Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

_	rt I		t Identification Informatio	on						
For	For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
A 1	his ret	urn/report is for:	X a single-employer plan	a multiple-employer p	lan (not multiemployer)	yer) a one-participant plan				
ВТ	his ret	urn/report is:	the first return/report	the final return/report						
			an amended return/report	a short plan year return	n/report (less than 12 mo	onths)				
C	Check b	oox if filing under:	X Form 5558	automatic extension			DFVC program			
			special extension (enter de	escription)						
Pa	rt II	Basic Plan Info	ormation—enter all requested	information						
	Name (1b	Three-digit			
NORT	HWES	ST ORTHOPAEDIC S	SURGEONS, P.S. 401(K) PROFIT	T SHARING PLAN			plan number (PN) • 001			
						1c	Effective date of plan	_		
							12/31/2004			
		oonsor's name and a ST ORTHOPAEDIC S	ddress; include room or suite nun SURGEONS, P.S	mber (employer, if for a single-	employer plan)	2b	Employer Identification Number (EIN) 91-1650096			
1500	CONTI	NENTAL PLACE				2c	Sponsor's telephone number 360-202-5793			
NOU	NT VEF	RNON, WA 98273				2d	Business code (see instructions)	_		
							621111			
3a	Plan ad	dministrator's name a	and address XSame as Plan Spo	onsor Name Same as Plar	n Sponsor Address	3b	Administrator's EIN			
						3с	Administrator's telephone number			
4			he plan sponsor has changed sind	•	or this plan, enter the	4b	EIN			
а		, EIN, and the plan hi or's name	umber from the last return/report.			4c	PN			
	•		s at the beginning of the plan yea	ar		5a	7	7		
b	Total n	number of participant	s at the end of the plan year			5b		0		
С	Numbe	er of participants with	account balances as of the end	of the plan year (defined bene	efit plans do not			Ť		
	comple	ete this item)				5c	7	0		
6a		·	ets during the plan year invested in	,	•		X Yes No)		
D	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.))		
			either line 6a or line 6b, the pla							
С	If the p	olan is a defined bene	efit plan, is it covered under the P	BGC insurance program (see	ERISA section 4021)?		Yes No Not determined			
Cau	tion: A	penalty for the late	or incomplete filing of this ret	urn/report will be assessed	unless reasonable cau	se is	established.			
							cluding, if applicable, a Schedule	_		
		edule MB completed a rue, correct, and con	and signed by an enrolled actuary nplete.	y, as well as the electronic ver	sion of this return/report,	, and t	to the best of my knowledge and			
SIGI		Filed with authorized	d/valid electronic signature.	10/09/2014	KATHERINE REINECH	KE				
	_	Signature of plan	administrator	Date	Enter name of individu	vidual signing as plan administrator				
SIGI										
HERE			oyer/plan sponsor	Date		of individual signing as employer or plan sponsor				
Preparer's name (including firm name, if applicable) and address; include room or suite number (optiona				r (optional)	Prep	arer's telephone number (optional)				
					<u> </u>					

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Pa	rt III Financial Information														
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) En	d of Y	'ear						
a	Total plan assets	7a	637879		7976040										
	Total plan liabilities	7b		0					()					
	Net plan assets (subtract line 7b from line 7a)	7c	637879	0				7	976040)					
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b)	Total							
	Contributions received or receivable from:		(4) / 4110 4111				(-,								
	(1) Employers	8a(1)	52274	2											
	(2) Participants	8a(2)	24720	1											
	(3) Others (including rollovers)	8a(3)		0											
b	Other income (loss)	8b	142128	4											
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						2	191227	7					
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	55571	2											
e	Certain deemed and/or corrective distributions (see instructions)	8e	3104	6											
f	Administrative service providers (salaries, fees, commissions)	8f	721	9											
g	Other expenses	8g													
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							59397	7					
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						1	597250	0					
j	Transfers to (from) the plan (see instructions)	8j		0											
Pa	rt IV Plan Characteristics														
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 2T 3D	feature coo	des from the List of Plan Char	acteris	stic Co	des in	the instru	uction	s:						
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature code	es from the List of Plan Chara	cterist	ic Cod	les in t	he instrud	ctions	-						
Par	t V Compliance Questions										_				
10	During the plan year:				Yes	No		Δm	ount		_				
	Was there a failure to transmit to the plan any participant contribute 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidure)			10a		X		7	<u> </u>						
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	? (Do not in	nclude transactions reported	10b		X									
					X					F000	00				
				10c						5000	JU				
	or dishonesty?			10d		X									
е	 Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all 	•	,												
	instructions.)			10e		X									
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X									
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of vear e	nd.)	10q	X					306	58				
h						X				000					
i	If 10h was answered "Yes," check the box if you either provided the	ne required	notice or one of the	10h											
D = ==	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i											
Part	<u> </u>	1.0.4510			0.1			Т							
<u>11</u>	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes		No				
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39														
	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No							No							
12	is this a defined contribution plan subject to the minimum funding	requireme			Otion				(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
12	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,	as applica	able.)												
12		as applica	able.) ed in this plan year, see instru	ctions			ne date of	f the le		ling					
12 a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, If a waiver of the minimum funding standard for a prior year is being	as applica	able.) ed in this plan year, see instru Mon	ctions		enter th	ne date of			ling					

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С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)								
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No				
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
14a Name of trust				14b Trust's EIN				