Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information											
For	calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013										
A	Γhis ret	urn/report is for:	X a single-employer plan	aı	multiple-employer pla	an (not multiemployer)	yer) a one-participant plan				
В	Γhis ret	urn/report is:	the first return/report	the	e final return/report						
			an amended return/report	t 🗌 a s	hort plan year return	n/report (less than 12 m	onths)			
C	C Check box if filing under: X Form 5558 automatic extension						DFVC program				
			special extension (enter d	description)				_			
Pa	rt II	Basic Plan Info	ormation—enter all requeste	d informatio	n						
	Name	•					1b	Three-digit			
WINT	HROP	REALTY, INC.401(K) PLAN					plan number (PN) ▶	001		
							1c	Effective date of			
								01/01/2			
	2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) VINTHROP REALTY, INC.					employer plan)	2b	2b Employer Identification Num (EIN) 91-1043491			
503 L	IWV 20	SOUTH					2c	Sponsor's telephone number 509-996-2121			
P.O. I	30X 10	00					2d	Business code (se	ee instructions)		
VVIINI	HROP,	, WA 98862						541213			
3a	Plan ad	dministrator's name a	and address Same as Plan S	ponsor Nam	ne Same as Plan	Sponsor Address	3b	Administrator's El	IN		
							3с	Administrator's te	lephone number		
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					4b EIN						
name, EIN, and the plan number from the last return/report.					4c PN						
Sponsor's name Total number of participants at the beginning of the plan year						5a	FIN	3			
b Total number of participants at the end of the plan year					5b						
			account balances as of the end				30		3		
				•	•	•	5c		2		
6a	Were	all of the plan's asse	ts during the plan year invested	l in eligible a	assets? (See instruct	tions.)			X Yes No		
b			of the annual examination and re						X Yes □ No		
			6? (See instructions on waiver e either line 6a or line 6b, the pl		•				A 103 140		
С	-		efit plan, is it covered under the						Not determined		
			e or incomplete filing of this report of the ore of the						blo a Schodulo		
SB	or Sche		and signed by an enrolled actua								
SIG	314		09/01/2014	MARY THOMSEN							
HERE		Signature of plan	administrator		Date	Enter name of individual signing as plan administrator					
SIG		Filed with authorized	d/valid electronic signature.		09/01/2014	MARY THOMSEN					
HERE						dual signing as employer or plan sponsor					
Preparer's r		name (including firm	name, if applicable) and addres	ss; include r	oom or suite number	r (optional)	Prep	parer's telephone n	iumber (optional)		
							L		<u> </u>		

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Dai	t III Financial Information									
7					(h) End of Voca					
a	Plan Assets and Liabilities Total plan assets	7a	(a) Beginning of Yea		+	(b) End of Year 490808				
	Total plan liabilities	7b		0)
	Net plan assets (subtract line 7b from line 7a)	7c	42772	8			490808			3
			(a) Amount				(h)	Total		
	Contributions received or receivable from:		(a) Amount				(15)	Total		
	(1) Employers									
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	6681	6						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							66816	;
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g	373	6						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							373	3
i	Net income (loss) (subtract line 8h from line 8c)	8i							63080)
j	Transfers to (from) the plan (see instructions)	8j		0						
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2J 2R 3D	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instr	uctions	3:	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:										
Par	Part V Compliance Questions									
10	During the plan year:				Yes	No	o Amount			
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	,			10c		Χ				
d	Did the plan have a loss, whether or not reimbursed by the plan's	Was the plan covered by a fidelity bond? Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud								
	or dishonesty?			10d						
E	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all		•			V				
	instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X					80367
h						X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form										
112	5500) and time 11a below)									
	a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling						ling			
granting the waiver										
	Enter the minimum required contribution for this plan year	•				12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c							
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
е	e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A			
Part	Part VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a							
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?					Yes	X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)									
13c(1) Name of plan(s):					13c(3)	PN(s)			
Part	VIII Trust Information (optional)								
14a Name of trust WINTHROP REALTY, INC.401(K) PLAN				s EIN 43491					