Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For calend	dar plan year 2013 or t	fiscal plan year beginning 01/01	/2013	and ending 12/31/2013				
A This re	eturn/report is for:	∠ a single-employer plan	a multiple-employer p	olan (not multiemployer)	r) a one-participant plan			
B This re	This return/report is:							
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)		
C Check box if filing under: X Form 5558 automatic extension				DFVC progra	ım			
special extension (enter description)						—		
Part II	Basic Plan Info	ormation—enter all requested in	formation					
1a Name		·			1b	Three-digit		
LESTER EV	AN TOUR ARCHITE	CT, PLLC 401(K) PROFIT SHARING	G PLAN			plan number		
					10	(PN)	001	
					10	Effective date of 01/01/	•	
2a Plan s	sponsor's name and a	ddress; include room or suite numb	er (employer, if for a single	-emplover plan)	2h	fication Number		
LESTER E	VAN TOUR ARCHITE	CT, PLLC	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	, , , ,			3-4173306	
					2c	Sponsor's telep	hone number	
	DWAY, SUITE 1201					212-226	2-226-1187	
NEW YORK	K, NY 10007				2d	Business code (,	
20.00			. По в	0 411	26	54131		
3a Pian a	administrators name a	and address XSame as Plan Spons	sor NameSame as Pla	n Sponsor Address	SD	Administrator's I	=IIN	
					3с	Administrator's t	telephone number	
4 If the	name and/or EIN of th	ne plan sponsor has changed since	the last return/report filed f	or this plan, enter the	4h	EIN		
		umber from the last return/report.			TO LIN			
	sor's name					PN		
5a Total	number of participant	s at the beginning of the plan year			5a		4	
		s at the end of the plan year			5b		4	
		account balances as of the end of		•	5c		4	
6a Were	e all of the plan's asse	ts during the plan year invested in ϵ	eligible assets? (See instruc					
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							X Yes No	
under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	r 29 CFR 2520.104-46	6? (See instructions on waiver eligib	ility and conditions.)	ed public accountant (IQ	PA) 			
If you	r 29 CFR 2520.104-46 u answered "No" to o	6? (See instructions on waiver eligib either line 6a or line 6b, the plan o	oility and conditions.) cannot use Form 5500-SF	ed public accountant (IQ and must instead use	PA) Form	n 5500.	X Yes No	
If you	r 29 CFR 2520.104-46 u answered "No" to o	6? (See instructions on waiver eligib	oility and conditions.) cannot use Form 5500-SF	ed public accountant (IQ and must instead use	PA) Form	n 5500.		
C If the	or 29 CFR 2520.104-46 u answered "No" to o plan is a defined bene A penalty for the late	6? (See instructions on waiver eligibeither line 6a or line 6b, the plan of effit plan, is it covered under the PBC or incomplete filing of this return	cannot use Form 5500-SF GC insurance program (see	and must instead use ERISA section 4021)? .	PA) Form	n 5500. Yes No established.	X Yes No	
C If the	ar 29 CFR 2520.104-46 u answered "No" to o plan is a defined bene A penalty for the late nalties of perjury and o	6? (See instructions on waiver eligible ither line 6a or line 6b, the plan of effit plan, is it covered under the PBC or incomplete filing of this return other penalties set forth in the instruction.	cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have	and must instead use ERISA section 4021)? . unless reasonable cau examined this return/rep	PA) Form	n 5500. Yes No established. ncluding, if applic	X Yes No Not determined able, a Schedule	
C If the Caution: A Under per SB or Sch	ar 29 CFR 2520.104-46 u answered "No" to o plan is a defined bene A penalty for the late nalties of perjury and o	6? (See instructions on waiver eligible either line 6a or line 6b, the plan of effit plan, is it covered under the PBC e or incomplete filing of this return other penalties set forth in the instructional signed by an enrolled actuary, a	cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have	and must instead use ERISA section 4021)? . unless reasonable cau examined this return/rep	PA) Form	n 5500. Yes No established. ncluding, if applic	X Yes No Not determined able, a Schedule	
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Da	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Paginning of Vac				(b) Er	d of V		
_ <u>'</u> _a	Total plan assets	7a	(a) Beginning of Yea		-	(b) End of Year 268938				
b	Total plan liabilities	7b		0			0			
	Net plan assets (subtract line 7b from line 7a)	7c	19043				268938	<u> </u>		
8	ncome, Expenses, and Transfers for this Plan Year		(a) Amount		-		/b	Total		
	Contributions received or receivable from:		(a) Amount				(D	TOLAI		
	(1) Employers	8a(1)	3741	7						
	(2) Participants	8a(2)	2300	0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	1808	3						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							78500	ı
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							()
i	Net income (loss) (subtract line 8h from line 8c)	8i							78500)
j	Transfers to (from) the plan (see instructions)	8j		0						
Pai	t IV Plan Characteristics	•								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 2R	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instr	uctions	3:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Charac	cteristi	ic Coc	les in t	he instru	ctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
c				10c	X					20000
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity box	nd, that was caused by fraud			X				20000
	or dishonesty?			10d						
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			Х				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		Х				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X				
h	n If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part	VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12						X No				
	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling						ling			
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule					Day		160		
	Enter the minimum required contribution for this plan year	•				12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			