

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
---	---	---

Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information				
1a Name of plan <u>THE 403(B) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER AND GARRISON MEMORIAL HOSPITAL</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>004</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/2008</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>004</u>	1c Effective date of plan <u>01/01/2008</u>	
1b Three-digit plan number (PN) ▶	<u>004</u>				
1c Effective date of plan <u>01/01/2008</u>					
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>ST. ALEXIUS MEDICAL CENTER</u> <u>900 EAST BROADWAY</u> <u>BISMARCK, ND 58502</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>2b Employer Identification Number (EIN) <u>45-0226711</u></td> </tr> <tr> <td>2c Sponsor's telephone number <u>701-530-7000</u></td> </tr> <tr> <td>2d Business code (see instructions) <u>622000</u></td> </tr> </table>	2b Employer Identification Number (EIN) <u>45-0226711</u>	2c Sponsor's telephone number <u>701-530-7000</u>	2d Business code (see instructions) <u>622000</u>	
2b Employer Identification Number (EIN) <u>45-0226711</u>					
2c Sponsor's telephone number <u>701-530-7000</u>					
2d Business code (see instructions) <u>622000</u>					

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/07/2014	GARY MILLER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN	
5 Total number of participants at the beginning of the plan year		5	2901
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).			
a Active participants		6a	2499
b Retired or separated participants receiving benefits		6b	23
c Other retired or separated participants entitled to future benefits		6c	435
d Subtotal. Add lines 6a , 6b , and 6c		6d	2957
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e	0
f Total. Add lines 6d and 6e		6f	2957
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	2696
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	391
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2G 2M			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
---	---	---

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013	
A Name of plan THE 403(B) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER AND GARRISON MEMORIAL HOSPITAL	B Three-digit plan number (PN) ▶ 004
C Plan sponsor's name as shown on line 2a of Form 5500 ST. ALEXIUS MEDICAL CENTER	D Employer Identification Number (EIN) 45-0226711

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
---------------	---

1 Coverage Information:

(a) Name of insurance carrier
GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
84-0467907	68322	95816-01	11	01/01/2013	12/31/2013

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	
5 Current value of plan's interest under this contract in separate accounts at year end	5	

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	9414
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☒ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ☐**7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☐ other ▶

b Balance at the end of the previous year	7b	
c Additions: (1) Contributions deposited during the year	7c(1)	
(2) Dividends and credits	7c(2)	
(3) Interest credited during the year	7c(3)	
(4) Transferred from separate account	7c(4)	
(5) Other (specify below)	7c(5)	
▶		
(6) Total additions	7c(6)	0
d Total of balance and additions (add lines 7b and 7c(6))	7d	0
e Deductions:		
(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	
(2) Administration charge made by carrier	7e(2)	
(3) Transferred to separate account	7e(3)	
(4) Other (specify below)	7e(4)	
▶		
(5) Total deductions	7e(5)	0
f Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	0
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.....	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013	
A Name of plan THE 403(B) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER AND GARRISON MEMORIAL HOSPITAL	B Three-digit plan number (PN) ▶ 004
C Plan sponsor's name as shown on line 2a of Form 5500 ST. ALEXIUS MEDICAL CENTER	D Employer Identification Number (EIN) 45-0226711

Part I	Service Provider Information (see instructions)
---------------	--

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
--

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ERISA FIDUCIARY ADVISORS, INC.

1160 BIRCHWOOD ROAD
WESTON, FL 33327

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
55	BROKER/ADVISER	97649	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

GREAT-WEST LIFE & ANNUITY COMPANY

8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE, CO 80111

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64	RECORDKEEPER	80063	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

ADVISED ASSET GROUP

8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE, CO 80111

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MGMT	23874	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
---	--	---

For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>		
A Name of plan <u>THE 403(B) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER AND GARRISON MEMORIAL HOSPITAL</u>	B Three-digit plan number (PN) ►	<u>004</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ST. ALEXIUS MEDICAL CENTER</u>	D Employer Identification Number (EIN) <u>45-0226711</u>	

Part I	Asset and Liability Statement		(a) Beginning of Year	(b) End of Year
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.				
	Assets			
a	Total noninterest-bearing cash	1a	0	0
b	Receivables (less allowance for doubtful accounts):			
	(1) Employer contributions	1b(1)	0	0
	(2) Participant contributions	1b(2)	0	0
	(3) Other	1b(3)	0	0
c	General investments:			
	(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	0	0
	(2) U.S. Government securities	1c(2)	0	0
	(3) Corporate debt instruments (other than employer securities):			
	(A) Preferred	1c(3)(A)	0	0
	(B) All other	1c(3)(B)	0	0
	(4) Corporate stocks (other than employer securities):			
	(A) Preferred	1c(4)(A)	0	0
	(B) Common	1c(4)(B)	0	0
	(5) Partnership/joint venture interests	1c(5)	0	0
	(6) Real estate (other than employer real property)	1c(6)	0	0
	(7) Loans (other than to participants)	1c(7)	0	0
	(8) Participant loans	1c(8)	1048466	1234021
	(9) Value of interest in common/collective trusts	1c(9)	0	0
	(10) Value of interest in pooled separate accounts	1c(10)		
	(11) Value of interest in master trust investment accounts	1c(11)	0	0
	(12) Value of interest in 103-12 investment entities	1c(12)	0	0
	(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	121770324	154038218
	(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
	(15) Other	1c(15)	0	0

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)	0	0
(2) Employer real property	1d(2)	0	0
e Buildings and other property used in plan operation	1e	0	0
f Total assets (add all amounts in lines 1a through 1e)	1f	122818790	155272239

Liabilities

g Benefit claims payable	1g	0	0
h Operating payables	1h		
i Acquisition indebtedness	1i	0	0
j Other liabilities	1j	0	0
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	122818790	155272239
---	-----------	-----------	-----------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	4017661	
(B) Participants	2a(1)(B)	7708639	
(C) Others (including rollovers)	2a(1)(C)	394617	
(2) Noncash contributions	2a(2)	0	
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		12120917
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	0	
(B) U.S. Government securities	2b(1)(B)	0	
(C) Corporate debt instruments	2b(1)(C)	0	
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans	2b(1)(E)	39360	
(F) Other	2b(1)(F)	0	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		39360
(2) Dividends: (A) Preferred stock	2b(2)(A)	0	
(B) Common stock	2b(2)(B)	0	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	6026290	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		6026290
(3) Rents	2b(3)		0
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	0	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	0	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	0	
(B) Other	2b(5)(B)	0	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		0
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		21057708
c Other income.....	2c		86738
d Total income. Add all income amounts in column (b) and enter total.....	2d		39331013

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	6614824	
(2) To insurance carriers for the provision of benefits.....	2e(2)	9414	
(3) Other.....	2e(3)	0	
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		6624238
f Corrective distributions (see instructions).....	2f		0
g Certain deemed distributions of participant loans (see instructions).....	2g		51740
h Interest expense.....	2h		0
i Administrative expenses: (1) Professional fees.....	2i(1)	0	
(2) Contract administrator fees.....	2i(2)	80063	
(3) Investment advisory and management fees.....	2i(3)	121523	
(4) Other.....	2i(4)	0	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		201586
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		6877564

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d.....	2k		32453449
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **BRADY MARTZ & ASSOCIATES**

(2) EIN: **45-0310328**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		500000
4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
---	--	--

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>THE 403(B) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER AND GARRISON MEMORIAL HOSPITAL</u>	B Three-digit plan number (PN) ▶ <u>004</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ST. ALEXIUS MEDICAL CENTER</u>	D Employer Identification Number (EIN) <u>45-0226711</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>20-3691708</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	6a		
b Enter the amount contributed by the employer to the plan for this plan year.....	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL
BISMARCK, NORTH DAKOTA**

AUDITED FINANCIAL STATEMENTS

FOR THE YEARS ENDED DECEMBER 31, 2013 AND 2012

TABLE OF CONTENTS

	Page
INDEPENDENT AUDITOR'S REPORT	1
FINANCIAL STATEMENTS	
Statements of Net Assets Available for Benefits	3
Statements of Changes in Net Assets Available for Benefits	4
Notes to the Financial Statements	5
SUPPLEMENTAL INFORMATION	
Schedule of Assets (Held at End of Year)	15

INDEPENDENT AUDITOR'S REPORT

To the Board of Directors and Plan Administrator
403(b) Thrift Plan of St. Alexius Medical Center and Garrison Memorial Hospital
Bismarck, North Dakota

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the 403(b) Thrift Plan of St. Alexius Medical Center and Garrison Memorial Hospital (Plan) which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Wells Fargo Bank, N.A., the custodian of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the Plan Administrator that the custodian holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the custodian as of and for the years ended December 31, 2013 and 2012, that the information provided to the Plan Administrator by the custodian is complete and accurate.

Disclaimer of Opinion

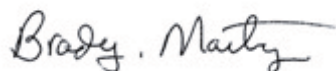
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedule of Assets (Held At End of Year) as of December 31, 2013 is required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

A handwritten signature in cursive script that reads "Brady Martz".

BRADY, MARTZ & ASSOCIATES, P.C.

Bismarck, North Dakota

September 15, 2014

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2013 AND 2012

	<u>2013</u>	<u>2012</u>
Assets:		
Investments (at fair value):		
Mutual funds	\$ 142,613,999	\$ 110,292,599
Group annuity contract	<u>11,501,466</u>	<u>11,907,830</u>
Total investments	<u>154,115,465</u>	<u>122,200,429</u>
Receivables:		
Employer contribution receivable	17,260	-
Notes receivable from participants	<u>1,234,021</u>	<u>1,048,466</u>
Total receivables	<u>1,251,281</u>	<u>1,048,466</u>
Net assets available for benefits at fair value	155,366,746	123,248,895
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	<u>(77,246)</u>	<u>(430,104)</u>
Net assets available for benefits	<u><u>\$ 155,289,500</u></u>	<u><u>\$ 122,818,791</u></u>

See Notes to the Financial Statements

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEARS ENDED DECEMBER 31, 2013 AND 2012

	<u>2013</u>	<u>2012</u>
Additions to net assets attributed to:		
Investment income:		
Net appreciation in fair value of investments	\$ 21,057,708	\$ 9,708,551
Dividend income	<u>6,026,290</u>	<u>3,871,794</u>
Total investment income	<u>27,083,998</u>	<u>13,580,345</u>
Interest income on notes receivable from participants	<u>39,360</u>	<u>21,237</u>
Contributions:		
Participants'	7,708,639	7,282,124
Employer's	4,034,921	3,869,167
Participant rollover contributions	<u>369,306</u>	<u>363,514</u>
Total contributions	<u>12,112,866</u>	<u>11,514,805</u>
Total additions	<u>39,236,224</u>	<u>25,116,387</u>
Deductions from net assets attributed to:		
Benefits paid to participants	6,666,564	5,921,576
Administrative expenses	<u>98,951</u>	<u>112,757</u>
Total deductions	<u>6,765,515</u>	<u>6,034,333</u>
Net increase in net assets available for benefits	32,470,709	19,082,054
Net assets available for benefits:		
Beginning of year	<u>122,818,791</u>	<u>103,736,737</u>
End of year	<u><u>\$ 155,289,500</u></u>	<u><u>\$ 122,818,791</u></u>

See Notes to the Financial Statements

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1 PLAN DESCRIPTION

The following description of the St. Alexius Medical Center and Garrison Memorial Hospital 403(b) Retirement Plan (Plan) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General

The Plan was established effective January 1, 2008 under the Employee Retirement Income Security Act of 1974, as amended (ERISA), as an employer-sponsored individual account employee pension benefit plan (other than a money purchase pension plan) that is intended to make contributions to annuity contracts described in Code section 403(b) or custodial accounts described in Code section 403(b)(7) on behalf of Participants. The Plan was established so that eligible employees may have an additional source of retirement income. The Plan is administered by the sponsor – St. Alexius Medical Center. The Plan is a defined contribution plan covering employees of St. Alexius Medical Center and Garrison Memorial Hospital (Company). The Plan was restated effective December 1, 2010 and the name was changed to 403(b) Thrift Plan of St. Alexius Medical Center and Garrison Memorial Hospital.

Eligibility

Employees of the Company are generally eligible to make contributions to the Plan immediately. To be eligible to receive the employer base and matching contribution, employees must complete one year of service and attain age 21.

Contributions

Participants may contribute up to the maximum dollar amount allowed under federal regulations for the Plan year. Participants who have attained age 50 before the end of the Plan year are eligible to make additional catch-up contributions. The Company will match 25% of the participant's elective deferrals up to 4% of the participant's eligible compensation. The matching contribution on behalf of a participant for any pay period shall not exceed 1% of the participants certified earnings for that pay period. Employer base contributions equal are to 3% of certified earnings for each pay period.

Participants may make rollover contributions for amounts representing distributions from other qualified defined benefit plans, defined contribution plans, or individual retirement accounts.

Payment of Benefits

On termination of employment, death, disability or retirement, a participant may receive an amount equal to the value of the participant's vested interest in his or her account in a lump sum payment, installment payments, or annuity payments. Participants should consult the Summary Plan Description for additional details regarding payment options. Hardship distributions are permitted for participants who have not separated from service. Participants must attain age 65 to qualify for in-service distributions.

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

Participant Accounts

Each participant's account is credited with the participant's contribution and the employer base and matching contributions. Plan earnings are allocated based on participant account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's account.

Participants' Investment Options

Participants must direct their salary deferral contributions and employer base and match contributions to selected investments as made available and determined by the Plan. Participants may change their investment options throughout the year by contacting Great-West Retirement Services, a division of Great-West Life & Annuity Insurance Company (Great-West).

Vesting

Participants are immediately vested in their contributions plus actual earnings thereon. Vesting in the employer base and matching contribution portion of their accounts plus actual earnings thereon is based on years of continuous service with certain exceptions for death. A participant is 100% vested after five years of credited service or attaining age 65.

Forfeitures

At December 31, 2013 and 2012, forfeited nonvested accounts totaled \$32,968 and \$31,179, respectively. This account is used to reduce future employer contributions when available for allocation to Plan participants. During the years ended December 31, 2013 and 2012, \$244,638 and \$198,015 were used to reduce Company contributions.

Amendment and Termination

The Company reserves the right at any time to amend or terminate the Plan subject to the Plan provisions. If the Company terminates contributions or the Plan is terminated all participants become fully vested.

Notes Receivable from Participants

Participants may borrow from their accounts a maximum of 50% of their vested account balance, or \$50,000, whichever is less, with a minimum loan amount of \$1,000. The loans are secured by the balance in the participant's account and bear interest rates equal to the market rate at the time of the loan. The term of the loan cannot exceed five years, unless it is for the purchase of a principle residence. Principal and interest are paid in substantially equal installments no less frequently than quarterly.

Plan Administration

The Plan Administrator and trustee is the Company. The record keeper is Great-West Retirement Services a division of Great-West Life & Annuity Insurance Company. The Custodian is Wells Fargo Bank N.A.

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

NOTE 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting.

Basis of Presentation

The accompanying financial statements are presented in accordance with accounting principles generally accepted in the United States of America, ("GAAP"), as codified by the Financial Accounting Standards Board.

Estimates

The presentation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent asset and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 7 for discussion of fair value measurements.

Purchases and sales of securities are reflected on a trade date basis. Income is recorded as earned on an accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year, and is reflected in the statement of changes in net assets available for plan benefits.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined-contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The statement of net assets available for benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The statement of changes in net assets available for benefits is prepared on a contract value basis.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan document.

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

Payment of Benefits

Benefit payments to participants are recorded when paid.

Operating Expenses

Several of the investment fund options are subject to investment fees based on a percentage of invested assets, as disclosed in the fund's prospectus. All such fees are charged directly against the fund's investment performance and thus are not separately disclosed in the accompanying financial statements. Administrative expenses reported by the Plan are shown net of 12b-1 fees attributed to investments of the Plan of \$272,802 and \$254,669 for the Plan years ended December 31, 2013 and 2012, respectively.

Income Taxes

The Plan's policy is to evaluate the likelihood that its uncertain tax positions will prevail upon examination based on the extent to which those positions have substantial support within the Internal Revenue Code and Regulations, Revenue Rulings, court decisions, and other evidence.

NOTE 3 TAX STATUS

The Plan sponsor is a tax-exempt organization under 501(c)(3) of the Internal Revenue Code (IRC). The organization has adopted a 403(b) tax-sheltered annuity (TSA) plan. 403(b) plans are not required to receive a favorable determination letter from the Internal Revenue Service indicating that the Plan is qualified under Section 403(b). The Plan and related trust are designed in accordance with applicable sections of the IRC. The Plan Administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable provisions of the IRC.

The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2013, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan Administrator believes it is no longer subject to income tax examinations for years prior to 2010.

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

NOTE 4 INVESTMENTS

The Plan's investments are held by Wells Fargo Bank, N.A. Investments that represent five percent or more of the Plan's net assets as of December 31, 2013 are separately identified as:

Mutual funds:	
T. Rowe Price Growth Stock	\$ 14,477,128
T. Rowe Price Value Fund	10,115,257
Loomis Sayles Bond I	8,730,099
TCW Galileo Total Return Bond Fund I	8,167,781
Putnam Convertible Securities Y	9,203,228
Vanguard Fixed Income GNMA Portfolio	8,188,904
DFA US Core Equity 1 I	10,483,853
Group annuity contract:	
Transamerica Financial Life Insurance Guaranteed Pooled Fund	11,424,220

Investments that represent five percent or more of the Plan's net assets as of December 31, 2012 are separately identified as:

Mutual funds:	
Davis Appreciation & Income Fund A	\$ 7,171,848
T. Rowe Price Growth Stock	10,511,404
T. Rowe Price Value Fund	7,282,897
Loomis Sayles Bond I	7,650,531
TCW Galileo Total Return Bond Fund I	6,835,239
Templeton Global Bond Adv	6,443,833
Vanguard Fixed Income GNMA Portfolio	6,892,117
DFA US Core Equity 1 I	7,782,612
Group annuity contract:	
Transamerica Financial Life Insurance Guaranteed Pooled Fund	11,477,726

During the years ended December 31, 2013 and 2012, the Plan's investments (including investments acquired, distributed and held during the year) appreciated in value by \$21,057,708 and \$9,708,551, respectively, as detailed below.

	<u>2013</u>	<u>2012</u>
Mutual funds	\$ 20,837,008	\$ 9,483,588
Group annuity contract	<u>220,700</u>	<u>224,963</u>
Total appreciation	<u><u>\$ 21,057,708</u></u>	<u><u>\$ 9,708,551</u></u>

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

NOTE 5 INFORMATION CERTIFIED BY CUSTODIAN

The following information included in the accompanying financial statements and supplemental schedule was obtained from data that has been prepared and certified to as complete and accurate by the custodian:

	<u>2013</u>	<u>2012</u>
Investments, at fair value:		
Mutual funds	\$ 142,613,999	\$ 110,292,599
Group annuity contract	11,501,466	11,907,830
Notes receivable from participants	1,234,021	1,048,466
Net appreciation in fair value of investments	21,057,708	9,708,551
Dividend income	6,026,290	3,871,794
Interest income on notes receivable from participants	39,360	21,237

NOTE 6 GROUP ANNUITY CONTRACT WITH INSURANCE COMPANY

The Plan entered into a group annuity contract with Transamerica Financial Life Insurance Company (Transamerica), the Guaranteed Pooled Fund (Fund). Under this contract, Transamerica maintains the contributions in pooled separate accounts for investment into the Guaranteed Pooled Fund. The Fund's principal objective is to protect principal while providing a higher rate of return than shorter maturity investments by investing in instruments which are not expected to experience significant price fluctuations in most economic or interest rate environments. The Fund guarantees a minimum declared rate of interest of no less than 2.80% based on the original contract. Effective March 1, 2011, the Plan accepted a rider to the contract amending the minimum guaranteed declared rate of interest of no less than 1.00% per annum for all contributions, loan repayments, participant transfers, and any other amounts allocated to the Transamerica Financial Life Insurance Guaranteed Pooled Fund on or after March 1, 2011. The minimum guaranteed declared rate of interest of 2.80% will continue to apply to contributions, loan repayments, participant transfers, and any other amounts that were allocated to the Guaranteed Pooled Fund before March 1, 2011. Transamerica guarantees both the principal and interest invested in the Fund.

Because the fixed income account is fully-benefit responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the fixed income account. Contract value, as reported to the Plan by Transamerica, consists of the fair value of the underlying portfolio, accrued interest on the underlying portfolio assets, the fair value of the contract, and the adjustments to contract value. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The fair value of the investment contracts at December 31, 2013 and 2012 was \$11,501,466 and \$11,907,830, respectively. The crediting interest rate is declared annually on a calendar year basis and applies to both existing assets and new deposits made in that calendar year. The declared interest rate for 2013 and 2012 was 1.00%.

Certain events such as full or partial Plan termination, or other employer initiated events would limit the Plan's ability to transact at contract value with Transamerica. The Plan Administrator believes the occurrence of such events is not probable.

Average yields:	<u>2013</u>	<u>2012</u>
Guaranteed Pooled Fund - original contract (2.80% guaranteed rate)		
Based on actual earnings	1.87%	1.21%
Based on interest rate credited to participants	2.80%	2.80%
Guaranteed Pooled Fund - rider contract (1.00% guaranteed rate)		
Based on actual earnings	1.87%	1.21%
Based on interest rate credited to participants	1.00%	1.00%

NOTE 7 FAIR VALUE MEASUREMENT

Financial Accounting Standards Board Statement ASC Topic 820 establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC Topic 820 are described below:

- Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.
- Level 2 Inputs to the valuation methodology include:
 - Quoted prices for similar assets or liabilities in active markets;
 - Quoted prices for identical or similar assets or liabilities in inactive markets;
 - Inputs other than quoted prices that are observable for the asset or liability;
 - Inputs that are derived principally from or corroborated by observable market data by correlation or other means.
 If the asset or liability has a specified (contractual) term the Level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

Following is a description of the valuation methodologies used for assets measured at fair value:

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Group annuity contract: Valued based on the cumulative value of their underlying portfolios and wrapper contracts. The values for the underlying portfolios are primarily obtained from independent pricing services and are based on observable market data for the same or similar securities. The fair value of the wrapper contract is the present value of the difference between the current wrapper fee and the contracted wrapper fee. (See Note 6)

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013:

	Total	Level 1	Level 2	Level 3
Mutual funds:				
Balanced funds	\$ 5,420,832	\$ 5,420,832	\$ -	\$ -
Bond funds	50,246,413	50,246,413	-	-
Guaranteed Lifetime Income	1,170,223	1,170,223	-	-
International funds	12,433,621	12,433,621	-	-
Small cap funds	15,990,602	15,990,602	-	-
Mid cap funds	18,520,082	18,520,082	-	-
Large cap funds	35,076,238	35,076,238	-	-
Specialty funds	3,755,988	3,755,988	-	-
Group annuity contract	11,501,466	-	11,501,466	-
	<u>\$ 154,115,465</u>	<u>\$ 142,613,999</u>	<u>\$ 11,501,466</u>	<u>\$ -</u>

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2012:

	Total	Level 1	Level 2	Level 3
Mutual funds:				
Asset allocation funds	\$ 7,171,848	\$ 7,171,848	\$ -	\$ -
Balanced funds	4,788,924	4,788,924	-	-
Bond funds	35,145,592	35,145,592	-	-
Guaranteed Lifetime Income	929,780	929,780	-	-
International funds	9,164,310	9,164,310	-	-
Small cap funds	11,386,515	11,386,515	-	-
Mid cap funds	12,968,147	12,968,147	-	-
Large cap funds	25,576,913	25,576,913	-	-
Specialty funds	3,160,570	3,160,570	-	-
Group annuity contract	11,907,830	-	11,907,830	-
	<u>\$ 122,200,429</u>	<u>\$ 110,292,599</u>	<u>\$ 11,907,830</u>	<u>\$ -</u>

NOTE 8 TRANSACTIONS WITH PARTIES-IN-INTEREST

Great-West is the record keeper as defined by the Plan and, therefore, qualifies as a party-in-interest. Fees withheld from participant accounts by the record keeper were \$74,568 and \$136,252 for the years ended December 31, 2013 and 2012, respectively.

The Plan issues notes receivable to participants which are secured by the balances in the participants accounts. These transactions qualify as party-in-interest transactions.

NOTE 9 RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

NOTE 10 RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements as of December 31, 2013 and 2012 to Form 5500:

	2013	2012
Net assets available for benefits presented in these financial statements	\$ 155,289,500	\$ 122,818,791
Contributions receivable:		
Employer	(17,260)	-
Net assets available for benefits as presented in Form 5500	<u>\$ 155,272,240</u>	<u>\$ 122,818,791</u>

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
NOTES TO THE FINANCIAL STATEMENTS - CONTINUED
DECEMBER 31, 2013 AND 2012

The following is a reconciliation of the change in net assets per the financial statements for the years ended December 31, 2013 and 2012 to the change in net assets as presented in Schedule H of Form 5500:

	<u>2013</u>	<u>2012</u>
Net increase in net assets available for benefits presented in these financial statements	\$ 32,470,709	\$ 19,082,054
Less: contributions receivable at end of year	<u>(17,260)</u>	<u>-</u>
Net increase in net assets available for benefits as presented in Form 5500	<u>\$ 32,453,449</u>	<u>\$ 19,082,054</u>

NOTE 11 SUBSEQUENT EVENTS

No significant events occurred subsequent to the Plan's year end. Subsequent events were evaluated through September 15, 2014, which is the date these financial statements were available to be issued.

SUPPLEMENTAL INFORMATION

**403(b) THRIFT PLAN OF ST. ALEXIUS MEDICAL CENTER
AND GARRISON MEMORIAL HOSPITAL**
EMPLOYER IDENTIFICATION NUMBER 45-0226711
PLAN NUMBER 004
FORM 5500 ANNUAL REPORT - SCHEDULE H, LINE 4(I) -
SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2013

(a)	(b)	(c)	(d)	(e)
	Identity of Issue	Description of Investment	Cost	Current Value
	Putnam Convertible Securities Y	Mutual fund	**	\$ 9,203,228
	Oppenheimer International Growth Y	Mutual fund	**	7,751,976
	Oakmark Global Select I	Mutual fund	**	4,681,645
	Nuveen Real Estate Securities I	Mutual fund	**	3,755,988
	Franklin Small Cap Value Adv	Mutual fund	**	6,021,208
	Vanguard Small Cap Index Inst'l	Mutual fund	**	6,000,702
	Lord Abbett Developing Growth I	Mutual fund	**	3,968,692
	Artisan Mid Cap Value Fund	Mutual fund	**	6,880,736
	Ashton/Fairpoint Mid Cap I	Mutual fund	**	6,148,055
	Goldman Sachs Small/Mid Cap Growth Inst'l	Mutual fund	**	5,491,291
	DFA US Core Equity 1 I	Mutual fund	**	10,483,853
	T. Rowe Price Growth Stock	Mutual fund	**	14,477,128
	T. Rowe Price Value Fund	Mutual fund	**	10,115,257
	Fidelity Puritan Fund	Mutual fund	**	5,420,832
	BlackRock High Yield Bond Fund Inst'l	Mutual fund	**	5,454,632
	Loomis Sayles Bond I	Mutual fund	**	8,730,099
	TCW Galileo Total Return Bond Fund I	Mutual fund	**	8,167,781
	Templeton Global Bond Adv	Mutual fund	**	7,657,706
	Vanguard Fixed Income GNMA Portfolio	Mutual fund	**	8,188,904
	Vanguard Short Term Investment Grade Adm	Mutual fund	**	2,844,063
*	Great-West SF Balanced Fund G	Mutual fund	**	1,170,223
	Transamerica Financial Life Insurance Guaranteed Pooled Fund	Group annuity contract	**	11,501,466
*	Notes receivable from participants	Participant loans with interest rates of 4.25%	-	1,234,021
* A party-in-interest as defined by ERISA.				
** Participant-directed investment				

ASSETS HELD FOR INVESTMENT PURPOSES

The 403(b) Thrift Plan of St. Alexius Medical Center and Garrison Memorial Hospital

01-JAN-13 to 31-DEC-13

19-JAN-14 15:47:13

INVESTMENT OPTION	MATURITY DATE	INTEREST RATE	COST OF ASSETS	CURRENT VALUE
IMXSBLG			1,068,246.05	1,170,223.85
IOAKWX			3,320,364.50	4,681,645.16
IOIGYX			6,583,937.59	7,751,976.41
IFARCX			3,454,001.34	3,755,987.71
IFVADX			4,135,885.54	6,021,207.54
ILADYX			3,295,994.52	3,968,691.55
IVSCIX			5,526,698.34	6,000,702.28
IARTQX			4,896,002.13	6,880,736.05
IABMIX			4,527,051.12	6,148,055.38
IGSMYX			4,594,669.56	5,491,290.59
IDFEOX			7,014,428.99	10,483,852.64
IPRGFX			8,552,205.84	14,477,127.75
ITRVLX			7,328,076.14	10,115,256.68
IPUR			4,795,572.05	5,420,832.30
IBHYIX			4,985,453.21	5,454,632.34
ILSBDX			8,185,557.53	8,730,098.57
IPCGYX			8,124,468.85	9,203,228.18
ITGLMX			8,106,707.01	8,167,781.33
ITGBAX			7,370,534.29	7,657,706.24
IVG-GNM			8,402,559.42	8,188,903.66
IVFSUX			2,844,303.90	2,844,062.51
IDIAGS1			5,225,612.65	5,292,719.19
IDIAGS3			5,567,537.93	5,994,981.50
			127,905,868.50	153,901,699.41
PARTICIPANT LOANS	VARIOUS	4.250-7.000	1,206,709.68	1,234,021.07
FORFEITURES			133,637.69	136,519.03

ASSETS HELD FOR INVESTMENT PURPOSES

The 403(b) Thrift Plan of St. Alexius Medical Center and Garrison Memorial Hospital

01-JAN-13 to 31-DEC-13

INVESTMENT OPTION	MATURITY DATE	INTEREST RATE	COST OF ASSETS	CURRENT VALUE
-------------------	---------------	---------------	----------------	---------------

LEGEND

INVESTMENT OPTION:

1MXSBLG	Great-West SF Balanced Fund G
1OIGYX	Oppenheimer International Growth Y
1FVADX	Franklin Small Cap Value Adv
1VSCIX	Vanguard Small Cap Index Instl
1ABMIX	ASTON/Fairpoint Mid Cap I
1DFEOX	DFA US Core Equity I I
1TRVLX	T. Rowe Price Value
1BHYYX	BlackRock High Yield Bond Instl
1PCGYX	Putnam Convertible Securities Y
1TGBAX	Templeton Global Bond Adv
1VFSUX	Vanguard Short-Term Investment-Grade Adm
1DIAGS3	Guaranteed Pooled Fund

1OAKWX	Oakmark Global Select I
1FARCX	Nuveen Real Estate Securities I
1LADYX	Lord Abbett Developing Growth I
1ARTQX	Artisan Mid Cap Value Investor
1GSMYX	Goldman Sachs Small/Mid Cap Growth Instl
1PRGFX	T. Rowe Price Growth Stock
1PUR	Fidelity Puritan Fund
1LSBDX	Loomis Sayles Bond Instl
1TGLMX	TCW Total Return Bond I
1VG-GNM	Vanguard Fixed Income GNMA Portfolio
1DIAGS1	Guaranteed Pooled Fund

COST OF ASSETS: The original cost of the assets in each investment option as of the last day of the plan year
CURRENT VALUE: The value of all assets in each investment option as of the last day of the plan year