## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in accordar	nce with the instruc	tions to the Form 5500	0-SF.	mspection			
Part I	Annual Report I	dentification Information							
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
A This ref	turn/report is for:			an (not multiemployer)		a one-participant plan			
<b>B</b> This ref	turn/report is:	the first return/report th	e final return/report						
		an amended return/report as	hort plan year return	/report (less than 12 mo	onths)				
C Check box if filing under:					DFVC program				
	1	special extension (enter description)							
Part II	Basic Plan Infor	mation—enter all requested information	on						
1a Name	•				1b	Three-digit			
GOLDBERG	& COHN PROFIT SHA	ARING PLAN				plan number (PN) 001			
					10	Effective date of plan	_		
					10	01/01/1991			
2a Plan s	ponsor's name and add	dress; include room or suite number (emp	lover, if for a single-	emplover plan)	2h	Employer Identification Number			
	3 & COHN, L.L.P.	(	,,	p.:.,,		(EIN) 11-2497692			
					2c	Sponsor's telephone number			
16 COURT	STREET					718-875-2400			
	I, NY 11241-0102				2d	Business code (see instructions)			
						541110			
3a Plan a	dministrator's name and	d address Same as Plan Sponsor Nan	ne Same as Plan	Sponsor Address	3b	Administrator's EIN			
	& COHN, L.L.P.	16 COURT STRE	ET			11-2497692			
		BROOKLYN, NY	11241-0102		3с	Administrator's telephone number	r		
						718-875-2400			
1 If the	name and/or FIN of the	nlan anappar has abanged since the last	raturn/rapart filed fo	r this plan contor the	415	- In			
		plan sponsor has changed since the last ber from the last return/report.	return/report filed to	r this plan, enter the	40	EIN			
	or's name				4c	PN			
<b>5a</b> Total	number of participants a	at the beginning of the plan year			5a		2		
_		at the end of the plan year			5b				
		· · ·			30		2		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				•	5c		2		
	-	during the plan year invested in eligible a	•	•		X Yes 📙 N	10		
		the annual examination and report of an				X Yes □ N	۷o		
		(See instructions on waiver eligibility and ther line 6a or line 6b, the plan cannot	,				10		
-		t plan, is it covered under the PBGC insu			_				
C II tile	pian is a defined benefit	t plant, is it covered under the FBGC insu	rance program (see i	ERISA SECTION 4021)?	Ц	res Ino Inot determined			
Caution: A	A penalty for the late o	r incomplete filing of this return/repor	t will be assessed ι	ınless reasonable cau	se is	established.			
		er penalties set forth in the instructions, I							
	edule MB completed and true, correct, and comp	d signed by an enrolled actuary, as well a	as the electronic vers	sion of this return/report,	, and t	to the best of my knowledge and			
beller, it is	Tac, correct, and comp	icic.							
SIGN	Filed with authorized/v	ralid electronic signature.	10/08/2014	STEVEN COHN					
HERE	Signature of plan ad	lministrator	Date	Enter name of individu	of individual signing as plan administrator				
SIGN									
HERE	Signature of employ	ver/plan sponsor	Date	Enter name of individu	dual signing as employer or plan sponsor				
Preparer's		ame, if applicable) and address; include r				arer's telephone number (optional			
.,	- ( - : ::::::: <u>g</u>	,,,,,,,		/			′		
				<u>_</u>					

Form 5500-SF 2013 Page **2** 

Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Paginning of Var				(b) End of Voor	
_ <u>'</u> _a		7a	(a) Beginning of Yea		+	(b) End of Year 1399062		
<u>a</u>	Total plan assets  Total plan liabilities	7a 7b		0			0	
	Net plan assets (subtract line 7b from line 7a)	76 7c	119115				1399062	
8	, ,	76						
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
и	(1) Employers	400						
	(2) Participants							
	(3) Others (including rollovers)	8a(3)		0				
b	Other income (loss)	8b	19161	4				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					207912	
d	Benefits paid (including direct rollovers and insurance premiums			_				
	to provide benefits)	8d		0				
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0				
<u>f</u>	Administrative service providers (salaries, fees, commissions)	. 8f		0				
g	Other expenses	. 8g		0				
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					0	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					207912	
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0				
Pai	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2H 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	es in t	he instructions:	
Par	Part V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
a	<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)							
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X		
c						Χ		
d	Did the plan have a loss, whether or not reimbursed by the plan's	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud				X		
	or dishonesty?			10d		^		
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all							
	instructions.)			10e		X		
f						X		
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X		
i	If 10h was answered "Yes," check the box if you either provided the	ne required	d notice or one of the					
	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i				
	Part VI Pension Funding Compliance							
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39							
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?							
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
h	Enter the minimum required contribution for this plan year					12b		

Page	3 -	1
------	-----	---

С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				<b>13c(3)</b> PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust				14b Trust's EIN			