Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I	Annual Report	Identification Informat	tion							
For calenda	ar plan year 2013 or fi	scal plan year beginning 0	3/01/2013		and ending ()2/28/	2014			
A This ret	urn/report is for:	X a single-employer plan	a mi	ultiple-employer pl	an (not multiemployer)	iemployer) a one-participant plan				
	urn/report is:	the first return/report		final return/report	, , ,			•		
D IIIISTE	diffreport is.	an amended return/report	片	•	n/report (less than 12 m	onthe	\			
•		H	<u> </u>	-	meport (less than 12 m	OHUIS	·			
C Check I	box if filing under:	Form 5558		matic extension			DFVC progra	am		
		special extension (enter								
Part II	Basic Plan Info	rmation—enter all requeste	ed information							
1a Name	•					1b	Three-digit			
O'CONNELL ELECTRIC COMPANY, INC. PROFIT SHARING PLAN						plan number (PN) ▶	003			
				10	Effective date o					
						.0	03/01			
2a Plan si	ponsor's name and ad	dress; include room or suite n	umber (emplo	ver, if for a single-	employer plan)	2b	fication Number			
O'CONNELL	ELECTRIC CO., INC		` '		,			50645		
						2c	C Sponsor's telephone number			
830 PHILLIF	PS ROAD						585-924			
VICTOR, NY	′ 14564					2d	Business code ((see instructions)		
							23810			
3a Plan a	dministrator's name ar	nd address Same as Plan S	Sponsor Name	Same as Plar	Sponsor Address	3b	Administrator's			
CONNELL E	ELECTRIC CO. INC.		HILLIPS ROA			30		telephone number		
		VICTO	OR, NY 14564			30	585-924			
4 If the r	name and/or EIN of the	e plan sponsor has changed s	ince the last re	eturn/report filed fo	or this plan, enter the	4b	EIN			
		mber from the last return/repo		·	·					
a Spons	or's name					4c	PN			
5a Total r	number of participants	at the beginning of the plan y	ear			5a		104		
b Total r	number of participants	at the end of the plan year				5b		93		
		account balances as of the en		•	-	_				
compl	ete this item)					5с		93		
_	·	s during the plan year invested	•	,	•			X Yes No		
		f the annual examination and (? (See instructions on waiver or								
		ither line 6a or line 6b, the p		,				X Yes ∐ No		
_		it plan, is it covered under the				_		Not determined		
	Jan le a delinea bener	it plan, to it dovored andor the	T BOO IIIOGIGI	noo program (ooo	2111071 00011011 10217.	······ L]	110t dotominod		
		or incomplete filing of this r								
		her penalties set forth in the in								
	true, correct, and com	nd signed by an enrolled actua plete.	ary, as well as	the electronic ver	sion of this return/repor	ı, anu	to the best of my	knowledge and		
	<u> </u>				1					
SIGN	Filed with authorized/	valid electronic signature.	(09/10/2014	VICTOR SALERNO					
HERE	Signature of plan a	dministrator	I	Date	Enter name of individ	idual signing as plan administrator				
SIGN										
HERE	Signature of emplo	wer/nlan snonsor		Date	Enter name of individ	ual ei	anina as employe	r or plan enoneor		
Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)					vidual signing as employer or plan sponsor Preparer's telephone number (optional)					
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Pa	rt III Financial Information										
7			(a) Paginning of Var				(b) End	of V	oor		
		an Assets and Liabilities (a) Beginning of Ye tal plan assets 7a 91171					(b) End		ear 522742)	
	Total plan liabilities	7a 7b	011111	•					JEET 42	•	
	b Total plan liabilities		911714	7				11	522742)	
	C Net plan assets (subtract line 7b from line 7a)						(6)				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) T	otai			
u	(1) Employers	50000									
	(2) Participants	8a(2)									
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	233728	8							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						28	337288		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	43108	1							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	61	2							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							431693	3	
i	Net income (loss) (subtract line 8h from line 8c)	8i						2	405595	5	
j	Transfers to (from) the plan (see instructions)	8j									
Pai	t IV Plan Characteristics										
9a		feature co	des from the List of Plan Char	acteris	tic Co	des in	the instru	ction	3:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cteristi	ic Coc	les in t	he instruct	ions			
Par	V Compliance Questions										
10				1	Yes	No		A			
	During the plan year:Was there a failure to transmit to the plan any participant contributions within the time period described in					NO		Am	ount		
29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				10a		X					
V	on line 10a.)	`	•	10b		X					
				10c	X				1	000	000
d				100						000	000
	or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all										
	instructions.)		' '	10e		X					
f	Has the plan failed to provide any benefit when due under the plan?					X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					Χ					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				Χ						
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10h 10i	Χ						
Pari											
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39											
12											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling										
granting the waiver											
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•			<u> </u>	40.	1				
b	Enter the minimum required contribution for this plan year					12b	I				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust				14b Trust's EIN			