B This ref | B This return/report is: ☐ the first return/report ☐ the final return/report | | | | | | | | |
| _ | | an amended return/report | | n/report (less than 12 mo | onths) | | | | |
| C Check | box if filing under: | Form 5558 special extension (enter descri | automatic extension | | | DFVC progra | am | | |
| Dowt II | Doois Dlan Info | | · · · | | | | | | |
| Part II | | rmation—enter all requested info | ormation | | 4 15 | T1 12 12 14 | 1 | | |
| 1a Name | | 401(K) PROFIT SHARING PLAN | | | 10 | Three-digit plan number | | | |
| KLIIII D. CI | IAMBERO, DIVID, 1 30 | 401(N) I NOITI SHANING I LAN | | | | (PN) ▶ | 001 | | |
| | | | | | 1c | Effective date of | f plan | | |
| | | | | | | 01/01 | /2000 | | |
| | ponsor's name and add HAMBERS, DMD, PSC | dress; include room or suite number | r (employer, if for a single- | employer plan) | 2b | Employer Identification Number (EIN) 61-1349160 | | | |
| 401 BOGLE | STREET | | | | 2c | Sponsor's telephone number 606-451-0888 | | | |
| SUITE 204 | Г, KY 42503-0000 | | | | 2d | Business code 6212 | (see instructions) | | |
| 3a Plan a | dministrator's name an | d address Same as Plan Sponso | or Name Same as Plar | Sponsor Address | 3b | Administrator's | | | |
| | | | | | 3с | Administrator's | telephone number | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | plan sponsor has changed since the | ne last return/report filed fo | or this plan, enter the | 4b | EIN | | | |
| | , EIN, and the plan nun or's name | nber from the last return/report. | | | 4c | DNI | | | |
| | | at the beginning of the plan year | | | 5a | FIN | 4 | | |
| _ | | at the end of the plan year | | | | | <u> </u> | | |
| | | account balances as of the end of the | | | 5b | | 4 | | |
| comp | lete this item) | | | | 5c | | 4 | | |
| _ | | during the plan year invested in eli | = | | | | X Yes No | | |
| | | the annual examination and report? (See instructions on waiver eligibil | | | | | X Yes No | | |
| | | ther line 6a or line 6b, the plan ca | • | | | | | | |
| • | | it plan, is it covered under the PBG0 | | | _ | . – – | Not determined | | |
| | | | | • | | | | | |
| | | or incomplete filing of this return/ ner penalties set forth in the instruct | | | | | able a Cabadula | | |
| SB or Sche | | nd signed by an enrolled actuary, as | | | | | | | |
| SIGN | Filed with authorized/ | valid electronic signature. | | | | | | | |
| HERE | Signature of plan a | dministrator | Date | Enter name of individu | er name of individual signing as plan administrator | | | | |
| SIGN | | | | | | | | | |
| HERE | Signature of employ | yer/plan sponsor | Date | Enter name of individu | of individual signing as employer or plan sponso | | | | |
| Preparer's | | ame, if applicable) and address; inc | clude room or suite numbe | | _ | | number (optional) | | |
| | | | | | | | | | |
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| Do | 4 III Financial Information | | | | | | | | | |
|-------------|---|-------------|--------------------------------|---------|---------|-----------------|--------------|--------------------|----------|------|
| | t III Financial Information | | Τ | | 1 | | | | | |
| 7_ | Plan Assets and Liabilities | | (a) Beginning of Yea | | | (b) End of Year | | | | |
| | Total plan assets | . 7a | 107946 | 6 | | | | 1157 | 997 | |
| | Total plan liabilities | 7b | 407040 | | | | | 4457 | 2007 | |
| | Net plan assets (subtract line 7b from line 7a) | 7c | 107946 | 6 | | | 1157997 | | | |
| | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | _ | | (b) T | otal | | |
| а | Intributions received or receivable from: Employers | | | 3 | | | | | | |
| | Participants | | | | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | 970 |)2 | | | | | | |
| | Other income (loss) | 8b | 3143 | 0 | | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 89 | 636 | |
| | Benefits paid (including direct rollovers and insurance premiums | - 00 | | | | | | | | |
| | to provide benefits) | 8d | | 0 | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | . 8e | | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | 1110 | 5 | | | | | | |
| g | Other expenses | . 8g | | 0 | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 11 | 105 | |
| i | Net income (loss) (subtract line 8h from line 8c) | . 8i | | | | | | 78 | 531 | |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | | | | |
| Par | t IV Plan Characteristics | <u> </u> | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2J 2A 2F 2G 2T 3D | feature co | des from the List of Plan Char | acteris | stic Co | des in | the instruc | tions: | | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | es from the List of Plan Chara | cterist | ic Coc | les in t | he instructi | ons: | | |
| Par | V Compliance Questions | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amour | nt | |
| a | | tions withi | n the time period described in | | | | | Amoun | <u> </u> | |
| | 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu | | | 10a | | X | | | | |
| b | | | | 401 | | X | | | | |
| | on line 10a.) | | | 10b | Χ | | | | | |
| c | Was the plan covered by a fidelity bond? | | | 10c | ^ | | | | 100 | 0000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | - | | 10d | | X | | | | |
| е | | | | | | | | | | |
| | insurance service, or other organization that provides some or all instructions.) | | | 10e | X | | | | 11 | 582 |
| f | , | | | 10f | | X | | | | |
| | | | | | | X | | | | |
| g h | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR | | | 10g | | | | | | |
| | 2520.101-3.) | | | 10h | | X | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | | |
| Part | VI Pension Funding Compliance | | | | | | | | | |
| 11 | Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) | • | | | | | • | Y | ′es X | No |
| 11a | 11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 11a | | | | | | | | | |
| 12 | 2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? | | | | | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | | |
| а | If a waiver of the minimum funding standard for a prior year is beir granting the waiver. | - | | | , and e | enter th Day | ne date of t | ne lette Year _ | r ruling | |
| lf | you completed line 12a, complete lines 3, 9, and 10 of Scheduk | e MB (For | m 5500), and skip to line 13. | | | | | | | |
| - 1- | Enter the minimum required contribution for this plan year | | | | | 12b | l | | | |

| Page | 3 - | 1 |
|------|-----|---|
|------|-----|---|

| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | |
|------|---|--------------|-----------|---------------------|
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A |
| Part | VII Plan Terminations and Transfers of Assets | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | Y | es X No | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC? | ontrol | | Yes X No |
| С | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) |) | | |
| 1 | 3c(1) Name of plan(s): | c(2) Ell | V(s) | 13c(3) PN(s) |
| | | | | |
| | | | | |
| Part | VIII Trust Information (optional) | | | |
| 14a | Name of trust | 4b Tr | ust's EIN | |
| | | | | |
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Department of Labor Employee Benefits Security Administration Short Form Annual Return/Report of Small Employee Benefit Plan

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OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

| Pension Benefit Guaranty Corporation | lance with the instru | ctions to the Form 550 | 0-SF. | Inspection | | | |
|--|--------------------------|---------------------------|---|---------------------------|--|--|--|
| Part Annual Report Identification Information | | | | | | | |
| For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013 | | | | | | | |
| A This return/report is for: x a single-employer plan | a multiple-employer p | lan (not multiemployer) | er) a one-participant plan | | | | |
| B This return/report is: | the final return/report | | _ | | | | |
| | a short plan vear retu | rn/report (less than 12 m | ionths) | | | | |
| | automatic extension | • • • | | program | | | |
| special extension (enter description | | | ٥٠٠٠٠ | , | | | |
| | | | | | | | |
| Partil Basic Plan Information enter all requested Information Plan Name of plan | mation | | 1b Three-di | ait | | | |
| | | | plan nun | nber | | | |
| Keith D. Chambers, DMD, PSC 401(k) PROFIT SH | ARING PLAN | | (PN) ▶ | 001 | | | |
| | | | 1c Effective date of plan 01/01/2000 | | | | |
| 2a Plan sponsor's name and address; include room or suite number (ex | mployer, if for a single | -employer plan) | | er Identification Number | | | |
| KEITH D. CHAMBERS, DMD, PSC | | | | 51-1349160 | | | |
| | | | 2c Sponsor | 's telephone number | | | |
| 401 BOGLE STREET | | | | 451-0888 | | | |
| SUITE 204 | | | | s code (see Instructions) | | | |
| US SOMERSET KY 42503-0000 | и По | N 0 A I I | 621210 3b Administrator's EIN | | | | |
| 3a Plan administrator's name and address X Same as Plan Sponsor | Name Same as i | rian Sponsor Address | JO Administ | rator s Env | | | |
| | | | 25 Additional delications | | | | |
| | | | SC Administ | rator's telephone number | | | |
| | | | | | | | |
| | | | | | | | |
| 4 If the name and/or EIN of the plan sponsor has changed since the la | or this plan, enter the | 4b EIN | | | | | |
| name, EIN, and the plan number from the last return/report. | | | | | | | |
| a Sponsor's name | | | 4c PN | | | | |
| 5a Total number of participants at the beginning of the plan year | | ì | 5a | 4 | | | |
| b Total number of participants at the end of the plan year | | | 5b | 4 | | | |
| C Number of participants with account balances as of the end of the pi | | | 5c | 4 | | | |
| 6a Were all of the plan's assets during the plan year invested in eligible | | | ************** | XYes _No | | | |
| b Are you claiming a waiver of the annual examination and report of ar | n independent qualifie | d public accountant (IQF | PA) | | | | |
| under 29 CFR 2520.104-46? (See Instructions on waiver eligibility ar | | | | X Yes No | | | |
| If you answered "No" to either line 6a or line 6b, the plan canno | | | | | | | |
| c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined | | | | | | | |
| Caution: A penalty for the late or incomplete filing of this return/rep | ort will be assessed | unless reasonable cat | ıse is establisi | hed. | | | |
| Under penalties of perjury and other penalties set forth in the Instructions, I declare that I have examined this return/report, including, if applicable, a Schedule | | | | | | | |
| SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete, | | | | | | | |
| | 7130114 | | | | | | |
| | ļ | | | | | | |
| HERE Signature of plan administrator | | Enter name of Individua | l signing as plai | n administrator | | | |
| SIGN MUNICIPALITY | 7(30114 | | | | | | |
| HERE Signature of employer/plan sponsor | | Enter name of individua | | | | | |
| Preparer's name (including firm name, if applicable) and address; include | e room or suite numbe | r (optional) | Preparer's tele | phone number (optional) | | | |
| | | | | A section | | | |
| | | | | | | | |
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