## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in accord	lance with the instruc	ctions to the Form 550	0-SF.	ins	spection		
Part I Annual Report Identification Information									
For calenda	ar plan year 2013 or fis	scal plan year beginning 01/01/2013	3	and ending 1	2/31/2	2013			
	turn/report is for:			an (not multiemployer)	oyer) a one-participant plan				
<b>B</b> This ret	turn/report is:		the final return/report						
		x an amended return/report	a short plan year retur	n/report (less than 12 m	onths)	į.			
C Check I	box if filing under:	X Form 5558	automatic extension			DFVC progra	am		
		special extension (enter description	n)						
Part II	Basic Plan Info	rmation—enter all requested informa	ation						
1a Name	of plan				1b	Three-digit			
DAWSON C	ONSTRUCTION, INC.	RETIREMENT PLAN				plan number	004		
					10	(PN) Fffeetive data a	001		
						Effective date o	/1993		
<b>2a</b> Plan si	ponsor's name and ad	dress; include room or suite number (er	mplover, if for a single-	emplover plan)	2b	Employer Identi			
	CONSTRUCTION, INC.		7 - 7 - 7 - 7 - 7 - 7 - 7	- F - <b>J</b> - F - 7			857107		
					2c	2c Sponsor's telephone number			
405 32ND S	TREET, SUITE 110					360-75	6-1000		
BELLINGHA	M, WA 98225				2d		(see instructions)		
					-	23620			
3a Plan a	dministrator's name ar	nd address Same as Plan Sponsor Na	ame Same as Plar	Sponsor Address	3b	Administrator's	<b>EIN</b> 187169		
01KSAFE		1919 OXMOOR SUITE 104	RROAD		3c	3c Administrator's telephone nu			
		BIRMINGHAM,	AL 35209			888-40	'		
		e plan sponsor has changed since the la	ast return/report filed for	or this plan, enter the	4b	EIN			
	, EIN, and the plan hul or's name	mber from the last return/report.			4c	<b>4c</b> PN			
		at the beginning of the plan year			5a	9			
_		at the end of the plan year			5b	101			
		account balances as of the end of the p			30	+	101		
		account balances as of the end of the p	• '		5c		69		
<b>6a</b> Were	all of the plan's assets	s during the plan year invested in eligible	e assets? (See instruc	tions.)			X Yes No		
•	-	f the annual examination and report of a			,		₩ vaa □ Na		
		? (See instructions on waiver eligibility a	,				X Yes   No		
_		ither line 6a or line 6b, the plan canno			_		7 Nat datamain ad		
C ii tile p		it plan, is it covered under the PBGC ins	surance program (see	ERISA SECTION 4021)?	····· 📙	Yes No	Not determined		
Caution: A	A penalty for the late	or incomplete filing of this return/rep	ort will be assessed	unless reasonable caเ	ıse is	established.			
		her penalties set forth in the instructions							
	edule MB completed ar true, correct, and comp	nd signed by an enrolled actuary, as we plete.	as the electronic ver	sion of this return/report	i, and	to the best of my	knowledge and		
	· · · · · · · · · · · · · · · · · · ·			1					
SIGN	Filed with authorized/	valid electronic signature.	09/23/2014	LEE LICHTENSTEIN	ENSTEIN				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	e of individual signing as plan administrator				
SIGN									
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	dual signing as employer or plan sponsor				
Preparer's	Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)					number (optional)			

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Do	rt III   Financial Information									
_ Pa			()5 : : ()				<i>(</i> ) =			
	Plan Assets and Liabilities	<b>-</b> -	(a) Beginning of Yea	(a) Beginning of Year			(b) End of Year			
<u>а</u> b	Total plan assets	7a 7b		0			6140407			
	Net plan assets (subtract line 7b from line 7a)	7.5 7.c	527165					61	140407	,
8				•			<b>/</b> b\		140401	
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(a)	Total		
	(1) Employers	7074								
	(2) Participants	0074								
	(3) Others (including rollovers)	8a(3)	1695	8						
b	Other income (loss)	8b	85494	5						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						13	809754	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	44099	8						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							440998	3
i	Net income (loss) (subtract line 8h from line 8c)	8i							868756	6
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics	•								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H	feature co	des from the List of Plan Char	acteris	tic Co	des in	the instr	uctions	3:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cteristi	ic Coc	les in t	he instru	ctions:		
Part V Compliance Questions										
10	During the plan year:				Yes	No		Am	ount	
<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a	Χ					8422	
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	Was the plan covered by a fidelity bond?			10c	X					500000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
—	Were any fees or commissions paid to any brokers, agents, or oth			.00						
	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan?			10f		Х				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h	Χ					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	Χ					
Part	VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No										
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
a	If a waiver of the minimum funding standard for a prior year is being	ng amortize	ed in this plan year, see instru		and e	enter th	ne date o	f the le		ling
granting the waiver										
	Enter the minimum required contribution for this plan year	•				12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A			
Part	Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				<b>13c(3)</b> PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN				