Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension B	enefit Guaranty Corporation	▶ Complete all entries in acco	ordance with the instruc	tions to the Form 5500	O-SF.	1110	peonon	
Part I	Annual Report I	dentification Information				•		
For calend	lar plan year 2013 or fise		013	and ending 12	2/31/20	013		
A This re	This return/report is for:					a one-participant plan		
B This re	turn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year return	n/report (less than 12 mo	onths)			
C Check box if filing under:					DFVC program			
		special extension (enter descrip						
Part II		mation—enter all requested infor	mation					
1a Name	•	1/IZ) DLAN				Three-digit plan number		
EB MANAGEMENT COMPANY 401(K) PLAN						(PN) ▶	001	
						Effective date o	f plan	
						01/01	•	
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) EB MANAGEMENT COMPANY							fication Number 67493	
					2c	2c Sponsor's telephone number		
220 WEST SEATTLE, V	MERCER STREET SUI WA 98119-3954	TE 400		-	2d	206-570 Rusiness code (see instructions)	
					3	56111	,	
3a Plan a	administrator's name and	d address Same as Plan Sponsor	r Name Same as Plan	Sponsor Address	3b /	Administrator's	EIN	
					3c	Administrator's	telephone number	
4 If the	nama and/or EIN of the	plan sponsor has changed since the	a last return/report filed fo	r this plan, optor the	1 h	FINI		
		nber from the last return/report.	e iast return/report illed to	i tilis piari, eriter tile	4b	EIN		
a Spons	sor's name	·			4c	PN		
5a Total	number of participants a	at the beginning of the plan year			5a		8	
b Total	number of participants a	at the end of the plan year			5b		8	
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				•	5c		8	
6a Were	all of the plan's assets	during the plan year invested in elig	gible assets? (See instruct	tions.)			X Yes No	
		the annual examination and report of						
		(See instructions on waiver eligibilit	-				X Yes No	
•		ther line 6a or line 6b, the plan car			_		7	
C If the	plan is a defined benefit	t plan, is it covered under the PBGC	insurance program (see	ERISA section 4021)?		Yes No	Not determined	
Caution:	A penalty for the late o	or incomplete filing of this return/r	eport will be assessed u	unless reasonable cau	se is e	established.		
		ner penalties set forth in the instruction disigned by an enrolled actuary, as						
	true, correct, and comp		well as the electronic vers	sion of this return report,	, and to	o the best of my	knowledge and	
SIGN	Filed with authorized/v	valid electronic signature.	10/02/2014	AMY DOUGHTY				
HERE	Signature of plan ad	dministrator	Date	Enter name of individu	ıal sigr	ning as plan adn	ninistrator	
SIGN		valid electronic signature.	10/02/2014	AMY DOUGHTY	Enter name of individual signing as plan administrator MY DOUGHTY			
HERE	Signature of employ	yer/plan sponsor	Date	Enter name of individu	ual sigr	ning as employe	er or plan sponsor	
Preparer's	Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)						number (optional)	
•								

Form 5500-SF 2013 Page **2**

Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning of Yea		(b) End of Year				
_ ′ a	Total plan assets	7a	(a) Beginning of Tea		1346295				
<u>u</u>	Total plan liabilities	7b		0			0		
	Net plan assets (subtract line 7b from line 7a)	76 7c	102291		-	1346295			
8	Income, Expenses, and Transfers for this Plan Year	70			+				
	Contributions received or receivable from:		(a) Amount				(b) Total		
	(1) Employers	8a(1)	3851	7					
	(2) Participants	8a(2)	6585	3					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	23862	7					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					342997		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1948	0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f	14	0					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					19620		
i	Net income (loss) (subtract line 8h from line 8c)	8i				323377			
j	Transfers to (from) the plan (see instructions)	8j		0					
Pai	t IV Plan Characteristics	•			•				
9a	If the plan provides pension benefits, enter the applicable pension 2E 2J 2G 3D 2T	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:		
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:								
Par	Part V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X			
С				10c	X		125000		
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud					X	120000		
—е	Were any fees or commissions paid to any brokers, agents, or oth			10d					
·	insurance service, or other organization that provides some or all					X			
	instructions.)			10e					
f	Has the plan failed to provide any benefit when due under the plan?					X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	X		38517		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X			
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i					
Part	VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39								
12									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule					Day	ı oui		
	Enter the minimum required contribution for this plan year	,	,			12b			

Page	3	_	1	
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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е	e Will the minimum funding amount reported on line 12d be met by the funding deadline?			No	N/A	
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	.i 🔲	Yes X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes	X No	
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			IN(s)	13c(3)	PN(s)	
Part VIII Trust Information (optional)						
	Name of trust MANAGEMENT COMPANY 401(K) PLAN		rust's EIN 911467493			