Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For caler	ar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013							
A This	eturn/report is for:	X a single-employer plan	a multiple-employer p	lan (not multiemployer)	er) a one-participant plan			
B This r	eturn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)		
C Chec	box if filing under:	Form 5558	automatic extension			DFVC progra	am	
	· ·	special extension (enter description	n)					
Part II	Basic Plan Inf	ormation—enter all requested informa	ition					
1a Nam		·			1b	Three-digit		
DR. ALLEI	I LIGON ORAL & MAX	(ILLO PROFIT SHARING PLA				plan number		
					10	(PN)	001	
					10	Effective date o	•	
2a Plan	sponsor's name and a	ddress; include room or suite number (er	nplover. if for a single-	emplover plan)	2h	Employer Identi		
		KILLOFACIAL SURGERY, PLLC	, , , , , , , , , , , , , , , , , , , ,	- P - 7 - P - 7			41295	
					2c	Sponsor's telep	hone number	
	OSA DRIVE					662-23	6-5300	
OXFORD,	MS 38655				2d	Business code (,	
0:		🗔	П		21-	62111		
3a Plan	administrator's name	and address XSame as Plan Sponsor N	ame Same as Plar	Sponsor Address	3D	Administrator's	EIN	
					3с	Administrator's	telephone number	
4 If the	name and/or FIN of the	ne plan sponsor has changed since the la	ast return/report filed fo	or this plan, enter the	4h	EIN		
		umber from the last return/report.	ot retarn report mean	or this plan, enter the	TD	LIN		
a Spor	sor's name				4c	PN		
5a Tota	I number of participant	s at the beginning of the plan year			5a		6	
b Tota	I number of participant	s at the end of the plan year			5b		6	
		account balances as of the end of the p	• •	-	5 0		•	
	•				5с			
	•	ets during the plan year invested in eligible of the annual examination and report of a	•	,			X Yes ∐ No	
		6? (See instructions on waiver eligibility a					X Yes No	
If yo	u answered "No" to	either line 6a or line 6b, the plan canno	ot use Form 5500-SF	and must instead use	Form	า 5500.		
C If the	plan is a defined bene	efit plan, is it covered under the PBGC in	surance program (see	ERISA section 4021)?	[Yes No	Not determined	
Caution:	A penalty for the late	or incomplete filing of this return/rep	ort will be assessed	unless reasonable cau	ıse is	established.		
		other penalties set forth in the instructions					able, a Schedule	
	nedule MB completed a strue, correct, and cor	and signed by an enrolled actuary, as we	Il as the electronic ver	sion of this return/report	t, and	to the best of my	knowledge and	
Deliei, it i	True, correct, and cor	mpiete.		1				
SIGN	Filed with authorized	d/valid electronic signature.	10/09/2014	ALLEN LIGON				
HERE	Signature of plan	administrator	Date	Enter name of individ	ual si	gning as plan adr	ninistrator	
SIGN	Filed with authorized	d/valid electronic signature.	10/09/2014	ALLEN LIGON				
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individ	ual si	gning as employe	er or plan sponsor	
Preparer	s name (including firm	name, if applicable) and address; include	e room or suite numbe				number (optional)	
I								

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Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	or.			(b) End	of V			
	Total plan assets	(4)			+		(b) Lila		89670)	
	Total plan liabilities										
	Net plan assets (subtract line 7b from line 7a)	56487	564876				6	89670)		
	Income, Expenses, and Transfers for this Plan Year	10					(b) Total				
	Contributions received or receivable from:		(a) Amount				(5) 1	λαι			
	(1) Employers	8a(1)	4248	8							
	(2) Participants	8a(2)	3790	14							
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	5044	0							
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1	30832		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	603	8							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							6038	3	
i	Net income (loss) (subtract line 8h from line 8c)	8i						1	24794	1	
j	Transfers to (from) the plan (see instructions)	8j									
Pai	t IV Plan Characteristics		•		•						
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3B 3D	feature co	des from the List of Plan Char	acteris	stic Co	odes in	the instruct	ions	:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	des in t	he instruction	ons:			
_	<u> </u>										
Par							1				
10	During the plan year:			1	Yes	No		Amo	ount		
	a Was there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X					
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	`	•	10b		X					
С	Was the plan covered by a fidelity bond?			10c	X					50	0000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth										
	insurance service, or other organization that provides some or all					X					
	instructions.)			10e							
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		Х					
g	Did the plan have any participant loans? (If "Yes," enter amount a	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X					
i	,										
Part				10i							
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
5500) and line 11a below)											
	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,			otions	024	onto- H	o doto -f "	20 15	ttor ==	lin~	
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mon		, and (enter tr Day		ne ie Yea		ıırıg	
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•			-	461	1				
b	Enter the minimum required contribution for this plan year					12b					

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.))					
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust				14b Trust's EIN			

Form 5500-SF	Short Form Annual Re		of Small Emplo	yee	OMB Nos. 1210-0110 1210-0089	
Deportment of the Treesury Internal Revenue Service	Department of the Treasury Internal Revenue Service This form is required to be filed under sections 104 and 4065 of the Employ.					
Department of Labor Employee Beneils Security Administration	Retirement Income Security Act of	ee :8(a) of	This Form is Open to Public			
Pension Benefit Guaranty Corporation	the them in the state (title code).					
□ Partil	entification information 🦈	mine And Me Heer	tegetts to nie Louit on	Ju-ar.	<u> </u>	
For calendar plan year 2013 or fisca	l plan year beginning 0:	1/01/2013	and ending		12/31/2013	
A This return/report is for:	a single-employer plan	a multiple-employer i	plan (not multiemployer)	j	a one-participant plan	
B This return/report is:	the first return/report	the final return/report	i			
	an amended return/report 🔲 a	a short plan year retu	m/report (less than 12 m	ionihs)	}	
C Check box if filing under:	Form 5658	automatic extension			DFVC program	
	special extension (enter description	n)				
@Part II ■ Basic Plan Inform	nation—enter all requested information	illon				
1a Name of plan		,		1b	Three-digit	
Dr. Allen Ligon Ora	l & Maxillo Profit Sha	iring Pla			plan number	
					(PN) 001 Effective date of plan	
					04/06/2006	
2a Plan sponsor's name and address	ss; include room or suite number (en	noloyer, if for a single	-employer plan)		Employer Identification Number	
Dr. Allen Ligon Oral Maxillofacial Surger	1 &		• • •		(EIN) 20-4641295	
manifictantal pargo-	.ү, гинс		!		Sponsor's telephone number	
1121 Mimosa Drive			!		(662) 236-5300	
		wa	* ******	i i	Business code (see instructions)	
Oxford 3a Plan administrator's name and a	Adreso Mama se Plan Soonsor Ne		38655 n Sponsor Address		621111 Administrator's EIN	
VA FIGH ANIMHOLOGICA CHARLES - 17-11	mines Mouthe de Lieu chairea 17-	illa Positonation	Labouant Gentres		Waldingsand o Fad	
			1	3с	Administrator's telephone number	
4 If the name and/or EIN of the plannumber a Sponsor's name	an sponsor has changed since the last or from the last return/report.	st return/report filed fo	or this plan, enter the	4b		
5a Total number of participants at the	he beginning of the plan year	*************************		5a	1	
·	he end of the plan year		ŀ	5b	6	
C Number of participants with acco	ount balances as of the end of the pla	an year (defined bene	fon ob analq lite	āс	6	
6a Were all of the plan's assets dur					X Yes No	
b Are you delming a waiver of the under 29 CFR 2520.104-46? (Se If you answered "No" to althar	e annual exemination and report of an ee instructions on waiver eligibility an r line 6a or line 6b, the plan cannot an, is it covered under the PBGC insu	n independent qualifie nd conditions.) t use Form 5500-SF	ed public accountant (IQF and must instead use i	PA) Form 5		
Caution: A penalty for the late or in	nomplete filing of this return/repo	of will he assessed :	un) eldangaer aselnu	aa la e	stablished.	
Under penalties of perjury and other p SB or Schedule MB completed and si belief, it is true, correct, and complete	penalties set forth in the instructions, igned by an enrolled actuary, as well	I declare that I have	examined this return/rep	ort, inc	duding, if applicable, a Schedule	
SIGN SIGN		10914	Allen Ligon			
HERE: Signature of plan admin	alalvalav	Date		rel sinc	ning as plan administrator	
A A	IISTRUI		Allen Ligon	क्षा मधुन	III ga Mali aAutumonores	
SIGN STATE OF A STATE	ation and assessed	 	· · · · · · · · · · · · · · · · · · ·	سا جامد	ning as employer or plan sponsor	
Signature of employer/ Preparer's name (including firm name), if applicable) and address; include:	Date '	r (optional)		rer's telephone number (optional)	
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For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 6599-SF.