Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in accomplete	ordance with the instruc	tions to the Form 5500	-SF.				
Part I	Annual Report lo	dentification Information							
For calenda	ar plan year 2013 or fisc	cal plan year beginning 01/01/20	013	and ending 12	2/31/2	2013			
A This ret	turn/report is for:	a single-employer plan	a multiple-employer pl	an (not multiemployer)		a one-particip	oant plan		
B This ret	turn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year returr	n/report (less than 12 mo	onths)				
C Check I	box if filing under:	Form 5558	automatic extension		DFVC program				
		special extension (enter descrip	<u> </u>						
Part II		mation—enter all requested infor	mation						
1a Name	•				1b	Three-digit			
TRACO EMP	PLOYEE PLAN					plan number (PN) ▶	001		
				-	10	Effective date of			
						01/01/			
2a Plan sp TR DESIGN		ress; include room or suite number	(employer, if for a single-	employer plan)	2b	2b Employer Identification Number (EIN) 13-3965594			
260 WEST 3	DOTH STREET 40TH EI	LOOP			2c	Sponsor's telephone number 212-398-9300			
NEW YORK	B9TH STREET, 10TH FL , NY 10018	LOOK			2d	Business code (see instructions) 448190			
3a Plan a	dministrator's name and	d address XSame as Plan Sponsor	r Name Same as Plan	Sponsor Address	3b	Administrator's I			
				_	3c	Administrator's t	elephone number		
4 If the r	name and/or EIN of the	plan sponsor has changed since the	e last return/report filed for	or this plan, enter the	4b	FIN			
		ber from the last return/report.	o last rotalis roport mod re	Time plan, enter the	710	LIIV			
a Spons	or's name				4c	PN			
5a Total r	number of participants a	at the beginning of the plan year			5a		52		
		at the end of the plan year			5b		56		
		ccount balances as of the end of the	' '	•	5с		30		
_	·	during the plan year invested in elig	•	•			X Yes No		
		the annual examination and report on the control (See instructions on waiver eligibility).					X Yes No		
		her line 6a or line 6b, the plan car					M		
-		plan, is it covered under the PBGC			_		Not determined		
							1		
		Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.							
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SB or Sche	edule MB completed and	d signed by an enrolled actuary, as				to the best of my	knowledge and		
SB or Sche belief, it is t	edule MB completed and true, correct, and comple	d signed by an enrolled actuary, as			and t	to the best of my	knowledge and		
SB or Sche belief, it is t	edule MB completed and true, correct, and comple	d signed by an enrolled actuary, as ete. alid electronic signature.	well as the electronic vers	sion of this return/report,	and t				
SB or Schebelief, it is to SIGN HERE	edule MB completed and true, correct, and completed with authorized/va	d signed by an enrolled actuary, as ete. alid electronic signature.	well as the electronic vers	omprakash bathes	JA ual sig				
SB or Schebelief, it is to sign HERE	Filed with authorized/va Signature of plan ad Filed with authorized/va Signature of employe	d signed by an enrolled actuary, as ete. alid electronic signature. ministrator alid electronic signature. er/plan sponsor	07/31/2014 Date 07/31/2014 Date	OMPRAKASH BATHES Enter name of individu OMPRAKASH BATHES Enter name of individu	JA ual sig	ning as plan adn	ninistrator		
SB or Schebelief, it is to sign HERE	Filed with authorized/va Signature of plan ad Filed with authorized/va Signature of employe	d signed by an enrolled actuary, as ete. alid electronic signature. ministrator alid electronic signature.	07/31/2014 Date 07/31/2014 Date	OMPRAKASH BATHES Enter name of individu OMPRAKASH BATHES Enter name of individu	JA JA JA JA JA JA JA JA JA JA	ning as plan adn	ninistrator		
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Do	t III Financial Information							
_	rt III Financial Information							
7	Plan Assets and Liabilities	(a) Beginning of Yea	Ť			(b) End of Year		
	Total plan assets	7a 	93704	0			1015638	
	Total plan liabilities	7b	93704		+		1015638	
_	Net plan assets (subtract line 7b from line 7a)	7c		0				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
а	(1) Employers	8a(1)	(0				
	(2) Participants	8a(2)	16871	0				
	(3) Others (including rollovers)	8a(3)	58	1				
b	Other income (loss)	8b	13122	4				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					300515	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	192630	92630				
е	Certain deemed and/or corrective distributions (see instructions)	8e	26263	3				
f	Administrative service providers (salaries, fees, commissions)	8f	3032	2				
g	Other expenses	8g	(0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					221925	
i	Net income (loss) (subtract line 8h from line 8c)	8i					78590	
j	Transfers to (from) the plan (see instructions)	8j		0				
Par	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension to 2E 2F 2G 2J 3D	feature cod	es from the List of Plan Chara	acteris	tic Co	des in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature code	s from the List of Plan Charac	cteristi	ic Coc	les in t	he instructions:	
Par	t V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
а				10a		X	7.0	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X		
С	Was the plan covered by a fidelity bond?			10c	Χ		100000	
d		fidelity bon	d, that was caused by fraud	10d		X	1,00000	
е	Were any fees or commissions paid to any brokers, agents, or oth							
_	insurance service, or other organization that provides some or all	of the bene	fits under the plan? (See		Χ			
	instructions.)			10e		V/	4700	
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X		
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year er	nd.)	10g	X		1869	
h	·	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X		
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i				
Part	VI Pension Funding Compliance							
11								
11a	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39							
12								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
	you completed line 12a, complete lines 3, 9, and 10 of Schedule					5		
If	you completed mile 12a, complete miles o, o, and it of consular	inib (Foru	n 5500), and skip to line 13.					

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.))				
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			