Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension	Benefit Guaranty Corporation	▶ Complete all entries in accordar	nce with the instruc	tions to the Form 5500	0-SF.		peonon		
Part I	Annual Report I	Identification Information							
For caler	dar plan year 2013 or fis			and ending 1	2/31/2	2013			
A This return/report is for:					a one-particip	a one-participant plan			
B This	eturn/report is:	the first return/report the	e final return/report						
		an amended return/report as	short plan year return	/report (less than 12 mo	onths))			
C Chec	k box if filing under:	片	utomatic extension		DFVC program				
		special extension (enter description)							
Part II	Basic Plan Info	rmation—enter all requested information	on						
1a Name of plan NATIONAL PENSION ASSOCIATES, LLC PROFIT SHARING 401K PLAN					1b	Three-digit plan number (PN)	001		
					1c	Effective date of plan 01/01/2004			
	sponsor's name and add L PENSION ASSOCIATE	dress; include room or suite number (empES, L.L.C.	loyer, if for a single-	employer plan)	2b	Employer Identification Number (EIN) 26-1653198			
1710 MAJESTIC OAK DRIVE					2c	2c Sponsor's telephone number 407-834-6262			
APOPKA, FL 32712				2d	Business code (see instruction 524210				
3a Plan	administrator's name an	d address 🏻 Same as Plan Sponsor Nam	ne Same as Plan	Sponsor Address	3b	Administrator's I	EIN		
					3c	Administrator's t	telephone number		
						, tarrillottator o	totophone namber		
		plan sponsor has changed since the last	return/report filed fo	r this plan, enter the	4b	EIN			
	ie, Ein, and the plan hun isor's name	nber from the last return/report.			4c PN				
		at the beginning of the plan year			5a		4		
_		at the end of the plan year							
	, ,	• •			5b		4		
com	plete this item)	account balances as of the end of the plar	· · · · · · · · · · · · · · · · · · ·		5c		4		
		during the plan year invested in eligible a					X Yes No		
		the annual examination and report of an (See instructions on waiver eligibility and					X Yes No		
		ther line 6a or line 6b, the plan cannot	,						
C If the	e plan is a defined benefi	t plan, is it covered under the PBGC insu	rance program (see	ERISA section 4021)?	П	Yes No	Not determined		
0				······································	<u>_</u>		4		
		or incomplete filing of this return/repor ner penalties set forth in the instructions, I					abla a Cabadula		
SB or Sc	, , ,	nd signed by an enrolled actuary, as well a				O, 11	,		
SIGN HERE	Filed with authorized/v	valid electronic signature.	08/29/2014	STEPHEN P. TOTH					
HEKE	Signature of plan ac	Signature of plan administrator Date Enter name of individual				dual signing as plan administrator			
SIGN	Filed with authorized/v	valid electronic signature.	08/29/2014	STEPHEN P. TOTH	PHEN P. TOTH				
HERE				lual signing as employer or plan sponsor					
Preparer	s name (including firm na	ame, if applicable) and address; include re	oom or suite number	(optional)	Preparer's telephone number (opt				

Form 5500-SF 2013 Page **2**

Part III Financial Information										
7 Plan Assets and Liabilities			(a) Beginning of Year				(b) End of Year			
a	al plan assets						(5) =1.		65862 ⁴	4
b	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	59909	4			658624			
8			(a) Amount	(a) Amount			(h)	Total		
a	Contributions received or receivable from:		(w) runount				()			
	(1) Employers	600								
	(2) Participants	8a(2)	1590	0						
	(3) Others (including rollovers)									
b	Other income (loss)	8b	5163	0						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							74350)
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1482	0						
e	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							14820)
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							59530)
j	Transfers to (from) the plan (see instructions)	8j								
Pa	rt IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 2K 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instr	uction	s:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instru	ctions	:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Δm	ount	
	 Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 			10a		X		7		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
					X					50000
				10c						50000
	or dishonesty?			10d		X				
е	 Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all 	•	•							
	instructions.)		. ,	10e		X				
f	Has the plan failed to provide any benefit when due under the plan?			10f		X				
9	Did the plan have any participant loans? (If "Yes," enter amount a	id the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10g 10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	d notice or one of the	10i						
Pari										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form										
11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No										
a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling							ling		
granting the waiver										
	Enter the minimum required contribution for this plan year	•				12b				
n										

Page	3 -	1
------	-----	---

С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN				