Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
ECONOLITE GROUP, INC. 401(K) PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 11/29/1982

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

ECONOLITE GROUP, INC.

3360 E. LAPALMA AVENUE
ANAHEIM, CA 92806

2b Employer Identification Number (EIN)
33-0019158

2c Sponsor's telephone number
714-630-3700

2d Business code (see instructions)
335900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/08/2014	LESLIE CLEVELAND
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	

5	Total number of participants at the beginning of the plan year	**5**	407
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	341
b	Retired or separated participants receiving benefits	**6b**	1
c	Other retired or separated participants entitled to future benefits	**6c**	74
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	416
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	416
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	393
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	7
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2E 2F 2G 2J 3D 3H 2T

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[X] Insurance	**(1)**	[X] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 1 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
ECONOLITE GROUP, INC. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier

ING LIFE INSURANCE & ANNUITY COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
71-0294708	86509	ZH9737	416	06/19/2013	12/31/2013

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
14593	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

SANCTUARY SECURITIES, LLC
600 MONTGOMERY ST
STE 1100
SAN FRANCISCO, CA 94111

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	(d) Purpose	(e) Organization code
14593	0		3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		
	(c) Amount	(d) Purpose	(e) Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	2536899
5	Current value of plan's interest under this contract in separate accounts at year end	5	15779487

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☒ other ▶ GROUP PENSION FUNDING

b	Balance at the end of the previous year			7b	0
c	Additions: (1) Contributions deposited during the year	7c(1)	55078		
	(2) Dividends and credits	7c(2)	0		
	(3) Interest credited during the year	7c(3)	9356		
	(4) Transferred from separate account	7c(4)	375564		
	(5) Other (specify below)	7c(5)	2120920		
	▶ *				
	(6)Total additions			7c(6)	2560918
d	Total of balance and additions (add lines **7b** and **7c(6)**).			7d	2560918
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	23188		
	(2) Administration charge made by carrier	7e(2)	179		
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below)	7e(4)	652		
	▶ *				
	(5) Total deductions			7e(5)	24019
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	2536899

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

a ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
e ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
i ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount			**10b**	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☐ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2013** **This Form is Open to Public Inspection.**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan	**B** Three-digit plan number (PN) ▶	
ECONOLITE GROUP, INC. 401(K) PLAN		001
C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)	
ECONOLITE GROUP, INC.	33-0019158	

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ING LIFE INSURANCE AND ANNUITY CO

71-0294708

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ING LIFE INSURANCE AND ANNUITY CO

71-0294708

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64	RECORDKEEPER	2022	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

ING INSTITUTIONAL PLAN SERVICES LLC

04-3516284

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64	RECORDKEEPER	550	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
ECONOLITE GROUP, INC. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: MCM STABLE VALUE FUND

b Name of sponsor of entity listed in (a): UNION BOND AND TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
93-6274329-001	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE: VARIABLE ANNUITY ACCOUNT D

b Name of sponsor of entity listed in (a): ING LIFE INSURANCE & ANNUITY CO

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
71-0294708-000	P	15779487

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
ECONOLITE GROUP, INC. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)	22249	0
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	38191	46681
(9) Value of interest in common/collective trusts	1c(9)	554599	0
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	14604881	15779487
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	0	2536899
(15) Other	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	15219920	18363067
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	15219920	18363067

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)		
(B) Participants	2a(1)(B)	1264936	
(C) Others (including rollovers)	2a(1)(C)	128503	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		1393439
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	1717	
(F) Other	2b(1)(F)	9356	
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		11073
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	74390	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		74390
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		2243
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		1457479
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		1387866
c Other income	2c		2385
d Total income. Add all **income** amounts in column (b) and enter total	2d		4328875

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	1183156	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		1183156
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	2572	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		2572
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		1185728

Net Income and Reconciliation

k Net income (loss). Subtract line **2j** from line **2d**	2k		3143147
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: JENKINS BOWLER LLP **(2)** EIN: 95-2131722

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m	X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n	X		

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
ECONOLITE GROUP, INC. 401(K) PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
ECONOLITE GROUP, INC.

D Employer Identification Number (EIN)
33-0019158

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-3581074 71-0294708

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

JENKINS BOWLER LLP
CERTIFIED PUBLIC ACCOUNTANTS

626 SOUTH LAKE AVENUE
PASADENA, CALIFORNIA 91106-3982
(626) 792-2179
FAX (626) 792-2411

INDEPENDENT AUDITORS' REPORT

Administrative Committee of
Econolite Group, Inc. 401(k) Plan
Anaheim, California

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Econolite Group, Inc. 401(k) Plan, which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by ING National Trust, the trustee of the Plan for the period August 20, 2013 to December 31, 2013, and ING Institutional Plan Services, LLC, the recordkeeper of the Plan as agent for Reliance Trust Company, the trustee of the Plan for the period January 1, 2013 to August 20, 2013, and except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that ING National Trust held the Plan's investment assets and executed investment transactions from August 20, 2013 to December 31, 2013, and that Reliance Trust Company held the Plan's investment assets and executed investment transactions as of December 31, 2012, and for the period January 1, 2013 to August 20, 2013. The plan administrator has obtained certifications from ING National Trust and ING Institutional Plan Services, LLC on behalf of Reliance Trust Company, that the information provided to the plan administrator is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedule of Assets Held for Investment Purposes at End of Year is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee and agent on behalf of the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Jenkins Bowles LLP

CERTIFIED PUBLIC ACCOUNTANTS
Pasadena, California

October 6, 2014

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets
(Held at End of Year)
Econolite Group, Inc. 401(k) Plan
EIN#33-0019158
Plan#001
As Of December 31, 2013

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par, or maturity date	(d)Cost	(e) Current Value
	AmBcn Small Cap Value Fund Ret	Registered Investment Company		$833,742
	American Funds EuroPacific R3	Registered Investment Company		$1,170,602
	American Funds Income Fnd R3	Registered Investment Company		$1,815,984
*	ING Clarion Rl Estate Prt Srv2	Registered Investment Company		$676,351
*	ING Fixed Account	Insurance Company General Account		$2,536,900
*	ING JPM Emrg Mkts Eq Port Srv2	Registered Investment Company		$1,362,681
*	ING JPM SmCp Cr Eq Port Srv2	Registered Investment Company		$178,597
*	ING Large Cap Growth Port Srv2	Registered Investment Company		$3,320,414
*	ING Mid Cap Opport Port S2	Registered Investment Company		$1,352,671
*	ING Russell MdCp Indx Prt S2	Registered Investment Company		$51,162
*	ING TRwPr Eqty Income Prt Srv2	Registered Investment Company		$2,605,166
*	ING US Stock Index Port Srv2	Registered Investment Company		$852,040
	Legg Msn ClrBrg SmCp Grw Fnd R	Registered Investment Company		$109,553
	MFS Aggressive Grw Alloc Fd R2	Registered Investment Company		$25,834
	MFS Moderate Allocation Fd R2	Registered Investment Company		$1,828
	Oppenhmr Intl Bond Fnd N	Registered Investment Company		$5,558
	PIMCO Real Return Fund R	Registered Investment Company		$22,447
	PIMCO Total Return Fund R	Registered Investment Company		$1,210,302
	RidgeWorth MdCp Vl Eqty Fnd A	Registered Investment Company		$83,773
	TRwPr Retirement 2005 Fund R	Registered Investment Company		$20
	TRwPr Retirement 2015 Fund R	Registered Investment Company		$23
	TRwPr Retirement 2025 Fund R	Registered Investment Company		$79,602
	TRwPr Retirement 2030 Fund R	Registered Investment Company		$605
	TRwPr Retirement 2035 Fund R	Registered Investment Company		$82
	TRwPr Retirement 2040 Fund R	Registered Investment Company		$7
	TRwPr Retirement 2045 Fund R	Registered Investment Company		$124
	TRwPr Retirement 2050 Fund R	Registered Investment Company		$16
	TRwPr Retirement 2055 Fund R	Registered Investment Company		$6
	TRwPr Retirement Inc Fund R	Registered Investment Company		$20,297
		Participant Loans - Rates 4.25% to 4.25%		$46,681
	TOTAL			$18,363,068

* denotes party-in-interest
Column (d) is not required as the Plan's investments are totally participant directed.

Econolite Group, Inc. 401(k) Plan

Financial Statements
Years Ended December 31, 2013 and 2012
(with Independent Auditors' Report Thereon)

Econolite Group, Inc. 401(k) Plan

Financial Statements
December 31, 2013 and 2012
(with Independent Auditors' Report Thereon)

Table of Contents

Independent Auditors' Report	1
Financial Statements	
Statements of Net Assets Available for Benefits	3
Statements of Changes in Net Assets Available for Benefits	4
Notes to Financial Statements	5-17
Supplemental Information	
Schedule of Assets Held for Investment Purposes at End of Year December 31, 2013	18-19

JENKINS BOWLER LLP
CERTIFIED PUBLIC ACCOUNTANTS

626 SOUTH LAKE AVENUE
PASADENA, CALIFORNIA 91106-3982
(626) 792-2179
FAX (626) 792-2411

INDEPENDENT AUDITORS' REPORT

Administrative Committee of
Econolite Group, Inc. 401(k) Plan
Anaheim, California

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Econolite Group, Inc. 401(k) Plan, which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by ING National Trust, the trustee of the Plan for the period August 20, 2013 to December 31, 2013, and ING Institutional Plan Services, LLC, the recordkeeper of the Plan as agent for Reliance Trust Company, the trustee of the Plan for the period January 1, 2013 to August 20, 2013, and except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that ING National Trust held the Plan's investment assets and executed investment transactions from August 20, 2013 to December 31, 2013, and that Reliance Trust Company held the Plan's investment assets and executed investment transactions as of December 31, 2012, and for the period January 1, 2013 to August 20, 2013. The plan administrator has obtained certifications from ING National Trust and ING Institutional Plan Services, LLC on behalf of Reliance Trust Company, that the information provided to the plan administrator is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedule of Assets Held for Investment Purposes at End of Year is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee and agent on behalf of the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Jenkins Bowles LLP

CERTIFIED PUBLIC ACCOUNTANTS
Pasadena, California

October 6, 2014

Econolite Group, Inc. 401(k) Plan
Statements of Net Assets Available for Benefits
December 31, 2013 and 2012

	2013	2012
Assets		
Investments, at fair value		
Investment contract with insurance company		
Fully benefit-responsive investment contract - ING Fixed Account	$ 2,536,899	$ -
Pooled separate accounts	15,779,487	-
Fully benefit-responsive investment contract - MCM Stable Asset Fund	-	596,228
Investments in registered investment companies (mutual funds)	-	14,604,881
Total investments, at fair value	18,316,386	15,201,109
Receivables		
Employer contributions receivable	13,583	-
Participant contributions receivable	-	22,249
Notes receivable from participants	46,681	38,191
Total receivables	60,264	60,440
Total assets	18,376,650	15,261,549
Total liabilities	-	-
Net assets reflecting investments at fair value	18,376,650	15,261,549
Adjustment from fair value to contract value for fully benefit-responsive investment contract	-	(41,629)
Net assets available for benefits	$ 18,376,650	$ 15,219,920

See independent auditors' report and notes to financial statements.

Econolite Group, Inc. 401(k) Plan
Statements of Changes in Net Assets Available for Benefits
For the Years Ended December 31, 2013 and 2012

	2013	2012
Additions to net assets attributed to:		
Investment income		
Interest and dividends	$ 83,746	$ 263,329
Net appreciation in fair value of investments	2,847,588	1,430,703
Total investment income	2,931,334	1,694,032
Interest income on notes receivable from participants	1,717	996
Contributions		
Participants - pre-tax deferrals	1,236,041	1,180,714
Participants - Roth deferrals	28,895	19,649
Participants - rollovers	128,503	60,965
Employer - discretionary	13,583	-
Total contributions	1,407,022	1,261,328
Other income	2,385	988
Total additions to net assets	4,342,458	2,957,344
Deductions from net assets attibuted to:		
Benefits paid to participants	1,183,156	730,400
Administrative expenses	2,572	450
Total deductions from net assets	1,185,728	730,850
Net increase in net assets available for benefits	3,156,730	2,226,494
Net assets available for benefits, beginning of year	15,219,920	12,993,426
Net assets available for benefits, end of year	$ 18,376,650	$ 15,219,920

See independent auditors' report and notes to financial statements.

Note 1 – Description of Plan

The following description of the Econolite Group, Inc. ("the Company") 401(k) Plan ("the Plan") provides only general information. Participants include eligible employees of the Company and its wholly owned subsidiaries, namely, Econolite Control Products, Inc., California Chassis, Inc., Safetran Traffic Systems, Inc., and Aegis ITS, Inc.

Participants should refer to the Plan document for a more complete description of the Plan's provisions.

a. General

The Plan is a defined contribution plan with a 401(k) feature under which eligible employees, as defined in the Plan document, may make contributions through salary reductions and receive discretionary employer profit sharing contributions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

The Plan was originally established on November 29, 1982 as a discretionary profit sharing plan. Effective April 1, 1995, the Company added a 401(k) feature to the existing profit sharing plan. Effective December 1, 2002, the Plan was amended and restated to conform to GUST and EGTRRA requirements and to change the basis for determining participant contribution deferral amounts. Prior to this amendment, the participant's regular earnings, bonuses and commissions were used as the basis for determining the participant contribution deferral amounts. Under the amended plan, only the participant's regular earnings are used as the basis for determining the participant's contribution deferral amounts. In 2009, the Plan was amended to comply with governmental legislation requirements. In addition, certain provisions of the Plan were amended during 2013.

Administrative expenses of the Plan are paid by the plan sponsor.

b. Plan Trustee and Recordkeeper

In 2013, the Plan was amended to change the trustee of the Plan from Reliance Trust Company ("Reliance") to ING National Trust ("ING"). Reliance was the trustee of the Plan from January 1, 2013 to August 20, 2013. ING National Trust is the trustee of the Plan beginning August 20, 2013.

ING Institutional Plan Services, LLC ("ING IPS"), the Plan's recordkeeper from January 1, 2013 to August 20, 2013, and ING Life Insurance and Annuity Company ("ILIAC"), the Plan's recordkeeper from August 20, 2013 to December 31, 2013, were appointed by the board of directors of the Company to invest, manage and control the Plan's assets. Among other duties, it is the responsibility of the recordkeeper to pay benefits as directed by the administrative committee and maintain records of the Plan's receipts and disbursements. ING, ING IPS, and ILIAC are parties-in-interest due to the investment of Plan assets in various funds managed by other ING-related entities.

c. Administrator

As provided in the Plan document, the Company appointed certain members of its senior management to act as Administrators for the Plan.

Note 1 – Description of Plan (Continued)

d. Participation

Employees are eligible to make salary reductions for pre-tax and Roth contributions to the Plan upon reaching the age of 18 years. Employees are eligible to receive employer discretionary profit sharing contributions upon reaching the age of 18 years and completion of one year of service. A year of service is defined as a 12 consecutive-month period during which an employee completes 1,000 hours of service. A participant ceases to participate in the Plan as of the first day on which he/she has a one-year break in service. A one-year break in service is defined as a 12-month period, measured from the employee's hire date or an anniversary thereof, in which an employee has less than 500 hours of service.

Prior to June 19, 2013, an employee is a participant in the Plan for the 401(k) deferral on the first day of the payroll period following employment. Effective June 19, 2013, the Plan was amended to change the entry date for purposes of salary deferrals to the first day of the month coinciding with or next following the eligibility date. A Plan year is defined as a calendar year.

An employee is a participant in the Plan for purposes of profit sharing contributions on the next quarter following the eligibility date.

e. Participant Accounts

Each participant's account is maintained separately within the plan and is adjusted to reflect the participant's salary reduction contributions, allocations of the Company's profit sharing contributions, investment income or loss, forfeitures of terminated participants' nonvested accounts, withdrawals, and administrative expenses. Profit sharing contributions are allocated based on the proportion that each participant's compensation (as defined in the Plan document) for the year bears to the total compensation of all participants for that year. Forfeitures are allocated on the same basis as the employer profit sharing contributions in the year following the determination of the forfeitures. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

f. Contributions

Each year, participants may make pre-tax and Roth deferral contributions of up to 10 percent of annual compensation, as defined in the Plan document, subject to certain Internal Revenue Code ("IRC") limitations. Participants may also transfer or rollover amounts representing distributions from other qualified defined benefit or contribution plans.

The employer may make discretionary profit sharing contributions to the Plan. The maximum amount of employer contributions to a Participant's account is limited to the amount allowable as a deduction for tax purposes. The employer's contributions will be allocated to the participants at the end of a plan year.

g. Investment Options

Investments in the Plan are directed by participants to selected investment funds available under the Plan.

h. Vesting

Participants are immediately vested in their salary reduction contributions plus actual earnings thereon. Participants vest in the Company's discretionary profit sharing contributions plus actual earnings thereon at a rate of 20% per year and are fully vested after five years of service.

Note 1 – Description of Plan (Continued)

i. Notes Receivable from Participants

Participants may borrow from their accounts, a minimum of $1,000 and a maximum amount equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms range from one to five years, except for loans to purchase a primary residence, which may be offered over a term up to 30 years. The loans are secured by the balance in the participant's account and bear interest at 1% above the prime rate. The interest rate is fixed for the duration of the loan. Participants may only have one loan outstanding at any given time. Principal and interest is paid ratably through weekly payroll deductions. Loans generally become due and payable immediately upon termination of the participant's employment.

j. Plan Benefits

All vested amounts in the account of a participant may be distributed to the individual (or his/her beneficiary) under the following circumstances:

Upon Retirement

The normal retirement age for the Company has been established as age 65, where the participant has the option of retiring, and where the participant becomes fully vested in his/her account. In any event, should the participant retire or voluntarily retire on or after his normal retirement date, distribution of his/her account is required upon request of participant.

Termination

A participant who leaves the Company is entitled to receive the vested portion of his/her account as soon as administratively feasible following the date the participant requests a distribution.

Disability

A participant will be fully vested upon incurring a disability, as defined in the Plan document.

Hardship

A participant who experiences financial hardship, as defined in the Plan document, is permitted to withdraw from his/her account. The employer discretionary account may only be withdrawn if the participant is 100% vested at the time of distribution.

k. Forfeitures

Forfeitures result from non-vested participant balances remaining in the Plan for terminated employees. Forfeitures are not used to reduce discretionary employer profit sharing contributions.

l. Termination of the Plan

Although the Company has not expressed any intent to do so, the Company has the right at any time to discontinue its contributions, or terminate the Plan. However, in the event of permanent discontinuance of contributions or termination of the Plan, all participants shall become fully vested and the net assets of the Plan will be allocated to the participants in accordance with the terms of the Plan.

Note 2 – Summary of Significant Accounting Principles

A summary of the significant accounting policies applied in the preparation of the accompanying financial statements is as follows:

a. Basis of Presentation

The financial statements of the Plan are prepared in conformity with U.S. generally accepted accounting principles, which requires management to make estimates and assumptions that affect the reported amounts and disclosures in the financial statements. Actual results could differ from those estimates.

Investment contracts held by a defined contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The Statement of Net Assets Available for Benefits presents the fair value of the investment contract as well as the adjustment of the fully benefit-responsive investment contract from fair value to contract value. The Statement of Changes in Net Assets Available for Benefits is prepared on a contract value basis.

b. Benefit Claims Payable

The classification of "Benefits paid to participants" in the Statement of Changes in Net Assets Available for Benefits includes only distributions paid in the current year. Amounts allocated to participants who have retired or separated from service or otherwise became eligible to withdraw assets were approximately $2.05 million and $1.09 million as of December 31, 2013 and 2012, respectively.

c. Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income generated on notes receivable is recorded when earned and administrative expenses associated with notes receivable are expensed when incurred. Delinquent participant loans are reclassified as distributions based on the terms of the Plan document. Plan management believes that notes receivables from participants are collectible. Therefore, a provision for doubtful accounts has not been recorded as of December 31, 2013 and 2012.

d. Investment Valuation and Income Recognition

The Plan's investments are stated at fair value in the accompanying Statement of Net Assets Available for Benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to measure fair value of certain financial instruments could result in a different fair value at the reporting date.

Note 2 – Summary of Significant Accounting Principles (Continued)

d. Investment Valuation and Income Recognition (Continued)

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The following is a description of the valuation methodologies used at December 31, 2013 and 2012:

Fully benefit-responsive investment contract: The fair value of the fully benefit-responsive investment contract is equal to the contract value, which represents contributions made plus earnings, less participant withdrawals and administrative expenses.

Pooled separate account: The pooled separate account is invested in mutual funds and is stated at fair value as reported to the Plan by ING. The unit value of each underlying mutual fund is calculated by dividing the total value of the assets by the number of units in such fund. The total value of the assets in a fund is a reflection of the underlying fund's daily performance, plus any applicable dividend income, less the daily account charges.

Investments in registered investment companies: Shares of registered investment companies are valued at quoted market prices that represent the net asset value of shares held by the Plan at year end.

In the absence of readily determinable fair values for the Plan's fully benefit-responsive investment contract and pooled separate account, management uses, as a practical expedient, the net asset value or unit value (NAV) of units of the fund, as provided by the fund's trustee. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. Management believes this method provides a reasonable estimate of fair value. These values may differ significantly from values that would have been used had a readily available market existed for such investment, and the differences could be material to the change in net assets available for plan benefits. There have been no changes in valuation methodologies used during the years ended December 31, 2013 and 2012.

U.S. generally accepted accounting principles establish a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes inputs to valuation techniques used to measure fair value. The hierarchy gives highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

Level 1: Unadjusted quoted prices in active markets that are accessible at the measurement date for identical assets or liabilities

Level 2: Quoted prices in markets that are not active, or inputs which are observable, either directly or indirectly, for substantially the full term of the asset or liability

Level 3: Prices or valuation techniques that require inputs (including the Plan's own assumptions about market participants and investment prices) that are both significant to the fair value measurement and unobservable (i.e., supported by little or no market activity)

Purchases and sales of securities are recorded on a trade-date basis. Interest and dividend income are recorded on the accrual basis.

The Plan provides for investments in various securities. Investment securities, in general, are exposed to various risks, such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the Statement of Net Assets Available for Benefits.

Note 2 – Summary of Significant Accounting Principles (Continued)

e. Date of Management's Review

Events and transactions occurring through October 6, 2014, the date that the financial statements were available to be issued, have been evaluated in the preparation of these financial statements.

Note 3 – Investments

The following presents investments at December 31, 2013, with investments representing 5% or more of total plan assets separately identified:

Investment contract with insurance company		
Pooled Separate Account		
Fixed income		
PIMCO Total Return Fund R	$ 1,210,302	6.6%
Balanced		
American Funds Income Fund R3	1,815,984	9.9%
Equity Securities		
Domestic		
Large Cap Growth		
ING Large Cap Growth Portfolio S2	3,320,414	18.1%
Large Cap Value		
ING T Rowe Price Equity Income Portfolio S2	2,605,166	14.2%
Small/Mid/Specialty		
ING MidCap Opportunities Portfolio S2	1,352,671	7.4%
International		
American Funds EuroPacific R3	1,170,602	6.4%
ING JP Morgan Emerging Markets Portfolio S2	1,362,681	7.4%
Individual investments less than 5% of total plan assets	2,941,667	16.1%
Fully benefit-responsive investment contract		
Stability of principal		
ING Fixed Account	2,536,900	13.9%
Total	$ 18,316,387	100.0%

Note 3 – Investments (Continued)

The following presents investments at December 31, 2012, with investments representing 5% or more of total plan assets separately identified:

Investments in registered investment companies (mutual funds)		
Money Market		
ING Money Market Portfolio Class I	$ 1,801,908	11.9%
Fixed income - corporate		
Legg Mason Western Asset Corporate Bond Fund	825,478	5.4%
Balanced		
American Balanced Fund	892,968	5.9%
Equity Securities		
Domestic		
Large Cap		
Washington Mutual Investors Fund	783,300	5.2%
Legg Mason ClearBridge Aggressive Growth Fund	1,220,583	8.0%
Legg Mason ClearBridge Fundamental All Cap Value Fund	869,468	5.7%
Legg Mason ClearBridge Large Cap Growth Fund	969,304	6.4%
Mid Cap		
Fidelity Advisor Mid Cap Fund	1,048,961	6.9%
International		
EuroPacific Growth Fund	965,083	6.3%
Oppenheimer Developing Markets Fund	1,524,419	10.0%
Individual investments less than 5% of total plan assets	4,299,637	28.3%
Total	$ 15,201,109	100.0%

All investments are participant-directed.

During the years ended December 31, 2013 and 2012, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value as follows:

	2013	2012
Pooled separate account	$ 2,847,588	$ -
Registered investment companies (mutual funds)	-	1,424,075
Fully benefit-responsive investment contract	-	6,628
Total	$ 2,847,588	$ 1,430,703

Note 4 – Fair Value Measurements

The following table sets forth, by level within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2013 and 2012:

	2013		
	Quoted market prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)
Investment contract with insurance company			
Pooled separate account			
Asset allocation	$ -	$ 128,444	$ -
Fixed income	-	1,238,306	-
Balanced	-	1,815,984	-
Equity securities			
Domestic			
Large cap growth	-	3,320,414	-
Large cap value	-	3,457,206	-
Small/Mid/Specialty	-	3,285,850	-
International	-	2,533,283	-
Fully benefit-responsive investment contract			
Stability of principal	-	2,536,900	-
Total	$ -	$ 18,316,387	$ -

	2012		
	Quoted market prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)
Investments in registered investment companies (mutual funds)			
Money market	1,801,908	-	-
Fixed income	1,305,932	-	-
Balanced	1,522,699	-	-
Equity securities			
Domestic			
Large cap	4,689,280	-	-
Mid cap	1,048,961	-	-
Small/Mid/Specialty	1,746,599	-	-
International	2,489,502	-	-
Fully benefit-responsive investment contract	-	-	596,228
Total	$ 14,604,881	$ -	$ 596,228

There were no transfers of assets between Levels 1 and 2 of the fair value hierarchy during the years ended December 31, 2013 and 2012.

Note 4 – Fair Value Measurements (Continued)

The changes in fair value of the Plan's Level 3 assets during the years ended December 31, 2013 and 2012 are as follows:

	2013	2012
Balance, beginning of year	$ 596,228	$ 768,466
Net appreciation on investments	2,243	6,628
Change in adjustment from fair value to contract value	(41,629)	(41,629)
Purchases	14,953	52,491
Sales	(571,795)	(203,932)
Balance, end of year	$ -	$ 596,228
Net appreciation on investments held at end of year	$ -	$ 6,628

The following summarizes the attributes of the Plan's investments, whose fair values are estimated using NAV per share (or its equivalent) at December 31, 2013 and 2012:

	2013	
	Fair Value	Number of Funds
Investment contract with insurance company		
Pooled separate account		
Asset allocation	$ 128,444	12
Fixed income	1,238,306	3
Balanced	1,815,984	1
Equity securities		
Domestic		
Large cap growth	3,320,414	1
Large cap value	3,457,206	2
Small/Mid/Specialty	3,285,850	7
International	2,533,283	2
Fully benefit-responsive investment contract		
Stability of principal	2,536,900	1
Total	$ 18,316,387	$ 29

	2012	
	Fair Value	Number of Funds
Fully benefit-responsive investment contract		
Stability of principal	$ 596,228	1

Investments in the above funds are redeemable on a daily basis, at contract value. There are no unfunded commitments in these funds at December 31, 2013 and 2012.

Note 5 –Investment Contract with Insurance Company

In June 2013, the Plan entered into an investment contract with ILIAC. The contract provides for:

a. Pooled separate account

Funds in a pooled separate account are invested in underlying mutual funds that are managed by ING or other investment firms. The fair value of the separate account is affected by investment income, realized gains and losses, changes in unrealized appreciation and depreciation, and administrative expenses of the underlying mutual funds.

b. ING Fixed Account

The ING Fixed Account is invested in ILIAC's general account and is credited with earnings and charged for participant withdrawals and administrative expenses. ILIAC is contractually obligated to repay the principal and interest credited to participants in the ING Fixed Account.

The ING Fixed Account is fully benefit-responsive. It is reported in the Statement of Net Assets Available for Benefits at fair value of $2,536,899, which as reported to the Plan by ING, is equal to its contract value. Participants may ordinarily direct the withdrawal or transfer of their investment in the ING Fixed Account at contract value. There are no reserves against contract value for credit risk of ILIAC or otherwise.

The effective annual rate of interest is determined at least monthly, with a minimum guaranteed interest rate of 1%. Interest is credited daily, at a rate that is the daily equivalent of the effective annual rate of interest applicable for each month. The crediting interest rate is based on an agreed-upon formula with ING. Factors affecting the crediting interest rate calculation include the seven-year Treasury Bond rate, the fixed account interest rate, and the date of contract issuance. The average yield and crediting interest rate for the year was 1.00% and 1.15%, respectively.

Certain events, such as premature termination of the contract by the Plan or the termination of the Plan, would limit the Plan's ability to transact at contract value. The Plan administrator believes the occurrence of such events that would limit the Plan's ability to transact at contract value is not probable.

Note 6 – Fully Benefit-Responsive Investment Contract – MCM Stable Asset Fund

From January 1, 2013 through August 20, 2013 and during the year ended December 31, 2012, the Plan had a benefit-responsive investment contract with MCM Stable Asset Fund. ING maintained the contributions in a general account that was credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The contract was included in the Statements of Net Assets Available for Benefits at fair value. The adjustment from fair value to contract value for the investment contract was based on the contract value as reported to the Plan by ING. Contract value represented contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants ordinarily directed the withdrawal or transfer of all or a portion of their investment at contract value. Withdrawals or transfers were to be paid to participants within 30 days after receipt of written notice from the participant. Other withdrawals and transfers were to be paid within one year after receipt of written notice from the Plan.

The fair value of the investment contract at December 31, 2013 was $0. The investment contract with MCM Stable Asset Fund was terminated on August 20 ,2013. The crediting interest rate was based on an agreed-upon formula with ING. Factors that affected the crediting interest rate calculation included market value, book value, weighted average duration, and annualized dollar or duration weighted yield to maturity. The average yield and crediting interest rate on the contract was 0.9% and 1.1% at December 31, 2013 and 2012, respectively.

Note 6 – Fully Benefit-Responsive Investment Contract – MCM Stable Asset Fund (Continued)

Certain events, such as premature termination of the contract by the Plan or the termination of the Plan, would have limited the Plan's ability to transact at contract value with ING. The Plan administrator believed the occurrence of such events that would have limited the Plan's ability to transact at contract value with Plan participants was not probable.

Note 7 – Tax Status

The Plan obtained its latest determination letter from the Internal Revenue Service on June 5, 2002, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The plan has been amended since receiving the determination letter. However, the Plan Administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

U.S. generally accepted accounting principles require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan administrator has considered the tax positions taken by the Plan, and believes that all tax positions are more likely than not to be sustained upon examination.

Interest and penalties associated with the Plan's tax positions are considered administrative expenses. No such interest or penalties have been recorded in these financial statements.

The Plan is subject to routine audits by taxing jurisdictions. However, there are currently no audits in progress for any tax periods. As of December 31, 2013, the tax years that remain subject to examination under the statute of limitations are from year ended December 31, 2011 forward.

Note 8 – Forfeitures

Unallocated forfeited non-vested accounts which amounted to $702 and $8,572 at December 31, 2013 and 2012, respectively, were allocated to eligible participants in the subsequent year.

Note 9 – Certified Information

During the year, the Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the following data included in the accompanying financial statements has been certified as complete and accurate by ING National Trust and ING IPS, on behalf of Reliance Trust Company:

a. Investments, as shown in the Statements of Net Assets Available for Benefits as of December 31, 2013 and 2012.

b. Investment income, as shown in the Statement of Changes in Net Assets Available for Benefits for the years ended December 31, 2013 and December 31, 2012.

The Plan's independent certified public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements.

Note 10 – Risk and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the Statement of Net Assets Available for Benefits.

Note 11 – Reconciliation of Financial Statements to Schedule H of Form 5500

The financial statements are prepared on the accrual basis, in accordance with U.S. generally accepted accounting principles, as of and for the years ended December 31, 2013 and 2012. However, Schedule H of Form 5500 was prepared on the cash basis as of and for the year ended December 31, 2013, and accrual basis as of and for the year ended December 31, 2012. Therefore, certain amounts reported on the financial statements do not agree with those reported on Schedule H of Form 5500.

The following is a reconciliation of net assets available for benefits per the financial statements to Schedule H of Form 5500:

	2013	2012
Net assets available for benefits per the financial statements	$ 18,376,650	$ 15,219,920
Employer contributions receivable, end of year	(13,583)	-
Net assets available for benefits per Schedule H of Form 5500	$ 18,363,067	$ 15,219,920

The following is a reconciliation of employer discretionary contributions per the financial statements to Schedule H of Form 5500:

	2013	2012
Employer discretionary contributions per the financial statements	$ 13,583	$ -
Employer contributions receivable, end of year	(13,583)	-
Employer discretionary contributions per Schedule H of Form 5500	$ -	$ -

Note 12 – Subsequent Events

a. Plan Amendments

Effective January 1, 2014, the Plan adopted Amendment Number Three, which makes the following changes to the Plan:

i. Exclusion of temporary employees from participation in the Plan, and

ii. Modification to allow hardship distributions from all vested accounts with no additional limitations.

On September 26, 2014, the Plan adopted Amendment Number Four, to amend the Plan as follows:

i. Removal of Safetran Traffic Systems, Inc. as a participating employer effective January 1, 2014,

ii. Modification to grant loans to participants without the necessity of a financial hardship or a specified financial need, and

iii. Modification to allow participants to defer any percentage of compensation, subject to the IRC annual limit.

b. Plan Trustee and Recordkeeper Name Changes

ILIAC, the Plan's recordkeeper, changed its name to Voya Retirement Insurance and Annuity Company effective September 1, 2014.

Effective September 3, 2014, Voya Institutional Trust Company became the Plan's trustee, as it became the successor fiduciary to ING National Trust.

Supplemental Information

Econolite Group, Inc. 401(k) Plan
Supplemental Schedule
EIN 33-0019158
Plan 001
Schedule H, Line 4i, Schedule of Assets Held for Investment Purposes at End of Year
December 31, 2013

[a]	[b] Identity of issuer, borrower, lessor or similar party	[c] Description of investment including maturity date, rate of interest, collateral, par or maturity value	[d] Cost	[e] Current Value
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - Am Beacon Small Cap Value Fd Ret	**	$ 833,742
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - American Funds EuroPacific Fd R3	**	1,170,602
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - American Funds Income Fd R3	**	1,815,984
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ClrBrg Small Cap Gr Fd R	**	109,553
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING Clarion Real Estate Port S2	**	676,351
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING JPM Em Mkts Eq Port S2	**	1,362,681
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING JPM Sm Cp Core Eq Port S2	**	178,597
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING Lg Cap Growth Port S2	**	3,320,414
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING Mid Cap Opportunities Port S2	**	1,352,671
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING Russel Mid Cap Index Port S2	**	51,162
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING TRP Equity Income Port S2	**	2,605,166
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - ING US Stock Index Port S2	**	852,040
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - MFS Aggressive Growth Alloc Fd R2	**	25,834
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - MFS Moderate Allocation Fd R2	**	1,828
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - Oppenheimer International Bond Fd N	**	5,558
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - PIMCO Real Return Fd R	**	22,447
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - PIMCO Total Return Fd R	**	1,210,302
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - RidgeWorth Mid Cap Value Eq Fd A	**	83,773
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2005 Fd R	**	20
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2015 Fd R	**	23
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2025 Fd R	**	79,602
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2030 Fd R	**	605
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2035 Fd R	**	82
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2040 Fd R	**	7
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2045 Fd R	**	124
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2050 Fd R	**	16
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Retirement 2055 Fd R	**	6
*	ING Life Insurance and Annuity Co.	ING Separate Acct D - T Rowe Price Income Fd R	**	20,297
*	ING Life Insurance and Annuity Co.	Insurance Company General Account - ING Fixed Account	**	2,536,899
	Participant loans	Interest at 4.25%; Maturing at various dates between 2014 and 2018	-	46,681
			$ -	$ 18,363,067

Notes:

* Party-in-interest

** Cost information for investments is not required as the Plan's investments are participant-directed.