Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

						inspection				
Part I	Annual Report Identific									
For calen	dar plan year 2013 or fiscal plan)/2014					
A This return/report is for: ☐ a multiemployer plan; ☐ a multiple-employer plan; or										
a single-employer plan; a DFE (specify)										
B This re	eturn/report is:	the first return/report;	the final	return/report;						
		an amended return/report;	a short p	lan year return/report (less	than 12 mo	onths).				
C If the t	olan is a collectively-bargained pl	an check here	_			. □				
	box if filing under:	☐ Form 5558;		c extension;		the DFVC program;				
D Check	. DOX II IIIIII UIIUEI.	special extension (enter des		c catchision,		o Di vo piogiani,				
D (II	Desir Blee Informer		·							
Part II		on—enter all requested informa	ation		16	There a district				
1a Nam	e of pian D FINK DDS, PETER C VENOR	(LIP DDS BC EMBLOYEES DD	OFIT SHADING DI	\NI	l I D	Three-digit plan number (PN) ▶	002			
HAROLD	D FINN DDS, FETER C VENOR	TON DDS, FO LIMPLOTEES FR	OFTI SHAKING FLA	-11V	1c	Effective date of pl	an .			
						07/01/1982				
2a Plan	sponsor's name and address; in	clude room or suite number (emp	ployer, if for a single	-employer plan)	2b	2b Employer Identification Number (EIN)				
HAROLD	D FINK DDS, PETER C VENOR	(UR DDS, PC			20	13-2784310				
					20	2c Sponsor's telephone number				
						914-761-550	5			
10 OLD N SUITE 10	MAMARONECK ROAD	10 OLD M SUITE 1C	MAMARONECK ROA :	ND .	2d	2d Business code (see				
WHITE P	LAINS, NY 10605		LAINS, NY 10605			instructions)				
						621210				
Caution:	A penalty for the late or incom	plete filing of this return/repor	rt will be assessed	unless reasonable cause	is establis	shed.				
	nalties of perjury and other penal									
statemen	ts and attachments, as well as th	e electronic version of this return	n/report, and to the b	est of my knowledge and b	elief, it is tr	rue, correct, and con	nplete.			
SIGN HERE	Filed with authorized/valid electron	onic signature.	08/21/2014	PETER VENOKUR						
TILIXL	Signature of plan administrate	or	Date	Enter name of individual	idual signing as plan administrator					
SIGN										
HERE	Signature of employer/plan sp	oonsor	Date	Enter name of individual	signing as	employer or plan sp	onsor			
	. , , ,									
SIGN										
HERE Signature of DFE Date Enter name of individual signing						ning on DEE				
				telephone number						
				((optional)					

Form 5500 (2013) Page 2 3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address 3b Administrator's EIN 13-3163979 PETER C VENOKUR & SHERRY K VENOKUR 3c Administrator's telephone number 10 OLD MAMARONECK ROAD 914-761-5505 SUITE 1C WHITE PLAINS, NY 10605 4b EIN If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: 4c PN Sponsor's name 5 Total number of participants at the beginning of the plan year 5 6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a, 6b, 6c, and 6d). 6a Active participants..... 6b Retired or separated participants receiving benefits Other retired or separated participants entitled to future benefits..... 6c 6d Subtotal. Add lines 6a, 6b, and 6c..... 6e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits..... 6f Total. Add lines 6d and 6e. Number of participants with account balances as of the end of the plan year (only defined contribution plans 6g complete this item)..... Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested. 6h Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)...... 7 If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions: 9a Plan funding arrangement (check all that apply) Plan benefit arrangement (check all that apply)

	(1)		insurance		(1)		insurance
	(2)		Code section 412(e)(3) insurance contracts		(2)		Code section 412(e)(3) insurance contracts
	(3)	X	Trust		(3)	X	Trust
	(4)		General assets of the sponsor		(4)		General assets of the sponsor
)	Check a	all ap	plicable boxes in 10a and 10b to indicate which schedules are att	ache	d, and, wl	nere	indicated, enter the number attached. (See instructions)
a	Pensio	n Sc	hedules	b	General	Sch	nedules
	(1)		R (Retirement Plan Information)		(1)		H (Financial Information)
	(2)	П	MB (Multiemployer Defined Benefit Plan and Certain Money		(2)	X	I (Financial Information – Small Plan)
			Purchase Plan Actuarial Information) - signed by the plan		(3)		A (Insurance Information)
			actuary		(4)	П	C (Service Provider Information)
	(3)	П	SB (Single-Employer Defined Benefit Plan Actuarial		(5)		D (DFE/Participating Plan Information)
	. ,	ш	Information) - signed by the plan actuary		(6)	П	G (Financial Transaction Schedules)

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

Pension Benefit Guaranty Corporation	
For calendar plan year 2013 or fiscal plan year beginning 07/01/2013	and ending 06/30/2014
A Name of plan HAROLD D FINK DDS, PETER C VENOKUR DDS, PC EMPLOYEES PROFIT SHARING PLAN	B Three-digit plan number (PN) 002
C Plan sponsor's name as shown on line 2a of Form 5500 HAROLD D FINK DDS, PETER C VENOKUR DDS, PC	D Employer Identification Number (EIN) 13-2784310

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	1a	693949	836952
b	Total plan liabilities	1b	0	0
С	Net plan assets (subtract line 1b from line 1a)	1c	693949	836952
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	(b) Total
а	Contributions received or receivable:			
	(1) Employers	2a(1)		
	(2) Participants	2a(2)		
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	2b		
С	Other income	2c	166503	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	. 2d		166503
е	Benefits paid (including direct rollovers)	2e	20000	
f	Corrective distributions (see instructions)	2 f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions)	2h	3500	
i	Other expenses	2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	2j		23500
k	Net income (loss) (subtract line 2j from line 2d)	2k		143003
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

	_		Yes	No	Amount
а	Partnership/joint venture interests	3a		Χ	
b	Employer real property	3b		X	
С	Real estate (other than employer real property)	3c		X	
d	Employer securities	3d		X	
	Participant loans	3e		X	

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Schedule I (Form 5500) 2013

			r			1			
				Yes	No			Amoun	t
3f	Loans	(other than to participants)	3f		X				
g	Tangib	le personal property	3g		X				
Pa	art II	Compliance Questions							
4	Durir	ng the plan year:		Yes	No			Amour	nt
а	Was th	here a failure to transmit to the plan any participant contributions within the time period ped in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully	40		X			7	
b	Were a	ted. (See instructions and DOL's Voluntary Fiduciary Correction Program.)any loans by the plan or fixed income obligations due the plan in default as of the close of plan classified during the year as uncollectible? Disregard participant loans secured by the plant's account balance.	4a 4b		X				
С	Were a	any leases to which the plan was a party in default or classified during the year as ectible?	4c		X				
d		here any nonexempt transactions with any party-in-interest? (Do not include transactions ed on line 4a.)	4d		X				
е	Was th	e plan covered by a fidelity bond?	4e	X					10000
f		e plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by or dishonesty?	4f		X				
g		e plan hold any assets whose current value was neither readily determinable on an established a nor set by an independent third party appraiser?	4g		X				
h		e plan receive any noncash contributions whose value was neither readily determinable on an shed market nor set by an independent third party appraiser?	4h		X				
i		e plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel estate, or partnership/joint venture interest?	4i		X				
j		all the plan assets either distributed to participants or beneficiaries, transferred to another plan, ught under the control of the PBGC?	4j		X				
k	accour	u claiming a waiver of the annual examination and report of an independent qualified public ttant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 ent. (See instructions on waiver eligibility and conditions.)	4k	X					
ı	Has th	e plan failed to provide any benefit when due under the plan?	41		X				
m		s an individual account plan, was there a blackout period? (See instructions and 29 CFR 01-3.)	4m		Х				
n		was answered "Yes," check the "Yes" box if you either provided the required notice or one of ceptions to providing the notice applied under 29 CFR 2520.101-3	4n						
5a 5b	If "Ye:	resolution to terminate the plan been adopted during the plan year or any prior plan year? s," enter the amount of any plan assets that reverted to the employer this year ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), ideferred. (See instructions.)		s 🔀 N he plar		Amou which a		or liabilit	ies were
	5b(1)	Name of plan(s)			5b(2	2) EIN(s)		5b(3) PN(s)
	If the	plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA se	ection	4021\2		Yes	No	□ Not	determined
Par		Trust Information (optional)	,5tiOH	.021)!	····· <u></u>	, 03	□''	LI NOL	actoninineu
_		`` '			6b ⊤	rust's E	-IN		
va	a Name of trust					iusis L	_11 V		