

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>KONE INC. EMPLOYEES' RETIREMENT PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>05/18/1962</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>36-2357423</u></td> </tr> <tr> <td colspan="2">2c Sponsor's telephone number <u>630-955-4225</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>333900</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>05/18/1962</u>		2b Employer Identification Number (EIN) <u>36-2357423</u>		2c Sponsor's telephone number <u>630-955-4225</u>		2d Business code (see instructions) <u>333900</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
1c Effective date of plan <u>05/18/1962</u>											
2b Employer Identification Number (EIN) <u>36-2357423</u>											
2c Sponsor's telephone number <u>630-955-4225</u>											
2d Business code (see instructions) <u>333900</u>											
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>KONE INC.</u> <u>4225 NAPERVILLE ROAD, SUITE 400</u> <u>LISLE, IL 60532</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature. Signature of plan administrator	10/10/2014 Date	MICHAEL P. BAUSCHKA Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional) <u>DELOITTE TAX LLP</u> <u>111 S. WACKER DRIVE</u> <u>CHICAGO, IL 60606</u>			Preparer's telephone number (optional) <u>312-486-1000</u>

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN
5 Total number of participants at the beginning of the plan year		5 3057
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants		6a 1152
b Retired or separated participants receiving benefits		6b 749
c Other retired or separated participants entitled to future benefits		6c 1097
d Subtotal. Add lines 6a , 6b , and 6c		6d 2998
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e 130
f Total. Add lines 6d and 6e		6f 3128
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h 48
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1B 3H		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>KONE INC. EMPLOYEES' RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>KONE INC.</u>	D Employer Identification Number (EIN) <u>36-2357423</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information
1 Enter the valuation date: Month _____ Day _____ Year _____	
2 Assets:	
a Market value	2a <u>126306761</u>
b Actuarial value	2b <u>122411857</u>
3 Funding target/participant count breakdown:	
a For retired participants and beneficiaries receiving payment.....	3a <u>810</u> <u>39083354</u>
b For terminated vested participants.....	3b <u>1149</u> <u>26549482</u>
c For active participants:	
(1) Non-vested benefits	3c(1) <u>1124879</u>
(2) Vested benefits	3c(2) <u>35215614</u>
(3) Total active.....	3c(3) <u>1098</u> <u>36340493</u>
d Total.....	3d <u>3057</u> <u>101973329</u>
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐	
a Funding target disregarding prescribed at-risk assumptions	4a
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor.....	4b
5 Effective interest rate	5 <u>6.37 %</u>
6 Target normal cost	6 <u>2683663</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		<u>09/24/2014</u>
Signature of actuary		Date
<u>ROBERT W. BERNSEE</u>		<u>14-02764</u>
Type or print name of actuary		Most recent enrollment number
<u>HEWITT ASSOCIATES LLC</u>		<u>847-295-5000</u>
Firm name		Telephone number (including area code)
<u>4 OVERLOOK POINT</u> <u>LINCOLNSHIRE, IL 60069</u>		
Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2013
v. 130118

Part II Beginning of Year Carryover and Prefunding Balances		
	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of <u>14.35</u> %		
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		19838325
b Interest on (a) using prior year's effective interest rate of <u>7.07</u> % except as otherwise provided (see instructions)		1402570
c Total available at beginning of current plan year to add to prefunding balance		21240895
d Portion of (c) to be added to prefunding balance		6500000
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	6500000

Part III Funding Percentages		
14 Funding target attainment percentage	14	113.66 %
15 Adjusted funding target attainment percentage	15	120.04 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	106.65 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
10/15/2013	1421289				
01/14/2014	728451				
09/09/2014	1088000				
			Totals ►	18(b)	3237740
				18(c)	0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	3017566
20 Quarterly contributions and liquidity shortfalls:		
a Did the plan have a "funding shortfall" for the prior year?	☐ Yes ☒ No	
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☐ Yes ☐ No	
c If line 20a is "Yes," see instructions and complete the following table as applicable:		

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.94%	2nd segment: 6.15%	3rd segment: 6.76 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 62
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6).....	31a	2683663	
b Excess assets, if applicable, but not greater than line 31a	31b	2683663	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment.....	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	0	0
36 Additional cash requirement (line 34 minus line 35).....	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	3017566	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	3017566	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42		
43 Excess installment acceleration amount to be carried over to future plan years	43		

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013	
A Name of plan KONE INC. EMPLOYEES' RETIREMENT PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 KONE INC.	D Employer Identification Number (EIN) 36-2357423

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HEWITT ASSOCIATES LLC

36-2235791

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	NONE	252258	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK, N.A.

41-6257133

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 50 64 65	NONE	111628	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

LEGAL & GENERAL INVESTMENT MGMT

20-8058531

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 50	NONE	100294	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

DIMEO SCHNEIDER & ASSOC., LLC

36-4001764

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	36998	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CRIPPEN, REID & BOWEN, L.L.C.

36-2767460

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	21600	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name: CRIPPEN, REID & BOWEN, L.L.C.	b EIN: 36-2767460
c Position: ACCOUNTANT	
d Address: 1528 47TH AVENUE MOLINE, IL 61265	e Telephone: 309-764-3200

Explanation: THE PLAN SPONSOR ENGAGED WITH A NEW ACCOUNTING FIRM TO PROVIDE THE PLAN AUDIT.

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013		
A Name of plan KONE INC. EMPLOYEES' RETIREMENT PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 KONE INC.	D Employer Identification Number (EIN) 36-2357423	

Part I	Asset and Liability Statement		(a) Beginning of Year	(b) End of Year
1	Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
	Assets			
a	Total noninterest-bearing cash	1a		
b	Receivables (less allowance for doubtful accounts):			
	(1) Employer contributions.....	1b(1)	18002788	1816451
	(2) Participant contributions.....	1b(2)		
	(3) Other.....	1b(3)	380715	533520
c	General investments:			
	(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	602301	14083880
	(2) U.S. Government securities	1c(2)	9936787	11033520
	(3) Corporate debt instruments (other than employer securities):			
	(A) Preferred	1c(3)(A)		
	(B) All other.....	1c(3)(B)	21944978	30565494
	(4) Corporate stocks (other than employer securities):			
	(A) Preferred	1c(4)(A)		
	(B) Common.....	1c(4)(B)		
	(5) Partnership/joint venture interests	1c(5)		
	(6) Real estate (other than employer real property).....	1c(6)		
	(7) Loans (other than to participants)	1c(7)		
	(8) Participant loans.....	1c(8)		
	(9) Value of interest in common/collective trusts	1c(9)		
	(10) Value of interest in pooled separate accounts	1c(10)		
	(11) Value of interest in master trust investment accounts	1c(11)		
	(12) Value of interest in 103-12 investment entities.....	1c(12)		
	(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	71396431	70543893
	(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
	(15) Other.....	1c(15)	4711995	3013517

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	126975995	131590275

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j	0	58650
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	58650

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	126975995	131531625
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	3237740	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		3237740
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	657	
(B) U.S. Government securities	2b(1)(B)	398996	
(C) Corporate debt instruments	2b(1)(C)	1223990	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	221965	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		1845608
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	1635863	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		1635863
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	94308612	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	95091311	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-782699
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-4898118	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-4898118

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		8403666
c Other income	2c		34866
d Total income. Add all income amounts in column (b) and enter total	2d		9476926

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	4398518	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		4398518
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)	310856	
(2) Contract administrator fees	2i(2)	54045	
(3) Investment advisory and management fees	2i(3)	154011	
(4) Other	2i(4)	3866	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		522778
j Total expenses. Add all expense amounts in column (b) and enter total	2j		4921296

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		4555630
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: PLANTE & MORAN, LLC

(2) EIN: 38-1357951

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		5000000
4e	X		5000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X		
4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.		X	
4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☒ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>KONE INC. EMPLOYEES' RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>KONE INC.</u>	D Employer Identification Number (EIN) <u>36-2357423</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	<u>1</u>	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>41-6257133</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	<u>3</u>	<u>7</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☒ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	<u>6a</u>		
b Enter the amount contributed by the employer to the plan for this plan year.....	<u>6b</u>		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	<u>6c</u>		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☒ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☒ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 55% Investment-Grade Debt: 34% High-Yield Debt: 5% Real Estate: 0% Other: 6%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☒ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☒ Modified duration ☐ Other (specify):

KONE, Inc. Employees' Retirement Plan

Financial Report
December 31, 2013

KONE, Inc. Employees' Retirement Plan

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Independent Auditor's Report

To the Retirement Committee
KONE, Inc. Employees' Retirement Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of KONE, Inc. Employees' Retirement Plan (the "Plan"), which comprise the statement of net assets available for benefits as of December 31, 2013 and the related statement of changes in net assets available for benefits for the year then ended, and the statement of accumulated plan benefits as of December 31, 2012 and the related statement of changes in accumulated plan benefits for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the 2013 financial statements.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Wells Fargo Bank, N.A. (the "Trustee"), except for comparing such information with the related information included in the 2013 financial statements. We have been informed by the plan administrator that the Trustee holds the Plan's investment assets and executes related transactions. The plan administrator has obtained a certification from the Trustee as of and for the year ended December 31, 2013 that the information provided to the plan administrator by the Trustee is complete and accurate.

To the Retirement Committee
KONE, Inc. Employees' Retirement Plan

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the 2013 financial statements. Accordingly, we do not express an opinion on the 2013 financial statements.

Other Matter

The supplemental schedules of assets held at end of year as of December 31, 2013 and reportable transactions for the year ended December 31, 2013 are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under ERISA and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules as a whole.

Report on Prior Year Financial Statements

The 2012 financial statements of KONE, Inc. Employees' Retirement Plan, which comprised the statement of net assets available for benefits and related statement of changes in net assets available for benefits as of and for the year ended December 31, 2012, were audited by other auditors, whose report dated October 11, 2013 disclaimed an opinion as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Report on Form and Content in Compliance with DOL Rules and Regulations for the 2013 Financial Statements

The form and content of the information included in the 2013 financial statements and supplemental schedules, other than that derived from the information certified by the Trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Plante & Morse, PLLC

Elgin, Illinois
October 2, 2014

KONE, Inc. Employees' Retirement Plan

Statement of Net Assets Available for Benefits

	December 31, 2013	December 31, 2012
Assets		
Investments at fair value:		
Money Market Fund	\$ 14,083,880	\$ 602,301
Mutual funds	70,543,893	71,396,431
U.S. government securities	11,033,520	9,936,786
Corporate debt securities	30,565,494	24,793,271
Municipal bonds	3,013,517	1,863,703
Total investments at fair value	129,240,304	108,592,492
Employer contributions receivable	1,816,451	18,002,788
Accrued interest and dividends	533,520	380,715
Total assets	131,590,275	126,975,995
Liabilities - Accrued liabilities	58,650	-
Net Assets Available for Benefits	\$ 131,531,625	\$ 126,975,995

KONE, Inc. Employees' Retirement Plan

Statement of Changes in Net Assets Available for Benefits

	Year Ended	
	December 31, 2013	December 31, 2012
Additions to Net Assets		
Employer contributions	\$ 3,237,740	\$ 21,785,614
Investment income:		
Interest and dividends	3,481,471	1,501,372
Net realized and unrealized gains on investments (Note 3)	2,757,715	12,190,291
Total investment income	6,239,186	13,691,663
Total additions	9,476,926	35,477,277
Deductions from Net Assets		
Benefits paid directly to participants or beneficiaries	4,398,518	4,025,548
Administrative expenses	522,778	522,077
Total deductions	4,921,296	4,547,625
Net Increase	4,555,630	30,929,652
Net Assets Available for Benefits		
Beginning of year	126,975,995	96,046,343
End of year	<u>\$ 131,531,625</u>	<u>\$ 126,975,995</u>

KONE, Inc. Employees' Retirement Plan

Statement of Accumulated Plan Benefits December 31, 2012

Actuarial present value of accumulated plan benefits:

Vested benefits:

Participants currently receiving benefit payments	\$ 46,696,787
Other vested participants	<u>97,708,151</u>

Total vested benefits	<u>144,404,938</u>
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Nonvested benefits	<u>738,354</u>
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Total actuarial present value of accumulated plan benefits (see Note 2)	<u><u>\$ 145,143,292</u></u>
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KONE, Inc. Employees' Retirement Plan

Statement of Changes in Accumulated Plan Benefits Year Ended December 31, 2012

Actuarial present value of accumulated plan benefits - Beginning of year	\$ 128,493,105
Increase during the year attributable to:	
Benefits accumulated	4,164,664
Benefits paid	(4,025,548)
Increase due to decrease in the discount period	5,439,565
Change of assumptions	<u>11,071,506</u>
Net increase	<u>16,650,187</u>
Actuarial present value of accumulated plan benefits - End of year (see Note 2)	<u>\$ 145,143,292</u>

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 1 - Plan Description

The following description of KONE, Inc. Employees' Retirement Plan (the "Plan") provides only general information. Participants should refer to the plan agreement for a more complete description of the Plan's provisions.

General - The Plan is a defined benefit plan covering substantially all employees of KONE, Inc. (the "Company"). The Plan is a combination of different locations and/or business unit and therefore requirements and benefits vary. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Eligibility and Vesting - Employees become participants in the Plan following completion of the eligibility requirements as described in the Plan and are fully vested for normal retirement benefits after five years of service.

Pension Benefits - Salaried and clerical employees are entitled to annual pension benefits beginning at age 65 equal to a percentage, as specified in the Plan, of their average annual compensation for the five most highly compensated consecutive calendar years within the 10-year period preceding retirement or termination of service. All other employees are entitled to annual pension benefit beginning at age 65 equal to the number of completed years of service multiplied by the applicable rate in effect at the date of retirement. Employees may elect to receive the value of their accumulated plan benefit as a lump-sum distribution upon retirement or termination, or they may elect to receive their benefits as a life annuity payable monthly from retirement.

Death Benefits - If an active or terminated employee who has a vested interest in the Plan dies prior to his or her normal retirement age, a death benefit equal to the benefit the employee would have received under the qualified joint and survivor annuity form had they retired on the day immediately preceding the date of his or her death will be paid to the surviving spouse. Beneficiaries of unmarried participants and those who have elected to waive the preretirement surviving spouse benefit will receive a lump-sum benefit or monthly installments equal to the actuarial equivalent of the participant's vested accrued normal retirement benefit at the date of break in service.

Funding - Contributions are made by the Company in actuarially determined amounts. The Company's policy is to make contributions necessary to satisfy ERISA funding standards. Annual contributions meet the minimum funding requirements of ERISA.

Contributions - All contributions to the Plan are made by the Company. The Company contributes such amounts, as determined on an actuarial basis, to provide the Plan with assets sufficient to meet the projected benefits to be paid to plan participants. No participant contributions are permitted.

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 1 - Plan Description (Continued)

Party-in-interest Transactions - Certain plan assets are in investment funds managed by Wells Fargo Bank, N.A. or its affiliates. Wells Fargo Bank, N.A. is the trustee of the Plan and, therefore, these transactions qualify as party-in-interest transactions as defined under ERISA guidelines.

Note 2 - Summary of Significant Accounting Policies

Basis of Accounting - The accompanying financial statements are prepared on the accrual basis of accounting.

Investment Valuation - Investments held by the Plan are stated at fair value. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for further discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date.

Benefit Payments - Benefits are recorded when paid.

Administrative Expenses - Various administrative costs are paid by the Company.

Actuarial Present Value of Accumulated Plan Benefits - Accumulated plan benefits are those future periodic payments, including lump-sum payments, that are attributable, under the Plan's provisions, to the service employees have rendered. These include benefits expected to be paid to:

- a. Retired or terminated employees or their beneficiaries
- b. Beneficiaries of employees who have died
- c. Present employees or their beneficiaries

Benefits are calculated based on the greater of the employee's compensation during his or her five most highly compensated consecutive calendar years occurring within a 10-year period immediately preceding retirement or termination of service, or by multiplying credited years of service by the applicable benefit rate in effect at retirement.

The actuarial present value of accumulated plan benefits is determined by an independent actuary and is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money and probability of payment between the valuation date and the expected date of payment. The Plan has elected to calculate the obligation using beginning of the year benefit information.

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 2 - Summary of Significant Accounting Policies (Continued)

The significant actuarial assumptions used in the valuation of the Plan as of December 31, 2012 are summarized as follows:

Actuarial method	Projected unit credit cost method
Retirement age	Rates graded by age, ranging from 55 to 70
Mortality	2013 static mortality table for annuitants and nonannuitants per section 1.430(h)(3)-1(e)
Salary increases	2.5 percent increase per year through 2015, then 4.0 percent per year in 2016 and thereafter
Discount rate	3.78 percent for the 2012 year end and 4.30 percent for the 2011 year end

The increase of \$11 million of accumulated plan benefits as a result of assumption changes relates to a change in the discount rate, use of an updated mortality table, and a change in the assumed plan expenses.

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Risks and Uncertainties - Contributions to the Plan and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee compensation and demographics. Due to the changing nature of these assumptions, it is at least reasonably possible that changes in these assumptions will occur in the near term and, due to the uncertainties inherent in setting assumptions, that the effect of such changes could be material to the financial statements. Investment securities are exposed to various risks, such as interest rate, market, and credit risks. It is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the statement of net assets available for benefits.

Use of Estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of additions and deductions during the reporting period. Actual results could differ from those estimates.

Subsequent Events - The financial statements and related disclosures include evaluation of events up through and including October 2, 2014, which is the date the financial statements were available to be issued.

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 2 - Summary of Significant Accounting Policies (Continued)

Reclassification - Certain prior year investments have been reclassified on the statement of net assets to conform to the current year presentation.

Change in Presentation - Beginning in 2013, the accumulated plan benefit information and the changes in accumulated plan benefit information have been disclosed as separate statements in the financial report. Previously, such information was presented in the Notes to the financial statements. Accounting principles generally accepted in the United States of America and the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 allow disclosure of actuarial information in the notes to the financial statements or as a separate statement in the financial report. The change did not have an impact on the net assets available for benefits or the changes in net assets available for benefits.

Note 3 - Unaudited Information

Wells Fargo Bank, N.A. (the "Trustee") holds the Plan's investments and executes all investment transactions. The investment balances and related investment income and losses included in the accompanying financial statements and supplemental schedule of assets held at end of year are based solely on information provided by the Trustee.

Significant investments held at end of year are as follows:

	2013	2012
Money market fund:		
Wells Fargo Advantage Heritage Money Market	\$ 14,083,880	\$ 602,301
Mutual Funds:		
American Beacon Large Cap Value Investor Fund	3,804,194	6,687,009
American Funds Europacific Growth Fund	6,482,474	6,840,161
Dodge & Cox International Stock Fund	6,576,357	6,874,007
Lazard Emerging Markets Portfolio Fund	7,282,019	9,331,499
Vanguard Institutional Index Fund	6,399,707	8,538,528
PIMCO Commodity Real Return Strategy Fund	9,903,233	-

During 2013 and 2012, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by \$2,757,715 and \$12,190,291, respectively, as follows:

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 3 - Unaudited Information (Continued)

	2013	2012
Net realized and unrealized gains (losses) on investments:		
Mutual funds	\$ 8,403,666	\$ 10,356,470
U.S. government securities	(1,955,532)	573,033
Corporate debt securities	(3,351,238)	687,254
Municipal bonds	(339,181)	573,033
Net appreciation in fair value	<u>\$ 2,757,715</u>	<u>\$ 12,190,291</u>

Note 4 - Fair Value Measurements

Accounting standards require certain assets and liabilities be reported at fair value in the financial statements and provide a framework for establishing that fair value. The framework for determining fair value is based on a hierarchy that prioritizes the inputs and valuation techniques used to measure fair value.

The following tables present information about the Plan's assets measured at fair value on a recurring basis at December 31, 2013 and 2012.

Level 1 - Fair values determined by Level 1 inputs use quoted prices in active markets for identical assets that the Plan has the ability to access.

Level 2 - Fair values determined by Level 2 inputs use other inputs that are observable, either directly or indirectly. These Level 2 inputs include quoted prices for similar assets in active markets, quoted prices for identical or similar assets in markets that are not active, and inputs other than quoted prices that are observable for the asset.

Level 3 - Level 3 inputs are unobservable inputs, including inputs that are available in situations where there is little, if any, market activity for the related asset. These Level 3 fair value measurements are based primarily on management's own estimates using pricing models, discounted cash flow methodologies, or similar techniques taking into account the characteristics of the asset.

In instances whereby inputs used to measure fair value fall into different levels in the above fair value hierarchy, fair value measurements in their entirety are categorized based on the lowest level input that is significant to the valuation. The Plan's assessment of the significance of particular inputs to these fair value measurements requires judgment and considers factors specific to each asset.

The following valuation methodologies have been used to value the Plan's investments:

Mutual Funds - Mutual funds are valued at closing quoted prices reported in active markets.

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 4 - Fair Value Measurements (Continued)

Money Market Fund - The money market fund is valued at the outstanding balance, which is the best estimate of fair value.

U.S. Government, Corporate Debt Securities, and Municipal Bonds - The U.S. government, corporate, and municipal debt securities are valued using quoted market prices and/or other market data for the same or comparable instruments and transactions in establishing the prices, discounted cash flow models, and other pricing models. These models are primarily industry-standard models that consider various assumptions, including time value and yield curve as well as other relevant economic measures.

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

Assets Measured at Fair Value on a Recurring Basis at December 31, 2013

	Level 1	Level 2	Balance at December 31, 2013
Money market funds	\$ 14,083,880	\$ -	\$ 14,083,880
Mutual funds:			
Equity investments	65,855,217	-	65,855,217
Fixed-income investments	4,688,676	-	4,688,676
U.S. government securities	11,033,520	-	11,033,520
Corporate debt securities:			
Corporate bonds - primarily U.S. debt securities	-	28,087,205	28,087,205
Private placement	-	2,478,289	2,478,289
Municipal bonds	-	3,013,517	3,013,517
Total	<u>\$ 95,661,293</u>	<u>\$ 33,579,011</u>	<u>\$ 129,240,304</u>

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 4 - Fair Value Measurements (Continued)

Assets Measured at Fair Value on a Recurring Basis at December 31, 2012

	Level 1	Level 2	Balance at December 31, 2012
Money market funds	\$ 602,301	\$ -	\$ 602,301
Mutual funds:			
Equity investments	39,377,617	-	39,377,617
Fixed-income investments	5,633,406	-	5,633,406
Foreign investments	26,385,408	-	26,385,408
U.S. government securities	9,936,786	-	9,936,786
Corporate debt securities:			
Corporate bonds - primarily U.S. debt securities	-	21,944,978	21,944,978
Private placement	-	2,848,293	2,848,293
Municipal bonds	-	1,863,703	1,863,703
Total	<u>\$ 81,935,518</u>	<u>\$ 26,656,974</u>	<u>\$ 108,592,492</u>

The Plan's policy is to recognize transfers between levels of the fair value hierarchy as of the end of the reporting period.

The Plan also holds other assets and liabilities not measured at fair value on a recurring basis, including employer contributions receivable, accrued income, and accrued liabilities. The fair value of these assets and liabilities approximates the carrying amounts in the accompanying financial statements due to either the short maturity of the instruments.

Note 5 - Tax Status

The Plan has received a determination letter from the Internal Revenue Service dated February 23, 2010 indicating that the Plan, as designed, is qualified for tax-exempt treatment under the applicable section of the Internal Revenue Code. Accordingly, no provision for income taxes has been made in the accompanying statements.

In accordance with guidance on accounting for uncertainty in income taxes, management evaluated the Plan's tax positions and does not believe the Plan has any uncertain tax positions that require disclosure or adjustment to the financial statements. The plan administrator believes the Plan is no longer subject to tax examinations for years prior to 2010.

KONE, Inc. Employees' Retirement Plan

Notes to Financial Statements December 31, 2013 and 2012

Note 6 - Plan Termination

Should the Plan terminate at some future time, its net assets generally will not be available on a pro-rata basis to provide participants' benefits. Whether a particular participant's accumulated plan benefits will be paid depends on both the priority of those benefits and the level of benefits guaranteed by the Pension Benefit Guaranty Corporation (PBGC) at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guarantee while other benefits may not be provided for at all.



Plan Name: KONE Inc. Employees' Retirement Plan
EIN: 36-2357423 Plan No:001

Schedule of Assets Held at End of Year
Form 5500, Schedule H, Line 4i
EIN 36-2357423, Plan No. 001
December 31, 2013

STATEMENT OF ASSETS AND LIABILITIES
AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
<u>CASH EQUIVALENTS</u>					
OTHER CASH EQUIVALENTS					
PROPRIETARY FUNDS					
	WELLS FARGO ADVANTAGE				
	HERITAGE MONEY MARKET				
	INSTITUTIONAL #3106				
	VP7000228				
13,775,288.440	8001081	13,775,288.44	13,775,288.44	0.00	116.69
308,591.290	8001083	308,591.29	308,591.29	0.00	1.40
14,083,879.730	TOTAL WELLS FARGO ADVANTAGE	14,083,879.73	14,083,879.73	0.00	118.09
		100.000	100.000		
	TOTAL PROPRIETARY FUNDS	14,083,879.73	14,083,879.73	0.00	118.09
	TOTAL OTHER CASH EQUIVALENTS	14,083,879.73	14,083,879.73	0.00	118.09
	TOTAL CASH EQUIVALENTS	14,083,879.73	14,083,879.73	0.00	118.09
<u>BONDS & NOTES</u>					
US GOVERNMENT					
US TREASURY NOTES AND BONDS					
	US TREASURY BOND				
	DTD 11/15/12 2.750 11/15/2042				
	912810QY7				
1,525,000.000	8001083	1,356,112.54	1,205,710.75	150,401.79-	5,444.92
1,525,000.000	TOTAL US TREASURY BOND	1,356,112.54	1,205,710.75	150,401.79-	5,444.92
		88.925	79.063		
	US TREASURY BOND				
	DTD 05/15/12 3.000 05/15/2042				
	912810QW1				
1,215,000.000	8001083	1,230,443.58	1,018,886.85	211,556.73-	4,732.46
1,215,000.000	TOTAL US TREASURY BOND	1,230,443.58	1,018,886.85	211,556.73-	4,732.46
		101.271	83.859		



STATEMENT OF ASSETS AND LIABILITIES
AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	US TREASURY BOND DTD 02/15/12 3.125 02/15/2042 912810QU5				
<u>1,750,000.000</u>	8001083	<u>1,834,311.78</u>	<u>1,508,832.50</u>	<u>325,479.28-</u>	<u>20,656.42</u>
<u>1,750,000.000</u>	TOTAL US TREASURY BOND	<u>1,834,311.78</u> 104.818	<u>1,508,832.50</u> 86.219	<u>325,479.28-</u>	<u>20,656.42</u>
	US TREASURY BOND DTD 08/15/13 3.625 08/15/2043 912810RC4				
<u>2,235,000.000</u>	8001083	<u>2,167,826.93</u>	<u>2,110,689.30</u>	<u>57,137.63-</u>	<u>32,108.34</u>
<u>2,235,000.000</u>	TOTAL US TREASURY BOND	<u>2,167,826.93</u> 96.994	<u>2,110,689.30</u> 94.438	<u>57,137.63-</u>	<u>32,108.34</u>
	US TREASURY BOND DTD 11/15/10 4.250 11/15/2040 912810QL5				
<u>1,459,000.000</u>	8001083	<u>1,471,401.04</u>	<u>1,552,696.98</u>	<u>81,295.94</u>	<u>8,050.70</u>
<u>1,459,000.000</u>	TOTAL US TREASURY BOND	<u>1,471,401.04</u> 100.850	<u>1,552,696.98</u> 106.422	<u>81,295.94</u>	<u>8,050.70</u>
	US TREASURY BOND DTD 02/15/06 4.500 02/15/2036 912810FT0				
<u>786,000.000</u>	8001083	<u>776,055.33</u>	<u>874,550.76</u>	<u>98,495.43</u>	<u>13,359.86</u>
<u>786,000.000</u>	TOTAL US TREASURY BOND	<u>776,055.33</u> 98.735	<u>874,550.76</u> 111.266	<u>98,495.43</u>	<u>13,359.86</u>
	US TREASURY BOND DTD 11/17/97 6.1250 11/15/2027 912810FB9				
<u>480,000.000</u>	8001083	<u>711,850.36</u>	<u>624,600.00</u>	<u>87,250.36-</u>	<u>3,817.13</u>
<u>480,000.000</u>	TOTAL US TREASURY BOND	<u>711,850.36</u> 148.302	<u>624,600.00</u> 130.125	<u>87,250.36-</u>	<u>3,817.13</u>



STATEMENT OF ASSETS AND LIABILITIES
AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

PAR VALUE/SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	UNREALIZED GAIN/LOSS	ACCRUED INCOME
	US TREASURY BOND DTD 11/15/99 6.250 5/15/2030 912810FM5				
966,000.000	8001083	1,357,438.46	1,290,363.48	67,074.98-	7,838.74
966,000.000	TOTAL US TREASURY BOND	1,357,438.46 140.522	1,290,363.48 133.578	67,074.98-	7,838.74
	US TREASURY BOND DTD 08/15/95 6.8750 08/15/2025 912810EV6				
536,000.000	8001083	698,791.20	729,796.16	31,004.96	13,918.89
536,000.000	TOTAL US TREASURY BOND	698,791.20 130.371	729,796.16 136.156	31,004.96	13,918.89
	US TREASURY NOTE DTD 11/15/13 2.750 11/15/2023 912828WE6				
120,000.000	8001083	119,062.50	117,393.60	1,668.90-	428.45
120,000.000	TOTAL US TREASURY NOTE	119,062.50 99.219	117,393.60 97.828	1,668.90-	428.45
	TOTAL US TREASURY NOTES AND BONDS	11,723,293.72	11,033,520.38	689,773.34-	110,355.91
	TOTAL US GOVERNMENT	11,723,293.72	11,033,520.38	689,773.34-	110,355.91
	CORPORATE BONDS				
	CORPORATE BONDS				
	AETNA INC DTD 11/07/12 2.750 11/15/2022 008117AP8				
60,000.000	8001083	58,791.80	55,269.00	3,522.80-	210.83
60,000.000	TOTAL AETNA INC	58,791.80 97.986	55,269.00 92.115	3,522.80-	210.83



STATEMENT OF ASSETS AND LIABILITIES
 AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	ALCAN INC DTD 12/08/03 6.125 12/15/2033 013716AU9				
<u>305,000.000</u>	8001083	<u>389,033.55</u>	<u>343,231.75</u>	<u>45,801.80-</u>	<u>830.28</u>
305,000.000	TOTAL ALCAN INC	389,033.55	343,231.75	45,801.80-	830.28
		127.552	112.535		
	ALLTEL CORP DTD 04/27/99 6.800 05/01/2029 020039AJ2				
<u>10,000.000</u>	8001083	<u>12,708.00</u>	<u>11,565.60</u>	<u>1,142.40-</u>	<u>113.33</u>
10,000.000	TOTAL ALLTEL CORP	12,708.00	11,565.60	1,142.40-	113.33
		127.080	115.656		
	ALLTEL CORP DTD 06/19/02 7.875 07/01/2032 020039DC4				
<u>95,000.000</u>	8001083	<u>125,616.20</u>	<u>123,681.45</u>	<u>1,934.75-</u>	<u>3,740.63</u>
95,000.000	TOTAL ALLTEL CORP	125,616.20	123,681.45	1,934.75-	3,740.63
		132.228	130.191		
	AMERICA MOVIL SAB DE CV DTD 07/16/12 4.375 07/16/2042 02364WBE4				
<u>105,000.000</u>	8001083	<u>101,449.68</u>	<u>87,235.05</u>	<u>14,214.63-</u>	<u>2,105.47</u>
105,000.000	TOTAL AMERICA MOVIL SAB DE CV	101,449.68	87,235.05	14,214.63-	2,105.47
		96.619	83.081		
	AMERICA MOVIL SAB DE CV DTD 03/30/10 6.125 03/30/2040 02364WAW5				
<u>260,000.000</u>	8001083	<u>294,057.60</u>	<u>275,111.20</u>	<u>18,946.40-</u>	<u>3,989.76</u>
260,000.000	TOTAL AMERICA MOVIL SAB DE CV	294,057.60	275,111.20	18,946.40-	3,989.76
		113.099	105.812		



STATEMENT OF ASSETS AND LIABILITIES
AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	AMERICAN EXPRESS CO DTD 12/03/12 4.050 12/03/2042 025816BF5				
<u>340,000.000</u>	8001083	<u>337,983.43</u>	<u>299,138.80</u>	<u>38,844.63-</u>	<u>1,071.00</u>
340,000.000	TOTAL AMERICAN EXPRESS CO	337,983.43 99.407	299,138.80 87.982	38,844.63-	1,071.00
	AMERIPRISE FINANCIAL INC DTD 09/06/13 4.000 10/15/2023 03076CAF3				
<u>100,000.000</u>	8001083	<u>99,518.00</u>	<u>99,704.00</u>	<u>186.00</u>	<u>1,277.78</u>
100,000.000	TOTAL AMERIPRISE FINANCIAL INC	99,518.00 99.518	99,704.00 99.704	186.00	1,277.78
	ANHEUSER-BUSCH INBEV WOR DTD 07/16/12 3.750 07/15/2042 03523TBQ0				
<u>200,000.000</u>	8001083	<u>189,728.10</u>	<u>169,618.00</u>	<u>20,110.10-</u>	<u>3,458.33</u>
200,000.000	TOTAL ANHEUSER-BUSCH INBEV WOR	189,728.10 94.864	169,618.00 84.809	20,110.10-	3,458.33
	ANHEUSER-BUSCH INBEV FIN DTD 01/17/13 4.000 01/17/2043 035242AB2				
<u>295,000.000</u>	8001083	<u>292,760.30</u>	<u>262,252.05</u>	<u>30,508.25-</u>	<u>5,375.56</u>
295,000.000	TOTAL ANHEUSER-BUSCH INBEV FIN	292,760.30 99.241	262,252.05 88.899	30,508.25-	5,375.56
	APACHE CORP DTD 08/20/10 5.100 09/01/2040 037411AW5				
<u>85,000.000</u>	8001083	<u>92,881.40</u>	<u>86,303.05</u>	<u>6,578.35-</u>	<u>1,445.00</u>
85,000.000	TOTAL APACHE CORP	92,881.40 109.272	86,303.05 101.533	6,578.35-	1,445.00



STATEMENT OF ASSETS AND LIABILITIES
 AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	APACHE CORP DTD 04/15/96 7.950 04/15/2026 037411AK1				
<u>160,000.000</u>	8001083	<u>222,650.00</u>	<u>203,667.20</u>	<u>18,982.80-</u>	<u>2,685.33</u>
160,000.000	TOTAL APACHE CORP	222,650.00 139.156	203,667.20 127.292	18,982.80-	2,685.33
	APPLE INC DTD 05/03/13 3.850 05/04/2043 037833AL4				
<u>245,000.000</u>	8001083	<u>240,792.10</u>	<u>204,677.90</u>	<u>36,114.20-</u>	<u>1,493.48</u>
245,000.000	TOTAL APPLE INC	240,792.10 98.282	204,677.90 83.542	36,114.20-	1,493.48
	ARCH CAPITAL GRP US INC DTD 12/13/13 5.144 11/01/2043 03938JAA7				
<u>160,000.000</u>	8001083	<u>160,000.00</u>	<u>159,982.40</u>	<u>17.60-</u>	<u>411.52</u>
160,000.000	TOTAL ARCH CAPITAL GRP US INC	160,000.00 100.000	159,982.40 99.989	17.60-	411.52
	ARCHER DANIELS DTD 09/26/11 4.535 03/26/2042 039483BE1				
<u>230,000.000</u>	8001083	<u>243,330.50</u>	<u>214,725.70</u>	<u>28,604.80-</u>	<u>2,752.49</u>
230,000.000	TOTAL ARCHER DANIELS	243,330.50 105.796	214,725.70 93.359	28,604.80-	2,752.49
	ARCHER-DANIELS-MIDLAND C DTD 02/05/13 4.016 04/16/2043 039483BH4				
<u>100,000.000</u>	8001083	<u>100,738.00</u>	<u>85,017.00</u>	<u>15,721.00-</u>	<u>836.67</u>
100,000.000	TOTAL ARCHER-DANIELS-MIDLAND C	100,738.00 100.738	85,017.00 85.017	15,721.00-	836.67



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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	AT&T INC DTD 06/15/13 4.300 12/15/2042 00206RBH4				
<u>215,000.000</u>	8001083	<u>209,239.90</u>	<u>182,371.60</u>	<u>26,868.30-</u>	<u>256.81</u>
215,000.000	TOTAL AT&T INC	209,239.90	182,371.60	26,868.30-	256.81
		97.321	84.824		
	AT&T INC DTD 06/15/13 4.350 06/15/2045 00206RBK7				
<u>110,000.000</u>	8001083	<u>111,350.80</u>	<u>93,124.90</u>	<u>18,225.90-</u>	<u>132.92</u>
110,000.000	TOTAL AT&T INC	111,350.80	93,124.90	18,225.90-	132.92
		101.228	84.659		
	AT&T INC DTD 03/01/11 5.350 09/01/2040 04650NAB0				
<u>20,000.000</u>	8001083	<u>21,609.40</u>	<u>19,788.60</u>	<u>1,820.80-</u>	<u>356.67</u>
20,000.000	TOTAL AT&T INC	21,609.40	19,788.60	1,820.80-	356.67
		108.047	98.943		
	AT&T INC DTD 12/06/07 6.300 01/15/2038 00206RAG7				
<u>60,000.000</u>	8001083	<u>73,400.60</u>	<u>66,315.00</u>	<u>7,085.60-</u>	<u>1,743.00</u>
60,000.000	TOTAL AT&T INC	73,400.60	66,315.00	7,085.60-	1,743.00
		122.334	110.525		
	BANC ONE CORP SUB DEB DTD 07/25/95 7.7500 07/15/2025 059438AG6				
<u>10,000.000</u>	8001083	<u>13,362.30</u>	<u>12,322.70</u>	<u>1,039.60-</u>	<u>357.36</u>
10,000.000	TOTAL BANC ONE CORP SUB DEB DTD 07/25/9	13,362.30	12,322.70	1,039.60-	357.36
		133.623	123.227		



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	BANK OF AMERICA CORP MED TERM NOTE DTD 01/11/13 3.300 01/11/2023 06051GEU9 8001083				
75,000.000		74,517.82	70,970.25	3,547.57-	1,168.75
75,000.000	TOTAL BANK OF AMERICA CORP	74,517.82 99.357	70,970.25 94.627	3,547.57-	1,168.75
	BANK OF AMERICA CORP MED TERM NOTE DTD 05/13/11 5.000 05/13/2021 06051GEH8 8001083				
65,000.000		58,254.95	71,030.05	12,775.10	433.33
65,000.000	TOTAL BANK OF AMERICA CORP	58,254.95 89.623	71,030.05 109.277	12,775.10	433.33
	BANK OF AMERICA NA DTD 10/26/06 6.000 10/15/2036 06050TJZ6 8001083				
130,000.000		156,457.00	149,195.80	7,261.20-	1,646.67
130,000.000	TOTAL BANK OF AMERICA NA	156,457.00 120.352	149,195.80 114.766	7,261.20-	1,646.67
	BARRICK NA FINANCE LLC DTD 05/02/13 5.750 05/01/2043 06849RAK8 8001083				
210,000.000		189,171.90	188,832.00	339.90-	287.50
210,000.000	TOTAL BARRICK NA FINANCE LLC	189,171.90 90.082	188,832.00 89.920	339.90-	287.50
	BERKSHIRE HATHAWAY INC DTD 02/11/13 4.500 02/11/2043 084670BK3 8001083				
85,000.000		85,944.25	78,578.25	7,366.00-	1,487.50
85,000.000	TOTAL BERKSHIRE HATHAWAY INC	85,944.25 101.111	78,578.25 92.445	7,366.00-	1,487.50



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	BHP BILLITON FIN USA LTD DTD 09/30/13 5.000 09/30/2043 055451AV0				
<u>340,000.000</u>	8001083	<u>342,314.50</u>	<u>345,691.60</u>	<u>3,377.10</u>	<u>4,297.22</u>
340,000.000	TOTAL BHP BILLITON FIN USA LTD	342,314.50 100.681	345,691.60 101.674	3,377.10	4,297.22
	BOEING CO DTD 07/28/09 5.875 02/15/2040 097023BA2				
<u>190,000.000</u>	8001083	<u>253,676.60</u>	<u>225,463.50</u>	<u>28,213.10-</u>	<u>4,216.94</u>
190,000.000	TOTAL BOEING CO	253,676.60 133.514	225,463.50 118.665	28,213.10-	4,216.94
	BOEING CO DTD 02/24/98 6.625 02/15/2038 097023AS4				
<u>110,000.000</u>	8001083	<u>146,280.30</u>	<u>140,823.10</u>	<u>5,457.20-</u>	<u>2,753.06</u>
110,000.000	TOTAL BOEING CO	146,280.30 132.982	140,823.10 128.021	5,457.20-	2,753.06
	BOEING CO DTD 03/13/09 6.875 03/15/2039 097023AX3				
<u>5,000.000</u>	8001083	<u>7,050.15</u>	<u>6,609.30</u>	<u>440.85-</u>	<u>101.22</u>
5,000.000	TOTAL BOEING CO	7,050.15 141.003	6,609.30 132.186	440.85-	101.22
	BP CAPITAL MARKETS PLC DTD 11/01/11 3.561 11/01/2021 055650BU1				
<u>135,000.000</u>	8001083	<u>135,822.80</u>	<u>136,054.35</u>	<u>231.55</u>	<u>801.23</u>
135,000.000	TOTAL BP CAPITAL MARKETS PLC	135,822.80 100.609	136,054.35 100.781	231.55	801.23



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	BP CAPITAL MARKETS PLC DTD 09/26/13 3.994 09/26/2023 055650CJ5				
20,000.000	8001083	20,000.00	20,205.40	205.40	210.79
20,000.000	TOTAL BP CAPITAL MARKETS PLC	20,000.00	20,205.40	205.40	210.79
		100.000	101.027		
	CANADIAN NATL RAILWAY DTD 11/15/11 2.850 12/15/2021 136375BV3				
210,000.000	8001083	218,878.50	201,929.70	16,948.80-	266.00
210,000.000	TOTAL CANADIAN NATL RAILWAY	218,878.50	201,929.70	16,948.80-	266.00
		104.228	96.157		
	CATERPILLAR INC DTD 08/15/12 3.803 08/15/2042 149123CB5				
445,000.000	8001083	380,316.47	374,209.40	6,107.07-	6,393.27
445,000.000	TOTAL CATERPILLAR INC	380,316.47	374,209.40	6,107.07-	6,393.27
		85.464	84.092		
	CISCO SYSTEMS INC DTD 11/17/09 5.500 01/15/2040 17275RAF9				
165,000.000	8001083	173,141.25	174,574.95	1,433.70	4,184.58
165,000.000	TOTAL CISCO SYSTEMS INC	173,141.25	174,574.95	1,433.70	4,184.58
		104.934	105.803		
	CISCO SYSTEMS INC DTD 02/17/09 5.900 02/15/2039 17275RAD4				
140,000.000	8001083	149,903.20	155,649.20	5,746.00	3,120.44
140,000.000	TOTAL CISCO SYSTEMS INC	149,903.20	155,649.20	5,746.00	3,120.44
		107.074	111.178		



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	CITIGROUP INC DTD 02/20/13 3.375 03/01/2023 172967GL9				
70,000.000	8001083	68,358.50	66,535.70	1,822.80-	787.50
70,000.000	TOTAL CITIGROUP INC	68,358.50 97.655	66,535.70 95.051	1,822.80-	787.50
	CITIGROUP INC DTD 09/13/13 5.500 09/13/2025 172967HB0				
70,000.000	8001083	70,000.00	73,726.80	3,726.80	1,155.00
70,000.000	TOTAL CITIGROUP INC	70,000.00 100.000	73,726.80 105.324	3,726.80	1,155.00
	CITIGROUP INC DTD 09/13/13 6.675 09/13/2043 172967HA2				
20,000.000	8001083	22,558.40	23,012.60	454.20	400.50
20,000.000	TOTAL CITIGROUP INC	22,558.40 112.792	23,012.60 115.063	454.20	400.50
	CITIGROUP INC DTD 03/05/08 6.875 03/05/2038 172967EP2				
85,000.000	8001083	96,099.82	104,524.50	8,424.68	1,882.99
85,000.000	TOTAL CITIGROUP INC	96,099.82 113.059	104,524.50 122.970	8,424.68	1,882.99
	COCA-COLA CO/THE DTD 08/10/11 3.300 09/01/2021 191216AV2				
40,000.000	8001083	42,446.40	40,064.00	2,382.40-	440.00
40,000.000	TOTAL COCA-COLA CO/THE	42,446.40 106.116	40,064.00 100.160	2,382.40-	440.00



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	COMCAST CORP DTD 01/14/13 4.250 01/15/2033 2003ONBH3				
<u>60,000.000</u>	8001083	<u>58,356.80</u>	<u>55,735.20</u>	<u>2,621.60-</u>	<u>1,175.83</u>
60,000.000	TOTAL COMCAST CORP	58,356.80 97.261	55,735.20 92.892	2,621.60-	1,175.83
	COMCAST CORP DTD 01/14/13 4.500 01/15/2043 2003ONBG5				
<u>90,000.000</u>	8001083	<u>84,141.00</u>	<u>81,298.80</u>	<u>2,842.20-</u>	<u>1,867.50</u>
90,000.000	TOTAL COMCAST CORP	84,141.00 93.490	81,298.80 90.332	2,842.20-	1,867.50
	COMCAST CORP DTD 07/02/12 4.650 07/15/2042 2003ONBEO				
<u>150,000.000</u>	8001083	<u>161,814.00</u>	<u>139,590.00</u>	<u>22,224.00-</u>	<u>3,216.25</u>
150,000.000	TOTAL COMCAST CORP	161,814.00 107.876	139,590.00 93.060	22,224.00-	3,216.25
	CONOCOPHILLIPS DTD 05/08/08 5.900 05/15/2038 20825CAP9				
<u>205,000.000</u>	8001083	<u>222,577.25</u>	<u>240,622.85</u>	<u>18,045.60</u>	<u>1,545.47</u>
205,000.000	TOTAL CONOCOPHILLIPS	222,577.25 108.574	240,622.85 117.377	18,045.60	1,545.47
	DAIMLERCHRYSLER NA HLDG DTD 01/18/01 8.500 01/18/2031 233835AQ0				
<u>110,000.000</u>	8001083	<u>174,546.45</u>	<u>159,712.30</u>	<u>14,834.15-</u>	<u>4,233.47</u>
110,000.000	TOTAL DAIMLERCHRYSLER NA HLDG	174,546.45 158.679	159,712.30 145.193	14,834.15-	4,233.47



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	DEERE & COMPANY DTD 06/08/12 3.900 06/09/2042 244199BF1				
<u>300,000.000</u>	8001083	<u>295,612.00</u>	<u>263,202.00</u>	<u>32,410.00-</u>	<u>715.00</u>
300,000.000	TOTAL DEERE & COMPANY	295,612.00 98.537	263,202.00 87.734	32,410.00-	715.00
	DIAGEO CAPITAL PLC DTD 04/29/13 3.875 04/29/2043 25243YAV1				
<u>120,000.000</u>	8001083	<u>116,932.80</u>	<u>103,216.80</u>	<u>13,716.00-</u>	<u>800.83</u>
120,000.000	TOTAL DIAGEO CAPITAL PLC	116,932.80 97.444	103,216.80 86.014	13,716.00-	800.83
	DIAGEO INVESTMENT CORP DTD 05/11/12 4.250 05/11/2042 25245BAA5				
<u>65,000.000</u>	8001083	<u>64,538.95</u>	<u>59,631.65</u>	<u>4,907.30-</u>	<u>383.68</u>
65,000.000	TOTAL DIAGEO INVESTMENT CORP	64,538.95 99.291	59,631.65 91.741	4,907.30-	383.68
	DIAMOND OFFSHORE DRILL DTD 11/05/13 4.875 11/01/2043 25271CAN2				
<u>260,000.000</u>	8001083	<u>259,594.40</u>	<u>255,177.00</u>	<u>4,417.40-</u>	<u>1,971.67</u>
260,000.000	TOTAL DIAMOND OFFSHORE DRILL	259,594.40 99.844	255,177.00 98.145	4,417.40-	1,971.67
	DISNEY WALT CO DTD 02/28/02 7.000 03/01/2032 25468PBW5				
<u>120,000.000</u>	8001083	<u>145,214.40</u>	<u>153,542.40</u>	<u>8,328.00</u>	<u>2,800.00</u>
120,000.000	TOTAL DISNEY WALT CO	145,214.40 121.012	153,542.40 127.952	8,328.00	2,800.00



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	DUKE ENERGY CAROLINAS DTD 09/21/12 4.000 09/30/2042 26442CAN4				
<u>30,000.000</u>	8001083	<u>29,263.60</u>	<u>27,078.30</u>	<u>2,185.30-</u>	<u>301.11</u>
30,000.000	TOTAL DUKE ENERGY CAROLINAS	29,263.60 97.545	27,078.30 90.261	2,185.30-	301.11
	DUKE ENERGY CAROLINAS DTD 04/14/08 6.050 04/15/2038 26442CAE4				
<u>195,000.000</u>	8001083	<u>216,329.40</u>	<u>231,443.55</u>	<u>15,114.15</u>	<u>2,490.58</u>
195,000.000	TOTAL DUKE ENERGY CAROLINAS	216,329.40 110.938	231,443.55 118.689	15,114.15	2,490.58
	EBAY INC DTD 07/24/12 4.000 07/15/2042 278642AF0				
<u>385,000.000</u>	8001083	<u>378,289.10</u>	<u>326,041.10</u>	<u>52,248.00-</u>	<u>7,101.11</u>
385,000.000	TOTAL EBAY INC	378,289.10 98.257	326,041.10 84.686	52,248.00-	7,101.11
	EMC CORP DTD 06/06/13 3.375 06/01/2023 268648AN2				
<u>190,000.000</u>	8001083	<u>189,857.50</u>	<u>182,552.00</u>	<u>7,305.50-</u>	<u>534.38</u>
190,000.000	TOTAL EMC CORP	189,857.50 99.925	182,552.00 96.080	7,305.50-	534.38
	ENTERGY LOUISIANA LLC DTD 09/24/10 4.440 01/15/2026 29364WAM0				
<u>90,000.000</u>	8001083	<u>99,964.80</u>	<u>90,702.90</u>	<u>9,261.90-</u>	<u>1,842.60</u>
90,000.000	TOTAL ENTERGY LOUISIANA LLC	99,964.80 111.072	90,702.90 100.781	9,261.90-	1,842.60



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	FEDERAL REALTY INVS TRST DTD 05/09/13 2.750 06/01/2023 313747AT4				
<u>180,000.000</u>	8001083	<u>176,874.60</u>	<u>161,760.60</u>	<u>15,114.00-</u>	<u>412.50</u>
180,000.000	TOTAL FEDERAL REALTY INVS TRST	176,874.60 98.264	161,760.60 89.867	15,114.00-	412.50
	FLORIDA POWER & LIGHT DTD 12/13/11 4.125 02/01/2042 341081FF9				
<u>410,000.000</u>	8001083	<u>408,962.70</u>	<u>377,343.50</u>	<u>31,619.20-</u>	<u>7,046.88</u>
410,000.000	TOTAL FLORIDA POWER & LIGHT	408,962.70 99.747	377,343.50 92.035	31,619.20-	7,046.88
	FLORIDA POWER CORP DTD 06/18/08 6.400 06/15/2038 341099CL1				
<u>250,000.000</u>	8001083	<u>293,527.50</u>	<u>308,237.50</u>	<u>14,710.00</u>	<u>711.11</u>
250,000.000	TOTAL FLORIDA POWER CORP	293,527.50 117.411	308,237.50 123.295	14,710.00	711.11
	FORD HOLDINGS INC DTD 03/01/98 9.300 03/01/2030 345277AE7				
<u>95,000.000</u>	8001083	<u>134,920.95</u>	<u>129,010.00</u>	<u>5,910.95-</u>	<u>2,945.00</u>
95,000.000	TOTAL FORD HOLDINGS INC	134,920.95 142.022	129,010.00 135.800	5,910.95-	2,945.00
	GENERAL DYNAMICS CORP DTD 11/06/12 3.600 11/15/2042 369550AT5				
<u>230,000.000</u>	8001083	<u>225,148.80</u>	<u>187,891.60</u>	<u>37,257.20-</u>	<u>1,058.00</u>
230,000.000	TOTAL GENERAL DYNAMICS CORP	225,148.80 97.891	187,891.60 81.692	37,257.20-	1,058.00



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	GENERAL ELEC CAP CORP MED TERM NOTE DTD 01/08/13 3.100 01/09/2023 36962G6S8 8001083	289,238.40	275,140.40	14,098.00-	4,295.22
290,000.000		289,238.40	275,140.40	14,098.00-	4,295.22
290,000.000	TOTAL GENERAL ELEC CAP CORP	99.737	94.876		
	GENERAL ELEC CAP CORP DTD 01/14/08 5.875 01/14/2038 36962G3P7 8001083	140,699.40	136,693.20	4,006.20-	3,270.42
120,000.000		140,699.40	136,693.20	4,006.20-	3,270.42
120,000.000	TOTAL GENERAL ELEC CAP CORP	117.249	113.911		
	GENERAL ELEC CAP CORP MED TERM NOTE TRANCHE # TR 00528 DTD 03/20/02 6.750 03/15/2032 36962GXZ2 8001083	117,734.40	111,447.90	6,286.50-	1,788.75
90,000.000		117,734.40	111,447.90	6,286.50-	1,788.75
90,000.000	TOTAL GENERAL ELEC CAP CORP MED TERM	130.816	123.831		
	GENERAL ELECTRIC CO DTD 10/09/12 4.125 10/09/2042 369604BF9 8001083	103,794.65	97,005.30	6,789.35-	986.56
105,000.000		103,794.65	97,005.30	6,789.35-	986.56
105,000.000	TOTAL GENERAL ELECTRIC CO	98.852	92.386		
	GEORGIA POWER COMPANY DTD 03/06/12 4.300 03/15/2042 373334JW2 8001083	69,722.00	62,972.70	6,749.30-	886.28
70,000.000		69,722.00	62,972.70	6,749.30-	886.28
70,000.000	TOTAL GEORGIA POWER COMPANY	99.603	89.961		



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	GEORGIA POWER COMPANY DTD 02/10/09 5.950 02/01/2039 373334JN2				
<u>230,000.000</u>	8001083	<u>250,961.20</u>	<u>254,182.20</u>	<u>3,221.00</u>	<u>5,702.08</u>
230,000.000	TOTAL GEORGIA POWER COMPANY	250,961.20 109.114	254,182.20 110.514	3,221.00	5,702.08
	GLAXOSMITHKLINE CAP INC DTD 03/18/13 4.200 03/18/2043 377372AJ6				
<u>165,000.000</u>	8001083	<u>162,585.90</u>	<u>150,857.85</u>	<u>11,728.05-</u>	<u>1,982.75</u>
165,000.000	TOTAL GLAXOSMITHKLINE CAP INC	162,585.90 98.537	150,857.85 91.429	11,728.05-	1,982.75
	GOLDMAN SACHS GROUP INC DTD 02/13/03 6.125 02/15/2033 38141GCU6				
<u>35,000.000</u>	8001083	<u>41,589.40</u>	<u>39,369.40</u>	<u>2,220.00-</u>	<u>809.86</u>
35,000.000	TOTAL GOLDMAN SACHS GROUP INC	41,589.40 118.827	39,369.40 112.484	2,220.00-	809.86
	GOLDMAN SACHS GROUP INC DTD 01/22/13 3.625 01/22/2023 38141GRD8				
<u>65,000.000</u>	8001083	<u>64,631.20</u>	<u>62,942.75</u>	<u>1,688.45-</u>	<u>1,040.68</u>
65,000.000	TOTAL GOLDMAN SACHS GROUP INC	64,631.20 99.433	62,942.75 96.835	1,688.45-	1,040.68
	GOLDMAN SACHS GROUP INC DTD 01/24/12 5.750 01/24/2022 38141GGS7				
<u>55,000.000</u>	8001083	<u>56,436.60</u>	<u>61,912.95</u>	<u>5,476.35</u>	<u>1,379.20</u>
55,000.000	TOTAL GOLDMAN SACHS GROUP INC	56,436.60 102.612	61,912.95 112.569	5,476.35	1,379.20



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	GOLDMAN SACHS GROUP INC DTD 10/03/07 6.750 10/01/2037 38141GFD1				
<u>240,000.000</u>	8001083	<u>253,633.70</u>	<u>267,012.00</u>	<u>13,378.30</u>	<u>4,050.00</u>
240,000.000	TOTAL GOLDMAN SACHS GROUP INC	253,633.70 105.681	267,012.00 111.255	13,378.30	4,050.00
	GTE CORP DTD 04/15/98 6.9400 04/15/2028 362320BA0				
<u>25,000.000</u>	8001083	<u>28,217.63</u>	<u>28,378.50</u>	<u>160.87</u>	<u>366.28</u>
25,000.000	TOTAL GTE CORP DTD 04/15/98	28,217.63 112.871	28,378.50 113.514	160.87	366.28
	GULF SOUTH PIPELINE DTD 12/15/12 4.000 06/15/2022 402524AE2				
<u>180,000.000</u>	8001083	<u>181,753.13</u>	<u>175,509.00</u>	<u>6,244.13-</u>	<u>320.00</u>
180,000.000	TOTAL GULF SOUTH PIPELINE	181,753.13 100.974	175,509.00 97.505	6,244.13-	320.00
	HALLIBURTON COMPANY DTD 12/15/03 7.600 08/15/2096 406216AS0				
<u>10,000.000</u>	8001083	<u>15,110.50</u>	<u>13,243.50</u>	<u>1,867.00-</u>	<u>287.11</u>
10,000.000	TOTAL HALLIBURTON COMPANY	15,110.50 151.105	13,243.50 132.435	1,867.00-	287.11
	HOME DEPOT INC DTD 04/05/13 4.200 04/01/2043 437076BA9				
<u>300,000.000</u>	8001083	<u>297,983.00</u>	<u>273,390.00</u>	<u>24,593.00-</u>	<u>3,150.00</u>
300,000.000	TOTAL HOME DEPOT INC	297,983.00 99.328	273,390.00 91.130	24,593.00-	3,150.00



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	HOME DEPOT INC DTD 09/10/13 4.875 02/15/2044 437076BD3				
200,000.000	8001083	197,012.00	202,514.00	5,502.00	3,006.25
200,000.000	TOTAL HOME DEPOT INC	197,012.00 98.506	202,514.00 101.257	5,502.00	3,006.25
	HONEYWELL INTERNATIONAL DTD 02/17/11 5.375 03/01/2041 438516BB1				
20,000.000	8001083	24,279.80	21,976.40	2,303.40-	358.33
20,000.000	TOTAL HONEYWELL INTERNATIONAL	24,279.80 121.399	21,976.40 109.882	2,303.40-	358.33
	HSBC BANK USA NA SER BKNT DTD 06/18/08 7.000 01/15/2039 4042Q1AD9				
55,000.000	8001083	67,535.15	68,816.55	1,281.40	1,775.28
55,000.000	TOTAL HSBC BANK USA NA	67,535.15 122.791	68,816.55 125.121	1,281.40	1,775.28
	HSBC BK USA TRANCHE # SB 00002SER BKNT DTD 08/17/05 5.625 08/15/2035 4042Q1AB3				
195,000.000	8001083	201,786.00	206,852.10	5,066.10	4,143.75
195,000.000	TOTAL HSBC BK USA	201,786.00 103.480	206,852.10 106.078	5,066.10	4,143.75
	HSBC BK USA N A GLOBAL DTD 10/25/04 5.875 11/01/2034 4042Q1AA5				
120,000.000	8001083	121,698.00	131,920.80	10,222.80	1,175.00
120,000.000	TOTAL HSBC BK USA N A GLOBAL	121,698.00 101.415	131,920.80 109.934	10,222.80	1,175.00



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	IBM CORP DTD 06/20/12 4.000 06/20/2042 459200HF1				
<u>225,000.000</u>	8001083	<u>208,212.39</u>	<u>200,907.00</u>	<u>7,305.39-</u>	<u>275.00</u>
225,000.000	TOTAL IBM CORP	208,212.39 92.539	200,907.00 89.292	7,305.39-	275.00
	IBM CORP DTD 11/30/09 5.600 11/30/2039 459200GS4				
<u>10,000.000</u>	8001083	<u>10,564.30</u>	<u>11,186.70</u>	<u>622.40</u>	<u>48.22</u>
10,000.000	TOTAL IBM CORP	10,564.30 105.643	11,186.70 111.867	622.40	48.22
	INTEL CORP DTD 12/11/12 4.000 12/15/2032 458140ANO				
<u>135,000.000</u>	8001083	<u>133,579.94</u>	<u>124,425.45</u>	<u>9,154.49-</u>	<u>240.00</u>
135,000.000	TOTAL INTEL CORP	133,579.94 98.948	124,425.45 92.167	9,154.49-	240.00
	INTEL CORP DTD 09/19/11 4.800 10/01/2041 458140AK6				
<u>230,000.000</u>	8001083	<u>229,608.90</u>	<u>224,302.90</u>	<u>5,306.00-</u>	<u>2,760.00</u>
230,000.000	TOTAL INTEL CORP	229,608.90 99.830	224,302.90 97.523	5,306.00-	2,760.00
	ITC HOLDINGS CORP DTD 07/03/13 5.300 07/01/2043 465685AH8				
<u>80,000.000</u>	8001083	<u>79,785.60</u>	<u>78,653.60</u>	<u>1,132.00-</u>	<u>2,096.44</u>
80,000.000	TOTAL ITC HOLDINGS CORP	79,785.60 99.732	78,653.60 98.317	1,132.00-	2,096.44



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	JOHNSON & JOHNSON DTD 08/16/2007 5.950 08/15/2037 478160AN4				
140,000.000	8001083	203,614.80	165,579.40	38,035.40-	3,146.89
140,000.000	TOTAL JOHNSON & JOHNSON	203,614.80 145.439	165,579.40 118.271	38,035.40-	3,146.89
	JP MORGAN CHASE & CO DTD 12/22/11 5.400 01/06/2042 48126BAA1				
200,000.000	8001083	215,776.94	215,312.00	464.94-	5,250.00
200,000.000	TOTAL JP MORGAN CHASE & CO	215,776.94 107.888	215,312.00 107.656	464.94-	5,250.00
	JPMORGAN CHASE & CO DTD 08/21/13 5.625 08/16/2043 46625HJM3				
30,000.000	8001083	29,304.00	31,703.10	2,399.10	609.38
30,000.000	TOTAL JPMORGAN CHASE & CO	29,304.00 97.680	31,703.10 105.677	2,399.10	609.38
	KENTUCKY UTILITIES DTD 06/30/11 5.125 11/01/2040 491674BG1				
150,000.000	8001083	150,296.40	158,592.00	8,295.60	1,281.25
150,000.000	TOTAL KENTUCKY UTILITIES	150,296.40 100.198	158,592.00 105.728	8,295.60	1,281.25
	LASMO USA INC DTD 11/12/97 7.3000 11/15/2027 51808BAE2				
60,000.000	8001083	81,652.20	74,877.60	6,774.60-	559.67
60,000.000	TOTAL LASMO USA INC DTD 11/12/97	81,652.20 136.087	74,877.60 124.796	6,774.60-	559.67



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	LOCKHEED MARTIN CORP DTD 12/14/12 4.070 12/15/2042 539830BB4				
<u>192,000.000</u>	8001083	<u>186,424.80</u>	<u>167,886.72</u>	<u>18,538.08-</u>	<u>347.31</u>
192,000.000	TOTAL LOCKHEED MARTIN CORP	186,424.80 97.096	167,886.72 87.441	18,538.08-	347.31
	LOWE'S COMPANIES INC DTD 09/11/13 5.000 09/15/2043 548661DA2				
<u>150,000.000</u>	8001083	<u>148,503.00</u>	<u>153,367.50</u>	<u>4,864.50</u>	<u>2,291.67</u>
150,000.000	TOTAL LOWE'S COMPANIES INC	148,503.00 99.002	153,367.50 102.245	4,864.50	2,291.67
	LOWE'S COMPANIES INC DTD 11/23/11 5.125 11/15/2041 548661CU9				
<u>140,000.000</u>	8001083	<u>141,887.20</u>	<u>143,984.40</u>	<u>2,097.20</u>	<u>916.81</u>
140,000.000	TOTAL LOWE'S COMPANIES INC	141,887.20 101.348	143,984.40 102.846	2,097.20	916.81
	MASS INSTITUTE OF TECH DTD 05/18/11 5.600 07/01/2111 575718AA9				
<u>140,000.000</u>	8001083	<u>145,764.80</u>	<u>159,024.60</u>	<u>13,259.80</u>	<u>3,920.00</u>
140,000.000	TOTAL MASS INSTITUTE OF TECH	145,764.80 104.118	159,024.60 113.589	13,259.80	3,920.00
	MCDONALD'S CORP DTD 01/16/09 5.700 02/01/2039 58013MEH3				
<u>125,000.000</u>	8001083	<u>135,900.00</u>	<u>140,415.00</u>	<u>4,515.00</u>	<u>2,968.75</u>
125,000.000	TOTAL MCDONALD'S CORP	135,900.00 108.720	140,415.00 112.332	4,515.00	2,968.75



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	MCDONALD'S CORP MED TERM NOTE DTD 02/29/08 6.300 03/01/2038 58013MEF7				
<u>100,000.000</u>	8001083	<u>114,544.00</u>	<u>121,555.00</u>	<u>7,011.00</u>	<u>2,100.00</u>
100,000.000	TOTAL MCDONALD'S CORP	114,544.00 114.544	121,555.00 121.555	7,011.00	2,100.00
	MEDTRONIC INC DTD 03/26/13 4.000 04/01/2043 585055AY2				
<u>295,000.000</u>	8001083	<u>286,961.40</u>	<u>259,998.25</u>	<u>26,963.15-</u>	<u>2,950.00</u>
295,000.000	TOTAL MEDTRONIC INC	286,961.40 97.275	259,998.25 88.135	26,963.15-	2,950.00
	MERCK & CO INC DTD 09/13/12 3.600 09/15/2042 589331AS6				
<u>45,000.000</u>	8001083	<u>43,352.85</u>	<u>37,273.95</u>	<u>6,078.90-</u>	<u>477.00</u>
45,000.000	TOTAL MERCK & CO INC	43,352.85 96.340	37,273.95 82.831	6,078.90-	477.00
	MERCK & CO INC DTD 12/10/10 3.875 01/15/2021 58933YAA3				
<u>65,000.000</u>	8001083	<u>67,659.80</u>	<u>68,557.45</u>	<u>897.65</u>	<u>1,161.42</u>
65,000.000	TOTAL MERCK & CO INC	67,659.80 104.092	68,557.45 105.473	897.65	1,161.42
	MERCK & CO INC DTD 05/20/13 4.150 05/18/2043 58933YAJ4				
<u>20,000.000</u>	8001083	<u>19,549.80</u>	<u>18,271.80</u>	<u>1,278.00-</u>	<u>99.14</u>
20,000.000	TOTAL MERCK & CO INC	19,549.80 97.749	18,271.80 91.359	1,278.00-	99.14



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	MERRILL LYNCH & CO DTD 05/14/08 7.750 05/14/2038 59023VAA8				
<u>135,000.000</u>	8001083	<u>156,723.00</u>	<u>174,294.45</u>	<u>17,571.45</u>	<u>1,365.94</u>
135,000.000	TOTAL MERRILL LYNCH & CO	156,723.00 116.091	174,294.45 129.107	17,571.45	1,365.94
	METLIFE INC DTD 08/13/12 4.125 08/13/2042 59156RBD9				
<u>80,000.000</u>	8001083	<u>78,665.76</u>	<u>69,569.60</u>	<u>9,096.16-</u>	<u>1,265.00</u>
80,000.000	TOTAL METLIFE INC	78,665.76 98.332	69,569.60 86.962	9,096.16-	1,265.00
	METLIFE INC DTD 11/13/13 4.875 11/13/2043 59156RBG2				
<u>35,000.000</u>	8001083	<u>34,366.50</u>	<u>34,350.40</u>	<u>16.10-</u>	<u>227.50</u>
35,000.000	TOTAL METLIFE INC	34,366.50 98.190	34,350.40 98.144	16.10-	227.50
	METLIFE INC DTD 06/03/04 6.375 06/15/2034 59156RAJ7				
<u>240,000.000</u>	8001083	<u>303,699.30</u>	<u>283,840.80</u>	<u>19,858.50-</u>	<u>680.00</u>
240,000.000	TOTAL METLIFE INC	303,699.30 126.541	283,840.80 118.267	19,858.50-	680.00
	MICROSOFT CORP DTD 11/07/12 3.500 11/15/2042 594918AR5				
<u>170,000.000</u>	8001083	<u>165,425.70</u>	<u>140,399.60</u>	<u>25,026.10-</u>	<u>760.28</u>
170,000.000	TOTAL MICROSOFT CORP	165,425.70 97.309	140,399.60 82.588	25,026.10-	760.28



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	MICROSOFT CORP DTD 05/02/13 3.750 05/01/2043 594918AU8				
<u>150,000.000</u>	8001083	<u>143,199.70</u>	<u>127,969.50</u>	<u>15,230.20-</u>	<u>937.50</u>
150,000.000	TOTAL MICROSOFT CORP	143,199.70	127,969.50	15,230.20-	937.50
		95.466	85.313		
	MICROSOFT CORP DTD 09/27/10 4.500 10/01/2040 594918AJ3				
<u>120,000.000</u>	8001083	<u>131,323.10</u>	<u>113,996.40</u>	<u>17,326.70-</u>	<u>1,350.00</u>
120,000.000	TOTAL MICROSOFT CORP	131,323.10	113,996.40	17,326.70-	1,350.00
		109.436	94.997		
	MORGAN STANLEY DTD 02/25/13 3.750 02/25/2023 61746BDJ2				
<u>65,000.000</u>	8001083	<u>62,397.40</u>	<u>63,249.55</u>	<u>852.15</u>	<u>853.13</u>
65,000.000	TOTAL MORGAN STANLEY	62,397.40	63,249.55	852.15	853.13
		95.996	97.307		
	MORGAN STANLEY MED TERM NOTE DTD 05/21/13 4.100 05/22/2023 61747YDU6				
<u>25,000.000</u>	8001083	<u>24,985.75</u>	<u>24,193.75</u>	<u>792.00-</u>	<u>111.04</u>
25,000.000	TOTAL MORGAN STANLEY	24,985.75	24,193.75	792.00-	111.04
		99.943	96.775		
	MORGAN STANLEY DTD 07/24/12 6.375 07/24/2042 617482V92				
<u>220,000.000</u>	8001083	<u>239,325.20</u>	<u>257,681.60</u>	<u>18,356.40</u>	<u>6,116.46</u>
220,000.000	TOTAL MORGAN STANLEY	239,325.20	257,681.60	18,356.40	6,116.46
		108.784	117.128		



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	NATIONAL RURAL UTILS COOP FIN CORP DTD 03/07/02 8.000 03/01/2032 637432CT0				
<u>225,000.000</u>	8001083	<u>335,739.05</u>	<u>301,819.50</u>	<u>33,919.55-</u>	<u>6,000.00</u>
225,000.000	TOTAL NATIONAL RURAL UTILS COOP FIN COR	335,739.05 149.217	301,819.50 134.142	33,919.55-	6,000.00
	NEXEN INC DTD 05/04/07 6.400 05/15/2037 65334HAG7				
<u>215,000.000</u>	8001083	<u>276,205.85</u>	<u>244,736.65</u>	<u>31,469.20-</u>	<u>1,758.22</u>
215,000.000	TOTAL NEXEN INC	276,205.85 128.468	244,736.65 113.831	31,469.20-	1,758.22
	NEXEN INC DTD 04/28/98 7.400 05/01/2028 136420AF3				
<u>100,000.000</u>	8001083	<u>132,234.00</u>	<u>123,335.00</u>	<u>8,899.00-</u>	<u>1,233.33</u>
100,000.000	TOTAL NEXEN INC	132,234.00 132.234	123,335.00 123.335	8,899.00-	1,233.33
	NEXEN INC DTD 07/30/09 7.500 07/30/2039 65334HAJ1				
<u>70,000.000</u>	8001083	<u>100,305.00</u>	<u>89,268.20</u>	<u>11,036.80-</u>	<u>2,202.08</u>
70,000.000	TOTAL NEXEN INC	100,305.00 143.293	89,268.20 127.526	11,036.80-	2,202.08
	NIKE INC DTD 04/26/13 3.625 05/01/2043 654106AD5				
<u>165,000.000</u>	8001083	<u>162,530.55</u>	<u>138,418.50</u>	<u>24,112.05-</u>	<u>996.88</u>
165,000.000	TOTAL NIKE INC	162,530.55 98.503	138,418.50 83.890	24,112.05-	996.88



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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	NORSK HYDRO DTD 01/15/98 6.800 01/15/2028 656531AG5				
<u>30,000.000</u>	8001083	<u>39,239.70</u>	<u>36,959.10</u>	<u>2,280.60-</u>	<u>940.67</u>
30,000.000	TOTAL NORSK HYDRO	39,239.70	36,959.10	2,280.60-	940.67
		130.799	123.197		
	NORTHERN STATES PWR-MINN DTD 08/13/12 3.400 08/15/2042 665772CJ6				
<u>115,000.000</u>	8001083	<u>111,965.40</u>	<u>92,457.70</u>	<u>19,507.70-</u>	<u>1,477.11</u>
115,000.000	TOTAL NORTHERN STATES PWR-MINN	111,965.40	92,457.70	19,507.70-	1,477.11
		97.361	80.398		
	NORTHERN TRUST CORP DTD 08/22/11 3.375 08/23/2021 665859AM6				
<u>170,000.000</u>	8001083	<u>182,110.60</u>	<u>172,507.50</u>	<u>9,603.10-</u>	<u>2,040.00</u>
170,000.000	TOTAL NORTHERN TRUST CORP	182,110.60	172,507.50	9,603.10-	2,040.00
		107.124	101.475		
	NORTHERN TRUST CORP DTD 10/31/13 3.950 10/30/2025 665859AP9				
<u>140,000.000</u>	8001083	<u>139,840.40</u>	<u>136,428.60</u>	<u>3,411.80-</u>	<u>937.03</u>
140,000.000	TOTAL NORTHERN TRUST CORP	139,840.40	136,428.60	3,411.80-	937.03
		99.886	97.449		
	NORTHWESTERN UNIVERSITY DTD 03/28/12 4.198 12/01/2047 668444AA0				
<u>170,000.000</u>	8001083	<u>170,000.00</u>	<u>154,314.10</u>	<u>15,685.90-</u>	<u>594.72</u>
170,000.000	TOTAL NORTHWESTERN UNIVERSITY	170,000.00	154,314.10	15,685.90-	594.72
		100.000	90.773		



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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	NORTHWESTERN UNIVERSITY DTD 10/29/13 4.643 12/01/2044 668444AC6				
<u>230,000.000</u>	8001083	<u>230,000.00</u>	<u>226,425.80</u>	<u>3,574.20-</u>	<u>1,839.14</u>
230,000.000	TOTAL NORTHWESTERN UNIVERSITY	230,000.00	226,425.80	3,574.20-	1,839.14
		100.000	98.446		
	NYU HOSPITALS CENTER DTD 08/01/13 5.750 07/01/2043 62952EAB3				
<u>105,000.000</u>	8001083	<u>105,000.00</u>	<u>106,244.25</u>	<u>1,244.25</u>	<u>2,515.63</u>
105,000.000	TOTAL NYU HOSPITALS CENTER	105,000.00	106,244.25	1,244.25	2,515.63
		100.000	101.185		
	OGLETHORPE POWER CORP DTD 11/03/09 5.950 11/01/2039 677050AG1				
<u>270,000.000</u>	8001083	<u>287,579.00</u>	<u>294,721.20</u>	<u>7,142.20</u>	<u>2,677.50</u>
270,000.000	TOTAL OGLETHORPE POWER CORP	287,579.00	294,721.20	7,142.20	2,677.50
		106.511	109.156		
	ORACLE CORP DTD 07/15/11 5.375 07/15/2040 68389XAM7				
<u>290,000.000</u>	8001083	<u>303,449.44</u>	<u>309,656.20</u>	<u>6,206.76</u>	<u>7,187.57</u>
290,000.000	TOTAL ORACLE CORP	303,449.44	309,656.20	6,206.76	7,187.57
		104.638	106.778		
	ORACLE CORP DTD 07/08/09 6.125 07/08/2039 68389XAH8				
<u>10,000.000</u>	8001083	<u>12,662.30</u>	<u>11,651.90</u>	<u>1,010.40-</u>	<u>294.34</u>
10,000.000	TOTAL ORACLE CORP	12,662.30	11,651.90	1,010.40-	294.34
		126.623	116.519		



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KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	PACIFIC GAS & ELECTRIC DTD 06/14/13 4.600 06/15/2043 694308HD2				
<u>125,000.000</u>	8001083	<u>124,898.75</u>	<u>119,278.75</u>	<u>5,620.00-</u>	<u>255.56</u>
125,000.000	TOTAL PACIFIC GAS & ELECTRIC	124,898.75 99.919	119,278.75 95.423	5,620.00-	255.56
	PACIFICORP DTD 03/14/07 5.750 04/01/2037 695114CD8				
<u>60,000.000</u>	8001083	<u>73,158.60</u>	<u>68,616.00</u>	<u>4,542.60-</u>	<u>862.50</u>
60,000.000	TOTAL PACIFICORP	73,158.60 121.931	68,616.00 114.360	4,542.60-	862.50
	PACIFICORP DTD 01/08/09 6.000 01/15/2039 695114CLO				
<u>205,000.000</u>	8001083	<u>222,123.65</u>	<u>237,484.30</u>	<u>15,360.65</u>	<u>5,671.67</u>
205,000.000	TOTAL PACIFICORP	222,123.65 108.353	237,484.30 115.846	15,360.65	5,671.67
	PECO ENERGY CO DTD 09/23/13 4.800 10/15/2043 693304AR8				
<u>170,000.000</u>	8001083	<u>169,376.10</u>	<u>172,832.20</u>	<u>3,456.10</u>	<u>2,221.33</u>
170,000.000	TOTAL PECO ENERGY CO	169,376.10 99.633	172,832.20 101.666	3,456.10	2,221.33
	PECO ENERGY CO DTD 04/23/04 5.900 05/01/2034 693304AG2				
<u>35,000.000</u>	8001083	<u>41,738.55</u>	<u>38,072.65</u>	<u>3,665.90-</u>	<u>344.17</u>
35,000.000	TOTAL PECO ENERGY CO	41,738.55 119.253	38,072.65 108.779	3,665.90-	344.17



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KONE INC RETIREMENT - COMBI ACCT
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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	PEPSICO INC DTD 08/13/12 3.600 08/13/2042 713448CC0				
<u>25,000.000</u>	8001083	<u>21,223.00</u>	<u>20,577.75</u>	<u>645.25-</u>	<u>345.00</u>
25,000.000	TOTAL PEPSICO INC	21,223.00 84.892	20,577.75 82.311	645.25-	345.00
	PEPSICO INC DTD 10/26/10 4.875 11/01/2040 713448BS6				
<u>320,000.000</u>	8001083	<u>305,097.60</u>	<u>319,984.00</u>	<u>14,886.40</u>	<u>2,600.00</u>
320,000.000	TOTAL PEPSICO INC	305,097.60 95.343	319,984.00 99.995	14,886.40	2,600.00
	PHILIP MORRIS INTL INC DTD 08/21/12 3.875 08/21/2042 718172AU3				
<u>190,000.000</u>	8001083	<u>183,244.00</u>	<u>160,833.10</u>	<u>22,410.90-</u>	<u>2,658.68</u>
190,000.000	TOTAL PHILIP MORRIS INTL INC	183,244.00 96.444	160,833.10 84.649	22,410.90-	2,658.68
	PHILIP MORRIS INTL INC DTD 03/04/13 4.125 03/04/2043 718172AW9				
<u>145,000.000</u>	8001083	<u>142,292.00</u>	<u>126,935.90</u>	<u>15,356.10-</u>	<u>1,943.91</u>
145,000.000	TOTAL PHILIP MORRIS INTL INC	142,292.00 98.132	126,935.90 87.542	15,356.10-	1,943.91
	PHILIP MORRIS INTL INC DTD 11/12/13 4.875 11/15/2043 718172BD0				
<u>120,000.000</u>	8001083	<u>119,306.40</u>	<u>118,875.60</u>	<u>430.80-</u>	<u>796.25</u>
120,000.000	TOTAL PHILIP MORRIS INTL INC	119,306.40 99.422	118,875.60 99.063	430.80-	796.25



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	PRINCETON UNIVERSITY DTD 01/21/09 5.700 03/01/2039 89837LAB1				
<u>10,000.000</u>	8001083	<u>12,929.70</u>	<u>11,484.80</u>	<u>1,444.90-</u>	<u>190.00</u>
10,000.000	TOTAL PRINCETON UNIVERSITY	12,929.70 129.297	11,484.80 114.848	1,444.90-	190.00
	PRINCIPAL FINANCIAL GROU DTD 09/10/12 4.625 09/15/2042 74251VAF9				
<u>300,000.000</u>	8001083	<u>303,800.10</u>	<u>281,850.00</u>	<u>21,950.10-</u>	<u>4,085.42</u>
300,000.000	TOTAL PRINCIPAL FINANCIAL GROU	303,800.10 101.267	281,850.00 93.950	21,950.10-	4,085.42
	PROCTER & GAMBLE CO DTD 08/10/04 5.800 08/15/2034 742718DB2				
<u>140,000.000</u>	8001083	<u>157,956.00</u>	<u>161,501.20</u>	<u>3,545.20</u>	<u>3,067.56</u>
140,000.000	TOTAL PROCTER & GAMBLE CO	157,956.00 112.826	161,501.20 115.358	3,545.20	3,067.56
	PRUDENTIAL FINANCIAL INC MED TERM NOTE DTD 08/15/13 5.100 08/15/2043 744320BY0				
<u>140,000.000</u>	8001083	<u>139,935.60</u>	<u>139,042.40</u>	<u>893.20-</u>	<u>2,697.33</u>
140,000.000	TOTAL PRUDENTIAL FINANCIAL INC	139,935.60 99.954	139,042.40 99.316	893.20-	2,697.33
	QUEBEC PROVINCE DTD 09/15/99 7.500 09/15/2029 748148QR7				
<u>280,000.000</u>	8001083	<u>421,143.60</u>	<u>370,246.80</u>	<u>50,896.80-</u>	<u>6,183.33</u>
280,000.000	TOTAL QUEBEC PROVINCE	421,143.60 150.408	370,246.80 132.231	50,896.80-	6,183.33



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	RABOBANK NEDERLAND DTD 11/29/13 5.750 12/01/2043 21684AAB2 8001083				
<u>210,000.000</u>		<u>206,490.90</u>	<u>222,618.90</u>	<u>16,128.00</u>	<u>1,073.33</u>
210,000.000	TOTAL RABOBANK NEDERLAND	206,490.90 98.329	222,618.90 106.009	16,128.00	1,073.33
	RABOBANK NEDERLANDS MED TERM NOTE DTD 05/24/11 5.250 05/24/2041 21685WCJ4 8001083				
<u>335,000.000</u>		<u>394,112.15</u>	<u>345,629.55</u>	<u>48,482.60-</u>	<u>1,807.60</u>
335,000.000	TOTAL RABOBANK NEDERLANDS	394,112.15 117.645	345,629.55 103.173	48,482.60-	1,807.60
	RAYTHEON COMPANY DTD 12/06/11 4.700 12/15/2041 755111BW0 8001083				
<u>155,000.000</u>		<u>155,676.50</u>	<u>150,422.85</u>	<u>5,253.65-</u>	<u>323.78</u>
155,000.000	TOTAL RAYTHEON COMPANY	155,676.50 100.436	150,422.85 97.047	5,253.65-	323.78
	SAN DIEGO G & E DTD 11/17/11 3.950 11/15/2041 797440BP8 8001083				
<u>10,000.000</u>		<u>9,816.90</u>	<u>9,020.90</u>	<u>796.00-</u>	<u>50.47</u>
10,000.000	TOTAL SAN DIEGO G & E	9,816.90 98.169	9,020.90 90.209	796.00-	50.47
	SAN DIEGO G & E DTD 05/13/10 5.350 05/15/2040 797440BL7 8001083				
<u>20,000.000</u>		<u>24,288.00</u>	<u>22,195.40</u>	<u>2,092.60-</u>	<u>136.72</u>
20,000.000	TOTAL SAN DIEGO G & E	24,288.00 121.440	22,195.40 110.977	2,092.60-	136.72



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	SCHLUMBERGER INVESTMENT DTD 12/03/13 3.650 12/01/2023 806854AH8				
<u>115,000.000</u>	8001083	<u>114,609.00</u>	<u>113,998.35</u>	<u>610.65-</u>	<u>326.47</u>
115,000.000	TOTAL SCHLUMBERGER INVESTMENT	114,609.00 99.660	113,998.35 99.129	610.65-	326.47
	SHELL INTERNATIONAL FIN DTD 12/11/08 6.375 12/15/2038 822582AD4				
<u>280,000.000</u>	8001083	<u>383,577.20</u>	<u>347,757.20</u>	<u>35,820.00-</u>	<u>793.33</u>
280,000.000	TOTAL SHELL INTERNATIONAL FIN	383,577.20 136.992	347,757.20 124.199	35,820.00-	793.33
	SIMON PROPERTY GROUP INC DTD 03/13/12 4.750 03/15/2042 828807CL9				
<u>205,000.000</u>	8001083	<u>209,406.89</u>	<u>198,339.55</u>	<u>11,067.34-</u>	<u>2,867.15</u>
205,000.000	TOTAL SIMON PROPERTY GROUP INC	209,406.89 102.150	198,339.55 96.751	11,067.34-	2,867.15
	SIMON PROPERTY GROUP LP DTD 01/25/10 6.750 02/01/2040 828807CE5				
<u>35,000.000</u>	8001083	<u>40,374.89</u>	<u>43,899.45</u>	<u>3,524.56</u>	<u>984.38</u>
35,000.000	TOTAL SIMON PROPERTY GROUP LP	40,374.89 115.357	43,899.45 125.427	3,524.56	984.38
	SOUTHERN CAL EDISON DTD 08/30/10 4.500 09/01/2040 842400FQ1				
<u>270,000.000</u>	8001083	<u>285,237.20</u>	<u>262,029.60</u>	<u>23,207.60-</u>	<u>4,050.00</u>
270,000.000	TOTAL SOUTHERN CAL EDISON	285,237.20 105.643	262,029.60 97.048	23,207.60-	4,050.00



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	SOUTHERN CALIF GAS CO DTD 09/21/12 3.750 09/15/2042 842434CK6				
<u>130,000.000</u>	8001083	<u>128,423.50</u>	<u>114,656.10</u>	<u>13,767.40-</u>	<u>1,435.42</u>
130,000.000	TOTAL SOUTHERN CALIF GAS CO	128,423.50 98.787	114,656.10 88.197	13,767.40-	1,435.42
	STATE STREET CORP DTD 11/19/13 3.700 11/20/2023 857477AM5				
<u>165,000.000</u>	8001083	<u>164,604.00</u>	<u>163,703.10</u>	<u>900.90-</u>	<u>712.25</u>
165,000.000	TOTAL STATE STREET CORP	164,604.00 99.760	163,703.10 99.214	900.90-	712.25
	STATOIL ASA DTD 08/17/10 5.100 08/17/2040 85771PAC6				
<u>120,000.000</u>	8001083	<u>143,798.80</u>	<u>124,545.60</u>	<u>19,253.20-</u>	<u>2,278.00</u>
120,000.000	TOTAL STATOIL ASA	143,798.80 119.832	124,545.60 103.788	19,253.20-	2,278.00
	TARGET CORP DTD 06/26/12 4.000 07/01/2042 87612EBA3				
<u>300,000.000</u>	8001083	<u>297,373.90</u>	<u>260,223.00</u>	<u>37,150.90-</u>	<u>6,000.00</u>
300,000.000	TOTAL TARGET CORP	297,373.90 99.125	260,223.00 86.741	37,150.90-	6,000.00
	TARGET CORP DTD 10/05/07 6.500 10/15/2037 87612EAR7				
<u>165,000.000</u>	8001083	<u>191,582.20</u>	<u>195,459.00</u>	<u>3,876.80</u>	<u>2,264.17</u>
165,000.000	TOTAL TARGET CORP	191,582.20 116.110	195,459.00 118.460	3,876.80	2,264.17



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	TEVA PHARMACEUT FIN BV DTD 11/10/11 3.650 11/10/2021 88165FAF9				
<u>150,000.000</u>	8001083	<u>154,219.20</u>	<u>147,123.00</u>	<u>7,096.20-</u>	<u>775.63</u>
150,000.000	TOTAL TEVA PHARMACEUT FIN BV	154,219.20	147,123.00	7,096.20-	775.63
		102.813	98.082		
	TEXACO CAP INC DTD 11/15/1991 8.625 11/15/2031 881685AX9				
<u>300,000.000</u>	8001083	<u>476,018.40</u>	<u>434,523.00</u>	<u>41,495.40-</u>	<u>3,306.25</u>
300,000.000	TOTAL TEXACO CAP INC	476,018.40	434,523.00	41,495.40-	3,306.25
		158.673	144.841		
	TRANS-CANADA PIPELINES DTD 10/05/07 6.200 10/15/2037 89352HAD1				
<u>150,000.000</u>	8001083	<u>164,326.30</u>	<u>170,884.50</u>	<u>6,558.20</u>	<u>1,963.33</u>
150,000.000	TOTAL TRANS-CANADA PIPELINES	164,326.30	170,884.50	6,558.20	1,963.33
		109.551	113.923		
	TRAVELERS COS INC MED TERM NOTE DTD 05/29/07 6.250 06/15/2037 89417EAD1				
<u>215,000.000</u>	8001083	<u>260,530.00</u>	<u>257,735.55</u>	<u>2,794.45-</u>	<u>597.22</u>
215,000.000	TOTAL TRAVELERS COS INC	260,530.00	257,735.55	2,794.45-	597.22
		121.177	119.877		
	UNION PACIFIC CORP DTD 10/25/13 4.750 12/15/2043 907818DU9				
<u>175,000.000</u>	8001083	<u>174,926.50</u>	<u>170,471.00</u>	<u>4,455.50-</u>	<u>1,523.96</u>
175,000.000	TOTAL UNION PACIFIC CORP	174,926.50	170,471.00	4,455.50-	1,523.96
		99.958	97.412		



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KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	UNITED AIR 2013-1 A PTT DTD 08/15/13 4.300 08/15/2025 909319AA3				
<u>120,000.000</u>	8001083	<u>120,000.00</u>	<u>122,100.00</u>	<u>2,100.00</u>	<u>1,949.33</u>
<u>120,000.000</u>	TOTAL UNITED AIR 2013-1 A PTT	<u>120,000.00</u> 100.000	<u>122,100.00</u> 101.750	<u>2,100.00</u>	<u>1,949.33</u>
	UNITED PARCEL SERVICE DTD 09/27/12 3.625 10/01/2042 911312AR7				
<u>190,000.000</u>	8001083	<u>187,915.80</u>	<u>159,949.60</u>	<u>27,966.20-</u>	<u>1,721.88</u>
<u>190,000.000</u>	TOTAL UNITED PARCEL SERVICE	<u>187,915.80</u> 98.903	<u>159,949.60</u> 84.184	<u>27,966.20-</u>	<u>1,721.88</u>
	UNITED TECH CORP DTD 04/29/05 5.400 05/01/2035 913017BJ7				
<u>200,000.000</u>	8001083	<u>205,116.00</u>	<u>224,654.00</u>	<u>19,538.00</u>	<u>1,800.00</u>
<u>200,000.000</u>	TOTAL UNITED TECH CORP	<u>205,116.00</u> 102.558	<u>224,654.00</u> 112.327	<u>19,538.00</u>	<u>1,800.00</u>
	UNITED TECHNOLOGIES CORP DTD 06/01/12 4.500 06/01/2042 913017BT5				
<u>185,000.000</u>	8001083	<u>184,357.55</u>	<u>179,651.65</u>	<u>4,705.90-</u>	<u>693.75</u>
<u>185,000.000</u>	TOTAL UNITED TECHNOLOGIES CORP	<u>184,357.55</u> 99.653	<u>179,651.65</u> 97.109	<u>4,705.90-</u>	<u>693.75</u>
	UNITED TECHNOLOGIES CORP DTD 02/26/10 5.700 04/15/2040 913017BS7				
<u>40,000.000</u>	8001083	<u>48,903.20</u>	<u>45,439.20</u>	<u>3,464.00-</u>	<u>481.33</u>
<u>40,000.000</u>	TOTAL UNITED TECHNOLOGIES CORP	<u>48,903.20</u> 122.258	<u>45,439.20</u> 113.598	<u>3,464.00-</u>	<u>481.33</u>



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ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	UNITEDHEALTH GROUP INC DTD 10/22/12 2.750 02/15/2023 91324PBZ4				
<u>120,000.000</u>	8001083	<u>121,957.20</u>	<u>109,879.20</u>	<u>12,078.00-</u>	<u>1,246.67</u>
120,000.000	TOTAL UNITEDHEALTH GROUP INC	121,957.20 101.631	109,879.20 91.566	12,078.00-	1,246.67
	UNITEDHEALTH GROUP INC DTD 10/22/12 3.950 10/15/2042 91324PCA8				
<u>60,000.000</u>	8001083	<u>55,664.40</u>	<u>50,883.60</u>	<u>4,780.80-</u>	<u>500.33</u>
60,000.000	TOTAL UNITEDHEALTH GROUP INC	55,664.40 92.774	50,883.60 84.806	4,780.80-	500.33
	UNITEDHEALTH GROUP INC DTD 02/28/13 4.250 03/15/2043 91324PCD2				
<u>195,000.000</u>	8001083	<u>192,434.65</u>	<u>174,998.85</u>	<u>17,435.80-</u>	<u>2,394.17</u>
195,000.000	TOTAL UNITEDHEALTH GROUP INC	192,434.65 98.684	174,998.85 89.743	17,435.80-	2,394.17
	UNIV OF SOUTHERN CALIFOR DTD 08/17/11 5.250 10/01/2111 914886AA4				
<u>30,000.000</u>	8001083	<u>38,270.47</u>	<u>31,643.10</u>	<u>6,627.37-</u>	<u>393.75</u>
30,000.000	TOTAL UNIV OF SOUTHERN CALIFOR	38,270.47 127.568	31,643.10 105.477	6,627.37-	393.75
	VIRGINIA ELEC & POWER CO DTD 09/01/10 3.450 09/01/2022 927804FJ8				
<u>250,000.000</u>	8001083	<u>235,360.00</u>	<u>248,772.50</u>	<u>13,412.50</u>	<u>2,875.00</u>
250,000.000	TOTAL VIRGINIA ELEC & POWER CO	235,360.00 94.144	248,772.50 99.509	13,412.50	2,875.00



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	VODAFONE GROUP PLC DTD 02/19/13 4.375 02/19/2043 92857WBD1				
160,000.000	8001083	156,771.70	138,260.80	18,510.90-	2,566.67
160,000.000	TOTAL VODAFONE GROUP PLC	156,771.70 97.982	138,260.80 86.413	18,510.90-	2,566.67
	VODAFONE GROUP PLC DTD 02/27/07 6.150 02/27/2037 92857WAQ3				
190,000.000	8001083	231,530.20	205,916.30	25,613.90-	4,024.83
190,000.000	TOTAL VODAFONE GROUP PLC	231,530.20 121.858	205,916.30 108.377	25,613.90-	4,024.83
	VODAFONE GROUP PLC DTD 11/26/02 6.250 11/30/2032 92857WAB6				
120,000.000	8001083	129,732.00	131,311.20	1,579.20	645.83
120,000.000	TOTAL VODAFONE GROUP PLC	129,732.00 108.110	131,311.20 109.426	1,579.20	645.83
	UNITED PARCEL SERVICE AMERICA INC DTD 10/01/1997 ADJ DUE 04/01/2030 STEP DOWN CALL @ > PAR OR MAKE WHOLE + 5BP 911308AB0				
80,000.000	8001083	121,350.40	107,979.20	13,371.20-	1,675.00
80,000.000	TOTAL UNITED PARCEL SERVICE AMERICA INC	121,350.40 151.688	107,979.20 134.974	13,371.20-	1,675.00
	WACHOVIA BANK NA SER BKNT DTD 12/07/07 6.600 01/15/2038 92976GAJ0				
135,000.000	8001083	169,604.55	167,979.15	1,625.40-	4,108.50
135,000.000	TOTAL WACHOVIA BANK NA	169,604.55 125.633	167,979.15 124.429	1,625.40-	4,108.50



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<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	WAL-MART STORES INC DTD 04/18/11 5.625 04/15/2041 931142DB6				
<u>20,000.000</u>	8001083	<u>21,947.30</u>	<u>22,709.40</u>	<u>762.10</u>	<u>237.50</u>
20,000.000	TOTAL WAL-MART STORES INC	21,947.30 109.736	22,709.40 113.547	762.10	237.50
	WAL-MART STORES INC DTD 04/15/08 6.200 04/15/2038 931142CM3				
<u>400,000.000</u>	8001083	<u>449,432.50</u>	<u>480,740.00</u>	<u>31,307.50</u>	<u>5,235.56</u>
400,000.000	TOTAL WAL-MART STORES INC	449,432.50 112.358	480,740.00 120.185	31,307.50	5,235.56
	WAL-MART STORES INC DTD 08/24/2007 6.500 08/15/2037 931142CK7				
<u>10,000.000</u>	8001083	<u>13,227.40</u>	<u>12,509.30</u>	<u>718.10-</u>	<u>245.56</u>
10,000.000	TOTAL WAL-MART STORES INC	13,227.40 132.274	12,509.30 125.093	718.10-	245.56
	WALT DISNEY COMPANY/THE MED TERM NOTE DTD 11/30/12 3.700 12/01/2042 25468PCX2				
<u>170,000.000</u>	8001083	<u>166,986.30</u>	<u>141,973.80</u>	<u>25,012.50-</u>	<u>524.17</u>
170,000.000	TOTAL WALT DISNEY COMPANY/THE	166,986.30 98.227	141,973.80 83.514	25,012.50-	524.17
	WALT DISNEY COMPANY/THE DTD 12/02/11 4.125 12/01/2041 25468PCR5				
<u>140,000.000</u>	8001083	<u>138,955.80</u>	<u>127,048.60</u>	<u>11,907.20-</u>	<u>481.25</u>
140,000.000	TOTAL WALT DISNEY COMPANY/THE	138,955.80 99.254	127,048.60 90.749	11,907.20-	481.25



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	WALT DISNEY COMPANY/THE DTD 08/22/11 4.375 08/16/2041 25468PCP9				
<u>10,000.000</u>	8001083	<u>10,434.30</u>	<u>9,360.40</u>	<u>1,073.90-</u>	<u>164.06</u>
10,000.000	TOTAL WALT DISNEY COMPANY/THE	10,434.30	9,360.40	1,073.90-	164.06
		104.343	93.604		
	WELLS FARGO & COMPANY DTD 10/28/13 5.375 11/02/2043 94974BFPO				
<u>60,000.000</u>	8001083	<u>60,056.56</u>	<u>61,440.60</u>	<u>1,384.04</u>	<u>564.38</u>
60,000.000	TOTAL WELLS FARGO & COMPANY	60,056.56	61,440.60	1,384.04	564.38
		100.094	102.401		
	WISCONSIN PUBLIC SERVICE DTD 12/03/12 3.671 12/01/2042 976843BH4				
<u>80,000.000</u>	8001083	<u>79,213.38</u>	<u>68,237.60</u>	<u>10,975.78-</u>	<u>244.73</u>
80,000.000	TOTAL WISCONSIN PUBLIC SERVICE	79,213.38	68,237.60	10,975.78-	244.73
		99.017	85.297		
	WISCONSIN PUBLIC SERVICE DTD 11/21/13 4.752 11/01/2044 976843BJ0				
<u>180,000.000</u>	8001083	<u>180,000.00</u>	<u>181,661.40</u>	<u>1,661.40</u>	<u>950.40</u>
180,000.000	TOTAL WISCONSIN PUBLIC SERVICE	180,000.00	181,661.40	1,661.40	950.40
		100.000	100.923		
	WYETH DTD 12/16/03 6.500 02/01/2034 983024AG5				
<u>140,000.000</u>	8001083	<u>163,980.40</u>	<u>171,374.00</u>	<u>7,393.60</u>	<u>3,791.67</u>
140,000.000	TOTAL WYETH	163,980.40	171,374.00	7,393.60	3,791.67
		117.129	122.410		



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	XLIT LTD DTD 11/21/13 5.250 12/15/2043 98420EAB1				
165,000.000	8001083	164,620.50	166,105.50	1,485.00	962.50
165,000.000	TOTAL XLIT LTD	164,620.50 99.770	166,105.50 100.670	1,485.00	962.50
	XTO ENERGY INC DTD 03/30/06 6.100 04/01/2036 98385XAJ5				
200,000.000	8001083	264,696.00	239,452.00	25,244.00-	3,050.00
200,000.000	TOTAL XTO ENERGY INC	264,696.00 132.348	239,452.00 119.726	25,244.00-	3,050.00
	XTO ENERGY INC DTD 07/19/2007 6.750 08/01/2037 98385XAM8				
30,000.000	8001083	43,416.00	39,248.10	4,167.90-	843.75
30,000.000	TOTAL XTO ENERGY INC	43,416.00 144.720	39,248.10 130.827	4,167.90-	843.75
	TOTAL CORPORATE BONDS	29,440,529.99	28,087,205.32	1,353,324.67-	346,030.24
	TOTAL CORPORATE BONDS	29,440,529.99	28,087,205.32	1,353,324.67-	346,030.24
	MUNICIPAL BONDS				
	EDUCATIONAL FACILITIES				
	UNIVERSITY TEX PERM UNIV FD BUILD AMERICA BONDS DTD 09/01/09 5.262 07/01/2039 9151153X5				
60,000.000	8001083	72,447.00	64,144.20	8,302.80-	1,578.60
60,000.000	TOTAL UNIVERSITY TEX PERM UNIV FD	72,447.00 120.745	64,144.20 106.907	8,302.80-	1,578.60
	TOTAL EDUCATIONAL FACILITIES	72,447.00	64,144.20	8,302.80-	1,578.60
	GENERAL OBLIGATION				



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	CALIFORNIA ST BUILD AMERICA BONDS-TAXABLE-VA DTD 04/28/09 7.550 04/01/2039 13063A5G5				
110,000.000	8001083	143,942.59	142,558.90	1,383.69-	2,076.25
110,000.000	TOTAL CALIFORNIA ST	143,942.59 130.857	142,558.90 129.599	1,383.69-	2,076.25
	CALIFORNIA ST BUILD AMERICA BONDS DTD 04/01/10 7.625 03/01/2040 13063BFR8				
120,000.000	8001083	130,462.80	157,989.60	27,526.80	3,050.00
120,000.000	TOTAL CALIFORNIA ST	130,462.80 108.719	157,989.60 131.658	27,526.80	3,050.00
	LOS ANGELES CALIF UNI SCH DIST BUILD AMERICA BONDS-TAXABLE-KRY DTD 10/15/09 5.750 07/01/2034 544646XZ0				
240,000.000	8001083	228,019.20	264,225.60	36,206.40	6,900.00
240,000.000	TOTAL LOS ANGELES CALIF UNI SCH DIST	228,019.20 95.008	264,225.60 110.094	36,206.40	6,900.00
	MASSACHUSETTS ST BUILD AMERICA BONDS -CONS LN DTD 12/08/09 5.456 12/01/2039 57582PUE8				
280,000.000	8001083	347,752.60	304,432.80	43,319.80-	8,093.07
280,000.000	TOTAL MASSACHUSETTS ST	347,752.60 124.197	304,432.80 108.726	43,319.80-	8,093.07
	NEW YORK NY TAXABLE-BUILD AMER BDS-F-1 DTD 12/21/10 6.271 12/01/2037 64966JAR7				
150,000.000	8001083	156,691.50	175,026.00	18,334.50	783.88
150,000.000	TOTAL NEW YORK NY	156,691.50 104.461	175,026.00 116.684	18,334.50	783.88



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	TEXAS ST BUILD AMERICA BONDS-SER A DTD 09/29/10 4.681 04/01/2040 882722VN8				
<u>355,000.000</u>	<u>8001083</u>	<u>393,087.95</u>	<u>356,185.70</u>	<u>36,902.25-</u>	<u>4,154.39</u>
355,000.000	TOTAL TEXAS ST	393,087.95 110.729	356,185.70 100.334	36,902.25-	4,154.39
	TEXAS ST BUILD AMERICA BONDS TAXABLE DTD 08/26/09 5.517 04/01/2039 882722KF7				
<u>165,000.000</u>	<u>8001083</u>	<u>194,796.55</u>	<u>185,057.40</u>	<u>9,739.15-</u>	<u>2,275.76</u>
165,000.000	TOTAL TEXAS ST	194,796.55 118.059	185,057.40 112.156	9,739.15-	2,275.76
	WASHINGTON ST BUILD AMERICA BONDS-SER D DTD 10/22/09 5.481 08/01/2039 93974CPM6				
<u>70,000.000</u>	<u>8001083</u>	<u>84,512.40</u>	<u>74,617.20</u>	<u>9,895.20-</u>	<u>1,598.63</u>
70,000.000	TOTAL WASHINGTON ST	84,512.40 120.732	74,617.20 106.596	9,895.20-	1,598.63
	TOTAL GENERAL OBLIGATION	1,679,265.59	1,660,093.20	19,172.39-	28,931.98
	TRANSPORTATION REVENUE				
	BAY AREA TOLL AUTH CALIF TOLL BUILD AMERICA BONDS DTD 11/05/09 6.263 04/01/2049 072024ND0				
<u>100,000.000</u>	<u>8001083</u>	<u>102,416.00</u>	<u>119,941.00</u>	<u>17,525.00</u>	<u>1,565.75</u>
100,000.000	TOTAL BAY AREA TOLL AUTH CALIF TOLL	102,416.00 102.416	119,941.00 119.941	17,525.00	1,565.75
	TOTAL TRANSPORTATION REVENUE	102,416.00	119,941.00	17,525.00	1,565.75
	UTILITY REVENUE				



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	CHICAGO ILL MET WTR RECLAMATIO BUILD AMERICA BONDS-TXBL-DIREC DTD 08/26/09 5.720 12/01/2038 167560PL9				
<u>200,000.000</u>	8001083	<u>199,718.00</u>	<u>217,074.00</u>	<u>17,356.00</u>	<u>953.33</u>
200,000.000	TOTAL CHICAGO ILL MET WTR RECLAMATIO	199,718.00	217,074.00	17,356.00	953.33
		99.859	108.537		
	TOTAL UTILITY REVENUE	199,718.00	217,074.00	17,356.00	953.33
	OTHER REVENUE				
	EAST BAY CALIF MUN UTIL DIST W BUILD AMERICA BONDS DTD 02/23/10 5.874 06/01/2040 271014TU6				
<u>100,000.000</u>	8001083	<u>99,771.00</u>	<u>113,510.00</u>	<u>13,739.00</u>	<u>489.50</u>
100,000.000	TOTAL EAST BAY CALIF MUN UTIL DIST W	99,771.00	113,510.00	13,739.00	489.50
		99.771	113.510		
	GRAND PARKWAY TRANSPRTN CORP T TXBL-SER E DTD 08/01/13 5.184 10/01/2042 38611TAV9				
<u>120,000.000</u>	8001083	<u>120,000.00</u>	<u>122,487.60</u>	<u>2,487.60</u>	<u>1,555.20</u>
120,000.000	TOTAL GRAND PARKWAY TRANSPRTN CORP T	120,000.00	122,487.60	2,487.60	1,555.20
		100.000	102.073		
	LOS ANGELES CALIF DEPT WTR & PWR REV BUILD AMERICA BONDS DTD 12/02/10 6.574 07/01/2045 544495VY7				
<u>50,000.000</u>	8001083	<u>60,426.00</u>	<u>62,403.50</u>	<u>1,977.50</u>	<u>1,643.50</u>
50,000.000	TOTAL LOS ANGELES CALIF DEPT WTR & PWR	60,426.00	62,403.50	1,977.50	1,643.50
		120.852	124.807		



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	NEW YORK N Y CITY MUN WTR FIN BUILD AMERICA BONDS-TAXABLE-SE DTD 03/18/10 6.011 06/15/2042 64972FL20				
75,000.000	8001083	77,480.25	87,457.50	9,977.25	200.37
75,000.000	TOTAL NEW YORK N Y CITY MUN WTR FIN	77,480.25 103.307	87,457.50 116.610	9,977.25	200.37
	PORT AUTH OF NEW YORK & NEW JE CONSOL-174TH DTD 10/10/12 4.458 10/01/2062 73358WJA3				
100,000.000	8001083	100,000.00	84,784.00	15,216.00-	1,114.50
100,000.000	TOTAL PORT AUTH OF NEW YORK & NEW JE	100,000.00 100.000	84,784.00 84.784	15,216.00-	1,114.50
	TEXAS ST TRANSN COMMN TAXABLE-FIRST TIER-SER B- DTD 08/05/10 5.028 04/01/2026 88283LHT6				
40,000.000	8001083	40,737.60	43,024.80	2,287.20	502.80
40,000.000	TOTAL TEXAS ST TRANSN COMMN	40,737.60 101.844	43,024.80 107.562	2,287.20	502.80
	UNIV OF CALIFORNIA CA REVENUES TXBL-GEN-SER AJ DTD 10/02/13 4.601 05/15/2031 91412GTG0				
340,000.000	8001083	340,000.00	338,113.00	1,887.00-	3,867.40
340,000.000	TOTAL UNIV OF CALIFORNIA CA REVENUES	340,000.00 100.000	338,113.00 99.445	1,887.00-	3,867.40
	UNIVERSITY TEX UNIV REVS BUILD AMERICA BONDS-SER C DTD 09/15/10 4.794 08/15/2046 9151375R0				
100,000.000	8001083	112,564.00	100,484.00	12,080.00-	1,811.07
100,000.000	TOTAL UNIVERSITY TEX UNIV REVS	112,564.00 112.564	100,484.00 100.484	12,080.00-	1,811.07



STATEMENT OF ASSETS AND LIABILITIES
 AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	TOTAL OTHER REVENUE	950,978.85	952,264.40	1,285.55	11,184.34
	TOTAL MUNICIPAL BONDS	3,004,825.44	3,013,516.80	8,691.36	44,214.00
	OTHER FIXED INCOME SECURITIES				
	PRIVATE PLACEMENT				
	AIG SUNAMER GLOB FIN IX 144A PRIV PLCMT 6.900 03/15/2032 00139PAA6				
<u>255,000.000</u>	8001083	<u>327,873.25</u>	<u>309,159.45</u>	<u>18,713.80-</u>	<u>5,180.75</u>
255,000.000	TOTAL AIG SUNAMER GLOB FIN IX	327,873.25	309,159.45	18,713.80-	5,180.75
		128.578	121.239		
	AMERICAN AIRLINES 2013-2 144A PRIV PLCMT 4.950 01/15/2023 02377UAA2				
<u>180,000.000</u>	8001083	<u>180,000.00</u>	<u>187,650.00</u>	<u>7,650.00</u>	<u>3,737.25</u>
180,000.000	TOTAL AMERICAN AIRLINES 2013-2	180,000.00	187,650.00	7,650.00	3,737.25
		100.000	104.250		
	CENTRICA PLC 144A PRIV PLCMT 5.375 10/16/2043 15639KAB8				
<u>180,000.000</u>	8001083	<u>178,621.20</u>	<u>181,269.00</u>	<u>2,647.80</u>	<u>2,015.63</u>
180,000.000	TOTAL CENTRICA PLC	178,621.20	181,269.00	2,647.80	2,015.63
		99.234	100.705		
	KEYSPAN GAS EAST 144A PRIV PLCMT 5.819 04/01/2041 49338CAA1				
<u>185,000.000</u>	8001083	<u>192,079.70</u>	<u>207,547.80</u>	<u>15,468.10</u>	<u>2,691.29</u>
185,000.000	TOTAL KEYSPAN GAS EAST	192,079.70	207,547.80	15,468.10	2,691.29
		103.827	112.188		



STATEMENT OF ASSETS AND LIABILITIES
AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	MET LIFE GLOB FUNDING I 144A PRIV PLCMT 3.000 01/10/2023 59217GAX7				
80,000.000	8001083	79,849.70	74,535.20	5,314.50-	1,140.00
80,000.000	TOTAL MET LIFE GLOB FUNDING I	79,849.70 99.812	74,535.20 93.169	5,314.50-	1,140.00
	MIDAMERICAN ENERGY HLDGS 144A PRIV PLCMT 6.125 04/01/2036 59562VAL1				
50,000.000	8001083	48,807.00	56,803.00	7,996.00	765.63
50,000.000	TOTAL MIDAMERICAN ENERGY HLDGS	48,807.00 97.614	56,803.00 113.606	7,996.00	765.63
	MONONGAHELA POWER CO 144A PRIV PLCMT 5.400 12/15/2043 610202BP7				
195,000.000	8001083	195,230.55	202,540.65	7,310.10	994.50
195,000.000	TOTAL MONONGAHELA POWER CO	195,230.55 100.118	202,540.65 103.867	7,310.10	994.50
	MOTIVA ENTERPRISES LLC 144A PRIV PLCMT 6.850 01/15/2040 61980AAD5				
140,000.000	8001083	165,509.40	171,710.00	6,200.60	4,422.06
140,000.000	TOTAL MOTIVA ENTERPRISES LLC	165,509.40 118.221	171,710.00 122.650	6,200.60	4,422.06
	PRES & FELLOWS OF HARVAR 144A PRIV PLCMT 6.500 01/15/2039 740816AG8				
100,000.000	8001083	120,141.00	127,284.00	7,143.00	2,997.22
100,000.000	TOTAL PRES & FELLOWS OF HARVAR	120,141.00 120.141	127,284.00 127.284	7,143.00	2,997.22



STATEMENT OF ASSETS AND LIABILITIES
 AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	SCHLUMBERGER INVESTMENT				
	144A PRIV PLCMT 3.300 09/14/2021				
	806854AB1				
100,000.000	8001083	99,679.00	99,420.00	259.00-	980.83
100,000.000	TOTAL SCHLUMBERGER INVESTMENT	99,679.00	99,420.00	259.00-	980.83
		99.679	99.420		
	SIEMENS FINANCIAL				
	144A PRIV PLCMT 6.125 08/17/2026				
	826200AD9				
220,000.000	8001083	254,743.30	253,864.60	878.70-	5,015.69
220,000.000	TOTAL SIEMENS FINANCIAL	254,743.30	253,864.60	878.70-	5,015.69
		115.792	115.393		
	TEACHERS INSUR & ANNUITY				
	144A PRIV PLCMT 6.850 12/16/2039				
	878091BC0				
180,000.000	8001083	208,754.30	222,190.20	13,435.90	513.75
180,000.000	TOTAL TEACHERS INSUR & ANNUITY	208,754.30	222,190.20	13,435.90	513.75
		115.975	123.439		
	WELLS FARGO & COMPANY				
	144A PRIV PLCMT 5.606 01/15/2044				
	949746RD5				
370,000.000	8001083	370,141.80	384,315.30	14,173.50	2,016.60
370,000.000	TOTAL WELLS FARGO & COMPANY	370,141.80	384,315.30	14,173.50	2,016.60
		100.038	103.869		
	TOTAL PRIVATE PLACEMENT	2,421,430.20	2,478,289.20	56,859.00	32,471.20
	TOTAL OTHER FIXED INCOME SECURITIES	2,421,430.20	2,478,289.20	56,859.00	32,471.20
	TOTAL BONDS & NOTES	46,590,079.35	44,612,531.70	1,977,547.65-	533,071.35
	<u>MUTUAL FUNDS</u>				
	MUTUAL FUNDS - EQUITY				



STATEMENT OF ASSETS AND LIABILITIES
 AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
	AMERICAN BEACON LARGE CAP VALUE FUND CLASS IS #66 02368A208				
<u>132,319.786</u>	8001081	<u>2,770,156.87</u>	<u>3,804,193.85</u>	<u>1,034,036.98</u>	<u>0.00</u>
132,319.786	TOTAL AMERICAN BEACON LARGE CAP VALUE F	2,770,156.87 20.935	3,804,193.85 28.750	1,034,036.98	0.00
	AMERICAN FUNDS EUROPACIFIC GROWTH FUND CLASS R6 #2616 298706821				
<u>132,214.432</u>	8001081	<u>4,912,889.86</u>	<u>6,482,473.60</u>	<u>1,569,583.74</u>	<u>0.00</u>
132,214.432	TOTAL AMERICAN FUNDS EUROPACIFIC GROWTH	4,912,889.86 37.158	6,482,473.60 49.030	1,569,583.74	0.00
	DODGE & COX INTERNATIONAL STOCK FUND #1048 256206103				
<u>152,796.402</u>	8001081	<u>5,746,968.24</u>	<u>6,576,357.14</u>	<u>829,388.90</u>	<u>0.00</u>
152,796.402	TOTAL DODGE & COX INTERNATIONAL STOCK F	5,746,968.24 37.612	6,576,357.14 43.040	829,388.90	0.00
	DWS RREEF REAL ESTATE SECURITIES FUND CLASS INST #595 23337G829				
<u>164,287.604</u>	8001081	<u>3,194,465.48</u>	<u>3,210,179.78</u>	<u>15,714.30</u>	<u>0.00</u>
164,287.604	TOTAL DWS RREEF REAL ESTATE SECURITIES	3,194,465.48 19.444	3,210,179.78 19.540	15,714.30	0.00
	ING GLOBAL REAL ESTATE I FUND #2254 44980Q302				
<u>189,515.233</u>	8001081	<u>3,413,344.28</u>	<u>3,471,919.07</u>	<u>58,574.79</u>	<u>0.00</u>
189,515.233	TOTAL ING GLOBAL REAL ESTATE I FUND #22	3,413,344.28 18.011	3,471,919.07 18.320	58,574.79	0.00
	LAZARD EMERGING MARKETS PORTFOLIO #638 52106N889				
390,038.502	8001081	7,332,831.24	7,282,018.83	50,812.41-	0.00



STATEMENT OF ASSETS AND LIABILITIES
AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

PAR VALUE/SHARES	DESCRIPTION	COST VALUE / UNIT COST	MARKET VALUE / UNIT PRICE	UNREALIZED GAIN/LOSS	ACCRUED INCOME
390,038.502	TOTAL LAZARD EMERGING MARKETS PORTFOLIO	7,332,831.24 18.800	7,282,018.83 18.670	50,812.41-	0.00
	PIMCO COMMODITY REAL RETURN STRATEGY FUND-CLASS I #45 722005667				
1,803,867.523	8001081	13,635,258.93	9,903,232.70	3,732,026.23-	0.00
1,803,867.523	TOTAL PIMCO COMMODITY REAL RETURN	13,635,258.93 7.559	9,903,232.70 5.490	3,732,026.23-	0.00
	PRUDENTIAL JENNISON SMALL COMPANY INC FUND CLASS Z #407 74441N408				
91,410.243	8001081	1,823,206.21	2,625,302.18	802,095.97	0.00
91,410.243	TOTAL PRUDENTIAL JENNISON SMALL COMPANY	1,823,206.21 19.945	2,625,302.18 28.720	802,095.97	0.00
	VANGUARD GROWTH INDEX FUND INSTITUTIONAL CLASS #0868 922908868				
82,499.385	8001081	2,944,310.40	3,949,245.56	1,004,935.16	0.00
82,499.385	TOTAL VANGUARD GROWTH INDEX FUND	2,944,310.40 35.689	3,949,245.56 47.870	1,004,935.16	0.00
	VANGUARD INSTITUTIONAL INDEX FUND #94 922040100				
37,805.450	8001081	4,589,169.20	6,399,706.58	1,810,537.38	0.00
37,805.450	TOTAL VANGUARD INSTITUTIONAL INDEX FUND	4,589,169.20 121.389	6,399,706.58 169.280	1,810,537.38	0.00
	WESTCORE SMALL CAP VALUE DIVIDEND FUND #300 957904535				
177,845.914	8001081	2,289,016.09	2,557,424.24	268,408.15	0.00
177,845.914	TOTAL WESTCORE SMALL CAP VALUE DIVIDEND	2,289,016.09 12.871	2,557,424.24 14.380	268,408.15	0.00
	TOTAL MUTUAL FUNDS - EQUITY	52,651,616.80	56,262,053.53	3,610,436.73	0.00



STATEMENT OF ASSETS AND LIABILITIES
 AS OF DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

<u>PAR VALUE/SHARES</u>	<u>DESCRIPTION</u>	<u>COST VALUE / UNIT COST</u>	<u>MARKET VALUE / UNIT PRICE</u>	<u>UNREALIZED GAIN/LOSS</u>	<u>ACCRUED INCOME</u>
MUTUAL FUNDS - CORPORATE BONDS					
	PIMCO HIGH YIELD FUND				
	INSTITUTIONAL SHARES #108				
	693390841				
487,895.540	8001081	4,355,061.17	4,688,676.14	333,614.97	0.00
487,895.540	TOTAL PIMCO HIGH YIELD FUND	4,355,061.17	4,688,676.14	333,614.97	0.00
		8.926	9.610		
	TOTAL MUTUAL FUNDS - CORPORATE BONDS	4,355,061.17	4,688,676.14	333,614.97	0.00
CLOSED END FUNDS EQUITY					
	KAYNE ANDERSON MLP INVT CO				
	COM				
	486606106				
121,881.000	8001081	4,219,943.73	4,856,957.85	637,014.12	0.00
121,881.000	TOTAL KAYNE ANDERSON MLP INVT CO	4,219,943.73	4,856,957.85	637,014.12	0.00
		34.623	39.850		
	TORTOISE ENERGY INFRASTRUCTURE CORP				
	COM				
	89147L100				
99,354.000	8001081	4,449,963.18	4,736,205.18	286,242.00	0.00
99,354.000	TOTAL TORTOISE ENERGY INFRASTRUCTURE CO	4,449,963.18	4,736,205.18	286,242.00	0.00
		44.789	47.670		
	TOTAL CLOSED END FUNDS EQUITY	8,669,906.91	9,593,163.03	923,256.12	0.00
	TOTAL MUTUAL FUNDS	65,676,584.88	70,543,892.70	4,867,307.82	0.00
	TOTAL ASSETS AND LIABILITIES	126,350,543.96	129,240,304.13	2,889,760.17	533,189.44
	CASH	330.97	330.97		
	PENDING TRADES	58,650.36-	58,650.36-		
	ACCRUED INCOME	533,189.44	533,189.44		
TOTAL ACCOUNT		126,825,414.01	129,715,174.18	2,889,760.17	



REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			108,973,208.41				
COMPARATIVE VALUE (5%)			5,448,660.42				
<hr/>							
8001081	ISSUE: VP4540002 - WF ADV PRIME INV MM FD-INST #1752 01/31/13 B	5,949,896	1.000	0	5,949,896-*	5,949,896	
8001081	ISSUE: VP7000228 - WF ADV HERITAGE MM FD-INSTL #3106 05/30/13 B	11,747,894	1.000	0	11,747,894-*	11,747,894	
8001081	ISSUE: VP7000228 - WF ADV HERITAGE MM FD-INSTL #3106 05/30/13 S	7,490,000	1.000	0	7,490,000 *	7,490,000	0
8001081	ISSUE: VP7000228 - WF ADV HERITAGE MM FD-INSTL #3106 08/20/13 B	13,000,000	1.000	0	13,000,000-*	13,000,000	
8001083	ISSUE: VP7000228 - WF ADV HERITAGE MM FD-INSTL #3106 05/30/13 B	7,490,000	1.000	0	7,490,000-*	7,490,000	
GRAND TOTAL				0	45,677,790	45,677,790	0

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
BEGINNING MARKET VALUE			108,973,208.41				
COMPARATIVE VALUE (5%)			5,448,660.42				
BROKER: GOLDMAN SACHS							
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/09/13 S	80,000	0.940	0	75,163	76,770	-1,607
8001083	ISSUE: 20030NBH3 - COMCAST CORP 4.25% 1/15/33 01/09/13 B	110,000	0.989	0	108,801-	108,801	
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 01/16/13 S	55,000	0.983	0	54,061	54,005	56
8001083	ISSUE: 38141GRD8 - GOLDMAN SACHS GROUP 3.625% 1/22/23 01/16/13 B	120,000	0.997	0	119,621-	119,621	
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 01/18/13 B	95,000	0.980	0	93,056-	93,056	
8001083	ISSUE: 71645WAT8 - PETROBRAS INTL FIN 3.875% 1/27/16 02/05/13 S	140,000	1.042	0	145,912	148,281	-2,369
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 02/19/13 S	55,000	0.965	0	53,056	53,321	-265
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 02/19/13 B	50,000	0.995	0	49,744-	49,744	
8001083	ISSUE: 05565QCB2 - BP CAPITAL MARKETS 2.5% 11/06/22 02/20/13 S	140,000	0.955	0	133,666	139,031	-5,365
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 02/20/13 B	155,000	0.996	0	154,419-	154,419	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 02/26/13 B	10,000	0.934	0	9,342-	9,342	
8001083	ISSUE: 38141GFD1 - GOLDMAN SACHS GROUP 6.75% 10/01/37 02/26/13 S	15,000	1.139	0	17,090	15,021	2,068
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 02/27/13 B	15,000	0.928	0	13,927-	13,927	
8001083	ISSUE: 38141GFD1 - GOLDMAN SACHS GROUP 6.75% 10/01/37 02/27/13 S	20,000	1.135	0	22,697	20,029	2,668
8001083	ISSUE: 084664BL4 - BERKSHIRE HATHAWAY 5.75% 1/15/40 03/05/13 S	75,000	1.193	0	89,509	79,676	9,833
8001083	ISSUE: 084670BK3 - BERKSHIRE HATHAWAY 4.5% 2/11/43 03/05/13 B	75,000	1.013	0	75,976-	75,976	
8001083	ISSUE: 06051GEU9 - BANK OF AMERICA CORP 3.3% 1/11/23 04/09/13 B	55,000	1.006	0	55,304-	55,304	
8001083	ISSUE: 38141GRD8 - GOLDMAN SACHS GROUP 3.625% 1/22/23 04/09/13 S	55,000	1.029	0	56,607	54,826	1,780

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013**
**KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 92344GAM8 - VERIZON GLOBAL FDG 7.75% 12/01/30 04/11/13 B	65,000	1.408	0	91,523-	91,523	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/11/13 S	55,000	0.949	0	52,181	51,840	341
8001083	ISSUE: 65334HAJ1 - NEXEN INC 7.5% 7/30/39 04/16/13 S	10,000	1.489	0	14,891	14,450	441
8001083	ISSUE: 65334HAG7 - NEXEN INC 6.4% 5/15/37 04/16/13 B	10,000	1.315	0	13,155-	13,155	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/30/13 S	185,000	0.974	0	180,115	178,518	1,597
8001083	ISSUE: 037833AL4 - APPLE INC 3.85% 5/04/43 04/30/13 B	205,000	0.994	0	203,807-	203,807	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/01/13 B	40,000	0.980	0	39,194-	39,194	
8001083	ISSUE: 740816AK9 - PRES & FELLOWS OF HA 3.529% 10/01/31 05/01/13 S	60,000	1.013	0	60,799	60,000	799
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/03/13 S	105,000	0.961	0	100,948	100,231	717
8001083	ISSUE: 06849RAH5 - P/P BARRICK NA FINAN 5.75% 5/01/43 05/03/13 B	140,000	1.008	0	141,060-	141,060	
8001083	ISSUE: 912810FB9 - US TREASURY BOND 6.125% 11/15/27 05/06/13 B	350,000	1.475	0	516,169-	516,169	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/06/13 B	650,000	0.953	0	619,686-	619,686	
8001083	ISSUE: 914886AA4 - UNIV OF SOUTHERN CAL 5.25% 10/01/11 05/07/13 B	130,000	1.298	0	168,735-	168,735	
8001083	ISSUE: 65334HAG7 - NEXEN INC 6.4% 5/15/37 05/07/13 B	50,000	1.302	0	65,117-	65,117	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/08/13 B	245,000	0.946	0	231,746-	231,746	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/09/13 S	40,000	1.022	0	40,862	40,872	-10
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 05/31/13 B	1,000,000	0.963	0	963,090-	963,090	
8001083	ISSUE: 17275RAF9 - CISCO SYSTEMS INC 5.5% 1/15/40 06/03/13 B	30,000	1.188	0	35,640-	35,640	
8001083	ISSUE: 06051GEU9 - BANK OF AMERICA CORP 3.3% 1/11/23 06/03/13 B	40,000	0.969	0	38,745-	38,745	
8001083	ISSUE: 037833AL4 - APPLE INC 3.85% 5/04/43 06/03/13 B	40,000	0.925	0	36,985-	36,985	
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 06/03/13 S	250,000	0.969	0	242,313	241,107	1,206
8001083	ISSUE: 637432CT0 - NATIONAL RURAL UTILS 8% 3/01/32 06/04/13 B	40,000	1.451	0	58,045-	58,045	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/04/13 S	140,000	0.969	0	135,635	136,320	-685

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 58933YAJ4 - MERCK & CO INC 4.15% 5/18/43 06/04/13 B	20,000	0.978	0	19,550-	19,550	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/16/13 S	100,000	0.916	0	91,641	96,110	-4,469
8001083	ISSUE: 38611TAV9 - GRAND PARKWAY TRANSP 5.184% 10/01/42 07/17/13 B	120,000	1.000	0	120,000-	120,000	
8001083	ISSUE: 459200HG9 - IBM CORP 1.875% 8/01/22 07/29/13 S	175,000	0.898	0	157,145	170,616	-13,472
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 07/29/13 B	155,000	0.929	0	143,956-	143,956	
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 08/01/13 S	110,000	0.917	0	100,890	102,824	-1,934
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 08/12/13 S	130,000	0.928	0	120,602	121,520	-917
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 08/12/13 B	125,000	0.992	0	123,945-	123,945	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 08/21/13 S	20,000	0.970	0	19,406	19,816	-409
8001083	ISSUE: 907818DPO - UNION PACIFIC CORP 4.25% 4/15/43 09/09/13 S	65,000	0.900	0	58,507	64,085	-5,578
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/09/13 B	60,000	0.827	0	49,594-	49,594	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/09/13 B	10,000	0.825	0	8,248-	8,248	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/11/13 B	175,000	0.823	0	143,965-	143,965	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 09/11/13 S	20,000	0.964	0	19,289	19,545	-256
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 09/11/13 B	20,000	0.964	0	19,280-	19,280	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/23/13 S	155,000	0.840	0	130,200	130,414	-214
8001083	ISSUE: 92277GAB3 - VENTAS REALTY LP/CAP 5.7% 9/30/43 09/23/13 B	170,000	0.996	0	169,368-	169,368	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 09/25/13 S	200,000	0.986	0	197,241	196,099	1,142
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/11/13 B	20,000	0.987	0	19,734-	19,734	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/11/13 B	20,000	0.985	0	19,703-	19,703	
8001083	ISSUE: 449786AY8 - P/P ING BANK NV 5.8% 9/25/23 10/11/13 S	20,000	1.024	0	20,478	19,909	570
8001083	ISSUE: 449786AY8 - P/P ING BANK NV 5.8% 9/25/23 10/11/13 S	20,000	1.026	0	20,511	19,909	602
8001083	ISSUE: 013716AW5 - RIO TINTO ALCAN INC 5.75% 6/01/35 10/28/13 S	120,000	1.083	0	129,916	144,054	-14,139

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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/28/13 B	100,000	0.863	0	86,344-	86,344	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/28/13 S	235,000	0.860	0	202,137	198,494	3,643
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/28/13 S	155,000	0.999	0	154,812	153,381	1,431
8001083	ISSUE: 665859AP9 - NORTHERN TRUST CORP 3.95% 10/30/25 10/28/13 B	140,000	0.999	0	139,840-	139,840	
8001083	ISSUE: 02209SAR4 - ALTRIA GROUP INC 5.375% 1/31/44 10/28/13 B	260,000	0.996	0	258,892-	258,892	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/29/13 B	120,000	0.999	0	119,850-	119,850	
8001083	ISSUE: 449786AY8 - P/P ING BANK NV 5.8% 9/25/23 10/29/13 S	130,000	1.050	0	136,544	129,406	7,138
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 11/18/13 S	40,000	0.987	0	39,473	39,821	-348
8001083	ISSUE: 912828WE6 - US TREASURY NOTE 2.625% 11/15/23 11/18/13 B	35,000	1.007	0	35,230-	35,230	
8001083	ISSUE: 912828WE6 - US TREASURY NOTE 2.75% 11/15/23 11/25/13 S	10,000	1.001	0	10,009	10,035	-26
8001083	ISSUE: 912828RR3 - US TREASURY NOTE 2% 11/15/21 11/29/13 S	75,000	0.975	0	73,087	75,621	-2,535
8001083	ISSUE: 233835AQ0 - DAIMLERCHRYSLER NA 8.5% 1/18/31 12/05/13 S	60,000	1.453	0	87,179	95,207	-8,028
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/05/13 B	55,000	0.953	0	52,396-	52,396	
SUB-TOTAL				0	8,677,354	8,703,946	-26,593

BROKER: BANC OF AMERICA SECURITIES LLC

8001083	ISSUE: 36962G6S8 - GENERAL ELEC CAP COR 3.1% 1/09/23 01/03/13 B	280,000	0.998	0	279,474-	279,474	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/08/13 S	110,000	0.940	0	103,434	107,245	-3,810
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 01/08/13 S	120,000	0.978	0	117,403	117,394	9
8001083	ISSUE: 06051GEU9 - BANK OF AMERICA CORP 3.3% 1/11/23 01/08/13 B	120,000	0.994	0	119,333-	119,333	
8001083	ISSUE: 853254AK6 - P/P STANDARD CHARTER 5.3% 1/09/43 01/08/13 B	140,000	0.999	0	139,854-	139,854	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/09/13 B	25,000	0.940	0	23,492-	23,492	
8001083	ISSUE: 853254AK6 - P/P STANDARD CHARTER 5.3% 1/09/43 01/09/13 S	30,000	1.038	0	31,148	29,969	1,179

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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/15/13 S	60,000	0.949	0	56,963	57,213	-251
8001083	ISSUE: 205887BS0 - CONAGRA FOODS INC 4.65% 1/25/43 01/15/13 B	70,000	0.999	0	69,899-	69,899	
8001083	ISSUE: 00139PAA6 - P/P AIG SUNAMER GLOB 6.9% 3/15/32 01/17/13 B	70,000	1.334	0	93,388-	93,388	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/17/13 S	60,000	0.939	0	56,325	57,157	-832
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/05/13 B	60,000	0.969	0	58,144-	58,144	
8001083	ISSUE: 35671DBA2 - P/P FREEPORT-MCMORAN 5.45% 3/15/43 04/05/13 S	80,000	1.033	0	82,672	79,635	3,037
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/23/13 S	125,000	0.972	0	121,504	118,792	2,711
8001083	ISSUE: 654106AD5 - NIKE INC 3.625% 5/01/43 04/23/13 B	135,000	0.997	0	134,607-	134,607	
8001083	ISSUE: 38141GCU6 - GOLDMAN SACHS 6.125% 2/15/33 04/25/13 B	25,000	1.204	0	30,100-	30,100	
8001083	ISSUE: 38141GGM0 - GOLDMAN SACHS GROUP 6.25% 2/01/41 04/25/13 S	20,000	1.248	0	24,958	20,491	4,467
8001083	ISSUE: 06051GEN5 - BANK OF AMERICA CORP 5.875% 2/07/42 05/03/13 S	15,000	1.250	0	18,757	14,803	3,955
8001083	ISSUE: 06050TJZ6 - BANK OF AMERICA NA 6% 10/15/36 05/03/13 B	15,000	1.251	0	18,765-	18,765	
8001083	ISSUE: 912828RR3 - US TREASURY NOTE 2% 11/15/21 05/06/13 S	350,000	1.039	0	363,575	350,325	13,250
8001083	ISSUE: 594918AJ3 - MICROSOFT CORP 4.5% 10/01/40 05/07/13 B	115,000	1.096	0	126,014-	126,014	
8001083	ISSUE: 21685WCJ4 - RABOBANK NEDERLANDS 5.25% 5/24/41 05/07/13 B	110,000	1.163	0	127,940-	127,940	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/07/13 S	230,000	0.950	0	218,464	219,500	-1,036
8001083	ISSUE: 69352PAF0 - PPL CAPITAL FUNDING 3.4% 6/01/23 05/21/13 B	110,000	0.997	0	109,676-	109,676	
8001083	ISSUE: 88165FAG7 - TEVA PHARMACEUT FIN 2.95% 12/18/22 05/29/13 S	50,000	0.976	0	48,814	49,901	-1,088
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 05/29/13 B	45,000	0.965	0	43,432-	43,432	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 05/30/13 B	180,000	0.972	0	175,022-	175,022	
8001083	ISSUE: 136375BQ4 - CANADIAN NATL RAILWA 6.375% 11/15/37 05/30/13 S	180,000	1.339	0	241,112	207,585	33,527
8001083	ISSUE: 912810FM5 - US TREASURY BOND 6.25% 5/15/30 05/31/13 B	330,000	1.462	0	482,459-	482,459	
8001083	ISSUE: 912828RR3 - US TREASURY NOTE 2% 11/15/21 05/31/13 B	150,000	1.008	0	151,243-	151,243	

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**KONE INC RETIREMENT - COMBI ACCT
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 17275RAD4 - CISCO SYSTEMS INC 5.9% 2/15/39 06/03/13 B	20,000	1.232	0	24,648-	24,648	
8001083	ISSUE: 097023AX3 - BOEING CO 6.875% 3/15/39 06/03/13 B	5,000	1.410	0	7,050-	7,050	
8001083	ISSUE: 06050TJZ6 - BANK OF AMERICA NA 6% 10/15/36 06/03/13 B	30,000	1.181	0	35,440-	35,440	
8001083	ISSUE: 693475AL9 - PNC FINANCIAL SERVIC 2.854% 11/09/22 06/03/13 B	10,000	0.973	0	9,728-	9,728	
8001083	ISSUE: 25468PCX2 - WALT DISNEY COMPANY/ 3.7% 12/01/42 06/03/13 B	30,000	0.933	0	27,992-	27,992	
8001083	ISSUE: 983024AG5 - WYETH 6.5% 2/01/34 06/04/13 B	20,000	1.309	0	26,183-	26,183	
8001083	ISSUE: 341081FF9 - FLORIDA POWER & LIGH 4.125% 2/01/42 06/04/13 B	60,000	0.997	0	59,827-	59,827	
8001083	ISSUE: 373334JW2 - GEORGIA POWER COMPAN 4.3% 3/15/42 06/04/13 B	10,000	0.975	0	9,753-	9,753	
8001083	ISSUE: 25245BAA5 - DIAGEO INVESTMENT CO 4.25% 5/11/42 06/04/13 B	10,000	0.987	0	9,872-	9,872	
8001083	ISSUE: 976843BH4 - WISCONSIN PUBLIC SER 3.671% 12/01/42 06/04/13 B	50,000	0.937	0	46,854-	46,854	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/04/13 S	155,000	0.970	0	150,326	150,926	-600
8001083	ISSUE: 071813BG3 - BAXTER INTERNATIONAL 4.5% 6/15/43 06/04/13 B	175,000	0.999	0	174,885-	174,885	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/12/13 S	110,000	0.957	0	105,256	106,860	-1,603
8001083	ISSUE: 694308HD2 - PACIFIC GAS & ELECTR 4.6% 6/15/43 06/12/13 B	125,000	0.999	0	124,899-	124,899	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/18/13 S	170,000	0.910	0	154,673	163,387	-8,713
8001083	ISSUE: 501044CT6 - KROGER CO/THE 5.15% 8/01/43 07/18/13 B	190,000	0.997	0	189,364-	189,364	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/24/13 S	105,000	0.905	0	95,037	99,522	-4,484
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/25/13 B	15,000	0.905	0	13,575-	13,575	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/29/13 S	170,000	0.905	0	153,823	160,815	-6,991
8001083	ISSUE: 720186AGO - PIEDMONT NATURAL GAS 4.65% 8/01/43 07/29/13 B	180,000	1.000	0	179,914-	179,914	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/16/13 B	100,000	0.828	0	82,805-	82,805	
8001083	ISSUE: 912810QL5 - US TREASURY BOND 4.25% 11/15/40 08/30/13 S	1,000,000	1.108	0	1,107,574	1,008,500	99,074
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/03/13 S	200,000	0.833	0	166,531	169,738	-3,206

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KONE INC RETIREMENT - COMBI ACCT
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 437076BD3 - HOME DEPOT INC 4.875% 2/15/44 09/03/13 B	200,000	0.985	0	197,012-	197,012	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/04/13 S	145,000	0.830	0	120,395	122,901	-2,506
8001083	ISSUE: 548661DA2 - LOWE'S COMPANIES INC 5% 9/15/43 09/04/13 B	150,000	0.990	0	148,503-	148,503	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/16/13 S	175,000	0.822	0	143,801	147,563	-3,763
8001083	ISSUE: 693304AR8 - PECO ENERGY CO 4.8% 10/15/43 09/16/13 B	170,000	0.996	0	169,376-	169,376	
8001083	ISSUE: 58933YAA3 - MERCK & CO INC 3.875% 1/15/21 09/17/13 B	65,000	1.041	0	67,660-	67,660	
8001083	ISSUE: 68389XAM7 - ORACLE CORP 5.375% 7/15/40 09/23/13 S	40,000	1.082	0	43,286	41,855	1,431
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/23/13 B	40,000	0.840	0	33,613-	33,613	
8001083	ISSUE: 458140ANO - INTEL CORP 4% 12/15/32 10/18/13 S	50,000	0.940	0	47,014	49,474	-2,460
8001083	ISSUE: 20030NBH3 - COMCAST CORP 4.25% 1/15/33 10/18/13 B	50,000	0.966	0	48,294-	48,294	
8001083	ISSUE: 92976GAJO - WACHOVIA BANK NA 6.6% 1/15/38 10/22/13 S	20,000	1.247	0	24,947	25,127	-179
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/22/13 B	20,000	0.860	0	17,203-	17,203	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 11/06/13 B	130,000	0.836	0	108,632-	108,632	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 11/18/13 S	35,000	0.983	0	34,397	34,843	-446
8001083	ISSUE: 912828WE6 - US TREASURY NOTE 2.625% 11/15/23 11/18/13 B	35,000	1.002	0	35,082-	35,082	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/02/13 B	145,000	0.958	0	138,883-	138,883	
8001083	ISSUE: 92277GAB3 - VENTAS REALTY LP/CAP 5.7% 9/30/43 12/02/13 S	170,000	1.027	0	174,529	169,368	5,161
SUB-TOTAL				0	8,176,607	8,050,778	125,832

BROKER: MORGAN STANLEY & CO.

8001083	ISSUE: 65334HAJ1 - NEXEN INC 7.5% 7/30/39 01/03/13 B	90,000	1.445	0	130,051-	130,051	
8001083	ISSUE: 36962G4Y7 - GENERAL ELEC CAP COR 4.625% 1/07/21 01/03/13 S	50,000	1.122	0	56,109	54,221	1,888
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/03/13 S	95,000	0.939	0	89,196	92,621	-3,424

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ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 36962G6F6 - GENERAL ELEC CAP COR 3.15% 9/07/22 01/03/13 S	220,000	1.011	0	222,339	219,681	2,658
8001083	ISSUE: 617482V92 - MORGAN STANLEY 6.375% 7/24/42 01/11/13 S	50,000	1.194	0	59,708	49,434	10,274
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/11/13 B	45,000	0.937	0	42,159-	42,159	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/11/13 S	120,000	0.923	0	110,738	113,012	-2,274
8001083	ISSUE: 92857WBD1 - VODAFONE GROUP PLC 4.375% 2/19/43 02/11/13 B	130,000	0.987	0	128,331-	128,331	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/13/13 B	15,000	0.910	0	13,645-	13,645	
8001083	ISSUE: 853254AK6 - P/P STANDARD CHARTER 5.3% 1/09/43 02/13/13 S	15,000	1.018	0	15,278	14,984	294
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/15/13 B	20,000	0.913	0	18,263-	18,263	
8001083	ISSUE: 853254AK6 - P/P STANDARD CHARTER 5.3% 1/09/43 02/15/13 S	25,000	1.017	0	25,422	24,974	448
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 02/20/13 S	140,000	0.999	0	139,792	139,460	333
8001083	ISSUE: 61746BDJ2 - MORGAN STANLEY 3.75% 2/25/23 02/20/13 B	150,000	0.996	0	149,456-	149,456	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 02/26/13 B	20,000	1.013	0	20,253-	20,253	
8001083	ISSUE: 61746BDJ2 - MORGAN STANLEY 3.75% 2/25/23 02/26/13 S	20,000	1.009	0	20,183	19,927	255
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/08/13 B	55,000	0.909	0	49,979-	49,979	
8001083	ISSUE: 172967DR9 - CITIGROUP INC 6.125% 8/25/36 03/14/13 S	15,000	1.141	0	17,115	16,691	423
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/14/13 B	15,000	0.904	0	13,559-	13,559	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/19/13 S	35,000	0.927	0	32,445	32,195	249
8001083	ISSUE: 65334HAG7 - NEXEN INC 6.4% 5/15/37 04/01/13 B	100,000	1.290	0	128,969-	128,969	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/01/13 S	95,000	0.932	0	88,558	87,630	928
8001083	ISSUE: 61980AAD5 - P/P MOTIVA ENTERPRIS 6.85% 1/15/40 04/03/13 S	120,000	1.359	0	163,064	138,878	24,186
8001083	ISSUE: 617482V92 - MORGAN STANLEY 6.375% 7/24/42 04/03/13 B	60,000	1.223	0	73,370-	73,370	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/03/13 B	125,000	0.936	0	116,934-	116,934	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/03/13 S	60,000	0.940	0	56,409	55,551	858

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**KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 04/03/13 B	120,000	1.018	0	122,119-	122,119	
8001083	ISSUE: 61746BDJ2 - MORGAN STANLEY 3.75% 2/25/23 04/03/13 S	130,000	1.019	0	132,431	129,528	2,903
8001083	ISSUE: 06051GEU9 - BANK OF AMERICA CORP 3.3% 1/11/23 04/05/13 B	40,000	1.000	0	39,979-	39,979	
8001083	ISSUE: 172967GL9 - CITIGROUP INC 3.375% 3/01/23 04/05/13 S	40,000	1.024	0	40,978	39,905	1,072
8001083	ISSUE: 65334HAJ1 - NEXEN INC 7.5% 7/30/39 04/16/13 S	20,000	1.488	0	29,761	28,900	861
8001083	ISSUE: 65334HAG7 - NEXEN INC 6.4% 5/15/37 04/16/13 B	25,000	1.316	0	32,910-	32,910	
8001083	ISSUE: 49338CAA1 - P/P KEYSPAN GAS EAST 5.819% 4/01/41 04/30/13 S	125,000	1.309	0	163,628	125,000	38,628
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/30/13 B	130,000	0.975	0	126,750-	126,750	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 04/30/13 S	100,000	1.030	0	102,992	102,337	655
8001083	ISSUE: 09247XAJ0 - BLACKROCK INC 3.375% 6/01/22 05/06/13 S	135,000	1.063	0	143,543	134,283	9,260
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/06/13 B	125,000	1.022	0	127,715-	127,715	
8001083	ISSUE: 748148QR7 - QUEBEC PROVINCE 7.5% 9/15/29 05/07/13 B	260,000	1.508	0	392,064-	392,064	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/07/13 S	550,000	1.020	0	560,719	561,990	-1,272
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.625% 5/15/23 05/16/13 S	45,000	0.990	0	44,555	44,378	177
8001083	ISSUE: 61747YDU6 - MORGAN STANLEY 4.1% 5/22/23 05/16/13 B	50,000	0.999	0	49,972-	49,972	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 05/17/13 B	100,000	1.007	0	100,707-	100,707	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 05/20/13 B	20,000	0.996	0	19,913-	19,913	
8001083	ISSUE: 71647NAA7 - PETROBRAS GLOBAL FIN 5.625% 5/20/43 05/20/13 S	25,000	0.998	0	24,953	24,507	446
8001083	ISSUE: 12527GAC7 - CF INDUSTRIES INC 3.45% 6/01/23 05/20/13 B	120,000	0.999	0	119,888-	119,888	
8001083	ISSUE: 76720AAG1 - RIO TINTO FIN USA PL 4.125% 8/21/42 05/30/13 S	30,000	0.927	0	27,798	29,204	-1,406
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 05/30/13 B	25,000	0.976	0	24,391-	24,391	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/31/13 B	1,450,000	0.893	0	1,294,357-	1,294,357	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 05/31/13 B	3,635,000	0.966	0	3,513,043-	3,513,043	

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ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 826200AD9 - P/P SIEMENS FINAN CIA 6.125% 8/17/26 06/03/13 B	30,000	1.260	0	37,788-	37,788	
8001083	ISSUE: 02364WBE4 - AMERICA MOVIL SAB DE 4.375% 7/16/42 06/03/13 B	30,000	0.896	0	26,873-	26,873	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/03/13 B	225,000	0.980	0	220,387-	220,387	
8001083	ISSUE: 61980AAD5 - P/P MOTIVA ENTERPRIS 6.85% 1/15/40 06/04/13 B	20,000	1.331	0	26,631-	26,631	
8001083	ISSUE: 575718AA9 - MASS INSTITUTE OF TE 5.6% 7/01/11 06/04/13 B	20,000	1.313	0	26,268-	26,268	
8001083	ISSUE: 914886AA4 - UNIV OF SOUTHERN CAL 5.25% 10/01/11 06/04/13 B	40,000	1.203	0	48,131-	48,131	
8001083	ISSUE: 013716AW5 - RIO TINTO ALCAN INC 5.75% 6/01/35 06/04/13 B	20,000	1.154	0	23,084-	23,084	
8001083	ISSUE: 05565QCB2 - BP CAPITAL MARKETS 2.5% 11/06/22 06/04/13 B	10,000	0.944	0	9,444-	9,444	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/04/13 S	360,000	0.970	0	349,058	350,538	-1,480
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/06/13 B	280,000	0.982	0	274,948-	274,948	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/14/13 S	280,000	0.969	0	271,271	272,006	-736
8001083	ISSUE: 76720AAG1 - RIO TINTO FIN USA PL 4.125% 8/21/42 06/18/13 S	20,000	0.886	0	17,728	19,469	-1,741
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/18/13 B	15,000	0.960	0	14,405-	14,405	
8001083	ISSUE: 48126BAA1 - JP MORGAN CHASE & CO 5.4% 1/06/42 06/19/13 S	65,000	1.073	0	69,724	70,128	-403
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/26/13 S	100,000	0.919	0	91,902	97,065	-5,163
8001083	ISSUE: 465685AH8 - ITC HOLDINGS CORP 5.3% 7/01/43 06/26/13 B	115,000	0.997	0	114,692-	114,692	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/28/13 B	225,000	0.932	0	209,777-	209,777	
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 07/11/13 S	45,000	0.930	0	41,825	43,399	-1,574
8001083	ISSUE: 50247VAA7 - LYB INTL FINANCE BV 4% 7/15/23 07/11/13 B	45,000	0.987	0	44,405-	44,405	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/18/13 B	170,000	0.911	0	154,859-	154,859	
8001083	ISSUE: 501044CT6 - KROGER CO/THE 5.15% 8/01/43 07/18/13 S	190,000	1.012	0	192,371	189,364	3,008
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/23/13 B	15,000	0.921	0	13,821-	13,821	
8001083	ISSUE: 06849RAH5 - P/P BARRICK NA FINAN 5.75% 5/01/43 07/23/13 S	25,000	0.876	0	21,902	24,886	-2,985

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 02377UAA2 - P/P AMERICAN AIRLINE 4.95% 1/15/23 07/24/13 B	180,000	1.000	0	180,000-	180,000	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/25/13 B	30,000	0.904	0	27,108-	27,108	
8001083	ISSUE: 465685AH8 - ITC HOLDINGS CORP 5.3% 7/01/43 07/25/13 S	35,000	1.022	0	35,779	34,906	873
8001083	ISSUE: 58933YAA3 - MERCK & CO INC 3.875% 1/15/21 08/01/13 S	120,000	1.058	0	126,950	134,209	-7,259
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 08/12/13 S	130,000	0.926	0	120,413	121,520	-1,107
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 08/12/13 B	125,000	0.990	0	123,750-	123,750	
8001083	ISSUE: 06849RAH5 - P/P BARRICK NA FINAN 5.75% 5/01/43 08/27/13 S	75,000	0.858	0	64,371	74,659	-10,288
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/27/13 B	50,000	0.840	0	42,000-	42,000	
8001083	ISSUE: 92343VB2 - VERIZON COMMUNICATIO 2.45% 11/01/22 09/03/13 S	80,000	0.869	0	69,517	76,802	-7,285
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 09/03/13 B	65,000	0.969	0	62,999-	62,999	
8001083	ISSUE: 914886AA4 - UNIV OF SOUTHERN CAL 5.25% 10/01/11 09/04/13 S	140,000	1.083	0	151,560	178,596	-27,036
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/04/13 B	195,000	0.832	0	162,277-	162,277	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/13/13 B	80,000	0.827	0	66,163-	66,163	
8001083	ISSUE: 71647NAA7 - PETROBRAS GLOBAL FIN 5.625% 5/20/43 09/13/13 S	120,000	0.816	0	97,963	116,776	-18,813
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/16/13 S	70,000	0.819	0	57,345	59,025	-1,680
8001083	ISSUE: 84756NAE9 - SPECTRA ENERGY PARTN 5.95% 9/25/43 09/16/13 B	80,000	0.999	0	79,900-	79,900	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 09/17/13 S	50,000	0.970	0	48,498	48,652	-154
8001083	ISSUE: 02364WBE4 - AMERICA MOVIL SAB DE 4.375% 7/16/42 09/19/13 S	30,000	0.818	0	24,533	28,986	-4,453
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/19/13 B	25,000	0.831	0	20,770-	20,770	
8001083	ISSUE: 02364WBE4 - AMERICA MOVIL SAB DE 4.375% 7/16/42 09/23/13 S	25,000	0.823	0	20,585	24,155	-3,570
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/23/13 B	20,000	0.840	0	16,794-	16,794	
8001083	ISSUE: 362320BA0 - GTE CORP 6.94% 4/15/28 09/24/13 S	185,000	1.159	0	214,328	208,810	5,518
8001083	ISSUE: 92344GAM8 - VERIZON GLOBAL FDG 7.75% 12/01/30 09/24/13 S	75,000	1.231	0	92,304	105,133	-12,829

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 92344GAX4 - VERIZON GLOBAL 5.85% 9/15/35 09/24/13 S	10,000	1.037	0	10,368	11,431	-1,063
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/24/13 B	10,000	0.848	0	8,477-	8,477	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/24/13 B	15,000	0.848	0	12,715-	12,715	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/24/13 B	60,000	0.850	0	50,991-	50,991	
8001083	ISSUE: 92343VAK0 - VERIZON COMMUNICATIO 6.4% 2/15/38 09/24/13 S	20,000	1.099	0	21,974	24,255	-2,281
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 09/24/13 B	240,000	0.986	0	236,738-	236,738	
8001083	ISSUE: 94974BFC9 - WELLS FARGO & COMPAN 3.5% 3/08/22 10/21/13 S	20,000	1.014	0	20,274	20,767	-494
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/21/13 B	15,000	0.991	0	14,869-	14,869	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/22/13 S	170,000	0.860	0	146,200	143,424	2,776
8001083	ISSUE: 907818DU9 - UNION PACIFIC CORP 4.75% 12/15/43 10/22/13 B	175,000	1.000	0	174,927-	174,927	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/28/13 B	20,000	0.863	0	17,267-	17,267	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/31/13 B	120,000	0.858	0	102,919-	102,919	
8001083	ISSUE: 02209SAR4 - ALTRIA GROUP INC 5.375% 1/31/44 10/31/13 S	130,000	1.011	0	131,466	129,446	2,020
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 11/14/13 S	160,000	0.984	0	157,506	159,284	-1,778
8001083	ISSUE: 857477AM5 - STATE STREET CORP 3.7% 11/20/23 11/14/13 B	165,000	0.998	0	164,604-	164,604	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/02/13 S	110,000	0.960	0	105,612	106,936	-1,324
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/03/13 B	70,000	0.962	0	67,353-	67,353	
8001083	ISSUE: 98420EAB1 - XLIT LTD 5.25% 12/15/43 12/03/13 S	75,000	1.015	0	76,146	74,828	1,319
SUB-TOTAL				0	14,944,098	14,955,657	-11,562

BROKER: CITIGROUP GBL MKTS/SALOMON BRO

8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/02/13 B	240,000	0.944	0	226,585-	226,585	
8001083	ISSUE: 345277AE7 - FORD HOLDINGS INC 9.3% 3/01/30 01/04/13 B	80,000	1.425	0	114,000-	114,000	

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 172967FF3 - CITIGROUP INC 5.375% 8/09/20 01/29/13 S	100,000	1.170	0	117,001	103,128	13,873
8001083	ISSUE: 172967FT3 - CITIGROUP INC 4.5% 1/14/22 01/29/13 B	100,000	1.101	0	110,065-	110,065	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/01/13 B	175,000	0.922	0	161,308-	161,308	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/05/13 S	60,000	0.914	0	54,825	56,506	-1,681
8001083	ISSUE: 89417EAD1 - TRAVELERS COS INC 6.25% 6/15/37 02/05/13 B	60,000	1.321	0	79,258-	79,258	
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 02/14/13 S	100,000	0.968	0	96,820	96,947	-127
8001083	ISSUE: 172967GL9 - CITIGROUP INC 3.375% 3/01/23 02/14/13 B	90,000	0.998	0	89,787-	89,787	
8001083	ISSUE: 172967DR9 - CITIGROUP INC 6.125% 8/25/36 02/26/13 S	5,000	1.139	0	5,692	5,564	129
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 02/26/13 B	5,000	0.941	0	4,702-	4,702	
8001083	ISSUE: 020002AQ4 - ALLSTATE CORP 5.35% 6/01/33 03/07/13 B	55,000	1.167	0	64,193-	64,193	
8001083	ISSUE: 020002AY7 - ALLSTATE CORP 5.2% 1/15/42 03/07/13 S	45,000	1.157	0	52,041	44,824	7,217
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/12/13 B	40,000	0.959	0	38,363-	38,363	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/02/13 S	90,000	0.982	0	88,383	85,940	2,443
8001083	ISSUE: 12625GAD6 - CNOOC FINANCE 2013 4.25% 5/09/43 05/02/13 B	110,000	0.985	0	108,367-	108,367	
8001083	ISSUE: 039483BE1 - ARCHER DANIELS 4.535% 3/26/42 05/07/13 B	200,000	1.065	0	212,984-	212,984	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/07/13 B	50,000	1.019	0	50,969-	50,969	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/07/13 S	45,000	1.019	0	45,858	45,981	-123
8001083	ISSUE: 172967GL9 - CITIGROUP INC 3.375% 3/01/23 05/07/13 S	50,000	1.034	0	51,698	49,882	1,816
8001083	ISSUE: 172967GT2 - CITIGROUP INC 3.5% 5/15/23 05/07/13 B	50,000	0.995	0	49,729-	49,729	
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.625% 5/15/23 05/16/13 B	45,000	0.989	0	44,494-	44,494	
8001083	ISSUE: 172967GT2 - CITIGROUP INC 3.5% 5/15/23 05/16/13 S	50,000	0.983	0	49,155	49,729	-574
8001083	ISSUE: 594918AM6 - MICROSOFT CORP 5.3% 2/08/41 05/21/13 S	100,000	1.211	0	121,074	101,824	19,250
8001083	ISSUE: 594918AU8 - MICROSOFT CORP 3.75% 5/01/43 05/21/13 B	110,000	0.960	0	105,595-	105,595	

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KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 233835AQ0 - DAIMLERCHRYSLER NA 8.5% 1/18/31 06/03/13 B	30,000	1.520	0	45,598-	45,598	
8001083	ISSUE: 68389XAH8 - ORACLE CORP 6.125% 7/08/39 06/03/13 B	10,000	1.266	0	12,662-	12,662	
8001083	ISSUE: 665859AM6 - NORTHERN TRUST CORP 3.375% 8/23/21 06/03/13 B	50,000	1.054	0	52,723-	52,723	
8001083	ISSUE: 68389XAM7 - ORACLE CORP 5.375% 7/15/40 06/03/13 B	40,000	1.173	0	46,942-	46,942	
8001083	ISSUE: 459200HG9 - IBM CORP 1.875% 8/01/22 06/03/13 B	30,000	0.931	0	27,939-	27,939	
8001083	ISSUE: 172967EP2 - CITIGROUP INC 6.875% 3/05/38 06/03/13 B	50,000	1.287	0	64,340-	64,340	
8001083	ISSUE: 039483BE1 - ARCHER DANIELS 4.535% 3/26/42 06/04/13 B	30,000	1.012	0	30,347-	30,347	
8001083	ISSUE: 718172AU3 - PHILIP MORRIS INTL 3.875% 8/21/42 06/04/13 B	30,000	0.903	0	27,103-	27,103	
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 06/04/13 S	200,000	0.967	0	193,327	192,886	442
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/11/13 B	280,000	0.954	0	267,171-	267,171	
8001083	ISSUE: 172967FT3 - CITIGROUP INC 4.5% 1/14/22 06/18/13 S	110,000	1.071	0	117,790	120,933	-3,143
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/18/13 S	145,000	0.962	0	139,427	140,744	-1,318
8001083	ISSUE: 172967GL9 - CITIGROUP INC 3.375% 3/01/23 06/18/13 B	105,000	0.977	0	102,538-	102,538	
8001083	ISSUE: 92976GAJ0 - WACHOVIA BANK NA 6.6% 1/15/38 06/18/13 B	155,000	1.256	0	194,731-	194,731	
8001083	ISSUE: 20030NBG5 - COMCAST CORP 4.5% 1/15/43 06/20/13 B	90,000	0.935	0	84,141-	84,141	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/20/13 S	75,000	0.929	0	69,703	72,799	-3,096
8001083	ISSUE: 172967FX4 - CITIGROUP INC 5.875% 1/30/42 06/26/13 B	35,000	1.068	0	37,373-	37,373	
8001083	ISSUE: 172967GL9 - CITIGROUP INC 3.375% 3/01/23 06/26/13 S	35,000	0.945	0	33,079	34,179	-1,101
8001083	ISSUE: 458140ANO - INTEL CORP 4% 12/15/32 07/10/13 S	5,000	0.934	0	4,670	4,947	-277
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/10/13 B	5,000	0.908	0	4,541-	4,541	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 08/06/13 B	75,000	0.823	0	61,755-	61,755	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/20/13 B	110,000	0.820	0	90,235-	90,235	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/26/13 B	120,000	0.834	0	100,125-	100,125	

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KONE INC RETIREMENT - COMBI ACCT
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 92553PAU6 - VIACOM INC 5.85% 9/01/43 08/26/13 S	135,000	1.005	0	135,732	134,127	1,605
8001083	ISSUE: 912828RR3 - US TREASURY NOTE 2% 11/15/21 08/30/13 S	75,000	0.963	0	72,193	75,621	-3,428
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/30/13 B	1,400,000	0.849	0	1,188,748-	1,188,748	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/03/13 B	175,000	0.836	0	146,358-	146,358	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/06/13 S	175,000	0.828	0	144,921	148,520	-3,599
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 09/09/13 S	70,000	0.966	0	67,594	68,408	-815
8001083	ISSUE: 172967GT2 - CITIGROUP INC 3.5% 5/15/23 09/12/13 S	25,000	0.887	0	22,167	21,841	326
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 09/12/13 B	20,000	0.968	0	19,363-	19,363	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 09/23/13 S	40,000	0.983	0	39,325	38,921	404
8001083	ISSUE: 055650CJ5 - BP CAPITAL MARKETS 3.994% 9/26/23 09/23/13 B	40,000	1.000	0	40,000-	40,000	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/25/13 B	200,000	0.849	0	169,759-	169,759	
8001083	ISSUE: 693475AL9 - PNC FINANCIAL SERVIC 2.854% 11/09/22 09/26/13 S	40,000	0.927	0	37,092	40,084	-2,992
8001083	ISSUE: 69349LAM0 - PNC BANK NA 3.8% 7/25/23 09/26/13 B	35,000	0.981	0	34,334-	34,334	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/30/13 B	105,000	0.849	0	89,168-	89,168	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/15/13 S	25,000	0.981	0	24,520	24,563	-43
8001083	ISSUE: 055780AA1 - P/P BPCE SA 5.7% 10/22/23 10/15/13 B	30,000	0.998	0	29,950-	29,950	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/22/13 S	30,000	0.861	0	25,823	25,310	513
8001083	ISSUE: 94974BFPO - WELLS FARGO & COMPAN 5.375% 11/02/43 10/22/13 B	30,000	1.011	0	30,341-	30,341	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 11/14/13 B	80,000	0.832	0	66,550-	66,550	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/21/13 S	45,000	0.950	0	42,762	43,787	-1,024
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/25/13 B	65,000	0.964	0	62,654-	62,654	
8001083	ISSUE: 38141GFD1 - GOLDMAN SACHS GROUP 6.75% 10/01/37 11/26/13 S	20,000	1.112	0	22,241	21,136	1,105
8001083	ISSUE: 172967HA2 - CITIGROUP INC 6.675% 9/13/43 11/26/13 B	20,000	1.128	0	22,558-	22,558	

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/29/13 B	150,000	0.964	0	144,598-	144,598	
8001083	ISSUE: 38141GFD1 - GOLDMAN SACHS GROUP 6.75% 10/01/37 12/03/13 S	30,000	1.102	0	33,069	31,704	1,364
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/03/13 B	25,000	0.962	0	24,047-	24,047	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/03/13 S	20,000	0.961	0	19,224	19,411	-187
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/04/13 B	30,000	0.951	0	28,518-	28,518	
8001083	ISSUE: 94974BFPO - WELLS FARGO & COMPAN 5.375% 11/02/43 12/05/13 S	20,000	0.978	0	19,553	20,019	-465
8001083	ISSUE: 949746RD5 - P/P WELLS FARGO & CO 5.606% 1/15/44 12/05/13 B	20,000	1.001	0	20,020-	20,020	
SUB-TOTAL				0	6,774,388	6,747,894	26,494

BROKER: CHASE SECURITIES INC

8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 01/03/13 S	70,000	0.975	0	68,225	70,175	-1,950
8001083	ISSUE: 59217GAX7 - P/P MET LIFE GLOB FU 3% 1/10/23 01/03/13 B	70,000	1.000	0	69,964-	69,964	
8001083	ISSUE: 35177PAX5 - FRANCE TELECOM 5.375% 1/13/42 01/23/13 S	160,000	1.138	0	182,107	159,598	22,509
8001083	ISSUE: 00206RBG6 - P/P AT&T INC 4.3% 12/15/42 01/23/13 B	175,000	0.981	0	171,672-	171,672	
8001083	ISSUE: 402524AE2 - GULF SOUTH PIPELINE 4% 6/15/22 02/06/13 S	80,000	1.049	0	83,922	80,555	3,366
8001083	ISSUE: 63254AAC2 - NATIONAL AUSTRAL 1.6% 8/07/15 03/01/13 S	80,000	1.018	0	81,458	79,949	1,510
8001083	ISSUE: 35671DAX3 - P/P FREEPORT-MCMORAN 3.875% 3/15/23 03/01/13 B	120,000	0.999	0	119,929-	119,929	
8001083	ISSUE: 020039DC4 - ALLTEL CORP 7.875% 7/01/32 03/06/13 S	105,000	1.486	0	155,973	135,811	20,162
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/06/13 B	100,000	0.921	0	92,063-	92,063	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/12/13 S	120,000	0.908	0	108,975	110,407	-1,432
8001083	ISSUE: 907818DPO - UNION PACIFIC CORP 4.25% 4/15/43 03/12/13 B	130,000	0.986	0	128,190-	128,190	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/13/13 S	125,000	0.909	0	113,574	115,008	-1,434
8001083	ISSUE: 377372AJ6 - GLAXOSMITHKLINE CAP 4.2% 3/18/43 03/13/13 B	135,000	0.987	0	133,207-	133,207	

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 136420AF3 - NEXEN INC 7.4% 5/01/28 03/19/13 B	50,000	1.365	0	68,259-	68,259	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/19/13 S	180,000	0.925	0	166,500	165,576	924
8001083	ISSUE: 585055AY2 - MEDTRONIC INC 4% 4/01/43 03/19/13 B	190,000	0.979	0	186,092-	186,092	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/02/13 S	230,000	0.928	0	213,397	212,947	450
8001083	ISSUE: 29736RAF7 - ESTEE LAUDER CO INC 3.7% 8/15/42 04/11/13 S	140,000	0.955	0	133,643	139,822	-6,180
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/11/13 B	125,000	0.951	0	118,828-	118,828	
8001083	ISSUE: 29736RAF7 - ESTEE LAUDER CO INC 3.7% 8/15/42 04/12/13 S	140,000	0.963	0	134,842	139,822	-4,980
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/12/13 B	125,000	0.962	0	120,273-	120,273	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/24/13 S	90,000	0.968	0	87,145	85,531	1,615
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 04/24/13 S	90,000	1.028	0	92,496	91,693	804
8001083	ISSUE: 46625HJJ0 - JPMORGAN CHASE & CO 3.375% 5/01/23 04/24/13 B	100,000	0.995	0	99,462-	99,462	
8001083	ISSUE: 25243YAV1 - DIAGEO CAPITAL PLC 3.875% 4/29/43 04/24/13 B	100,000	0.985	0	98,533-	98,533	
8001083	ISSUE: 48126BAA1 - JP MORGAN CHASE & CO 5.4% 1/06/42 04/25/13 S	30,000	1.202	0	36,064	32,159	3,905
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/25/13 B	30,000	0.966	0	28,978-	28,978	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/29/13 S	40,000	0.971	0	38,819	38,386	433
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/09/13 S	40,000	1.021	0	40,838	40,872	-35
8001083	ISSUE: 46625HJJ0 - JPMORGAN CHASE & CO 3.375% 5/01/23 05/09/13 B	45,000	0.995	0	44,773-	44,773	
8001083	ISSUE: 88165FAG7 - TEVA PHARMACEUT FIN 2.95% 12/18/22 05/10/13 S	90,000	1.005	0	90,486	89,822	664
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/10/13 B	85,000	1.015	0	86,248-	86,248	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/10/13 S	85,000	1.014	0	86,182	86,499	-317
8001083	ISSUE: 46625HJJ0 - JPMORGAN CHASE & CO 3.375% 5/01/23 05/10/13 B	90,000	0.991	0	89,187-	89,187	
8001083	ISSUE: 12527GAC7 - CF INDUSTRIES INC 3.45% 6/01/23 05/20/13 S	120,000	1.003	0	120,353	119,888	464
8001083	ISSUE: 12625GAD6 - CNOOC FINANCE 2013 4.25% 5/09/43 05/30/13 S	110,000	0.926	0	101,855	108,367	-6,512

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8001083	ISSUE: 65334HAA0 - NEXEN INC 7.875% 3/15/32 05/30/13 B	90,000	1.377	0	123,934-	123,934	
8001083	ISSUE: 913017BS7 - UNITED TECHNOLOGIES 5.7% 4/15/40 06/03/13 B	40,000	1.223	0	48,903-	48,903	
8001083	ISSUE: 87612EBA3 - TARGET CORP 4% 7/01/42 06/03/13 B	40,000	0.959	0	38,346-	38,346	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/03/13 S	145,000	0.974	0	141,262	141,189	73
8001083	ISSUE: 38141GFD1 - GOLDMAN SACHS GROUP 6.75% 10/01/37 06/03/13 B	40,000	1.089	0	43,543-	43,543	
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 06/03/13 S	145,000	0.966	0	140,004	139,842	162
8001083	ISSUE: 268648AN2 - EMC CORP 3.375% 6/01/23 06/03/13 B	190,000	0.999	0	189,858-	189,858	
8001083	ISSUE: 455434BQ2 - P/P INDIANAPOLIS PWR 4.65% 6/01/43 06/03/13 B	170,000	0.998	0	169,728-	169,728	
8001083	ISSUE: 65334HAJ1 - NEXEN INC 7.5% 7/30/39 06/04/13 B	10,000	1.360	0	13,604-	13,604	
8001083	ISSUE: 713448BP2 - PEPSICO INC 5.5% 1/15/40 06/04/13 B	20,000	1.161	0	23,220-	23,220	
8001083	ISSUE: 677050AG1 - OGLETHORPE POWER COR 5.95% 11/01/39 06/04/13 B	40,000	1.183	0	47,326-	47,326	
8001083	ISSUE: 05565QBU1 - BP CAPITAL MARKETS 3.561% 11/01/21 06/04/13 B	20,000	1.041	0	20,823-	20,823	
8001083	ISSUE: 134429AY5 - CAMPBELL SOUP CO 2.5% 8/02/22 06/04/13 B	30,000	0.943	0	28,280-	28,280	
8001083	ISSUE: 402524AE2 - GULF SOUTH PIPELINE 4% 6/15/22 06/04/13 B	20,000	1.032	0	20,642-	20,642	
8001083	ISSUE: 907818DPO - UNION PACIFIC CORP 4.25% 4/15/43 06/04/13 B	30,000	0.985	0	29,558-	29,558	
8001083	ISSUE: 61746BDJ2 - MORGAN STANLEY 3.75% 2/25/23 06/27/13 B	65,000	0.960	0	62,397-	62,397	
8001083	ISSUE: 46625HJJ0 - JPMORGAN CHASE & CO 3.375% 5/01/23 06/27/13 S	65,000	0.930	0	60,416	64,202	-3,786
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/11/13 S	50,000	0.913	0	45,646	48,175	-2,528
8001083	ISSUE: 50247VAB5 - LYB INTL FINANCE BV 5.25% 7/15/43 07/11/13 B	60,000	0.970	0	58,202-	58,202	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/12/13 B	55,000	0.916	0	50,402-	50,402	
8001083	ISSUE: 50247VAB5 - LYB INTL FINANCE BV 5.25% 7/15/43 07/12/13 S	60,000	0.999	0	59,946	58,202	1,744
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 07/16/13 B	45,000	0.931	0	41,892-	41,892	
8001083	ISSUE: 50247VAA7 - LYB INTL FINANCE BV 4% 7/15/23 07/16/13 S	45,000	0.998	0	44,893	44,405	488

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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/30/13 B	180,000	0.907	0	163,322-	163,322	
8001083	ISSUE: 720186AG0 - PIEDMONT NATURAL GAS 4.65% 8/01/43 07/30/13 S	180,000	1.016	0	182,936	179,914	3,022
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/12/13 S	115,000	0.852	0	98,020	98,648	-629
8001083	ISSUE: 46625HJJ0 - JPMORGAN CHASE & CO 3.375% 5/01/23 08/15/13 S	60,000	0.905	0	54,292	59,264	-4,972
8001083	ISSUE: 46625HJM3 - JPMORGAN CHASE & CO 5.625% 8/16/43 08/15/13 B	30,000	0.977	0	29,304-	29,304	
8001083	ISSUE: 46625HJJ0 - JPMORGAN CHASE & CO 3.375% 5/01/23 09/05/13 S	150,000	0.896	0	134,435	148,159	-13,724
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.625% 8/15/23 09/05/13 B	130,000	0.963	0	125,247-	125,247	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/18/13 B	120,000	0.838	0	100,500-	100,500	
8001083	ISSUE: 84756NAE9 - SPECTRA ENERGY PARTN 5.95% 9/25/43 09/18/13 S	130,000	1.044	0	135,723	130,297	5,426
8001083	ISSUE: 544152AC5 - LORILLARD TOBACCO CO 8.125% 5/01/40 09/19/13 S	20,000	1.190	0	23,795	23,898	-103
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/19/13 B	15,000	0.831	0	12,471-	12,471	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/19/13 S	225,000	0.829	0	186,574	189,486	-2,912
8001083	ISSUE: 231021AQ9 - CUMMINS INC 4.875% 10/01/43 09/19/13 B	225,000	0.979	0	220,205-	220,205	
8001083	ISSUE: 544152AC5 - LORILLARD TOBACCO CO 8.125% 5/01/40 09/25/13 S	40,000	1.217	0	48,681	47,795	886
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/25/13 B	35,000	0.852	0	29,810-	29,810	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/25/13 S	90,000	0.854	0	76,866	75,859	1,007
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/25/13 S	180,000	0.852	0	153,309	151,717	1,592
8001083	ISSUE: 652482CL2 - P/P NEWS AMERICA INC 5.4% 10/01/43 09/25/13 B	100,000	0.995	0	99,455-	99,455	
8001083	ISSUE: 055451AV0 - BHP BILLITON FIN USA 5% 9/30/43 09/25/13 B	190,000	1.000	0	189,972-	189,972	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/01/13 S	150,000	0.846	0	126,891	126,500	390
8001083	ISSUE: 055451AV0 - BHP BILLITON FIN USA 5% 9/30/43 10/01/13 B	150,000	1.016	0	152,343-	152,343	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/15/13 B	20,000	0.981	0	19,625-	19,625	
8001083	ISSUE: 449786AY8 - P/P ING BANK NV 5.8% 9/25/23 10/15/13 S	20,000	1.027	0	20,540	19,909	631

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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 69349LAM0 - PNC BANK NA 3.8% 7/25/23 10/29/13 S	35,000	0.997	0	34,908	34,334	574
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/29/13 B	35,000	0.998	0	34,934-	34,934	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/31/13 S	250,000	0.855	0	213,672	211,797	1,875
8001083	ISSUE: 25271CAN2 - DIAMOND OFFSHORE DRI 4.875% 11/01/43 10/31/13 B	260,000	0.998	0	259,594-	259,594	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/19/13 S	140,000	0.967	0	135,335	136,263	-928
8001083	ISSUE: 610202BP7 - P/P MONONGAHELA POWE 5.4% 12/15/43 11/19/13 B	165,000	0.998	0	164,701-	164,701	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/25/13 S	170,000	0.965	0	164,103	165,416	-1,313
8001083	ISSUE: 912828WE6 - US TREASURY NOTE 2.75% 11/15/23 11/25/13 S	90,000	1.000	0	90,046	90,314	-269
8001083	ISSUE: 806854AH8 - SCHLUMBERGER INVESTM 3.65% 12/01/23 11/25/13 B	115,000	0.997	0	114,609-	114,609	
8001083	ISSUE: 21684AAB2 - RABOBANK NEDERLAND 5.75% 12/01/43 11/25/13 B	210,000	0.983	0	206,491-	206,491	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/10/13 S	140,000	0.961	0	134,570	135,823	-1,254
8001083	ISSUE: 03938JAA7 - ARCH CAPITAL GRP US 5.144% 11/01/43 12/10/13 B	160,000	1.000	0	160,000-	160,000	
SUB-TOTAL				0	9,392,616	9,373,194	19,422

BROKER: WELLS FARGO SECURITIES, LLC

8001083	ISSUE: 94973VBB2 - WELLPOINT INC 4.65% 1/15/43 01/18/13 S	130,000	1.020	0	132,649	129,435	3,214
8001083	ISSUE: 882384AC4 - P/P TEXAS EASTERN TR 2.8% 10/15/22 01/18/13 S	100,000	1.000	0	99,998	99,802	196
8001081	ISSUE: 89147L100 - TORTOISE ENERGY INFRASTRUCTURE CORP 01/31/13 B	15,200	44.006	760	669,654-	669,654	
8001081	ISSUE: 89147L100 - TORTOISE ENERGY INFRASTRUCTURE CORP 02/01/13 B	15,000	44.295	750	665,172-	665,172	
8001081	ISSUE: 89147L100 - TORTOISE ENERGY INFRASTRUCTURE CORP 02/04/13 B	13,218	44.192	661	584,785-	584,785	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/05/13 S	25,000	0.913	0	22,836	23,544	-708
8001083	ISSUE: 89417EAD1 - TRAVELERS COS INC 6.25% 6/15/37 02/05/13 B	25,000	1.321	0	33,015-	33,015	
8001081	ISSUE: 89147L100 - TORTOISE ENERGY INFRASTRUCTURE CORP 02/06/13 B	19,584	44.903	979	880,356-	880,356	

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**KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 02/06/13 S	120,000	0.970	0	116,452	117,009	-557
8001083	ISSUE: 94974BFJ4 - WELLS FARGO & COMPAN 3.45% 2/13/23 02/06/13 B	120,000	0.999	0	119,929-	119,929	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/14/13 S	75,000	0.919	0	68,906	69,680	-774
8001083	ISSUE: 097023AS4 - BOEING CO 6.625% 2/15/38 02/14/13 B	70,000	1.372	0	96,029-	96,029	
8001083	ISSUE: 91324PCD2 - UNITEDHEALTH GROUP 4.25% 3/15/43 02/25/13 B	100,000	0.986	0	98,644-	98,644	
8001083	ISSUE: 828807BM8 - SIMON PPTY GROUP L P 5.1% 6/15/15 02/26/13 S	45,000	1.097	0	49,346	49,727	-382
8001083	ISSUE: 00206RAV4 - AT&T INC 2.5% 8/15/15 04/01/13 S	55,000	1.038	0	57,096	57,424	-328
8001083	ISSUE: 94974BFJ4 - WELLS FARGO & COMPAN 3.45% 2/13/23 04/24/13 S	80,000	1.020	0	81,572	79,953	1,619
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 04/24/13 B	75,000	1.027	0	76,992-	76,992	
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 05/06/13 S	145,000	1.020	0	147,906	148,187	-281
8001083	ISSUE: 313747AT4 - FEDERAL REALTY INVS 2.75% 6/01/23 05/06/13 B	150,000	0.988	0	148,124-	148,124	
8001083	ISSUE: 85771PAC6 - STATOIL ASA 5.1% 8/17/40 05/07/13 B	100,000	1.216	0	121,562-	121,562	
8001083	ISSUE: 74432QBP9 - PRUDENTIAL FINANCIAL 4.5% 11/15/20 05/07/13 B	140,000	1.142	0	159,824-	159,824	
8001083	ISSUE: 020002AY7 - ALLSTATE CORP 5.2% 1/15/42 05/07/13 B	90,000	1.206	0	108,551-	108,551	
8001083	ISSUE: 20030NBEO - COMCAST CORP 4.65% 7/15/42 05/07/13 B	150,000	1.079	0	161,814-	161,814	
8001083	ISSUE: 92857WAQ3 - VODAFONE GROUP PLC 6.15% 8/27/37 05/07/13 B	150,000	1.226	0	183,903-	183,903	
8001083	ISSUE: 59156RAJ7 - METLIFE INC 6.375% 6/15/34 05/29/13 B	190,000	1.267	0	240,772-	240,772	
8001083	ISSUE: 59156RBD9 - METLIFE INC 4.125% 8/13/42 05/29/13 S	190,000	0.956	0	181,689	186,831	-5,142
8001081	ISSUE: 89147L100 - TORTOISE ENERGY INFRASTRUCTURE CORP 05/30/13 B	19,700	45.440	985	896,161-	896,161	
8001081	ISSUE: 89147L100 - TORTOISE ENERGY INFRASTRUCTURE CORP 05/31/13 B	16,652	45.220	833	753,834-	753,834	
8001083	ISSUE: 362320BA0 - GTE CORP 6.94% 4/15/28 06/03/13 B	10,000	1.263	0	12,626-	12,626	
8001083	ISSUE: 594918AJ3 - MICROSOFT CORP 4.5% 10/01/40 06/03/13 B	5,000	1.062	0	5,310-	5,310	
8001083	ISSUE: 59156RAJ7 - METLIFE INC 6.375% 6/15/34 06/03/13 B	50,000	1.258	0	62,928-	62,928	

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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 931142DB6 - WAL-MART STORES INC 5.625% 4/15/41 06/03/13 B	10,000	1.214	0	12,139-	12,139	
8001083	ISSUE: 458140AK6 - INTEL CORP 4.8% 10/01/41 06/03/13 B	30,000	1.036	0	31,093-	31,093	
8001083	ISSUE: 755111BW0 - RAYTHEON COMPANY 4.7% 12/15/41 06/03/13 B	25,000	1.052	0	26,301-	26,301	
8001083	ISSUE: 828807CL9 - SIMON PROPERTY GROUP 4.75% 3/15/42 06/03/13 B	30,000	1.047	0	31,413-	31,413	
8001083	ISSUE: 026874AZ0 - AMERICAN INTL GROUP 6.25% 5/01/36 06/03/13 B	40,000	1.215	0	48,605-	48,605	
8001083	ISSUE: 278642AF0 - EBAY INC 4% 7/15/42 06/03/13 B	70,000	0.897	0	62,810-	62,810	
8001083	ISSUE: 74251VAF9 - PRINCIPAL FINANCIAL 4.625% 9/15/42 06/03/13 B	50,000	1.028	0	51,396-	51,396	
8001083	ISSUE: 313747AT4 - FEDERAL REALTY INVS 2.75% 6/01/23 06/03/13 B	30,000	0.958	0	28,751-	28,751	
8001083	ISSUE: 92343VAK0 - VERIZON COMMUNICATIO 6.4% 2/15/38 06/03/13 B	20,000	1.213	0	24,255-	24,255	
8001083	ISSUE: 373334JN2 - GEORGIA POWER COMPAN 5.95% 2/01/39 06/04/13 B	30,000	1.210	0	36,307-	36,307	
8001083	ISSUE: 797440BL7 - SAN DIEGO G & E 5.35% 5/15/40 06/04/13 B	20,000	1.214	0	24,288-	24,288	
8001083	ISSUE: 85771PAC6 - STATOIL ASA 5.1% 8/17/40 06/04/13 B	20,000	1.112	0	22,237-	22,237	
8001083	ISSUE: 037411AW5 - APACHE CORP 5.1% 9/01/40 06/04/13 B	40,000	1.041	0	41,652-	41,652	
8001083	ISSUE: 136375BV3 - CANADIAN NATL RAILWA 2.85% 12/15/21 06/04/13 B	30,000	1.015	0	30,464-	30,464	
8001083	ISSUE: 478160AN4 - JOHNSON & JOHNSON 5.95% 8/15/37 06/04/13 B	20,000	1.304	0	26,082-	26,082	
8001083	ISSUE: 89352HAD1 - TRANS-CANADA PIPELIN 6.2% 10/15/37 06/04/13 B	20,000	1.232	0	24,650-	24,650	
8001083	ISSUE: 20825CAP9 - CONOCOPHILLIPS 5.9% 5/15/38 06/04/13 B	30,000	1.215	0	36,446-	36,446	
8001083	ISSUE: 00139PAA6 - P/P AIG SUNAMER GLOB 6.9% 3/15/32 10/04/13 B	45,000	1.225	0	55,106-	55,106	
8001083	ISSUE: 026874AZ0 - AMERICAN INTL GROUP 6.25% 5/01/36 10/04/13 S	40,000	1.147	0	45,899	48,605	-2,706
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/21/13 S	75,000	0.853	0	63,984	63,266	719
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/21/13 S	220,000	0.850	0	187,034	185,579	1,456
8001083	ISSUE: 94974BFPO - WELLS FARGO & COMPAN 5.375% 11/02/43 10/21/13 B	80,000	0.997	0	79,763-	79,763	
8001083	ISSUE: 668444AC6 - NORTHWESTERN UNIVERS 4.643% 12/01/44 10/22/13 B	230,000	1.000	0	230,000-	230,000	

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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/03/13 B	25,000	0.963	0	24,063-	24,063	
8001083	ISSUE: 94974BFPO - WELLS FARGO & COMPAN 5.375% 11/02/43 12/03/13 S	30,000	0.984	0	29,507	30,028	-521
SUB-TOTAL				4,968	8,312,680	8,316,876	-4,196

BROKER: DEUTSCHE BANK SECURITIES

8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/04/13 S	50,000	0.933	0	46,672	48,748	-2,076
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/04/13 B	50,000	0.933	0	46,658-	46,658	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/09/13 B	80,000	0.938	0	75,038-	75,038	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/04/13 B	25,000	0.917	0	22,934-	22,934	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/05/13 S	180,000	0.913	0	164,419	169,517	-5,099
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/12/13 B	85,000	0.918	0	78,014-	78,014	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/13/13 B	55,000	0.911	0	50,100-	50,100	
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 02/19/13 S	412,000	0.916	0	377,559	382,459	-4,900
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 02/19/13 B	410,000	0.916	0	375,342-	375,342	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 02/19/13 S	140,000	0.910	0	127,422	128,166	-744
8001083	ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 02/26/13 B	20,000	1.013	0	20,256-	20,256	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 03/01/13 B	100,000	0.937	0	93,719-	93,719	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/01/13 B	215,000	0.925	0	198,909-	198,909	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/30/13 B	30,000	0.975	0	29,244-	29,244	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/30/13 B	70,000	0.972	0	68,037-	68,037	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/06/13 B	2,400,000	0.954	0	2,288,822-	2,288,822	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/13/13 S	650,000	0.926	0	601,758	618,823	-17,065
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/13/13 S	245,000	0.926	0	226,816	233,249	-6,432

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KONE INC RETIREMENT - COMBI ACCT
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TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 05/13/13 B	615,000	1.001	0	615,577-	615,577	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 05/13/13 B	230,000	1.001	0	230,216-	230,216	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/03/13 S	580,000	0.978	0	567,310	564,756	2,554
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/03/13 S	80,000	0.979	0	78,306	77,897	409
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/03/13 S	505,000	0.976	0	492,886	491,727	1,159
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/03/13 S	245,000	0.979	0	239,936	238,561	1,376
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/14/13 B	280,000	0.968	0	271,097-	271,097	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 08/12/13 S	615,000	0.904	0	555,998	572,970	-16,972
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 08/12/13 S	760,000	0.904	0	687,088	708,061	-20,973
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/12/13 B	780,000	0.858	0	669,094-	669,094	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/12/13 B	630,000	0.858	0	540,422-	540,422	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 08/28/13 B	175,000	0.840	0	146,932-	146,932	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/03/13 S	175,000	0.836	0	146,370	148,649	-2,278
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/06/13 B	175,000	0.827	0	144,730-	144,730	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/09/13 S	100,000	0.826	0	82,641	84,511	-1,870
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/11/13 S	175,000	0.823	0	143,978	147,981	-4,003
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 09/16/13 B	165,000	0.968	0	159,792-	159,792	
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 09/16/13 S	170,000	0.969	0	164,721	165,415	-695
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/19/13 B	140,000	0.831	0	116,397-	116,397	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/04/13 B	100,000	0.843	0	84,286-	84,286	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 11/14/13 S	185,000	0.828	0	153,232	156,414	-3,182
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 11/18/13 S	230,000	0.835	0	192,014	194,460	-2,446
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 11/18/13 S	1,810,000	0.835	0	1,511,067	1,530,319	-19,252

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**KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 11/18/13 S	45,000	0.835	0	37,568	38,047	-479
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 11/18/13 S	320,000	0.835	0	267,150	270,554	-3,404
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/18/13 B	40,000	0.973	0	38,927-	38,927	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/18/13 B	290,000	0.973	0	282,218-	282,218	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/18/13 B	210,000	0.973	0	204,364-	204,364	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/18/13 B	1,620,000	0.973	0	1,576,526-	1,576,526	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/18/13 S	210,000	0.975	0	204,709	204,399	310
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 11/29/13 B	105,000	0.967	0	101,489-	101,489	
8001083	ISSUE: 912810RC4 - US TREASURY BOND 3.625% 8/15/43 12/31/13 B	20,000	0.946	0	18,911-	18,911	
SUB-TOTAL				0	15,617,671	15,723,734	-106,063

BROKER: BARCLAYS CAPITAL INC. FIXED IN

8001083	ISSUE: 51808BAE2 - LASMO USA INC DTD 7.3% 11/15/27 01/16/13 S	55,000	1.380	0	75,910	74,848	1,062
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/16/13 B	40,000	0.951	0	38,038-	38,038	
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 01/16/13 B	85,000	0.982	0	83,499-	83,499	
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 01/16/13 S	40,000	0.983	0	39,314	39,277	37
8001083	ISSUE: 912810QX9 - US TREASURY BOND 2.75% 8/15/42 01/30/13 B	62,000	0.913	0	56,633-	56,633	
8001083	ISSUE: 205887BS0 - CONAGRA FOODS INC 4.65% 1/25/43 01/30/13 S	70,000	0.996	0	69,707	69,899	-191
8001083	ISSUE: 826200AD9 - P/P SIEMENS FINAN CIA 6.125% 8/17/26 02/14/13 S	60,000	1.284	0	77,069	68,512	8,557
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 02/14/13 B	85,000	0.966	0	82,145-	82,145	
8001083	ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 02/14/13 B	20,000	0.969	0	19,370-	19,370	
8001083	ISSUE: 00817YAJ7 - AETNA INC 4.5% 5/15/42 02/26/13 S	95,000	1.018	0	96,753	90,985	5,767
8001083	ISSUE: 91324PCD2 - UNITEDHEALTH GROUP 4.25% 3/15/43 02/26/13 B	95,000	0.987	0	93,791-	93,791	

**REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013**
**KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 912810FT0 - US TREASURY BOND 4.5% 2/15/36 04/02/13 S	250,000	1.273	0	318,212	246,837	71,375
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/09/13 S	65,000	0.960	0	62,410	60,868	1,542
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 04/09/13 S	15,000	0.960	0	14,400	14,047	353
8001083	ISSUE: 65473QBC6 - NISOURCE FINANCE COR 4.8% 2/15/44 04/09/13 B	15,000	1.004	0	15,063-	15,063	
8001083	ISSUE: 65473QBC6 - NISOURCE FINANCE COR 4.8% 2/15/44 04/09/13 B	80,000	0.996	0	79,660-	79,660	
8001083	ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42 05/07/13 S	2,330,000	0.949	0	2,211,488	2,223,629	-12,140
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.625% 5/15/23 05/13/13 B	60,000	0.984	0	59,056-	59,056	
8001083	ISSUE: 369550AT5 - GENERAL DYNAMICS COR 3.6% 11/15/42 06/03/13 B	40,000	0.899	0	35,947-	35,947	
8001083	ISSUE: 92857WBD1 - VODAFONE GROUP PLC 4.375% 2/19/43 06/03/13 B	30,000	0.948	0	28,441-	28,441	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/03/13 S	390,000	0.974	0	379,883	379,750	133
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 06/03/13 S	25,000	0.970	0	24,241	24,111	130
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 06/03/13 S	90,000	0.966	0	86,959	86,799	160
8001083	ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 06/03/13 S	25,000	0.968	0	24,186	24,111	76
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/04/13 S	355,000	0.970	0	344,252	345,670	-1,418
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 06/11/13 S	280,000	0.954	0	267,082	273,103	-6,021
8001083	ISSUE: 544152AC5 - LORILLARD TOBACCO CO 8.125% 5/01/40 07/01/13 B	60,000	1.195	0	71,693-	71,693	
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/01/13 S	50,000	0.937	0	46,828	48,188	-1,360
8001083	ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43 07/08/13 S	70,000	0.907	0	63,492	67,463	-3,971
8001083	ISSUE: 69351UAR4 - PPL ELECTRIC UTILITI 4.75% 7/15/43 07/08/13 B	75,000	0.994	0	74,525-	74,525	
8001083	ISSUE: 74432QBP9 - PRUDENTIAL FINANCIAL 4.5% 11/15/20 07/26/13 S	40,000	1.080	0	43,212	45,664	-2,452
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/04/13 S	205,000	0.831	0	170,310	173,757	-3,446
8001083	ISSUE: 12572QAF2 - CME GROUP INC 5.3% 9/15/43 09/04/13 B	215,000	0.998	0	214,613-	214,613	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 09/25/13 S	225,000	0.852	0	191,619	189,646	1,973

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	ISSUE: 91412GTGO - UNIV OF CALIFORNIA 4.601% 5/15/31 09/26/13 B	340,000	1.000	0	340,000-	340,000	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/10/13 S	170,000	0.840	0	142,734	143,364	-631
8001083	ISSUE: 15639KAB8 - P/P CENTRICA PLC 5.375% 10/16/43 10/10/13 B	180,000	0.992	0	178,621-	178,621	
8001083	ISSUE: 69349LAG3 - PNC BANK NA 2.7% 11/01/22 10/28/13 S	120,000	0.924	0	110,850	119,055	-8,205
8001083	ISSUE: 912828VS6 - US TREASURY NOTE 2.5% 8/15/23 10/28/13 B	100,000	0.999	0	99,875-	99,875	
8001083	ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43 10/31/13 B	45,000	0.860	0	38,711-	38,711	
8001083	ISSUE: 05565QCB2 - BP CAPITAL MARKETS 2.5% 11/06/22 11/25/13 S	10,000	0.921	0	9,212	9,444	-232
8001083	ISSUE: 05565QCJ5 - BP CAPITAL MARKETS 3.994% 9/26/23 11/25/13 S	20,000	1.021	0	20,420	20,000	420
8001083	ISSUE: 912828WE6 - US TREASURY NOTE 2.75% 11/15/23 11/25/13 B	10,000	1.001	0	10,013-	10,013	
8001083	ISSUE: 912828WE6 - US TREASURY NOTE 2.75% 11/15/23 11/25/13 B	20,000	1.001	0	20,025-	20,025	
8001083	ISSUE: 06849RAK8 - BARRICK NA FINANCE 5.75% 5/01/43 12/03/13 B	30,000	0.866	0	25,973-	25,973	
	SUB-TOTAL			0	6,556,235	6,504,719	51,519
	GRAND TOTAL			4,968	78,451,649	78,376,798	74,854

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
 B = BUY TRANSACTION
 S = SELL TRANSACTION
 R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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BEGINNING MARKET VALUE	108,973,208.41
COMPARATIVE VALUE (5%)	5,448,660.42

ISSUE: VP4540002 - WF ADV PRIME INV MM FD-INST #1752

8001081	01/10/13 B	692	1.000	0	692-	692	
8001081	01/14/13 B	1,260,942	1.000	0	1,260,942-	1,260,942	
8001081	01/14/13 B	13	1.000	0	13-	13	
8001081	01/28/13 B	104	1.000	0	104-	104	
8001081	01/31/13 B	5,949,896	1.000	0	5,949,896*	5,949,896	
8001081	01/31/13 B	1,055	1.000	0	1,055-	1,055	
8001081	02/06/13 B	66	1.000	0	66-	66	
8001081	02/19/13 B	64	1.000	0	64-	64	
8001081	02/26/13 B	126	1.000	0	126-	126	
8001081	02/27/13 B	174	1.000	0	174-	174	
8001081	02/28/13 B	400,000	1.000	0	400,000-	400,000	
8001081	03/01/13 B	133	1.000	0	133-	133	
8001083	01/02/13 B	14,032	1.000	0	14,032-	14,032	
8001083	01/02/13 B	3,360	1.000	0	3,360-	3,360	
8001083	01/04/13 B	10,541	1.000	0	10,541-	10,541	
8001083	01/07/13 B	79,084	1.000	0	79,084-	79,084	
8001083	01/08/13 B	13,066	1.000	0	13,066-	13,066	
8001083	01/14/13 B	38,708	1.000	0	38,708-	38,708	
8001083	01/15/13 B	11,742	1.000	0	11,742-	11,742	
8001083	01/16/13 B	20,566	1.000	0	20,566-	20,566	
8001083	01/17/13 B	2,844	1.000	0	2,844-	2,844	
8001083	01/18/13 B	63,612	1.000	0	63,612-	63,612	
8001083	01/23/13 B	55,189	1.000	0	55,189-	55,189	
8001083	01/24/13 B	41,965	1.000	0	41,965-	41,965	
8001083	01/25/13 B	134,942	1.000	0	134,942-	134,942	
8001083	01/28/13 B	34,585	1.000	0	34,585-	34,585	
8001083	01/29/13 B	2,713	1.000	0	2,713-	2,713	
8001083	01/31/13 B	3,375	1.000	0	3,375-	3,375	
8001083	02/01/13 B	41,585	1.000	0	41,585-	41,585	
8001083	02/04/13 B	13,854	1.000	0	13,854-	13,854	
8001083	02/07/13 B	7,589	1.000	0	7,589-	7,589	
8001083	02/11/13 B	84,419	1.000	0	84,419-	84,419	
8001083	02/11/13 B	43,923	1.000	0	43,923-	43,923	
8001083	02/14/13 B	112,379	1.000	0	112,379-	112,379	
8001083	02/15/13 B	260,707	1.000	0	260,707-	260,707	
8001083	02/21/13 B	10,397	1.000	0	10,397-	10,397	
8001083	02/25/13 B	133,336	1.000	0	133,336-	133,336	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	02/28/13 B	84,938	1.000	0	84,938-	84,938	
8001083	03/01/13 B	39,834	1.000	0	39,834-	39,834	
8001083	03/01/13 B	56,499	1.000	0	56,499-	56,499	
SUB-TOTAL OF BUYS # 40				0	9,033,049	9,033,049	
8001081	01/02/13 S	340,495	1.000	0	340,495	340,495	0
8001081	01/07/13 S	9,394	1.000	0	9,394	9,394	0
8001081	01/09/13 S	1,540	1.000	0	1,540	1,540	0
8001081	01/11/13 S	306	1.000	0	306	306	0
8001081	01/14/13 S	692	1.000	0	692	692	0
8001081	01/15/13 S	1,260,942	1.000	0	1,260,942	1,260,942	0
8001081	01/16/13 S	514	1.000	0	514	514	0
8001081	01/24/13 S	1,855	1.000	0	1,855	1,855	0
8001081	02/01/13 S	342,050	1.000	0	342,050	342,050	0
8001081	02/04/13 S	5,862	1.000	0	5,862	5,862	0
8001081	02/05/13 S	3,419,635	1.000	0	3,419,635	3,419,635	0
8001081	02/05/13 S	44,731	1.000	0	44,731	44,731	0
8001081	02/06/13 S	665,172	1.000	0	665,172	665,172	0
8001081	02/07/13 S	584,785	1.000	0	584,785	584,785	0
8001081	02/08/13 S	13,335	1.000	0	13,335	13,335	0
8001081	02/11/13 S	855,356	1.000	0	855,356	855,356	0
8001081	02/11/13 S	23,054	1.000	0	23,054	23,054	0
8001081	02/21/13 S	281	1.000	0	281	281	0
8001081	03/01/13 S	310,777	1.000	0	310,777	310,777	0
8001081	03/04/13 S	855	1.000	0	855	855	0
8001083	01/07/13 S	173,661	1.000	0	173,661	173,661	0
8001083	01/09/13 S	60,421	1.000	0	60,421	60,421	0
8001083	01/10/13 S	68,074	1.000	0	68,074	68,074	0
8001083	01/11/13 S	7,605	1.000	0	7,605	7,605	0
8001083	01/22/13 S	71,573	1.000	0	71,573	71,573	0
8001083	01/25/13 S	165,960	1.000	0	165,960	165,960	0
8001083	02/06/13 S	163,597	1.000	0	163,597	163,597	0
8001083	02/08/13 S	46,965	1.000	0	46,965	46,965	0
8001083	02/13/13 S	113,535	1.000	0	113,535	113,535	0
8001083	02/15/13 S	159,810	1.000	0	159,810	159,810	0
8001083	02/19/13 S	138,720	1.000	0	138,720	138,720	0
8001083	02/20/13 S	36,905	1.000	0	36,905	36,905	0
8001083	02/22/13 S	27,056	1.000	0	27,056	27,056	0
8001083	02/25/13 S	132,983	1.000	0	132,983	132,983	0
8001083	02/28/13 S	44,648	1.000	0	44,648	44,648	0
8001083	03/04/13 S	58,817	1.000	0	58,817	58,817	0
SUB-TOTAL OF SALES # 36				0	9,351,961	9,351,961	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
SUB-TOTAL				0	18,385,010	18,385,010	0
ISSUE: VP7000228 - WF ADV HERITAGE MM FD-INSTL #3106							
8001081	03/05/13 B	152	1.000	0	152-	152	
8001081	03/27/13 B	300,000	1.000	0	300,000-	300,000	
8001081	04/01/13 B	533	1.000	0	533-	533	
8001081	04/09/13 B	1,421,289	1.000	0	1,421,289-	1,421,289	
8001081	04/12/13 B	47,745	1.000	0	47,745-	47,745	
8001081	04/12/13 B	199	1.000	0	199-	199	
8001081	04/30/13 B	375,000	1.000	0	375,000-	375,000	
8001081	05/01/13 B	256	1.000	0	256-	256	
8001081	05/06/13 B	4,549,868	1.000	0	4,549,868-	4,549,868	
8001081	05/08/13 B	25,000	1.000	0	25,000-	25,000	
8001081	05/15/13 B	363	1.000	0	363-	363	
8001081	05/16/13 B	4,300	1.000	0	4,300-	4,300	
8001081	05/28/13 B	2,106	1.000	0	2,106-	2,106	
8001081	05/30/13 B	11,747,894	1.000	0	11,747,894-*	11,747,894	
8001081	06/03/13 B	2,485	1.000	0	2,485-	2,485	
8001081	06/03/13 B	162	1.000	0	162-	162	
8001081	06/04/13 B	2,548	1.000	0	2,548-	2,548	
8001081	06/28/13 B	350,000	1.000	0	350,000-	350,000	
8001081	07/03/13 B	394	1.000	0	394-	394	
8001081	07/12/13 B	70,425	1.000	0	70,425-	70,425	
8001081	07/12/13 B	1,421,289	1.000	0	1,421,289-	1,421,289	
8001081	07/31/13 B	350,000	1.000	0	350,000-	350,000	
8001081	08/01/13 B	270	1.000	0	270-	270	
8001081	08/20/13 B	13,000,000	1.000	0	13,000,000-*	13,000,000	
8001081	08/29/13 B	20,277	1.000	0	20,277-	20,277	
8001081	09/03/13 B	10,542	1.000	0	10,542-	10,542	
8001081	09/04/13 B	85	1.000	0	85-	85	
8001081	09/13/13 B	899,268	1.000	0	899,268-	899,268	
8001081	09/16/13 B	560	1.000	0	560-	560	
8001081	10/01/13 B	41	1.000	0	41-	41	
8001081	10/11/13 B	72,519	1.000	0	72,519-	72,519	
8001081	10/15/13 B	1,421,289	1.000	0	1,421,289-	1,421,289	
8001081	10/16/13 B	191	1.000	0	191-	191	
8001081	11/04/13 B	418	1.000	0	418-	418	
8001081	11/08/13 B	1,046	1.000	0	1,046-	1,046	
8001081	11/18/13 B	64	1.000	0	64-	64	
8001081	11/25/13 B	152	1.000	0	152-	152	
8001081	11/29/13 B	57,129	1.000	0	57,129-	57,129	
8001081	12/02/13 B	751	1.000	0	751-	751	
8001081	12/03/13 B	90	1.000	0	90-	90	
8001081	12/23/13 B	274	1.000	0	274-	274	

**REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
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**KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899**

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
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8001083	03/05/13 B	88,949	1.000	0	88,949-	88,949	
8001083	03/05/13 B	172,306	1.000	0	172,306-	172,306	
8001083	03/08/13 B	13,915	1.000	0	13,915-	13,915	
8001083	03/11/13 B	98,633	1.000	0	98,633-	98,633	
8001083	03/15/13 B	7,780	1.000	0	7,780-	7,780	
8001083	03/19/13 B	3,476	1.000	0	3,476-	3,476	
8001083	03/21/13 B	1,110	1.000	0	1,110-	1,110	
8001083	03/22/13 B	154,523	1.000	0	154,523-	154,523	
8001083	03/25/13 B	58,664	1.000	0	58,664-	58,664	
8001083	03/28/13 B	8,587	1.000	0	8,587-	8,587	
8001083	04/01/13 B	42,853	1.000	0	42,853-	42,853	
8001083	04/01/13 B	3,350	1.000	0	3,350-	3,350	
8001083	04/02/13 B	6,431	1.000	0	6,431-	6,431	
8001083	04/03/13 B	322,598	1.000	0	322,598-	322,598	
8001083	04/05/13 B	255,935	1.000	0	255,935-	255,935	
8001083	04/09/13 B	1,753	1.000	0	1,753-	1,753	
8001083	04/10/13 B	25,122	1.000	0	25,122-	25,122	
8001083	04/15/13 B	38,983	1.000	0	38,983-	38,983	
8001083	04/22/13 B	1,936	1.000	0	1,936-	1,936	
8001083	04/22/13 B	108,250	1.000	0	108,250-	108,250	
8001083	05/01/13 B	34,481	1.000	0	34,481-	34,481	
8001083	05/02/13 B	45,077	1.000	0	45,077-	45,077	
8001083	05/03/13 B	14,538	1.000	0	14,538-	14,538	
8001083	05/06/13 B	4,500,000	1.000	0	4,500,000-	4,500,000	
8001083	05/06/13 B	799	1.000	0	799-	799	
8001083	05/14/13 B	66,409	1.000	0	66,409-	66,409	
8001083	05/15/13 B	150,691	1.000	0	150,691-	150,691	
8001083	05/16/13 B	90,703	1.000	0	90,703-	90,703	
8001083	05/23/13 B	20,751	1.000	0	20,751-	20,751	
8001083	05/24/13 B	17,222	1.000	0	17,222-	17,222	
8001083	05/28/13 B	7,481	1.000	0	7,481-	7,481	
8001083	05/30/13 B	1,096	1.000	0	1,096-	1,096	
8001083	05/30/13 B	7,490,000	1.000	0	7,490,000-*	7,490,000	
8001083	05/31/13 B	3,750	1.000	0	3,750-	3,750	
8001083	06/04/13 B	48,093	1.000	0	48,093-	48,093	
8001083	06/06/13 B	242,284	1.000	0	242,284-	242,284	
8001083	06/07/13 B	196,806	1.000	0	196,806-	196,806	
8001083	06/10/13 B	109,717	1.000	0	109,717-	109,717	
8001083	06/11/13 B	104,087	1.000	0	104,087-	104,087	
8001083	06/14/13 B	57,219	1.000	0	57,219-	57,219	
8001083	06/17/13 B	52,781	1.000	0	52,781-	52,781	
8001083	06/18/13 B	18,275	1.000	0	18,275-	18,275	
8001083	06/19/13 B	2,993	1.000	0	2,993-	2,993	
8001083	06/20/13 B	245,034	1.000	0	245,034-	245,034	
8001083	06/24/13 B	71,362	1.000	0	71,362-	71,362	
8001083	06/28/13 B	677	1.000	0	677-	677	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	07/01/13 B	118,137	1.000	0	118,137-	118,137	
8001083	07/09/13 B	4,520	1.000	0	4,520-	4,520	
8001083	07/10/13 B	1,200	1.000	0	1,200-	1,200	
8001083	07/15/13 B	61,019	1.000	0	61,019-	61,019	
8001083	07/17/13 B	18,231	1.000	0	18,231-	18,231	
8001083	07/18/13 B	7,225	1.000	0	7,225-	7,225	
8001083	07/19/13 B	95,847	1.000	0	95,847-	95,847	
8001083	07/23/13 B	156,992	1.000	0	156,992-	156,992	
8001083	07/24/13 B	8,594	1.000	0	8,594-	8,594	
8001083	07/25/13 B	192,371	1.000	0	192,371-	192,371	
8001083	07/26/13 B	18,140	1.000	0	18,140-	18,140	
8001083	07/29/13 B	62,242	1.000	0	62,242-	62,242	
8001083	07/29/13 B	96,524	1.000	0	96,524-	96,524	
8001083	07/30/13 B	15,544	1.000	0	15,544-	15,544	
8001083	08/02/13 B	18,902	1.000	0	18,902-	18,902	
8001083	08/05/13 B	16,036	1.000	0	16,036-	16,036	
8001083	08/06/13 B	101,324	1.000	0	101,324-	101,324	
8001083	08/12/13 B	1,913	1.000	0	1,913-	1,913	
8001083	08/13/13 B	2,475	1.000	0	2,475-	2,475	
8001083	08/15/13 B	199,549	1.000	0	199,549-	199,549	
8001083	08/16/13 B	21,703	1.000	0	21,703-	21,703	
8001083	08/20/13 B	13,298	1.000	0	13,298-	13,298	
8001083	08/21/13 B	19,414	1.000	0	19,414-	19,414	
8001083	08/23/13 B	2,869	1.000	0	2,869-	2,869	
8001083	08/26/13 B	1,219	1.000	0	1,219-	1,219	
8001083	08/28/13 B	5,843	1.000	0	5,843-	5,843	
8001083	08/29/13 B	34,832	1.000	0	34,832-	34,832	
8001083	08/30/13 B	23,367	1.000	0	23,367-	23,367	
8001083	09/03/13 B	146,290	1.000	0	146,290-	146,290	
8001083	09/04/13 B	2,991	1.000	0	2,991-	2,991	
8001083	09/05/13 B	9,109	1.000	0	9,109-	9,109	
8001083	09/06/13 B	15,332	1.000	0	15,332-	15,332	
8001083	09/06/13 B	146,480	1.000	0	146,480-	146,480	
8001083	09/09/13 B	55,577	1.000	0	55,577-	55,577	
8001083	09/11/13 B	52,674	1.000	0	52,674-	52,674	
8001083	09/12/13 B	171,999	1.000	0	171,999-	171,999	
8001083	09/16/13 B	159,664	1.000	0	159,664-	159,664	
8001083	09/17/13 B	18,753	1.000	0	18,753-	18,753	
8001083	09/18/13 B	17,365	1.000	0	17,365-	17,365	
8001083	09/19/13 B	416,213	1.000	0	416,213-	416,213	
8001083	09/19/13 B	46	1.000	0	46-	46	
8001083	09/24/13 B	39,001	1.000	0	39,001-	39,001	
8001083	09/27/13 B	36,276	1.000	0	36,276-	36,276	
8001083	09/30/13 B	400,499	1.000	0	400,499-	400,499	
8001083	10/01/13 B	104,256	1.000	0	104,256-	104,256	
8001083	10/15/13 B	37,676	1.000	0	37,676-	37,676	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	10/16/13 B	173,025	1.000	0	173,025-	173,025	
8001083	10/17/13 B	1,522	1.000	0	1,522-	1,522	
8001083	10/18/13 B	57,779	1.000	0	57,779-	57,779	
8001083	10/22/13 B	300	1.000	0	300-	300	
8001083	10/24/13 B	260,176	1.000	0	260,176-	260,176	
8001083	10/25/13 B	25,314	1.000	0	25,314-	25,314	
8001083	10/30/13 B	2,325	1.000	0	2,325-	2,325	
8001083	10/31/13 B	20,610	1.000	0	20,610-	20,610	
8001083	11/01/13 B	73,186	1.000	0	73,186-	73,186	
8001083	11/04/13 B	10,846	1.000	0	10,846-	10,846	
8001083	11/13/13 B	4,363	1.000	0	4,363-	4,363	
8001083	11/14/13 B	37,605	1.000	0	37,605-	37,605	
8001083	11/14/13 B	125,348	1.000	0	125,348-	125,348	
8001083	11/15/13 B	203,755	1.000	0	203,755-	203,755	
8001083	11/18/13 B	7,290	1.000	0	7,290-	7,290	
8001083	11/19/13 B	153,291	1.000	0	153,291-	153,291	
8001083	11/20/13 B	1,335	1.000	0	1,335-	1,335	
8001083	11/22/13 B	157,933	1.000	0	157,933-	157,933	
8001083	11/25/13 B	3	1.000	0	3-	3	
8001083	11/26/13 B	43,219	1.000	0	43,219-	43,219	
8001083	11/29/13 B	58,886	1.000	0	58,886-	58,886	
8001083	12/04/13 B	4,178	1.000	0	4,178-	4,178	
8001083	12/05/13 B	35,904	1.000	0	35,904-	35,904	
8001083	12/06/13 B	122	1.000	0	122-	122	
8001083	12/09/13 B	5,850	1.000	0	5,850-	5,850	
8001083	12/10/13 B	35,776	1.000	0	35,776-	35,776	
8001083	12/12/13 B	14,518	1.000	0	14,518-	14,518	
8001083	12/16/13 B	54,544	1.000	0	54,544-	54,544	
8001083	12/17/13 B	21,258	1.000	0	21,258-	21,258	
8001083	12/20/13 B	4,500	1.000	0	4,500-	4,500	
SUB-TOTAL OF BUYS # 163				0	55,908,746	55,908,746	
8001081	03/07/13 S	152	1.000	0	152	152	0
8001081	03/15/13 S	10,320	1.000	0	10,320	10,320	0
8001081	03/19/13 S	198	1.000	0	198	198	0
8001081	03/21/13 S	447	1.000	0	447	447	0
8001081	03/22/13 S	290	1.000	0	290	290	0
8001081	04/01/13 S	348,454	1.000	0	348,454	348,454	0
8001081	04/02/13 S	167	1.000	0	167	167	0
8001081	04/03/13 S	36,871	1.000	0	36,871	36,871	0
8001081	04/08/13 S	65	1.000	0	65	65	0
8001081	04/10/13 S	1,421,289	1.000	0	1,421,289	1,421,289	0
8001081	04/18/13 S	760	1.000	0	760	760	0
8001081	04/23/13 S	26,317	1.000	0	26,317	26,317	0
8001081	04/30/13 S	22,474	1.000	0	22,474	22,474	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001081	05/01/13 S	366,165	1.000	0	366,165	366,165	0
8001081	05/06/13 S	4,541,674	1.000	0	4,541,674	4,541,674	0
8001081	05/08/13 S	27,279	1.000	0	27,279	27,279	0
8001081	05/09/13 S	162	1.000	0	162	162	0
8001081	05/13/13 S	266	1.000	0	266	266	0
8001081	05/17/13 S	363	1.000	0	363	363	0
8001081	05/20/13 S	4,300	1.000	0	4,300	4,300	0
8001081	05/30/13 S	7,490,000	1.000	0	7,490,000 *	7,490,000	0
8001081	05/31/13 S	840,000	1.000	0	840,000	840,000	0
8001081	06/03/13 S	312,211	1.000	0	312,211	312,211	0
8001081	06/04/13 S	709,963	1.000	0	709,963	709,963	0
8001081	06/05/13 S	710,162	1.000	0	710,162	710,162	0
8001081	06/05/13 S	25,898	1.000	0	25,898	25,898	0
8001081	06/05/13 S	1,649,995	1.000	0	1,649,995	1,649,995	0
8001081	06/12/13 S	826	1.000	0	826	826	0
8001081	07/01/13 S	345,879	1.000	0	345,879	345,879	0
8001081	07/02/13 S	4,316	1.000	0	4,316	4,316	0
8001081	07/08/13 S	5,496	1.000	0	5,496	5,496	0
8001081	07/10/13 S	2,574	1.000	0	2,574	2,574	0
8001081	07/15/13 S	1,421,289	1.000	0	1,421,289	1,421,289	0
8001081	07/24/13 S	170	1.000	0	170	170	0
8001081	07/24/13 S	48,607	1.000	0	48,607	48,607	0
8001081	08/01/13 S	352,567	1.000	0	352,567	352,567	0
8001081	08/02/13 S	3,604	1.000	0	3,604	3,604	0
8001081	08/07/13 S	9,210	1.000	0	9,210	9,210	0
8001081	08/08/13 S	26,708	1.000	0	26,708	26,708	0
8001081	08/14/13 S	200	1.000	0	200	200	0
8001081	08/15/13 S	2,485	1.000	0	2,485	2,485	0
8001081	08/16/13 S	239	1.000	0	239	239	0
8001081	08/21/13 S	25,665	1.000	0	25,665	25,665	0
8001081	08/26/13 S	12,000	1.000	0	12,000	12,000	0
8001081	08/28/13 S	22,779	1.000	0	22,779	22,779	0
8001081	09/03/13 S	302,236	1.000	0	302,236	302,236	0
8001081	09/04/13 S	2,037	1.000	0	2,037	2,037	0
8001081	09/05/13 S	295	1.000	0	295	295	0
8001081	09/06/13 S	17,179	1.000	0	17,179	17,179	0
8001081	09/13/13 S	1,722	1.000	0	1,722	1,722	0
8001081	09/18/13 S	560	1.000	0	560	560	0
8001081	09/23/13 S	30,416	1.000	0	30,416	30,416	0
8001081	10/01/13 S	362,992	1.000	0	362,992	362,992	0
8001081	10/02/13 S	23,575	1.000	0	23,575	23,575	0
8001081	10/15/13 S	20,481	1.000	0	20,481	20,481	0
8001081	10/16/13 S	1,395	1.000	0	1,395	1,395	0
8001081	10/17/13 S	56,322	1.000	0	56,322	56,322	0
8001081	10/28/13 S	28,634	1.000	0	28,634	28,634	0
8001081	11/01/13 S	360,631	1.000	0	360,631	360,631	0

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KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001081	11/04/13 S	164	1.000	0	164	164	0
8001081	11/05/13 S	418	1.000	0	418	418	0
8001081	11/07/13 S	9,600	1.000	0	9,600	9,600	0
8001081	11/12/13 S	27,337	1.000	0	27,337	27,337	0
8001081	11/13/13 S	56	1.000	0	56	56	0
8001081	11/14/13 S	15,088	1.000	0	15,088	15,088	0
8001081	11/15/13 S	6,484	1.000	0	6,484	6,484	0
8001081	11/27/13 S	152	1.000	0	152	152	0
8001081	12/02/13 S	368,735	1.000	0	368,735	368,735	0
8001081	12/06/13 S	542	1.000	0	542	542	0
8001081	12/13/13 S	105	1.000	0	105	105	0
8001081	12/16/13 S	1,085	1.000	0	1,085	1,085	0
8001081	12/16/13 S	13,634	1.000	0	13,634	13,634	0
8001083	03/06/13 S	94,562	1.000	0	94,562	94,562	0
8001083	03/07/13 S	119,929	1.000	0	119,929	119,929	0
8001083	03/07/13 S	79,635	1.000	0	79,635	79,635	0
8001083	03/12/13 S	12,607	1.000	0	12,607	12,607	0
8001083	03/13/13 S	50,472	1.000	0	50,472	50,472	0
8001083	03/18/13 S	16,815	1.000	0	16,815	16,815	0
8001083	03/26/13 S	239,840	1.000	0	239,840	239,840	0
8001083	04/03/13 S	382,278	1.000	0	382,278	382,278	0
8001083	04/04/13 S	74,857	1.000	0	74,857	74,857	0
8001083	04/05/13 S	248,945	1.000	0	248,945	248,945	0
8001083	04/12/13 S	15,727	1.000	0	15,727	15,727	0
8001083	04/16/13 S	20,309	1.000	0	20,309	20,309	0
8001083	04/17/13 S	24,967	1.000	0	24,967	24,967	0
8001083	04/19/13 S	1,877	1.000	0	1,877	1,877	0
8001083	04/26/13 S	11,565	1.000	0	11,565	11,565	0
8001083	04/29/13 S	32,541	1.000	0	32,541	32,541	0
8001083	04/30/13 S	8,732	1.000	0	8,732	8,732	0
8001083	05/01/13 S	87,112	1.000	0	87,112	87,112	0
8001083	05/06/13 S	39,716	1.000	0	39,716	39,716	0
8001083	05/07/13 S	2,428,244	1.000	0	2,428,244	2,428,244	0
8001083	05/07/13 S	1,154,643	1.000	0	1,154,643	1,154,643	0
8001083	05/08/13 S	38,701	1.000	0	38,701	38,701	0
8001083	05/09/13 S	90,665	1.000	0	90,665	90,665	0
8001083	05/10/13 S	143,497	1.000	0	143,497	143,497	0
8001083	05/10/13 S	530,915	1.000	0	530,915	530,915	0
8001083	05/13/13 S	189,039	1.000	0	189,039	189,039	0
8001083	05/17/13 S	12,358	1.000	0	12,358	12,358	0
8001083	05/20/13 S	72,305	1.000	0	72,305	72,305	0
8001083	05/21/13 S	721	1.000	0	721	721	0
8001083	05/22/13 S	101,536	1.000	0	101,536	101,536	0
8001083	06/03/13 S	9,902	1.000	0	9,902	9,902	0
8001083	06/05/13 S	5,009,731	1.000	0	5,009,731	5,009,731	0
8001083	06/05/13 S	1,296,633	1.000	0	1,296,633	1,296,633	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
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ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	06/06/13 S	1,091,537	1.000	0	1,091,537	1,091,537	0
8001083	06/06/13 S	27,077	1.000	0	27,077	27,077	0
8001083	06/06/13 S	65,156	1.000	0	65,156	65,156	0
8001083	06/07/13 S	505,711	1.000	0	505,711	505,711	0
8001083	06/10/13 S	196,806	1.000	0	196,806	196,806	0
8001083	06/11/13 S	109,717	1.000	0	109,717	109,717	0
8001083	06/14/13 S	104,087	1.000	0	104,087	104,087	0
8001083	06/20/13 S	131,267	1.000	0	131,267	131,267	0
8001083	06/21/13 S	38,505	1.000	0	38,505	38,505	0
8001083	06/25/13 S	15,407	1.000	0	15,407	15,407	0
8001083	07/02/13 S	2,469	1.000	0	2,469	2,469	0
8001083	07/03/13 S	217,389	1.000	0	217,389	217,389	0
8001083	07/05/13 S	25,127	1.000	0	25,127	25,127	0
8001083	07/08/13 S	25,651	1.000	0	25,651	25,651	0
8001083	07/11/13 S	5,943	1.000	0	5,943	5,943	0
8001083	07/16/13 S	12,111	1.000	0	12,111	12,111	0
8001083	07/22/13 S	5,559	1.000	0	5,559	5,559	0
8001083	07/25/13 S	392,762	1.000	0	392,762	392,762	0
8001083	07/31/13 S	98,363	1.000	0	98,363	98,363	0
8001083	08/01/13 S	217,439	1.000	0	217,439	217,439	0
8001083	08/07/13 S	62,226	1.000	0	62,226	62,226	0
8001083	08/15/13 S	123,750	1.000	0	123,750	123,750	0
8001083	08/19/13 S	83,555	1.000	0	83,555	83,555	0
8001083	08/19/13 S	134,127	1.000	0	134,127	134,127	0
8001083	08/21/13 S	61,789	1.000	0	61,789	61,789	0
8001083	09/03/13 S	114,228	1.000	0	114,228	114,228	0
8001083	09/10/13 S	173,849	1.000	0	173,849	173,849	0
8001083	09/11/13 S	201,930	1.000	0	201,930	201,930	0
8001083	09/13/13 S	180,000	1.000	0	180,000	180,000	0
8001083	09/16/13 S	44,674	1.000	0	44,674	44,674	0
8001083	09/20/13 S	22,622	1.000	0	22,622	22,622	0
8001083	09/23/13 S	169,376	1.000	0	169,376	169,376	0
8001083	09/25/13 S	260,198	1.000	0	260,198	260,198	0
8001083	09/26/13 S	19,417	1.000	0	19,417	19,417	0
8001083	10/02/13 S	336,650	1.000	0	336,650	336,650	0
8001083	10/03/13 S	90,325	1.000	0	90,325	90,325	0
8001083	10/04/13 S	23,872	1.000	0	23,872	23,872	0
8001083	10/07/13 S	85,418	1.000	0	85,418	85,418	0
8001083	10/09/13 S	6,151	1.000	0	6,151	6,151	0
8001083	10/18/13 S	228,939	1.000	0	228,939	228,939	0
8001083	10/21/13 S	29,512	1.000	0	29,512	29,512	0
8001083	10/23/13 S	1,147	1.000	0	1,147	1,147	0
8001083	10/25/13 S	17,814	1.000	0	17,814	17,814	0
8001083	10/28/13 S	110,104	1.000	0	110,104	110,104	0
8001083	10/29/13 S	259,912	1.000	0	259,912	259,912	0
8001083	11/05/13 S	15,051	1.000	0	15,051	15,051	0

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	11/07/13 S	6,963	1.000	0	6,963	6,963	0
8001083	11/12/13 S	117,925	1.000	0	117,925	117,925	0
8001083	11/19/13 S	72,630	1.000	0	72,630	72,630	0
8001083	11/21/13 S	277,821	1.000	0	277,821	277,821	0
8001083	11/22/13 S	3	1.000	0	3	3	0
8001083	11/26/13 S	54,520	1.000	0	54,520	54,520	0
8001083	11/27/13 S	124,348	1.000	0	124,348	124,348	0
8001083	12/02/13 S	38,283	1.000	0	38,283	38,283	0
8001083	12/03/13 S	103,974	1.000	0	103,974	103,974	0
8001083	12/06/13 S	19,167	1.000	0	19,167	19,167	0
8001083	12/13/13 S	23,776	1.000	0	23,776	23,776	0
SUB-TOTAL OF SALES # 162				0	42,108,236	42,108,236	0
SUB-TOTAL				0	98,016,982	98,016,982	0

ISSUE: 912810QY7 - US TREASURY BOND 2.75% 11/15/42

8001083	02/19/13 B	410,000	0.916	0	375,342-	375,342	
8001083	02/20/13 B	145,000	0.909	0	131,859-	131,859	
8001083	02/26/13 B	5,000	0.941	0	4,702-	4,702	
8001083	02/26/13 B	10,000	0.934	0	9,342-	9,342	
8001083	02/27/13 B	15,000	0.928	0	13,927-	13,927	
8001083	03/01/13 B	100,000	0.937	0	93,719-	93,719	
8001083	03/06/13 B	100,000	0.921	0	92,063-	92,063	
8001083	03/06/13 B	40,000	0.920	0	36,806-	36,806	
8001083	03/08/13 B	55,000	0.909	0	49,979-	49,979	
8001083	03/14/13 B	15,000	0.904	0	13,559-	13,559	
8001083	03/19/13 B	150,000	0.921	0	138,164-	138,164	
8001083	04/01/13 B	215,000	0.925	0	198,909-	198,909	
8001083	04/03/13 B	125,000	0.936	0	116,934-	116,934	
8001083	04/05/13 B	60,000	0.969	0	58,144-	58,144	
8001083	04/11/13 B	125,000	0.951	0	118,828-	118,828	
8001083	04/12/13 B	40,000	0.959	0	38,363-	38,363	
8001083	04/12/13 B	125,000	0.962	0	120,273-	120,273	
8001083	04/25/13 B	30,000	0.966	0	28,978-	28,978	
8001083	04/30/13 B	30,000	0.975	0	29,244-	29,244	
8001083	04/30/13 B	70,000	0.972	0	68,037-	68,037	
8001083	04/30/13 B	130,000	0.975	0	126,750-	126,750	
8001083	05/01/13 B	40,000	0.980	0	39,194-	39,194	
8001083	05/06/13 B	2,400,000	0.954	0	2,288,822-	2,288,822	
8001083	05/06/13 B	650,000	0.953	0	619,686-	619,686	
8001083	05/07/13 B	125,000	0.949	0	118,580-	118,580	
8001083	05/08/13 B	245,000	0.946	0	231,746-	231,746	
8001083	05/31/13 B	1,450,000	0.893	0	1,294,357-	1,294,357	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	08/06/13 B	75,000	0.823	0	61,755-	61,755	
SUB-TOTAL OF BUYS # 28				0	6,518,062	6,518,062	
8001083	02/19/13 S	140,000	0.910	0	127,422	128,166	-744
8001083	02/25/13 S	90,000	0.936	0	84,220	82,201	2,020
8001083	02/26/13 S	60,000	0.934	0	56,016	54,861	1,154
8001083	03/01/13 S	65,000	0.931	0	60,511	59,479	1,031
8001083	03/12/13 S	120,000	0.908	0	108,975	110,407	-1,432
8001083	03/13/13 S	125,000	0.909	0	113,574	115,008	-1,434
8001083	03/19/13 S	35,000	0.927	0	32,445	32,195	249
8001083	03/19/13 S	180,000	0.925	0	166,500	165,576	924
8001083	04/01/13 S	95,000	0.932	0	88,558	87,630	928
8001083	04/02/13 S	230,000	0.928	0	213,397	212,947	450
8001083	04/03/13 S	60,000	0.940	0	56,409	55,551	858
8001083	04/09/13 S	65,000	0.960	0	62,410	60,868	1,542
8001083	04/09/13 S	15,000	0.960	0	14,400	14,047	353
8001083	04/11/13 S	55,000	0.949	0	52,181	51,840	341
8001083	04/23/13 S	125,000	0.972	0	121,504	118,792	2,711
8001083	04/24/13 S	90,000	0.968	0	87,145	85,531	1,615
8001083	04/25/13 S	30,000	0.966	0	28,964	28,575	389
8001083	04/29/13 S	40,000	0.971	0	38,819	38,386	433
8001083	04/30/13 S	185,000	0.974	0	180,115	178,518	1,597
8001083	05/02/13 S	90,000	0.982	0	88,383	85,940	2,443
8001083	05/03/13 S	105,000	0.961	0	100,948	100,231	717
8001083	05/07/13 S	2,330,000	0.949	0	2,211,488	2,223,629	-12,140
8001083	05/07/13 S	230,000	0.950	0	218,464	219,500	-1,036
8001083	05/13/13 S	650,000	0.926	0	601,758	618,823	-17,065
8001083	05/13/13 S	245,000	0.926	0	226,816	233,249	-6,432
SUB-TOTAL OF SALES # 25				0	5,141,422	5,161,950	-20,527
SUB-TOTAL				0	11,659,484	11,680,012	-20,527
ISSUE: 912810QZ4 - US TREASURY BOND 3.125% 2/15/43							
8001083	05/13/13 B	615,000	1.001	0	615,577-	615,577	
8001083	05/13/13 B	230,000	1.001	0	230,216-	230,216	
8001083	05/13/13 B	100,000	1.003	0	100,344-	100,344	
8001083	05/15/13 B	20,000	0.996	0	19,925-	19,925	
8001083	05/17/13 B	100,000	1.007	0	100,707-	100,707	
8001083	05/20/13 B	20,000	0.996	0	19,913-	19,913	
8001083	05/20/13 B	50,000	0.999	0	49,930-	49,930	
8001083	05/29/13 B	55,000	0.972	0	53,479-	53,479	
8001083	05/30/13 B	180,000	0.972	0	175,022-	175,022	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	05/30/13 B	25,000	0.976	0	24,391-	24,391	
8001083	05/31/13 B	3,635,000	0.966	0	3,513,043-	3,513,043	
8001083	06/03/13 B	225,000	0.980	0	220,387-	220,387	
8001083	06/05/13 B	155,000	0.975	0	151,149-	151,149	
8001083	06/06/13 B	280,000	0.982	0	274,948-	274,948	
8001083	06/11/13 B	280,000	0.954	0	267,171-	267,171	
8001083	06/14/13 B	280,000	0.968	0	271,097-	271,097	
8001083	06/18/13 B	15,000	0.960	0	14,405-	14,405	
8001083	06/28/13 B	225,000	0.932	0	209,777-	209,777	
8001083	07/10/13 B	5,000	0.908	0	4,541-	4,541	
8001083	07/12/13 B	55,000	0.916	0	50,402-	50,402	
8001083	07/18/13 B	170,000	0.911	0	154,859-	154,859	
8001083	07/23/13 B	15,000	0.921	0	13,821-	13,821	
8001083	07/23/13 B	75,000	0.919	0	68,895-	68,895	
8001083	07/24/13 B	50,000	0.910	0	45,500-	45,500	
8001083	07/25/13 B	30,000	0.904	0	27,108-	27,108	
8001083	07/25/13 B	15,000	0.905	0	13,575-	13,575	
8001083	07/30/13 B	180,000	0.907	0	163,322-	163,322	
8001083	07/31/13 B	55,000	0.899	0	49,440-	49,440	
8001083	07/31/13 B	85,000	0.899	0	76,420-	76,420	
8001083	07/31/13 B	165,000	0.909	0	149,937-	149,937	
SUB-TOTAL OF BUYS # 30				0	7,129,301	7,129,301	
8001083	05/13/13 S	90,000	1.002	0	90,169	90,108	61
8001083	05/14/13 S	130,000	0.997	0	129,588	130,156	-568
8001083	06/03/13 S	580,000	0.978	0	567,310	564,756	2,554
8001083	06/03/13 S	390,000	0.974	0	379,883	379,750	133
8001083	06/03/13 S	80,000	0.979	0	78,306	77,897	409
8001083	06/03/13 S	505,000	0.976	0	492,886	491,727	1,159
8001083	06/03/13 S	145,000	0.974	0	141,262	141,189	73
8001083	06/03/13 S	245,000	0.979	0	239,936	238,561	1,376
8001083	06/04/13 S	140,000	0.969	0	135,635	136,320	-685
8001083	06/04/13 S	355,000	0.970	0	344,252	345,670	-1,418
8001083	06/04/13 S	315,000	0.971	0	305,796	306,721	-925
8001083	06/04/13 S	455,000	0.967	0	439,944	443,041	-3,097
8001083	06/04/13 S	155,000	0.970	0	150,326	150,926	-600
8001083	06/04/13 S	25,000	0.972	0	24,301	24,343	-42
8001083	06/04/13 S	360,000	0.970	0	349,058	350,538	-1,480
8001083	06/05/13 S	60,000	0.980	0	58,800	58,432	368
8001083	06/05/13 S	55,000	0.980	0	53,900	53,563	337
8001083	06/05/13 S	90,000	0.980	0	88,200	87,649	551
8001083	06/11/13 S	280,000	0.954	0	267,082	273,103	-6,021
8001083	06/11/13 S	75,000	0.958	0	71,883	72,859	-976
8001083	06/12/13 S	110,000	0.957	0	105,256	106,860	-1,603
8001083	06/14/13 S	280,000	0.969	0	271,271	272,006	-736

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	06/18/13 S	145,000	0.962	0	139,427	140,744	-1,318
8001083	06/20/13 S	75,000	0.929	0	69,703	72,799	-3,096
8001083	06/26/13 S	100,000	0.919	0	91,902	97,065	-5,163
8001083	07/01/13 S	50,000	0.937	0	46,828	48,188	-1,360
8001083	07/02/13 S	60,000	0.938	0	56,250	57,825	-1,575
8001083	07/08/13 S	70,000	0.907	0	63,492	67,463	-3,971
8001083	07/11/13 S	50,000	0.913	0	45,646	48,175	-2,528
8001083	07/16/13 S	100,000	0.916	0	91,641	96,110	-4,469
8001083	07/18/13 S	170,000	0.910	0	154,673	163,387	-8,713
8001083	07/24/13 S	105,000	0.905	0	95,037	99,522	-4,484
8001083	07/29/13 S	170,000	0.905	0	153,823	160,815	-6,991
8001083	08/12/13 S	615,000	0.904	0	555,998	572,970	-16,972
8001083	08/12/13 S	760,000	0.904	0	687,088	708,061	-20,973
SUB-TOTAL OF SALES # 35				0	7,036,552	7,129,299	-92,748
SUB-TOTAL				0	14,165,853	14,258,600	-92,748
ISSUE: 912810RB6 - US TREASURY BOND 2.875% 5/15/43							
8001083	08/12/13 B	780,000	0.858	0	669,094-	669,094	
8001083	08/12/13 B	630,000	0.858	0	540,422-	540,422	
8001083	08/16/13 B	100,000	0.828	0	82,805-	82,805	
8001083	08/20/13 B	110,000	0.820	0	90,235-	90,235	
8001083	08/26/13 B	120,000	0.834	0	100,125-	100,125	
8001083	08/27/13 B	50,000	0.840	0	42,000-	42,000	
8001083	08/28/13 B	175,000	0.840	0	146,932-	146,932	
8001083	08/30/13 B	1,400,000	0.849	0	1,188,748-	1,188,748	
8001083	09/03/13 B	175,000	0.836	0	146,358-	146,358	
8001083	09/04/13 B	195,000	0.832	0	162,277-	162,277	
8001083	09/05/13 B	90,000	0.826	0	74,320-	74,320	
8001083	09/06/13 B	175,000	0.827	0	144,730-	144,730	
8001083	09/09/13 B	60,000	0.827	0	49,594-	49,594	
8001083	09/09/13 B	10,000	0.825	0	8,248-	8,248	
8001083	09/11/13 B	175,000	0.823	0	143,965-	143,965	
8001083	09/13/13 B	80,000	0.827	0	66,163-	66,163	
8001083	09/18/13 B	120,000	0.838	0	100,500-	100,500	
8001083	09/19/13 B	15,000	0.831	0	12,471-	12,471	
8001083	09/19/13 B	25,000	0.831	0	20,770-	20,770	
8001083	09/19/13 B	140,000	0.831	0	116,397-	116,397	
8001083	09/19/13 B	75,000	0.832	0	62,402-	62,402	
8001083	09/20/13 B	150,000	0.832	0	124,734-	124,734	
8001083	09/23/13 B	20,000	0.840	0	16,794-	16,794	
8001083	09/23/13 B	40,000	0.840	0	33,613-	33,613	
8001083	09/24/13 B	10,000	0.848	0	8,477-	8,477	

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
 FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
 ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	09/24/13 B	15,000	0.848	0	12,715-	12,715	
8001083	09/24/13 B	60,000	0.850	0	50,991-	50,991	
8001083	09/25/13 B	200,000	0.849	0	169,759-	169,759	
8001083	09/25/13 B	35,000	0.852	0	29,810-	29,810	
8001083	09/25/13 B	210,000	0.853	0	179,091-	179,091	
8001083	09/26/13 B	95,000	0.851	0	80,795-	80,795	
8001083	09/30/13 B	105,000	0.849	0	89,168-	89,168	
8001083	10/04/13 B	100,000	0.843	0	84,286-	84,286	
8001083	10/11/13 B	235,000	0.846	0	198,795-	198,795	
8001083	10/22/13 B	20,000	0.860	0	17,203-	17,203	
8001083	10/28/13 B	20,000	0.863	0	17,267-	17,267	
8001083	10/28/13 B	100,000	0.863	0	86,344-	86,344	
8001083	10/29/13 B	70,000	0.859	0	60,145-	60,145	
8001083	10/29/13 B	215,000	0.859	0	184,631-	184,631	
8001083	10/31/13 B	45,000	0.860	0	38,711-	38,711	
8001083	10/31/13 B	120,000	0.858	0	102,919-	102,919	
8001083	10/31/13 B	40,000	0.856	0	34,238-	34,238	
8001083	11/06/13 B	130,000	0.836	0	108,632-	108,632	
8001083	11/08/13 B	55,000	0.827	0	45,495-	45,495	
8001083	11/12/13 B	25,000	0.821	0	20,516-	20,516	
8001083	11/14/13 B	80,000	0.832	0	66,550-	66,550	
SUB-TOTAL OF BUYS # 46				0	5,830,235	5,830,235	
8001083	08/12/13 S	115,000	0.852	0	98,020	98,648	-629
8001083	08/12/13 S	130,000	0.851	0	110,663	111,516	-853
8001083	09/03/13 S	175,000	0.836	0	146,370	148,649	-2,278
8001083	09/03/13 S	200,000	0.833	0	166,531	169,738	-3,206
8001083	09/04/13 S	205,000	0.831	0	170,310	173,757	-3,446
8001083	09/04/13 S	55,000	0.832	0	45,753	46,618	-864
8001083	09/04/13 S	145,000	0.830	0	120,395	122,901	-2,506
8001083	09/06/13 S	175,000	0.828	0	144,921	148,520	-3,599
8001083	09/09/13 S	100,000	0.826	0	82,641	84,511	-1,870
8001083	09/11/13 S	175,000	0.823	0	143,978	147,981	-4,003
8001083	09/16/13 S	175,000	0.822	0	143,801	147,563	-3,763
8001083	09/16/13 S	45,000	0.821	0	36,949	37,945	-996
8001083	09/16/13 S	70,000	0.819	0	57,345	59,025	-1,680
8001083	09/19/13 S	225,000	0.829	0	186,574	189,486	-2,912
8001083	09/23/13 S	155,000	0.840	0	130,200	130,414	-214
8001083	09/25/13 S	90,000	0.854	0	76,866	75,859	1,007
8001083	09/25/13 S	180,000	0.852	0	153,309	151,717	1,592
8001083	09/25/13 S	225,000	0.852	0	191,619	189,646	1,973
8001083	10/01/13 S	150,000	0.846	0	126,891	126,500	390
8001083	10/10/13 S	170,000	0.840	0	142,734	143,364	-631
8001083	10/10/13 S	235,000	0.840	0	197,382	198,180	-798
8001083	10/21/13 S	75,000	0.853	0	63,984	63,266	719



REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
8001083	10/21/13 S	220,000	0.850	0	187,034	185,579	1,456
8001083	10/22/13 S	30,000	0.861	0	25,823	25,310	513
8001083	10/22/13 S	170,000	0.860	0	146,200	143,424	2,776
8001083	10/24/13 S	10,000	0.863	0	8,631	8,438	193
8001083	10/28/13 S	235,000	0.860	0	202,137	198,494	3,643
8001083	10/31/13 S	250,000	0.855	0	213,672	211,797	1,875
8001083	11/04/13 S	120,000	0.847	0	101,681	101,592	90
8001083	11/14/13 S	185,000	0.828	0	153,232	156,414	-3,182
8001083	11/18/13 S	230,000	0.835	0	192,014	194,460	-2,446
8001083	11/18/13 S	1,810,000	0.835	0	1,511,067	1,530,319	-19,252
8001083	11/18/13 S	45,000	0.835	0	37,568	38,047	-479
8001083	11/18/13 S	320,000	0.835	0	267,150	270,554	-3,404
SUB-TOTAL OF SALES # 34				0	5,783,445	5,830,232	-46,785
SUB-TOTAL				0	11,613,680	11,660,467	-46,785
GRAND TOTAL				0	153,841,009	154,001,071	-160,060

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION



**REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013**

KONE INC RETIREMENT - COMBI ACCT
ACCOUNT NUMBER 80010899

TRANSACTION ACCOUNT	DATE BOUGHT/SOLD	SHARES/ PAR VALUE	UNIT PRICE	EXPENSE INCURRED	PRINCIPAL CASH	TRANSACTION COST	REALIZED GAIN/LOSS
		BEGINNING MARKET VALUE		108,973,208.41			
		COMPARATIVE VALUE (5%)		5,448,660.42			

*** NO TRANSACTIONS QUALIFIED FOR THIS REPORT ***

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

Plan Name	KONE Inc. Employees' Retirement Plan
Plan Sponsor EIN	36-2357423
ERISA Plan #	001
Plan Year Ending	December 31, 2013

The required attachment marked with an “X” in the Attachment column is included within the Accountant’s Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the DFE’s Independent Qualified Public Accountant (IQPA).

Form / Schedule	Line #	Description	Attachment
5500 Sch. H	Line 3	Financial statements used in formulating the IQPA's opinion	X
5500 Sch. H	Line 4i	Schedule of Assets (Held at End of Year)	X
5500 Sch. H	Line 4i	Schedule of Assets (Acquired and Disposed of Within Year)	
5500 Sch. H	Line 4j	Schedule of Reportable Transactions	X
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	

Plan Name	KONE Inc. Employees' Retirement Plan
Plan Sponsor EIN	36-2357423
ERISA Plan #	001
Plan Year Ending	December 31, 2013

The required attachment marked with an “X” in the Attachment column is included within the Accountant’s Opinion attachment to Sch. H, Part III, Line 3, which consists of the entire audit report issued by the DFE’s Independent Qualified Public Accountant (IQPA).

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5500 Sch. H	Line 4j	Schedule of Reportable Transactions	X
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	

Plan Name	KONE Inc. Employees' Retirement Plan
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ERISA Plan #	001
Plan Year Ending	December 31, 2013

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5500 Sch. H	Line 4j	Schedule of Reportable Transactions	X
5500 Sch. H	Line 4a	Schedule of Delinquent Participant Contributions	

Form 5500Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security
Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**OMB Nos. 1210-0110
1210-0089**2013****This Form is Open to Public Inspection****Part I Annual Report Identification Information**For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

- A** This return/report is for: ☐ a multiemployer plan; ☐ a multiple-employer plan; or
☒ a single-employer plan; ☐ a DFE (specify) _____
- B** This return/report is: ☐ the first return/report; ☐ the final return/report;
☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
- C** If the plan is a collectively-bargained plan, check here. ☒
- D** Check box if filing under: ☒ Form 5558; ☐ automatic extension; ☐ the DFVC program;
☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information**1a** Name of plan KONE INC. EMPLOYEES' RETIREMENT PLAN**1b** Three-digit plan number (PN) ▶ 001**1c** Effective date of plan 05/18/1962**2a** Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)
KONE INC.**2b** Employer Identification Number (EIN)
36-2357423**2c** Sponsor's telephone number
(630) 955-4225**2d** Business code (see instructions)
3339004225 NAPERVILLE ROAD, SUITE 400LISLEIL 60532**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE		<u>OCT 8, 2014</u>	MICHAEL P. BAUSCHKA
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE		<u>OCT 8, 2014</u>	MICHAEL P. BAUSCHKA
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional) DELOITTE TAX LLP 111 S. WACKER DRIVE CHICAGO IL 60606			Preparer's telephone number (optional) (312) 486-1000

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2013)
v. 130118

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 3,057
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).	
a Active participants.....	6a 1,152
b Retired or separated participants receiving benefits	6b 749
c Other retired or separated participants entitled to future benefits.....	6c 1,097
d Subtotal. Add lines 6a , 6b , and 6c	6d 2,998
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	6e 130
f Total. Add lines 6d and 6e	6f 3,128
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h 48
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1B 3H	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan KONE Inc. Employees' Retirement Plan	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF KONE Inc.	D Employer Identification Number (EIN) 36-2357423	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information			
1 Enter the valuation date: Month <u>1</u> Day <u>1</u> Year <u>2013</u>			
2 Assets:			
a Market value	2a	126,306,761	
b Actuarial value	2b	122,411,857	
3 Funding target/participant count breakdown:			
		(1) Number of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment	3a	810	39,083,354
b For terminated vested participants	3b	1,149	26,549,482
c For active participants:			
(1) Non-vested benefits	3c(1)		1,124,879
(2) Vested benefits	3c(2)		35,215,614
(3) Total active	3c(3)	1,098	36,340,493
d Total	3d	3,057	101,973,329
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate	5	6.37 %	
6 Target normal cost	6	2,683,663	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	 Signature of actuary	<u>09/24/2014</u> Date
	<u>Robert W. Bernsee</u> Type or print name of actuary	<u>14-02764</u> Most recent enrollment number
	<u>Hewitt Associates LLC</u> Firm name	<u>(847) 295-5000</u> Telephone number (including area code)
	<u>4 Overlook Point</u> <u>Lincolnshire</u> <u>IL 60069</u> Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

Part II Beginning of Year Carryover and Prefunding Balances		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9	Amount remaining (line 7 minus line 8)	0	0
10	Interest on line 9 using prior year's actual return of <u>14.35</u> %		
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		19,838,325
	b Interest on (a) using prior year's effective interest rate of <u>7.07</u> % except as otherwise provided (see instructions)		1,402,570
	c Total available at beginning of current plan year to add to prefunding balance		21,240,895
	d Portion of (c) to be added to prefunding balance		6,500,000
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	6,500,000

Part III Funding Percentages			
14	Funding target attainment percentage	14	113.66 %
15	Adjusted funding target attainment percentage	15	120.04 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	106.65 %
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
10/15/2013	1,421,289				
01/14/2014	728,451				
09/09/2014	1,088,000				
Totals ►			18(b)	3,237,740	18(c)
					0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	3,017,566

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.94 %	2nd segment: 6.15 %	3rd segment: 6.76 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 4
22 Weighted average retirement age				22 62
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.....	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.....	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):												
a Target normal cost (line 6).....	31a	2,683,663										
b Excess assets, if applicable, but not greater than line 31a	31b	2,683,663										
32 Amortization installments:	<table border="1"> <thead> <tr> <th></th> <th>Outstanding Balance</th> <th>Installment</th> </tr> </thead> <tbody> <tr> <td>a Net shortfall amortization installment.....</td> <td>0</td> <td>0</td> </tr> <tr> <td>b Waiver amortization installment</td> <td>0</td> <td>0</td> </tr> </tbody> </table>				Outstanding Balance	Installment	a Net shortfall amortization installment.....	0	0	b Waiver amortization installment	0	0
	Outstanding Balance	Installment										
a Net shortfall amortization installment.....	0	0										
b Waiver amortization installment	0	0										
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33											
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) ..	34	0										
		Carryover balance	Prefunding balance									
35 Balances elected for use to offset funding requirement.....		0	0									
36 Additional cash requirement (line 34 minus line 35).....	36	0										
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....	37	3,017,566										
38 Present value of excess contributions for current year (see instructions)												
a Total (excess, if any, of line 37 over line 36)	38a	3,017,566										
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0										
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0										
40 Unpaid minimum required contributions for all years	40	0										

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:				
a Schedule elected	☐ 2 plus 7 years ☐ 15 years			
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011			
42 Amount of acceleration adjustment	42			
43 Excess installment acceleration amount to be carried over to future plan years	43			

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Schedule SB, line 19—Discounted Employer Contributions

Year applied for contributions: 2013

Date	Amount	Days to Discount to 1/1/2013 at 6.37%	Interest Adjusted Contribution
October 15, 2013	\$ 1,421,289	287	\$ 1,353,925
January 14, 2014	728,451	378	683,323
September 9, 2014	<u>1,088,000</u>	616	<u>980,318</u>
Total Contribution	\$ 3,237,740		\$ 3,017,566

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Schedule SB, line 22—Description of Weighted Average Retirement Age

The average retirement age shown in line 22 has been calculated by assuming the following retirement rates and no decrements other than retirement for this calculation. All retirements are assumed to occur at mid-year, except for the 100% retirement age.

(a) Age	(b) Rate	(c) Weight	(d) Product (a) × (b) × (c)
55.5	10.00%	1.0000	5.55
56.5	10.00%	0.9000	5.09
57.5	10.00%	0.8100	4.66
58.5	10.00%	0.7290	4.26
59.5	10.00%	0.6561	3.90
60.5	10.00%	0.5905	3.57
61.5	10.00%	0.5314	3.27
62.5	15.00%	0.4783	4.48
63.5	15.00%	0.4066	3.87
64.5	15.00%	0.3456	3.34
65.5	25.00%	0.2937	4.81
66.5	25.00%	0.2203	3.66
67.5	25.00%	0.1652	2.79
68.5	25.00%	0.1239	2.12
69.5	25.00%	0.0929	1.61
70	100.00%	0.0697	4.88
Weighted Average			61.86

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Schedule SB, Part V—Statement of Actuarial Assumptions/Methods

Interest Rates for Minimum Funding Purposes	Based on segment rates with a four-month lookback (as of September 2012), each adjusted as needed to fall within the 25-year average interest rate corridor under MAP-21
1st Segment Rate	4.94%
2nd Segment Rate	6.15%
3rd Segment Rate	6.76%
Interest Rates for Maximum Tax Purposes	Based on segment rates with a four-month lookback (as of September 2012), without regard to the MAP-21 interest rate corridor
1st Segment Rate	1.75%
2nd Segment Rate	4.62%
3rd Segment Rate	5.72%
Salary Increases	
Minimum Funding Target Normal Cost	2.5%
Maximum Tax Expected Benefit Increase	4.0%
Social Security Wage Base Increases	Future wage indices are based on a national wage increase of 3.5% per year.
Optional Payment Form Election Percentage	70% elect a single life annuity 18% select a 50% Joint and Survivor annuity 10% select a 100% Joint and Survivor annuity 2% select a 66-2/3% Joint and Survivor annuity
Optional Payment Form Conversion Interest Rate	N/A
Optional Payment Form Conversion Mortality	N/A
Retirement Age	
Active Participants	See Table 1
Terminated Vested Participants	Age 62
Mortality Rates	
Healthy and Disabled	2013 static mortality table for annuitants and non-annuitants per section 1.430(h)(3)-1(e)
Withdrawal Rates	See Table 2

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Disability Rates	See Table 3
Decrement Timing	Middle of year decrements, with 100% retirement occurring at beginning of year
Surviving Spouse Benefit	It is assumed that 85% of males and 85% of females have an eligible spouse, and that males are three years older than their spouses.
Valuation Compensation	2012 Pensionable earnings rolled forward one year with the salary increase assumption.
Benefit and Compensation Limits	Projected benefits and compensation are limited by the current IRC section 415 maximum benefit of \$205,000 and the IRC section 401(a)(17) compensation limit of \$255,000.
Valuation of Plan Assets	<p>Smoothed fair market value of assets over the current and prior two years, adjusted for contributions, benefit payments, administrative expenses, and expected earnings. The average value of assets calculated in this manner is further limited to not less than 90% nor more than 110% of fair market value.</p> <p>A characteristic of this method is that the expected distribution of the value of plan assets is skewed toward understatement relative to the corresponding market values for expected long-term rates of return in excess of the third segment rate under IRC section 430(h)(2)(C)(iii).</p>
Expected Return on Assets	
2011 Plan Year	6.57%
2012 Plan Year	7.52%
Trust Expenses Included in Target Normal Cost	\$515,000. Based on expected plan administrative expenses plus PBGC premiums, rounded to the nearest \$5,000
Actuarial Method	Standard unit credit cost method
Valuation Date	January 1, 2013

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Table 1

Retirement Rates

<u>Age</u>	<u>Rate</u>
55	10.00%
56	10.00%
57	10.00%
58	10.00%
59	10.00%
60	10.00%
61	10.00%
62	15.00%
63	15.00%
64	15.00%
65	25.00%
66	25.00%
67	25.00%
68	25.00%
69	25.00%
70+	100.00%

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
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Table 2—Page 1 of 2

Withdrawal Rates

Age	Years of Service				
	0-1	2	3	4	5+
15	30.00%	25.00%	20.00%	15.00%	35.50%
16	30.00%	25.00%	20.00%	15.00%	35.50%
17	30.00%	25.00%	20.00%	15.00%	35.50%
18	30.00%	25.00%	20.00%	15.00%	35.50%
19	30.00%	25.00%	20.00%	15.00%	35.50%
20	30.00%	25.00%	20.00%	15.00%	35.50%
21	30.00%	25.00%	20.00%	15.00%	35.50%
22	30.00%	25.00%	20.00%	15.00%	33.50%
23	30.00%	25.00%	20.00%	15.00%	31.70%
24	30.00%	25.00%	20.00%	15.00%	29.90%
25	30.00%	25.00%	20.00%	15.00%	28.10%
26	30.00%	25.00%	20.00%	15.00%	26.40%
27	30.00%	25.00%	20.00%	15.00%	24.80%
28	30.00%	25.00%	20.00%	15.00%	23.20%
29	30.00%	25.00%	20.00%	15.00%	21.70%
30	30.00%	25.00%	20.00%	15.00%	20.30%
31	30.00%	25.00%	20.00%	15.00%	18.90%
32	30.00%	25.00%	20.00%	15.00%	17.50%
33	30.00%	25.00%	20.00%	15.00%	16.30%
34	30.00%	25.00%	20.00%	15.00%	15.10%
35	30.00%	25.00%	20.00%	15.00%	13.90%
36	30.00%	25.00%	20.00%	15.00%	12.80%
37	30.00%	25.00%	20.00%	15.00%	11.80%
38	30.00%	25.00%	20.00%	15.00%	10.80%
39	30.00%	25.00%	20.00%	15.00%	9.90%
40	30.00%	25.00%	20.00%	15.00%	9.00%
41	30.00%	25.00%	20.00%	15.00%	8.20%
42	30.00%	25.00%	20.00%	15.00%	7.50%
43	30.00%	25.00%	20.00%	15.00%	6.80%
44	30.00%	25.00%	20.00%	15.00%	6.20%

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
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Table 2—Page 2 of 2

Withdrawal Rates

Age	Years of Service				
	0-1	2	3	4	5+
45	30.00%	25.00%	20.00%	15.00%	5.60%
46	30.00%	25.00%	20.00%	15.00%	5.10%
47	30.00%	25.00%	20.00%	15.00%	4.70%
48	30.00%	25.00%	20.00%	15.00%	4.30%
49	30.00%	25.00%	20.00%	15.00%	4.00%
50	30.00%	25.00%	20.00%	15.00%	3.70%
51	30.00%	25.00%	20.00%	15.00%	3.50%
52	30.00%	25.00%	20.00%	15.00%	3.40%
53	30.00%	25.00%	20.00%	15.00%	3.30%
54	30.00%	25.00%	20.00%	15.00%	3.30%
55	30.00%	25.00%	20.00%	15.00%	3.30%
56+	30.00%	25.00%	20.00%	15.00%	0.00%

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
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Table 3

Disability Rates

Age	Rate	Age	Rate
20	0.050%	50	0.340%
21	0.050%	51	0.380%
22	0.050%	52	0.430%
23	0.050%	53	0.490%
24	0.050%	54	0.560%
25	0.060%	55	0.645%
26	0.060%	56	0.735%
27	0.060%	57	0.830%
28	0.065%	58	0.930%
29	0.065%	59	1.030%
30	0.065%	60	1.130%
31	0.070%	61	1.240%
32	0.070%	62	1.370%
33	0.080%	63	1.515%
34	0.085%	64	1.680%
35	0.090%	65+	0.000%
36	0.100%		
37	0.105%		
38	0.110%		
39	0.120%		
40	0.130%		
41	0.145%		
42	0.155%		
43	0.170%		
44	0.180%		
45	0.200%		
46	0.220%		
47	0.250%		
48	0.275%		
49	0.315%		

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
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Schedule SB, Part V—Summary of Plan Provisions

Office and McKinney

Eligibility for Participation

All covered employees are eligible to participate on the first day of the month coincident with or next following completion of one year of eligibility service.

Normal Retirement
Eligibility

The first day of the month coincident with or next following the attainment of age 65.

Monthly Benefit

The greater of (1) and (2) below:

(1) The sum of (a) and (b) below, multiplied by years of projected benefit service at normal retirement age (maximum 40 years), reduced by (c) below, and then multiplied by a fraction, not exceeding one, equal to years of benefit service over projected benefit service at normal retirement age:

- (a) 1.00% of average monthly compensation
- (b) 0.25% of average monthly compensation up to \$1,666.67
- (c) 50% of primary Social Security benefit at age 65

(2) The applicable dollar rate, as in effect at the date of termination, multiplied by the number of years of benefit service at normal retirement:

Date of Termination	Applicable Dollar Rate
Office Prior to 5/1/1981	\$11
On or After 5/1/1981 but Before 5/1/1982	\$12
On or After 5/1/1982 but Before 1/1 1990	\$13
On or After 1/1/1990	\$18
McKinney	\$18

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Early Retirement

Eligibility

Age 55 and five years of vesting service.

Benefit Reduction for Early Payment

Accrued benefit reduced actuarially for each month by which payments precede normal retirement age.

Disability Retirement

Eligibility

Five years of vesting service.

Benefit

Unreduced accrued benefit, payable immediately.

Preretirement Surviving Spouse Benefit

Eligibility

All vested participants.

Benefit

50% of an employee's accrued benefit, reduced for early retirement and the 50% joint and survivor option, payable to a surviving spouse commencing on the later of (a) the date the participant would have attained age 55, or (b) the date of death.

Vested Termination Benefits

Eligibility

Five years of vesting service.

Benefit

Accrued benefit payable at normal retirement age, or payable at early retirement age with reductions as for early retirement.

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
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Factory

Eligibility for Participation

All employees covered by a collective bargaining agreement with District Lodge No. 102 of the IAM are eligible to participate on the first day of the month coincident with or next following completion of the probationary period.

Normal Retirement

Eligibility

The first day of the month coincident with or next following the attainment of age 65.

Monthly Benefit

The sum of (1) and (2) below:

- (1) The applicable dollar rate, as in effect at the date of termination, multiplied by the number of years of benefit service at the date of termination or December 31, 2003, if earlier:

Date of Termination	Applicable Dollar Rate
On or After 5/1/1979 but Prior to 5/1/1980	\$10
On or After 5/1/1980 but Prior to 5/1/1981	\$11
On or After 5/1/1981 but Prior to 5/1/1982	\$12
On or After 5/1/1982 but Prior to 4/16/1989	\$13
On or After 4/16/1989 but Prior to 4/16/1990	\$14
On or After 4/16/1990 but Prior to 4/16/1991 (Effective Retroactive for Retirements Occurring on or After 4/16/1989)	\$16
On or After 4/16/1991 but Prior to 4/16/1992 (Effective Retroactive for Retirements Occurring on or After 4/16/1989)	\$18
On or After 4/16/1992 but Prior to 4/16/1993	\$19
On or After 4/16/1993 but Prior to 4/16/1994	\$20
On or After 4/16/1994 but Prior to 4/16/1995	\$21
On or After 4/16/1995 but Prior to 4/16/1996	\$22
On or After 4/16/1996 but Prior to 4/16/1997	\$23
On or After 4/16/1997 but Prior to 11/1/2002	\$24
On or After 11/1/2002	\$25

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

- (2) The sum of the applicable dollar rate multiplied by years of benefit service earned in each service period for service after December 31, 2003:

Service Period	Applicable Dollar Rate
On or After 1/1/2004 but Prior to 1/1/2008	\$26
On or After 1/1/2008 but Prior to 1/1/2009	\$27
On or After 1/1/2009 but Prior to 1/1/2010	\$28
On or After 1/1/2010	\$29

Early Retirement
Eligibility

Age 55.

Benefit Reduction for Early Payment

Accrued benefit reduced actuarially for each month by which payments precede normal retirement age.

Disability Retirement
Eligibility

Five years of vesting service.

Benefit

Unreduced accrued benefit, payable immediately.

Preretirement Surviving Spouse Benefit
Eligibility

All vested participants.

Benefit

50% of an employee's accrued benefit, reduced for early retirement and the 50% joint and survivor option, payable to a surviving spouse commencing on the later of (a) the date the participant would have attained age 55, or (b) the date of death.

Vested Termination Benefits
Eligibility

Five years of vesting service.

Benefit

Accrued benefit payable at normal retirement age, or payable at early retirement age with reductions as for early retirement.

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

APD

Eligibility for Participation	All employees of the company's Arkansas City, Kansas plant covered by the collective bargaining agreement with the IUE AFL-CIO Local 1004 who have completed one year of eligibility service.								
Normal Retirement Eligibility	First day of the month coincident with or next following the attainment of age 65.								
Monthly Benefit	The applicable dollar rate, as in effect at the date of termination, multiplied by the number of years of benefit service at normal retirement:								
	<table> <tr> <th>Date of Termination</th><th>Applicable Dollar Rate</th></tr> <tr> <td>On or After 6/30/1990 but Before 6/30/1992</td><td>\$15</td></tr> <tr> <td>On or After 6/30/1992 but Before 6/30/1998</td><td>\$18</td></tr> <tr> <td>On or After 6/30/1998</td><td>\$20</td></tr> </table>	Date of Termination	Applicable Dollar Rate	On or After 6/30/1990 but Before 6/30/1992	\$15	On or After 6/30/1992 but Before 6/30/1998	\$18	On or After 6/30/1998	\$20
Date of Termination	Applicable Dollar Rate								
On or After 6/30/1990 but Before 6/30/1992	\$15								
On or After 6/30/1992 but Before 6/30/1998	\$18								
On or After 6/30/1998	\$20								
Early Retirement Eligibility	Age 60 and five years of vesting service.								
Benefit Reduction for Early Payment	Accrued benefit reduced actuarially for each month by which payments precede normal retirement age.								
Disability Retirement Eligibility	Five years of vesting service.								
Benefit	Unreduced accrued benefit, payable immediately.								
Preretirement Surviving Spouse Benefit Eligibility	All vested participants.								
Benefit	50% of an employee's accrued benefit, reduced for early retirement and the 50% joint and survivor option, payable to a surviving spouse commencing on the later of (a) the date the participant would have attained age 55, or (b) the date of death.								
Vested Termination Benefits Eligibility	Five years of vesting service.								
Benefit	Accrued benefit payable at normal retirement age, or payable at early retirement age with reductions as for early retirement.								

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Definitions

Effective Date	Amended and restated January 1, 2002. Most recent amendment adopted and effective September 15, 2007.
Eligibility Service	One year of eligibility service is earned for each period during which six months are worked by a full-time employee (1,000 or more hours if part-time employee).
Benefit Service	One year of benefit service is earned for each year in which 1,000 or more hours are worked.
Vesting Service	One year of vesting service is earned for each year in which 1,000 or more hours are worked. In the year of termination, one year is credited if the participant works at least six months during that plan year.
Compensation	Base salary plus overtime and bonuses but excluding income from the Incentive Stock Participation Plan and other amounts includible in a participant's gross income.
Average Monthly Compensation	The average monthly compensation for the highest five consecutive calendar years during the last 10 years of service preceding termination of employment.
Normal Form of Benefit	Life annuity or an actuarially equivalent 50% joint and survivor annuity if the participant is married at the date of his retirement.
Optional Payment Forms	Life annuity, 50% joint and survivor annuity, 66 2/3% joint and survivor annuity, 75% joint and survivor annuity, and 100% joint and survivor annuity.
Payment of Small Amounts	Nonelective lump sum payment if the actuarial equivalent single sum of the benefit does not exceed \$5,000.
Actuarial Equivalent	For lump sum calculations, the mortality table prescribed in Revenue Ruling 2001-62 and the annual interest rate on 30-year Treasury securities for the month of October preceding the first day of the plan year in which the distribution occurs. For early retirement reductions, factors in Table I of the plan document. For joint and survivor annuities, factors in Table II of the plan document. For all other cases, the UP-1984 Unisex Mortality table and 7% interest rate.
Plan Year	Calendar year.

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Other Information to Fully and Fairly Disclose the Actuarial Position of the Plan

Due to software limitations with the electronic filing process, information filed electronically cannot be controlled by the Enrolled Actuary. The values on the signed Schedule SB will govern to the extent there are any differences in the entries filed electronically and the actual data contained on the signed Schedule SB.

Schedule SB Attachment (Form 5500)—2013 Plan Year
Kone Inc. Employees' Retirement Plan
EIN: 36-2357423 PN: 001

Schedule SB, line 26—Schedule of Active Participant Data as of January 1, 2013

Attained Age	Number of Participants and Average Compensation									
	Years of Credited Service									
	<1	1-4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40+
<25		5								
25-29		58 \$74,320	29 \$80,966							
30-34		41 \$69,221	34 \$70,991	16	1					
35-39		47 \$90,985	39 \$81,594	37 \$91,324	13	1				
40-44		34 \$88,812	36 \$94,495	32 \$88,461	31 \$129,837	10	1			
45-49		38 \$97,592	48 \$96,525	29 \$84,527	14	20 \$119,343	16	1		
50-54		31 \$95,755	30 \$96,727	33 \$89,996	15	11	23 \$118,432	25 \$113,904	3	
55-59		32 \$99,793	35 \$91,288	22 \$89,756	15	7	14	20 \$93,949	12	2
60-64		10	14	12	18	6	13	4	11	11
65-69		1	3	6	8	2	2	1	2	4
70+		1	1		3		1		2	1

N-1098

Plan Name	KONE Inc. Employees' Retirement Plan
Plan Sponsor EIN	36-2357423
ERISA Plan #	001
Plan Year Ending	December 31, 2013

The required attachment marked with an "X" in the Attachment column is included within the "Copy of Schedule MB/SB in PDF format labeled MB/SB Actuary Signature" attachment to Schedule SB location which consists of the entire Schedule SB and its attachments.

Form / Schedule	Line#	Description	Attachment Title (if different from Description)	Attach- ment
5500 Sch. SB	None	Statement by Enrolled Actuary		
5500 Sch. SB	Line 27	Actuarial Information Based on Pre-PPA Funding Rules		
5500 Sch. SB	Line E	Information for Each Individual Employer		
5500 Sch. SB	Line 4	Additional Information for Plans in At-Risk Status		
5500 Sch. SB	Line 7	Explanation of Discrepancy in Prior Year Funding Standard Carryover Balance or Prefunding		
5500 Sch. SB	Line 8	Late Election to Apply Balances to Quarterly Installments		
5500 Sch. SB	Line 9	Explanation of Credit Balance Discrepancy		
5500 Sch. SB	Line 18	Contributions Made to the Plan for the Plan Year		
5500 Sch. SB	Line 19	Discounted Employer Contributions		X
5500 Sch. SB	Line 20c	Liquidity Requirement Certification		
5500 Sch. SB	Line 22	Description of Weighted Average Retirement Age		X
5500 Sch. SB	Line 23	Information on Use of Multiple Mortality Tables		
5500 Sch. SB	Line 23	Information on Use of Substitute Mortality Tables		
5500 Sch. SB	Part V	Statement of Actuarial Assumptions/Methods		X
5500 Sch. SB	Part V	Summary of Plan Provisions		X
5500 Sch. SB	Line 24	Change in Actuarial Assumptions		
5500 Sch. SB	Line 25	Change in Method		
5500 Sch. SB	Line 26	Schedule of Active Participant Data		X
5500 Sch. SB	Line 27	Balances Subject to Binding Agreement with PBGC		
5500 Sch. SB	Line 27	Alternative 17-Year Funding Schedule of Airlines		
5500 Sch. SB	Line 32	Schedule of Amortization Bases		
5500 Sch. SB		Other Attachment [Enter Description]		

Plan Name	KONE Inc. Employees' Retirement Plan
Plan Sponsor EIN	36-2357423
ERISA Plan #	001
Plan Year Ending	December 31, 2013

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Plan Name	KONE Inc. Employees' Retirement Plan
Plan Sponsor EIN	36-2357423
ERISA Plan #	001
Plan Year Ending	December 31, 2013

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Plan Name	KONE Inc. Employees' Retirement Plan
Plan Sponsor EIN	36-2357423
ERISA Plan #	001
Plan Year Ending	December 31, 2013

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Plan Name	KONE Inc. Employees' Retirement Plan
Plan Sponsor EIN	36-2357423
ERISA Plan #	001
Plan Year Ending	December 31, 2013

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