Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension	Benefit Guaranty Corporation	► Complete all entries in accorda	nce with the instruc	tions to the Form 5500	0-SF.	"""	peolion		
Part I	Annual Report I	Identification Information							
For caler	ndar plan year 2013 or fis	scal plan year beginning 01/01/2013		and ending 1	2/31/2	2013			
	A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer)					a one-participant plan			
B This return/report is: ☐ the first return/report ☐ the final return/report									
		an amended return/report as	short plan year return	report (less than 12 mo	onths))			
C Check box if filling under:					DFVC program				
		special extension (enter description)							
Part II	Basic Plan Infor	rmation—enter all requested information	on						
1a Name of plan NORTHWEST UNDERWATER CONSTRUCTION RETIREMENT PLAN				1b	Three-digit plan number (PN)	001			
					1c	Effective date of plan 01/01/2005			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) NORTHWEST UNDERWATER CONSTRUCTION				employer plan)	2b	Employer Identification Number (EIN) 52-2351172			
	ENNEY ROAD SUITE 11	0-111			2c	Sponsor's telephone number 360-695-5163			
VANCOU	/ER, WA 98685				2d	Business code (23890			
3a Plan	administrator's name an	d address Same as Plan Sponsor Nar	ne Same as Plan	Sponsor Address	3b	Administrator's I	EIN 51172		
ORTHWEST UNDERWATER CONSTRUCTION 800 NE TENNEY ROAD SUITE 110-111 VANCOUVER, WA 98685			11	3с	elephone number 5-5163				
 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. a Sponsor's name 			4c	EIN PN					
5a Tota	al number of participants	at the beginning of the plan year			5a	4			
	, ,	at the end of the plan year			5b		40		
		account balances as of the end of the pla	• •	-	5c		27		
	·	during the plan year invested in eligible	· ·	•			X Yes No		
und	er 29 CFR 2520.104-46?	the annual examination and report of an (See instructions on waiver eligibility and ther line 6a or line 6b, the plan cannot	d conditions.)		·····		X Yes No		
•		t plan, is it covered under the PBGC insu			_		Not determined		
Caution	A penalty for the late of	or incomplete filing of this return/repor	t will be assessed ι	unless reasonable cau	se is	established.			
SB or Sc		ner penalties set forth in the instructions, ind signed by an enrolled actuary, as well elete.							
SIGN	Filed with authorized/\	valid electronic signature.	10/09/2014	KIM WHITTAKER					
HERE	Signature of plan ac	dministrator	Date	Enter name of individu	r name of individual signing as plan administrator				
SIGN HERE									
	Signature of employ		Date		dual signing as employer or plan sponsor				
Preparer	s name (including firm na	ame, if applicable) and address; include r	oom or suite number	(optional)	Prep	arer's telepnone	number (optional)		

Form 5500-SF 2013 Page **2**

Do	rt III Financial Information									
_ Pa			()5 : : ()				<i>(</i>) =			
	Plan Assets and Liabilities	- -	(a) Beginning of Yea		(b) End of Year 688004				ı	
<u>а</u> b	Total plan assets Total plan liabilities	7a 7b	47030	476901			36829			
		7 C	47690	476001				-	651175	
8	C Net plan assets (subtract line 7b from line 7a)			•			/h)		301176	
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(D)	Total		
	(1) Employers	1000								
	(2) Participants	4000								
	(3) Others (including rollovers)	8a(3)	1363	5						
b	Other income (loss)	8b	11549	6						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						2	249437	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	3925	3						
е	Certain deemed and/or corrective distributions (see instructions)	8e	3574	0						
f	Administrative service providers (salaries, fees, commissions)	8f	17	0						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							75163	3
i	Net income (loss) (subtract line 8h from line 8c)	8i							174274	1
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics	•			•					
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D 3H	feature co	des from the List of Plan Chara	acteris	tic Co	des in	the instr	uctions	3:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Charac	cteristi	ic Cod	les in t	he instru	ctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					Х				
С	Was the plan covered by a fidelity bond?			10c	X					15000
d	Did the plan have a loss, whether or not reimbursed by the plan's	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud				X				10000
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			10d						
·	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan	n?		10f	X					9906
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part	VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
112	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No										
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling						ling			
granting the waiver										
	Enter the minimum required contribution for this plan year	•			T	12b				

Page	3 -	1
------	-----	---

С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	art VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust				14b Trust's EIN			