Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in acco	rdance with the instru	ctions to the Form 550	0-SF.	Ins	spection	
Part I Annual Report Identification Information								
		scal plan year beginning 01/01/20	13	and ending 1	2/31/2	2013		
A This ret	This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan					pant plan		
B This ret	urn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths))		
C Check I	oox if filing under:	Form 5558	automatic extension		DFVC program			
		special extension (enter descripti	on)					
Part II	Basic Plan Info	ermation—enter all requested inform	nation					
1a Name		4			1b	Three-digit		
NORTHWES	T PROTECTIVE 401	(K) PLAN				plan number		
					4 -	(PN) •	002	
					1C	Effective date of	•	
2a Plan si	noneor's name and ad	Idress; include room or suite number (employer if for a single	-employer plan)	2h		2/2009	
	ST PROTECTIVE SEF		employer, il loi a single	-employer plan)	20	Employer Identification Number (EIN) 91-0498657		
					2c	Sponsor's telephone number		
801 S FIDAL	GO STREET, 2ND F	LOOR				8-4040		
SEATTLE, V	VA 98108				2d	Business code	(see instructions)	
						5616	00	
3a Plan a	dministrator's name a	nd address Same as Plan Sponsor	Name Same as Pla	n Sponsor Address	3b	EIN		
					30	• Administratoris to both and a second		
					30	3c Administrator's telephone nu		
		e plan sponsor has changed since the	last return/report filed f	or this plan, enter the	4b	EIN		
	•	mber from the last return/report.			4.0			
a Spons		at the description of the other con-			4c	PN		
_		at the beginning of the plan year			5a		82	
		at the end of the plan year			5b		114	
		account balances as of the end of the		•	5c		40	
6a Were	all of the plan's asset	s during the plan year invested in eligi	ole assets? (See instruc	ctions.)			X Yes No	
		f the annual examination and report of			PA)		X Yes □ No	
		? (See instructions on waiver eligibility ither line 6a or line 6b, the plan can			Form	5500	X Yes No	
•		•			_		Not determined	
C ii tile p	Diam is a defined bene	fit plan, is it covered under the PBGC i	risurance program (see	ERISA SECTION 4021)?		res IIII	Not determined	
Caution: A	penalty for the late	or incomplete filing of this return/re	port will be assessed	unless reasonable cau	ıse is	established.		
		her penalties set forth in the instruction						
	edule MB completed a true, correct, and com	nd signed by an enrolled actuary, as w nlete	ell as the electronic ver	rsion of this return/report	t, and	to the best of my	knowledge and	
				1				
SIGN	Filed with authorized	valid electronic signature.	07/31/2014	TODD SPENCER	DD SPENCER			
HERE	Signature of plan a	dministrator	Date	Enter name of individ	vidual signing as plan administrator			
SIGN								
HERE Signature of employer/plan sponsor Date Enter name of individual signature of employer/plan sponsor			al signing as employer or plan sponsor					
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)					number (optional)			

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Do	t III Financial Information								
7	rt III Financial Information		T						
	Plan Assets and Liabilities	_	(a) Beginning of Yea				(b) End of Year 1026378		
	Total plan assets	7a	00274	662742				1020	3/0
	Total plan liabilities	7b _	66274	2		1026378			
	Net plan assets (subtract line 7b from line 7a)	7c		662742					3/0
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) To	otal	
а	Contributions received or receivable from: (1) Employers	8a(1)	6384	4					
	(2) Participants	8a(2)	13498	8					
	(3) Others (including rollovers)	8a(3)	4162	9					
b	Other income (loss)	8b	14267	9					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						383	140
	Benefits paid (including direct rollovers and insurance premiums								
	to provide benefits)	8d	1950	4					
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						19	504
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i						363	636
j	Transfers to (from) the plan (see instructions)	8j							
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instruct	ions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	es in t	he instruction	ons:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amour	\ +
	Was there a failure to transmit to the plan any participant contribu	tions withi	n the time period described in		100	-110		Allioui	ıı
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
D	on line 10a.)	`	•	10b		X			
С	Was the plan covered by a fidelity bond?			10c	X				250000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X			
е									
	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See	4.0		Х			
	instructions.)			10e		X			
	f Has the plan failed to provide any benefit when due under the plan?					^			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				58529
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i					
Part	VI Pension Funding Compliance								
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39								
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year									
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
h	Enter the minimum required contribution for this plan year					12b			· <u> </u>

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A			
Part	Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN				