Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I		t Identification Information	า					
For calend	lar plan year 2013 or t	fiscal plan year beginning 01/0	1/2013	and ending 11	11/15/2013			
A This re	turn/report is for:	X a single-employer plan	a multiple-employer p	lan (not multiemployer)	a one-participant plan			
B This re	turn/report is:	the first return/report	x the final return/report					
		an amended return/report	x a short plan year retur	n/report (less than 12 mor	nths)			
C Check	box if filing under:	X Form 5558	automatic extension		DFV	C program		
	· ·	special extension (enter des	cription)		ш			
Part II	Basic Plan Info	ormation—enter all requested in	nformation					
1a Name					1b Three-o	digit		
L.P. CLARK	MD, PS 401(K) PS P	PLAN			plan nu			
				<u> </u>	(PN)	L		
					IC Effectiv	ve date of plan 01/01/2001		
2a Plan s	sponsor's name and a	ddress; include room or suite num	ber (employer, if for a single	-employer plan)	2b Employ	ver Identification Number		
	CLARK, MD, PS		(. p.,.,	- F - 3 - F - 7	(EIN)	91-2033647		
					2c Sponso	or's telephone number		
	STGATE BOULEVAR	RD				253-377-8806		
SUITE 150 TACOMA, V	VA 98406				2d Busines	ss code (see instructions)		
20.01			. По в	0 411	2h Astrobat	541990		
3a Pian a	administrator's name a	and address XSame as Plan Spor	isor Name Same as Plai	n Sponsor Address	3b Adminis	Strator's EIN		
					3c Adminis	strator's telephone number		
4 If the	name and/or EIN of th	ne plan sponsor has changed since	e the last return/report filed for	or this plan, enter the	4b EIN			
		umber from the last return/report.			TO LIN			
	sor's name			-	4c PN			
5a Total number of participants at the beginning of the plan year			5a	4				
b Total number of participants at the end of the plan year			<u></u>	5b				
		n account balances as of the end o			5c	0		
6a Were	all of the plan's asse	ets during the plan year invested in	eligible assets? (See instruc	ctions.)		X Yes No		
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						V Voc II No		
		o? (See instructions on waiver eligi either line 6a or line 6b, the plan				X Yes No		
		efit plan, is it covered under the PB				No Not determined		
	pian is a defined bene	ent plan, is it covered under the r b	oo ilisulance program (see	ENIOA SECTION 4021):	🔲 163 📙	140 140t determined		
	· · · · · · · · · · · · · · · · · · ·	or incomplete filing of this retu	•					
		other penalties set forth in the instru and signed by an enrolled actuary,						
	true, correct, and con		as well as the electronic ver	olon or the return report,	und to the be	cot of my knowledge and		
21211	Filed with authorized	d/valid electronic signature.	09/11/2014	JAMES NARDI				
SIGN HERE								
	Signature of plan	administrator	Date	Enter name of individua	ual signing as plan administrator			
SIGN HERE								
		oyer/plan sponsor	Date		dividual signing as employer or plan sponsor			
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) Preparer's telephone number (optional)					eleprione number (optional)			
				-				

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Pa	rt III Financial Information									
7				ır.			(b) En	d of V	'oar	
<u>′</u> а	Total plan assets	7a	(a) Beginning of Yea		+		(D) EII	<u>u 01 1</u>)
	Total plan liabilities	7b			+				,	
	Net plan assets (subtract line 7b from line 7a)	76 7c	63963	2	+				()
8							(b)	Total		
	Contributions received or receivable from:		(a) Amount				(D)	Total		
	(1) Employers	8a(1)	468	5						
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	11332	9						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							118014	ļ
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	75682	1						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	82	5						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							757646	6
i	Net income (loss) (subtract line 8h from line 8c)	8i						-	639632	2
j	Transfers to (from) the plan (see instructions)	8j								
Pai	rt IV Plan Characteristics	•			•					
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 3D 2T 2K 2J	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instru	uction	s:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instru	ctions		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Δm	ount	
	Was there a failure to transmit to the plan any participant contributions within the time period described in			100		X		AIII	ount	
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported			10a		X				
	on line 10a.)			10b	Χ					
	Was the plan covered by a fidelity bond?			10c	^					500000
d		id the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud r dishonesty?				X				
е	Were any fees or commissions paid to any brokers, agents, or oth	ner person	s by an insurance carrier,							
	insurance service, or other organization that provides some or all instructions.)			10e		X				
f	· · · · · · · · · · · · · · · · · · ·			10f		X				
						X				
g	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g		X				
i	,			10h						
_	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i						
Part	<u> </u>							-		
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes	X No
<u>11a</u>	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.				, and e	enter th Day	ne date o	f the le		ling
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.				1			
L	Enter the minimum required contribution for this plan year					12b				

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raye	J		

С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)				
е			Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?	. X	res No	1	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a			0
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		X Yes	No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
13c(1) Name of plan(s):		3c(2) El	N(s)	13c(3)	PN(s)
Part	VIII Trust Information (optional)				
14a	Name of trust	14b ⊺ı	rust's EIN		