Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

	enefit Guaranty Corporation	 Complete all entries in ac 	cordance with the instru	ctions to the Form 5500	0-SF.		·			
Part I	Annual Report I	dentification Information								
For calendar plan year 2013 or fiscal plan year beginning 10/01/2013 and ending 07/31/2014										
A This ret	This return/report is for:					a one-partici	pant plan			
B This ret	B This return/report is: ☐ the first return/report ☐ the first return/report									
	an amended return/report									
C Check b	C Check box if filing under: automatic extension					DFVC program				
		special extension (enter descr	ription)							
Part II	Basic Plan Infor	mation—enter all requested inf	formation							
1a Name	of plan				1b	Three-digit				
KENNETH A	SHULTZ, ED.D., P.S.	PROFIT SHARING PLAN				plan number	000			
					10	(PN)	002			
					10	Effective date o				
2a Plan sr	nonsor's name and add	lress; include room or suite numbe	er (employer if for a single	e-employer plan)	10/01/1985 2b Employer Identification Numl					
	A. SHULTZ, ED.D., P.S		or (employer, in for a single	chiployer plany	20		68173			
					2c	hone number				
	ST, SUITE 310					360-57	1-2051			
VANCOUVE	R, WA 98662				2d	Business code ((see instructions)			
						62139	99			
3a Plan a	dministrator's name and	d address XSame as Plan Spons	sor Name Same as Pla	in Sponsor Address	3b	Administrator's	EIN			
					3c	Administrator's	telephone number			
		plan sponsor has changed since	the last return/report filed	for this plan, enter the	4b	EIN				
name, a Sponso		nber from the last return/report.								
u Opons	or 3 marrie				40	PN				
5a Total r		at the beginning of the plan year			4c	PN	1			
_	number of participants a	at the beginning of the plan year			5a	PN	1			
b Total r	number of participants a	at the beginning of the plan year at the end of the plan year			5a 5b	PN	1 0			
b Total r	number of participants a number of participants a er of participants with a	at the end of the plan year	the plan year (defined ben	efit plans do not	5a	PN				
b Total r c Number compl 6a Were	number of participants a number of participants a er of participants with a ete this item)	at the end of the plan year account balances as of the end of the during the plan year invested in e	the plan year (defined ben	efit plans do not	5a 5b 5c		0			
b Total r c Number compl 6a Were b Are yet	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in e	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi	efit plans do not ctions.)	5a 5b 5c		0 0 X Yes No			
b Total r c Number compl 6a Were b Are younder	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in e the annual examination and repor (See instructions on waiver eligib	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.)	efit plans do not ctions.)ed public accountant (IQI	5a 5b 5c		0			
b Total r c Number complement of the complement	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in et the annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan c	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi ility and conditions.)	efit plans do not ctions.)ed public accountant (IQI	5a 5b 5c PA)	5500.	0 X Yes No Yes No			
b Total r c Number complement 6a Were b Are younder If you c If the p	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in et the annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan ce to plan, is it covered under the PBG	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see	efit plans do not ctions.)ed public accountant (IQI and must instead use ERISA section 4021)?	5a 5b 5c PA)	5500. Yes No	0 0 X Yes No			
b Total r c Number complete 6a Were b Are younder If you c If the p	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in et the annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of the plan, is it covered under the PBG or incomplete filing of this return	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see	efit plans do not ctions.) ed public accountant (IQI f and must instead use e ERISA section 4021)? . I unless reasonable cau	5a 5b 5c 	5500. Yes No established.	0 X Yes No X Yes No Not determined			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in et the annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan count plan, is it covered under the PBG or incomplete filing of this return er penalties set forth in the instructions.	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi ility and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have	efit plans do not ctions.) ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau e examined this return/rep	5a 5b 5c PA) Form use is port, in	5500. Yes No established. Icluding, if applic	O X Yes No X Yes No Not determined			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in et the annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of plan, is it covered under the PBG or incomplete filing of this return er penalties set forth in the instructions of the plan o	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi ility and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have	efit plans do not ctions.) ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau e examined this return/rep	5a 5b 5c PA) Form use is port, in	5500. Yes No established. Icluding, if applic	O X Yes No X Yes No Not determined			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in et the annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instruction of the plan of	the plan year (defined ben eligible assets? (See instru- rt of an independent qualifi- ility and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.) ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau e examined this return/report	5a 5b 5c PA) Form see is port, in , and t	5500. Yes No established. Including, if applicate to the best of my	O X Yes No X Yes No Not determined			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in et the annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of plan, is it covered under the PBG or incomplete filing of this return er penalties set forth in the instructions of the plan o	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi ility and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have	efit plans do not ctions.)	5a 5b 5c PA) Form see is port, in, and t	5500. Yes No established. Icluding, if applic to the best of my	O X Yes No X Yes No Not determined Cable, a Schedule knowledge and			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of a plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instructions of the plan of the plan, is it covered under the plan of the plan of the plan, is it covered under the plan of the plan, is it covered under the plan of the plan, is it covered under the plan of	the plan year (defined ben eligible assets? (See instru- rt of an independent qualifi- ility and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.) ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau e examined this return/report	5a 5b 5c PA) Form see is port, in, and t	5500. Yes No established. Icluding, if applic to the best of my	O X Yes No X Yes No Not determined Cable, a Schedule knowledge and			
b Total r c Number complete 6a Were b Are younder If you C If the p Caution: A Under pena SB or Sche belief, it is t SIGN HERE SIGN	number of participants a number of participants a er of participants with a ete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of a plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instructions of the plan of the plan, is it covered under the plan of the plan of the plan, is it covered under the plan of the plan, is it covered under the plan of the plan, is it covered under the plan of	the plan year (defined ben beligible assets? (See instruct of an independent qualificility and conditions.)	efit plans do not ctions.)	5a 5b 5c PA) Form see is port, in, and t	5500. Yes No established. Icluding, if applic to the best of my	O X Yes No X Yes No Not determined Cable, a Schedule knowledge and			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t SIGN HERE SIGN HERE	number of participants and number of participants are reflected participants with a sete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of the plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instruct disigned by an enrolled actuary, a lete.	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.)ed public accountant (IQI and must instead use e ERISA section 4021)? . unless reasonable cau e examined this return/report KENNETH A. SHULTZ Enter name of individu	5a 5b 5c PA) Form port, in, and t	5500. Yes No established. Including, if application the best of my	O X Yes No X Yes No Not determined Sable, a Schedule or knowledge and			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t SIGN HERE SIGN HERE	number of participants and number of participants are reflected participants with a sete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of the plan is it covered under the PBG or incomplete filing of this returner penalties set forth in the instruct disigned by an enrolled actuary, a lete.	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.)ed public accountant (IQI and must instead use e ERISA section 4021)? . unless reasonable cau e examined this return/report KENNETH A. SHULTZ Enter name of individu	5a 5b 5c	5500. Yes No established. cluding, if applic to the best of my D., P.S. ning as plan admining as employed	O X Yes No X Yes No Not determined Sable, a Schedule or knowledge and			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t SIGN HERE SIGN HERE	number of participants and number of participants are reflected participants with a sete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of the plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instruct disigned by an enrolled actuary, a lete.	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.)ed public accountant (IQI and must instead use e ERISA section 4021)? . unless reasonable cau e examined this return/report KENNETH A. SHULTZ Enter name of individu	5a 5b 5c	5500. Yes No established. cluding, if applic to the best of my D., P.S. ning as plan admining as employed	O X Yes No X Yes No Not determined Stable, a Schedule or knowledge and ministrator er or plan sponsor			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t SIGN HERE SIGN HERE	number of participants and number of participants are reflected participants with a sete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of the plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instruct disigned by an enrolled actuary, a lete.	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.)ed public accountant (IQI and must instead use e ERISA section 4021)? . unless reasonable cau e examined this return/report KENNETH A. SHULTZ Enter name of individu	5a 5b 5c	5500. Yes No established. cluding, if applic to the best of my D., P.S. ning as plan admining as employed	O X Yes No X Yes No Not determined Stable, a Schedule or knowledge and ministrator er or plan sponsor			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t SIGN HERE SIGN HERE	number of participants and number of participants are reflected participants with a sete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of the plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instruct disigned by an enrolled actuary, a lete.	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.)ed public accountant (IQI and must instead use e ERISA section 4021)? . unless reasonable cau e examined this return/report KENNETH A. SHULTZ Enter name of individu	5a 5b 5c	5500. Yes No established. cluding, if applic to the best of my D., P.S. ning as plan admining as employed	O X Yes No X Yes No Not determined Stable, a Schedule or knowledge and ministrator er or plan sponsor			
b Total r c Number complete 6a Were b Are younder If you c If the p Caution: A Under pena SB or Sche belief, it is t SIGN HERE	number of participants and number of participants are reflected participants with a sete this item)	during the plan year invested in ethe annual examination and report (See instructions on waiver eligible ther line 6a or line 6b, the plan of the plan, is it covered under the PBG or incomplete filing of this returner penalties set forth in the instruct disigned by an enrolled actuary, a lete.	the plan year (defined ben eligible assets? (See instru rt of an independent qualifi illity and conditions.) cannot use Form 5500-SF GC insurance program (see n/report will be assessed ctions, I declare that I have as well as the electronic ve	efit plans do not ctions.)ed public accountant (IQI and must instead use e ERISA section 4021)? . unless reasonable cau e examined this return/report KENNETH A. SHULTZ Enter name of individu	5a 5b 5c	5500. Yes No established. cluding, if applic to the best of my D., P.S. ning as plan admining as employed	O X Yes No X Yes No Not determined Stable, a Schedule or knowledge and ministrator er or plan sponsor			

Form 5500-SF 2013 Page **2**

Pa	rt III Financial Information										
7					(b) End of Year						
	Total plan assets	(7)					(b) Ella c	i i ea	0		
	Total plan liabilities	7b		0					0		
	let plan assets (subtract line 7b from line 7a)		19896	3	+				0		
							(b) Ta	401			
	Contributions received or receivable from:		(a) Amount				(b) To	itai			
	(1) Employers	8a(1)									
	(2) Participants	8a(2)									
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	-743	1							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						-7	7431		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	19153	2							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						19 ⁻	1532	_	
ī	Net income (loss) (subtract line 8h from line 8c)	8i						-198	8963		
j	Transfers to (from) the plan (see instructions)	8j									
Pai	t IV Plan Characteristics	, <u> </u>									
9a	If the plan provides pension benefits, enter the applicable pension 2E 3B 3D	feature co	des from the List of Plan Char	acteris	tic Co	des in	the instruct	ons:			
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cteristi	ic Cod	les in t	he instruction	ns:			
Don	W Compliance Overtions										
Par				1	Vaa	N ₁ -	l				
10	During the plan year:				Yes	No	Amount				
	 a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported 			10a		X					
N	on line 10a.)			10b		X					
	·			10-		X					
	C Was the plan covered by a fidelity bond?			10c							
d	or dishonesty?			10d		Х					
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all										
	instructions.)		. ,	10e		X					
f	Has the plan failed to provide any benefit when due under the plan?			10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10h		X					
i	2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10ii							
Part		1-0		101							
11	Is this a defined benefit plan subject to minimum funding requirem							П,	Voc	— П	No.
44-	5500) and line 11a below)							Ш	Yes	Щ	No
	Enter the unpaid minimum required contribution for current year fr		,		-	11a			.,		
12	Is this a defined contribution plan subject to the minimum funding	-		or se	ction (302 of	ERISA?	Ш`	Yes	X	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,			_4:			a deta sii	_ 1-11			
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver											
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•					I				
b	Enter the minimum required contribution for this plan year					12b					

Page	3 -	. 1	
raye	J		

С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е			Yes	No	N/A	
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	. X	res No	1		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	ol X Yes No			
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to				
	13c(1) Name of plan(s):	3c(2) El	N(s)	13c(3)	PN(s)	
Part	VIII Trust Information (optional)					
14a	Name of trust	14b ⊺ı	rust's EIN			