## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

	nefit Guaranty Corporation	<ul> <li>Complete all entries in accordance</li> </ul>	ordance with the instruc	tions to the Form 5500	)-SF.				
Part I	Annual Report I	dentification Information							
For calenda	ar plan year 2013 or fisc	cal plan year beginning 01/01/20	)13	and ending 1	2/31/2	2013			
A This ret	This return/report is for:   a single-employer plan  a multiple-employer plan (not multiemployer					r) a one-participant plan			
<b>B</b> This ret	urn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year returi	n/report (less than 12 mo	onths)				
C Check box if filing under:					DFVC program				
Dowt II	Basis Blan Infor	special extension (enter descript							
Part II		mation—enter all requested inform	mation		46	T	<u> </u>		
1a Name of plan				TD	Three-digit plan number				
NORMAN SVEILICH, D.O. DEFINED BENEFIT PLAN				(PN) ▶	001				
				1c	Effective date o				
						01/01			
	oonsor's name and add	ress; include room or suite number	(employer, if for a single-	employer plan)	2b	fication Number			
					2c	(EIN) 11-2356076 <b>2c</b> Sponsor's telephone number 718-835-6003			
149-30 88TH HOWARD B	ł STREET EACH, NY 11414				2d	(see instructions)			
						11			
3a Plan a	dministrator's name and	d address XSame as Plan Sponsor	Name Same as Plar	Sponsor Address	<b>3b</b> Administrator's EIN				
					3с	Administrator's	telephone number		
A 15 4b a 11				unthia mlan antautha	A I-				
		plan sponsor has changed since the ber from the last return/report.	e last return/report filed to	or this plan, enter the	4b	EIN			
<b>a</b> Sponse		ber from the last retain, report.			4c	PN			
		at the beginning of the plan year			5a		2		
<b>b</b> Total r	number of participants a	at the end of the plan year			5b				
	C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)						1		
6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							1		
<b>6a</b> Were	,				5c				
<b>b</b> Are yo	all of the plan's assets ou claiming a waiver of	during the plan year invested in elig	ible assets? (See instruction independent qualifier	tions.)d public accountant (IQI	PA)		X Yes No		
<b>b</b> Are you under	all of the plan's assets ou claiming a waiver of 29 CFR 2520.104-46?	during the plan year invested in elig the annual examination and report o (See instructions on waiver eligibility	ible assets? (See instruction of an independent qualifier y and conditions.)	tions.)d public accountant (IQI	PA)				
<b>b</b> Are you under <b>If you</b>	all of the plan's assets ou claiming a waiver of 29 CFR 2520.104-46? answered "No" to eit	during the plan year invested in elig the annual examination and report o (See instructions on waiver eligibilit her line 6a or line 6b, the plan car	ible assets? (See instruction of an independent qualifier y and conditions.)	tions.)d public accountant (IQI	PA) Form	5500.	X Yes No X Yes No		
<b>b</b> Are you under <b>If you</b>	all of the plan's assets ou claiming a waiver of 29 CFR 2520.104-46? answered "No" to eit	during the plan year invested in elig the annual examination and report o (See instructions on waiver eligibility	ible assets? (See instruction of an independent qualifier y and conditions.)	tions.)d public accountant (IQI	PA) Form	5500.	X Yes No		
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b Are you under If you C If the p	all of the plan's assets bu claiming a waiver of 29 CFR 2520.104-46? answered "No" to eit blan is a defined benefit a penalty for the late o	during the plan year invested in elig the annual examination and report of (See instructions on waiver eligibility her line 6a or line 6b, the plan car plan, is it covered under the PBGC r incomplete filing of this return/r	ible assets? (See instruction of an independent qualifier y and conditions.)	tions.)d public accountant (IQI and must instead use ERISA section 4021)?.	PA) Form se is	5500. Yes 🛛 No 🗍 established.	Yes No Yes No		
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Form 5500-SF 2013 Page **2** 

Dor	t III Financial Information									
_	rt III Financial Information				1					
	Plan Assets and Liabilities		(a) Beginning of Yea		-	(b) End of Year				
	Total plan assets	7a 7b	171		-		2533			
	Total plan liabilities			0	-				2000	
_	C Net plan assets (subtract line 7b from line 7a)		1/1	1719					533	
	, I , ,		(a) Amount				(b)	) Total		
	a Contributions received or receivable from:			0						
	(1) Employers       8a(1)         (2) Participants       8a(2)			0						
	(3) Others (including rollovers)	8a(3)		0						
	Other income (loss)	8b		54						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		•					54	
	Benefits paid (including direct rollovers and insurance premiums	80							34	
	to provide benefits)	. 8d		975						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g	26	5						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							1240	
i	Net income (loss) (subtract line 8h from line 8c)	8i					-1186			
j	Transfers to (from) the plan (see instructions)	8j		0						
Par	t IV Plan Characteristics	<u> </u>								
	1A 1I 3B 3D									
b	If the plan provides welfare benefits, enter the applicable welfare fe	ature cod	es from the List of Plan Charac	cterist	ic Coc	les in t	he instru	ctions:		
D	V O markisma a O markisma									
Part					· ·					
10	•				Yes	No		Amo	ount	
а	<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest?					X				
	on line 10a.)			10b	V					
С	Was the plan covered by a fidelity bond?			10c	Χ					30000
d	Did the plan have a loss, whether or not reimbursed by the plan's for dishonesty?			10d		X				
	Were any fees or commissions paid to any brokers, agents, or other			100						
C	insurance service, or other organization that provides some or all of					X				
	instructions.)			10e						
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X				
h	If this is an individual account plan, was there a blackout period? (			401		X				
	2520.101-3.)			10h						
•	exceptions to providing the notice applied under 29 CFR 2520.101			10i						
Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirement								.,	
110	5500) and line 11a below) Yes X No									
	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12										
-	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, If a waiver of the minimum funding standard for a prior year is bein.		·	otiono	and a	ontor th	o data a	of the le	ttor rul	ina
<u>a</u>	granting the waiver.	-			, and 6	Day	uale (	Yea		y
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule	MB (For	m 5500), and skip to line 13.		1		Ī			
b	Enter the minimum required contribution for this plan year					12b				

Page	3 -	. 1	
raye	J		

Enter the amount contributed by the employer to the plan for this plan year	12c					
<b>d</b> Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a						
			No	N/A		
VII Plan Terminations and Transfers of Assets						
Has a resolution to terminate the plan been adopted in any plan year?	X Yes No					
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			0		
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the co of the PBGC?	ntrol	Yes X No				
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)	ı					
3c(1) Name of plan(s):	(2) EII	N(s)	13c(3)	PN(s)		
VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN			
1 1	Will the minimum funding amount reported on line 12d be met by the funding deadline?  VII Plan Terminations and Transfers of Assets  Has a resolution to terminate the plan been adopted in any plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year  Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?  If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)  13c(1) Name of plan(s):  13c  13c  13c  13c  13c  13c  13c  13	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount).  Will the minimum funding amount reported on line 12d be met by the funding deadline?  I Has a resolution to terminate the plan been adopted in any plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year.  If all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?  If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)  I Trust Information (optional)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount).  Will the minimum funding amount reported on line 12d be met by the funding deadline?		