Form 5500	Annual Return/Report of Employee Benefit Plan	OMB Nos. 1210-0110 1210-0089
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). ▶ **Complete all entries in accordance with the instructions to the Form 5500.**	**2013** **This Form is Open to Public Inspection**

Part I Annual Report Identification Information

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 09/26/2008

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

DUBOIS CHEMICALS, INC.

ATTN DENNIS M DIMASSO
3630 EAST KEMPER ROAD
SHARONVILLE, OH 45241

2b Employer Identification Number (EIN) 80-0244824

2c Sponsor's telephone number 513-956-4868

2d Business code (see instructions) 325100

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/10/2014	DENNIS DIMASSO
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	
5 Total number of participants at the beginning of the plan year	**5**	384
6 Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a Active participants	**6a**	383
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	79
d Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	462
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	0
f Total. Add lines **6d** and **6e**	**6f**	462
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	446
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	1
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2E 2F 2G 2J 2K 2S 2T 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] ___ **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).	2013
Department of Labor Employee Benefits Security Administration	▶ **File as an attachment to Form 5500.**	**This Form is Open to Public Inspection.**
Pension Benefit Guaranty Corporation		

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DUBOIS CHEMICALS, INC.

D Employer Identification Number (EIN)
80-0244824

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ING LIFE INSURANCE AND ANNUITY COMP

71-0294708

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

LPL FINANCIAL

04-3046611

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
99	SERVICE PROVIDER	0	Yes [X] No [ ]	Yes [ ] No [X]	14087	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

ING INSTITUTIONAL PLAN SERVICES, LL

04-3516284

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 64	SERVICE PROVIDER	6027	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
LPL FINANCIAL	99	14087

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ING INSTITUTIONAL PLAN SERVICES 02-0488491	OTHER FEES

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)	DFE/Participating Plan Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2013** **This Form is Open to Public Inspection.**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
DUBOIS CHEMICALS, INC.

D Employer Identification Number (EIN)
80-0244824

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: PUTNAM STABLE VALUE FUND 100

b Name of sponsor of entity listed in (a): PUTNAM INVESTMENT MANAGEMENT, LLC

c EIN-PN 41-1966125-000 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2108806

a Name of MTIA, CCT, PSA, or 103-12 IE: WELLS FARGO STABLE RETURN FUND - CL

b Name of sponsor of entity listed in (a): WELLS FARGO BANK N.A.

c EIN-PN 76-0727727-001 | **d** Entity code C | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 0

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN | **d** Entity code | **e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)	Financial Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).	2013
Department of Labor Employee Benefits Security Administration	▶ **File as an attachment to Form 5500.**	**This Form is Open to Public Inspection**
Pension Benefit Guaranty Corporation		

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DUBOIS CHEMICALS, INC.

D Employer Identification Number (EIN)
80-0244824

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	473816	543722
(9) Value of interest in common/collective trusts	1c(9)	1564682	2108806
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	18600071	26609954
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	1827280	1866705

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	22465849	31129187
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	22465849	31129187

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	1129870	
(B) Participants	2a(1)(B)	2191177	
(C) Others (including rollovers)	2a(1)(C)	329618	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		3650665
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	24511	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		24511
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	841630	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		841630
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		20429
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		3449334
c Other income	2c		39868
d Total income. Add all **income** amounts in column (b) and enter total	2d		8026437

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	3318219	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		3318219
f Corrective distributions (see instructions)	2f		18506
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	7334	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		7334
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		3344059

Net Income and Reconciliation

k Net income (loss). Subtract line **2j** from line **2d**	2k		4682378
l Transfers of assets:			
(1) To this plan	2l(1)		3980960
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified **(2)** ☐ Qualified **(3)** ☒ Disclaimer **(4)** ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BARNES DENNING & CO., LTD **(2)** EIN: 31-1119890

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)	Retirement Plan Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ **File as an attachment to Form 5500.**	**2013** **This Form is Open to Public Inspection.**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
DUBOIS CHEMICALS, INC.

D Employer Identification Number (EIN)
80-0244824

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 71-0294708 _____

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information

(If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs

(see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

DuBois Chemicals, Inc. Retirement Savings Plan

Financial Statements
With Supplementary Information
Years Ended December 31, 2013 and 2012
With Independent Auditors' Report

BARNES DENNIG
Accounting · Tax · Business Insight

Independent Auditors' Report

To the Plan Administrator of the
DuBois Chemicals, Inc. Retirement Savings Plan
Cincinnati, OH

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Dubois Chemicals, Inc. Retirement Savings Plan, which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, and the related statement of changes in net assets available for benefits for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified by ING Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the Plan administrator ING Trust Company holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained certification from the trustees as of and for the years ended December 31, 2013 and 2012, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

150 East Fourth Street | Cincinnati, OH 45202 | Tel 513.241.8313 | Fax 513.241.8303
www.barnesdennig.com Independent Member, PKF International

Independent Auditors' Report
(Continued)

Other Matter

The supplemental schedule of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

October 9, 2014
Cincinnati, Ohio

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Statements of Net Assets Available for Benefits
December 31, 2013 and 2012

	2013	2012
Investments:		
Mutual funds	$ 27,521,614	$ 19,549,108
Common/collective trusts	2,124,674	1,564,682
Common stocks	309,863	527,976
Cash	645,182	401,203
Total investments at fair value	30,601,333	22,042,969
Receivables:		
Notes receivable from participants	543,722	473,816
Employer contribution receivable	29,985	-
Employee contribution receivable	69,084	-
Total receivables	642,791	473,816
Net assets available for benefits at fair value	31,244,124	22,516,785
Adjustment from fair value to contract value for interest in collective trust relating to fully benefit responsive investment contracts	(15,868)	(50,936)
Net assets available for benefits	$ 31,228,256	$ 22,465,849

The accompanying notes are an integral part of these financial statements

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2013

Additions	
Investment income:	
Dividends and interest	$ 841,630
Net appreciation in fair value of investments	3,509,631
Total investment income	4,351,261
Interest income on notes receivable from participants	24,511
Contributions:	
Employer	1,159,855
Employee	2,260,261
Rollover	329,618
Total contributions	3,749,734
Transfer of assets into Plan	3,980,960
Total additions	12,106,466
Deductions	
Benefit payments to participants	3,336,725
Other deductions	7,334
Total deductions	3,344,059
Net increase	8,762,407
Net assets available for benefits	
Beginning of year	22,465,849
End of year	$ 31,228,256

The accompanying notes are an integral part of these financial statements

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Notes to Financial Statements

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements of DuBois Chemicals, Inc. Retirement Savings Plan (the "Plan") have been prepared on the accrual basis.

Investment contracts held by a defined contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The Statement of Net Assets Available for Benefits presents the fair value of the investment in the collective trust as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The Statement of Changes in Net Assets Available for Benefits is prepared on a contract value basis.

Investment Valuation

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 6 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Investment securities, in general, are exposed to various risks such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investments, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based upon the terms of the plan document.

Related-Party Transactions

Certain Plan investments are in mutual funds managed by the ING Trust Company. ING Trust Company is the asset custodian and trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest.

Several employees of DuBois Chemicals, Inc. (the "Company") provide administrative services to the Plan. These services include Plan oversight and day-to-day Plan administration. The Plan is not charged by the Company for the services of the employees to the Plan.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Estimates

The preparation of financial statements in conformity with United States generally accepted accounting principles (GAAP) requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Benefit Payments

Benefit payments are recorded when paid.

Expenses

Certain expenses of the Plan were paid by the Company and accordingly, are not reflected in the Plan's financial statements. Certain investment related expenses are included in net appreciation in fair value of investments.

Subsequent Events

The Company has evaluated subsequent events from the balance sheet date of December 31, 2013, through October 9, 2014, which is the date these financial statements were available to be issued.

NOTE 2 DESCRIPTION OF THE PLAN

The following description of the Plan provides only general information. Participants should refer to their Plan document for a more complete description of the Plan's provisions.

General

The original effective date of the Plan was September 26, 2008. The Plan is a defined contribution plan. All full-time employees of the Company and its subsidiaries, except leased employees, certain nonresident aliens who have no earned income from sources within the United States and union employees whose employment is governed by a collective bargaining agreement under which retirement benefits were the subject of good faith bargaining, who are 21 years of age are eligible to participate after 60 consecutive days of service. The plan was amended on November 30, 2011 to increase the service requirement to 90 days of consecutive service. The Plan is subject to the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions

A participant may contribute up to 100% of eligible compensation. However, employee contributions may not exceed limitations established under Section 402(g) of the Internal Revenue Code of 1986 as amended ($17,500 at December 31, 2013). For 2013, Section 414(v) of the Internal Revenue Code of 1986 as amended allowed a maximum catch-up contribution of $5,500. Participants are eligible to make pre-tax and Roth after-tax contributions to the Plan.

NOTE 2 DESCRIPTION OF THE PLAN (CONTINUED)

Contributions (Continued)

The Plan allows for the Company to make employer matching contributions throughout the Plan year and a discretionary profit-sharing contribution at the end of each Plan year. The profit sharing contribution is allocated to participants based on the participant's compensation as a percentage of the total compensation of all participants eligible for the profit sharing contribution. During 2013, no profit sharing contributions were made. During 2013, the Company made safe harbor matching employer contributions to those employees who elect deferral contributions. The Company contributed 100% of the participant's elective deferral up to 3% of their eligible compensation plus 50% of salary deferrals between 4% and 5% of eligible compensation.

Participants designate the percentage of their deferral and company contributions to be invested in any of the Plan's investment funds. Participants may change the percentage of contributions invested in each account and may make transfers between accounts at any time during the year. A participant may change the amount of his or her elective deferral contributions at any time during the year.

Rollover Contributions

Participants may rollover their taxable portion of funds previously held for their account in another employee benefit plan to the Plan on a tax-free basis.

Participant Accounts

Each participant's account is credited with the participant's and Company's contributions and a pro-rata allocation of the Plan's earnings. The pro-rata allocation is based upon the proportion of individual account balances to total participants' account balances in the respective investment funds. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants become immediately vested at the time of participation in the Plan in their contributions plus actual earnings thereon. The Plan has adopted safe harbor employer match provisions. As a result, participants are immediately vested in employer match contributions. Participants become partially vested in profit sharing contributions after one year of service with 100% vested after five years of credited service. Forfeited balances of terminated participants' non-vested accounts are applied to offset administrative expenses of the Plan. Forfeitures exceeding these expenses shall be used to reduce employer contributions. No forfeitures were used during 2013. The balance of unallocated forfeitures was $3,992 and $17,325 as of December 31, 2013 and 2012, respectively.

Payment of Benefits

Upon a participant's retirement, death, total and permanent disability, other termination of employment with the Company or termination of the Plan, the participant's account is distributed by a lump sum distribution.

In-service distributions are permissible from the Plan for participants that have obtained the age of 59 1/2. Participants electing in-service distributions may elect to receive all or a portion of their vested benefits in a lump sum payment.

NOTE 2 DESCRIPTION OF THE PLAN (CONTINUED)

Payment of Benefits (Continued)

In conditions of "financial hardship" a participant may apply to the Plan administrator for approval to withdraw that portion of his 401(k) deferral account balance necessary to meet the financial hardship, subject to the limitations of the Plan and the Internal Revenue Code.

Notes Receivable from Participants

Participant loans are available on amounts in the participant's account. The minimum loan amount is $1,000 and the maximum loan amount is the lesser of one-half of the participant's vested account balance or $50,000. The vested participant account balance will be used as collateral for any loan. All loans shall bear a reasonable rate of interest as determined by the Plan administrator. All loans must be repaid within five years except in the case of a loan for the purpose of acquiring a home. Participants may only have two loans outstanding at any given time.

NOTE 3 INVESTMENTS

The following investments represent 5% or more of the Plan's net assets available for benefits as of December 31, 2013 and 2012:

	2013	2012
T. Rowe Price Retirement 2020	$ 6,617,467	$ 5,471,093
T. Rowe Price Retirement 2030	2,936,541	2,092,908
Putnam Stable Value (at contract value)	2,108,806	1,196,358
TD Ameritrade SDBA	1,866,705	1,827,280
Vanguard Total Stock Market Index	1,855,635	*
T. Rowe Price Retirement 2040	1,852,673	1,296,358
T. Rowe Price Retirement 2010	*	1,226,284
Total Return (Pimco)	*	1,326,982

* Fund was not 5% of total assets at year-end.

During 2013, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value as follows:

Mutual funds	$ 3,479,948
Common/collective trusts	20,429
Common stocks	9,254
	$ 3,509,631

NOTE 4 BENEFIT-RESPONSIVE INVESTMENTS

Wells Fargo Stable Return

The Plan's investment in the Wells Fargo Stable Return Fund (the Wells Fund) is a benefit-responsive investment with Wells Fargo Bank, N.A. Wells Fargo Bank, N.A maintains the contributions in a general account, which is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The investment is included in the financial statements at contract value as reported to the Plan by with Wells Fargo Bank, N.A. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. Contract value approximates fair value.

In certain circumstances, the amount withdrawn from the Wells Fund would be payable at fair value rather than at contract value. These circumstances may include, but are not limited to, the following: material amendments to the Wells Fund's structure or administration, complete or partial termination of the Wells Fund, changes to the participating plans' competing investment options including the elimination of equity wash provisions or other events within the control of the Wells Fund or the plan sponsor resulting in a material and adverse financial impact on the issuer's obligations under the contract.

In addition to the limitations noted above, issuers of investment contracts have certain rights to terminate a contract and settle at an amount which differs from contract value.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The contract crediting rate was approximately 1.52% and 1.95% at December 31, 2013 and 2012, respectively. The crediting interest rate is based on a formula agreed upon with the issuer. Such interest rates are reviewed on a quarterly basis for resetting and will not fall below zero percent. The market value yield for the years ended December 31, 2013 and 2012 was approximately 1.36% and 0.94% respectively. The crediting rate, and hence a portfolio's return, may be affected by many factors, including withdrawals and transfers by unitholders. The impact depends on whether the market value of the underlying assets is higher or lower than the contract value of those assets. If the market value of underlying assets is higher than their contract value, the crediting rate will ordinarily be higher than the yield of the underlying assets. Under these circumstances, cash from new investors will tend to lower the crediting rate and a portfolio's return, and redemptions by existing shareholders will tend to increase the crediting rate and a portfolio's return.

At December 31, 2013, all investments had been transferred out of the Wells Fund.

Putnam Stable Value

The Plan's investment in the Putnam Stable Value Fund (the Putnam Fund) is a benefit-responsive investment with Putnam Fiduciary Trust Company. Putnam Fiduciary Trust Company maintains the contributions in a general account, which is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The investment is included in the financial statements at contract value as reported to the Plan by with Putnam Fiduciary Trust Company. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

NOTE 4 BENEFIT-RESPONSIVE INVESTMENTS (CONTINUED)

Putnam Stable Value (Continued)

All of the contracts that the Putnam Fund purchases are benefit responsive at contract value for all plan permitted, participant directed transactions. There are no exceptions to this stipulation. Additionally, the Putnam Fund negotiates contracts with certain employer-initiated transaction provisions including percentage allowances for targeted layoffs, bankruptcy resulting in employee job loss, reorganizations, spin-offs, and Plan terminations. Due to the size of the Putnam Fund and the specific percentage allowances negotiated by the Putnam Fund, it is highly unlikely (not probable) that any even would occur that would limit the Putnam Fund's ability to transact at contract value with each issuer under these events as of December 31, 2013.

The only event or circumstance that would allow issuers to immediately terminate a fully benefit responsive wrap contract with the Putnam Fund at an amount different from contract value would be if they were provided with willingly fraudulent information about the Putnam Fund by the trustee. This would constitute a breach of contract and would allow for termination at a value that could be different from contract value. Additionally, most wrap contracts have provisions that allow the issuer to terminate at book value over a specified period of time, normally the duration of the portfolio being wrapped. At no point may an issuer of a wrap contract immediately terminate at market value (other than as specified above) if the market value of the underlying portfolio is less than the book value.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The contract crediting rate was approximately 1.86% and 2.02% at December 31, 2013 and 2012, respectively. The crediting interest rate is based on a formula agreed upon with the issuer. Such interest rates are reviewed on a quarterly basis for resetting and will not fall below zero percent. The market value yield for the years ended December 31, 2013 and 2012 was approximately 1.74% and 0.72%, respectively. In a wrapper contract structure, the underlying investments are owned by the Putnam Fund and held in trust for plan participants. The wrapper contract amortizes the realized and unrealized gains and losses on the underlying bonds, typically over the duration of the bonds, through adjustments to the future interest crediting rate. The crediting rate, and hence a portfolio's return, may be affected by many factors, including: the level of market interest rates, the amount and timing of participant contributions, transfers, and withdrawals into/out of the contract, the investment returns generated by the bonds backed by the wrapper contract, and the duration of the underlying investments backed by the contract.

NOTE 5 TAX STATUS

The Plan Sponsor has adopted a prototype plan which has received an opinion letter from the Internal Revenue Service dated May 11, 2009, which states the provider's prototype, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan itself has not received a determination letter from the Internal Revenue Service. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code, therefore, no provision for income taxes has been included in the Plan's financial statements.

NOTE 6 FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities and the lowest priority to unobservable inputs.

The hierarchy is broken down into three levels based on the ability to observe inputs as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in methodologies used at December 31, 2013 and 2012.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed actively traded.

Common/collective trust: Valued at fair value of the collective trust's underlying investments based on information reported by the investment advisor using the audited financial statements of the collective trust at year-end.

Common stocks: Valued at the closing price reported on the active market on which the individual securities are traded.

NOTE 6 FAIR VALUE MEASUREMENTS (CONTINUED)

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013:

	Level 1	Level 2	Level 3	Total
Mutual funds	$ 27,521,614	$ -	$ -	$ 27,521,614
Common/collective trusts	-	2,124,674	-	2,124,674
Common stocks	309,863	-	-	309,863
Cash	645,182	-	-	645,182
Total assets at fair value	$ 28,476,659	$ 2,124,674	$ -	$ 30,601,333

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2012:

	Level 1	Level 2	Level 3	Total
Mutual funds	$ 19,549,108	$ -	$ -	$ 19,549,108
Common/collective trusts	-	1,564,682	-	1,564,682
Common stocks	527,976	-	-	527,976
Cash	401,203	-	-	401,203
Total assets at fair value	$ 20,478,287	$ 1,564,682	$ -	$ 22,042,969

NOTE 7 INFORMATION CERTIFIED BY TRUSTEE

The following information provided by ING Trust Company was certified to be accurate and complete with respect to the following:

(a) The assets held by ING Trust Company as of December 31, 2013 and 2012, as well as the transactions involving these assets for the years ended December 31, 2013 and 2012.

(b) The amount of investment income for the year ended December 31, 2013.

(c) The Schedule H, Line 4i – Schedule of Assets (Held at End of Year) at December 31, 2013.

NOTE 8 PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in all amounts credited to their accounts.

NOTE 9 PLAN TRANSFERS

Effective January 1, 2013, the plan covering the employees of Galaxy Associates, Inc., a subsidiary of DuBois Chemicals Inc., were transferred into the Plan. Total transfers into the Plan during 2013 were $3,980,960.

NOTE 10 RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits at December 31, 2013 and 2012 per the financial statements to Schedule H of Form 5500:

	2013	2012
Ending net assets available for benefits per financial statements	$ 31,228,256	$ 22,465,849
Less: Contribution receivable at end of year	(99,069)	-
Ending net assets for benefits per Form 5500	$ 31,129,187	$ 22,465,849

The following is a reconciliation of the change in net assets available for benefits at December 31, 2013 per the financial statements to Schedule H of Form 5500:

	2013
Contributions per financial statements	$ 3,749,734
Less: Contribution receivable at end of year	(99,069)
Contributions per Form 5500	$ 3,650,665

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
December 31, 2013

Schedule H (Form 5500)
EIN 80-0244824
Plan Number – 001

Column A	Column B Identity of issuer, borrower or similar entity	Column C Description of Investment	Column D Cost	Column E Current Value
	American Funds EuroPacific Growth	Mutual Funds		$ 659,236
	Oppenheimer Developing Markets	Mutual Funds		191,800
	Invesco International Growth	Mutual Funds		188,285
	Vanguard Total International Stock	Mutual Funds		437,507
*	ING Real Estate	Mutual Funds		217,653
*	ING MidCap Opportunities	Mutual Funds		625,977
	Vanguard Mid-Cap Index	Mutual Funds		734,026
	Vanguard Small-Cap Index	Mutual Funds		533,369
	Aston Fairpointe Mid/Cap	Mutual Funds		489,462
	Perkins Mid Cap Value	Mutual Funds		436,982
	Wasatch Small Cap Growth	Mutual Funds		394,920
	Goldman Sachs Small Cap Value	Mutual Funds		256,991
	Prudential Jennsion National Resource	Mutual Funds		116,532
	Franklin Utilities Fund R	Mutual Funds		27,452
	Fidelity Contrafund	Mutual Funds		1,229,657
	American Funds Fundamental	Mutual Funds		827,802
	Vanguard Total Stock Market Index	Mutual Funds		1,855,635
	BlackRock Equity Dividend	Mutual Funds		830,927
	Manning & Napier Pro-Blend Max Term	Mutual Funds		472
	Fidelity Puritan	Mutual Funds		106,848
	T. Rowe Price Retirement Income	Mutual Funds		196,345
	T. Rowe Price Retirement 2010	Mutual Funds		1,268,308
	T. Rowe Price Retirement 2015	Mutual Funds		35,221
	T. Rowe Price Retirement 2020	Mutual Funds		6,617,467
	T. Rowe Price Retirement 2025	Mutual Funds		290,382
	T. Rowe Price Retirement 2045	Mutual Funds		39,111
	T. Rowe Price Retirement 2035	Mutual Funds		132,502
	T. Rowe Price Retirement 2040	Mutual Funds		1,852,673
	T. Rowe Price Retirement 2030	Mutual Funds		2,936,541

(Continued)

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued)
December 31, 2013

Schedule H (Form 5500)
EIN 80-0244824
Plan Number – 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower or similar entity	Description of Investment	Cost	Current Value
	T. Rowe Price Retirement 2050	Mutual Funds		402,070
	T. Rowe Price Retirement 2055	Mutual Funds		23,533
	T. Rowe Price Retirement 2005	Mutual Funds		22,362
	Manning & Napier Pro-Blend Con Term	Mutual Funds		16,091
	Manning & Napier Pro-Blend Mod Term	Mutual Funds		121,019
	Total Return (Pimco)	Mutual Funds		1,507,078
	Vanguard Total Bond	Mutual Funds		304,317
	Real Return (Pimco)	Mutual Funds		213,449
	Templeton Global Bond	Mutual Funds		107,897
	Putnam Stable Value	Common/Collective Trust		2,108,806
	BlackRock Liquidity	Mutual Funds		362,055
	Ameritrade SDBA	Self Directed Funds		1,866,705
*	Participant Loans	3.25% - 10.00%		543,722
				$ 31,129,187

NOTE: The above data is based upon information that has been certified as complete and accurate by ING Trust Company.

* Denotes Party-In-Interest

See independent auditors' disclaimer of opinion report

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets
(Held at End of Year)

Dubois Chemicals, Inc. Retirement Savings Plan
EIN#80-0244824
Plan#001
As Of December 31, 2013

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par, or maturity date	(d)Cost	(e) Current Value
	American Funds EuroPacific R4	Registered Investment Company		$659,236
	American Funds Fdmntl Inv R4	Registered Investment Company		$827,802
	ASTON/Fairpointe Mid Cap Fd N	Registered Investment Company		$489,462
	BlkRck Equity Dividend Fnd Ins	Registered Investment Company		$830,927
	BlkRck Liquid TempFund CM	Registered Investment Company		$362,055
	Fidelity Contrafund	Registered Investment Company		$1,229,657
	Fidelity Puritan Fund	Registered Investment Company		$106,848
	Franklin Utilities Fund R	Registered Investment Company		$27,452
	Gldmn Sachs SmCp Value Fnd Ins	Registered Investment Company		$256,991
*	ING Mid Cap Opport Port I	Registered Investment Company		$625,977
*	ING Real Estate Fund	Registered Investment Company		$217,653
	Invesco Intl Grw Fnd R5	Registered Investment Company		$188,285
	MnNpr Pro-Bl Con Term Srs -I	Registered Investment Company		$16,019
	MnNpr Pro-Bl Maximum Term S -I	Registered Investment Company		$472
	MnNpr Pro-Bl Mod Term Srs -I	Registered Investment Company		$121,019
	Oppenhmr Developing Mkts Fnd A	Registered Investment Company		$191,800
	Perkins Mid Cap Value Fund T	Registered Investment Company		$436,982
	PIMCO Real Ret-Instl	Registered Investment Company		$213,449
	PIMCO Total Return Fund Adm	Registered Investment Company		$1,507,078
	Prudential Jenn Natl Rsrc Fd A	Registered Investment Company		$116,532
	Putnam Stable Vl Fnd	Registered Investment Company		$2,108,806
	TD AMERITRADE SDBA	Registered Investment Company		$1,866,705
	Templeton Global Bond Fund A	Registered Investment Company		$107,897
	TRwPr Retirement 2005 Fund Adv	Registered Investment Company		$22,362
	TRwPr Retirement 2010 Fund Adv	Registered Investment Company		$1,268,308
	TRwPr Retirement 2015 Fund Adv	Registered Investment Company		$35,221
	TRwPr Retirement 2020 Fund Adv	Registered Investment Company		$6,617,539
	TRwPr Retirement 2025 Fund Adv	Registered Investment Company		$290,382
	TRwPr Retirement 2030 Fund Adv	Registered Investment Company		$2,936,541
	TRwPr Retirement 2035 Fund Adv	Registered Investment Company		$132,502
	TRwPr Retirement 2040 Fund Adv	Registered Investment Company		$1,852,673
	TRwPr Retirement 2045 Fund Adv	Registered Investment Company		$39,111
	TRwPr Retirement 2050 Fund Adv	Registered Investment Company		$402,070
	TRwPr Retirement 2055 Fund Adv	Registered Investment Company		$23,533
	TRwPr Retirement Inc Fund Adv	Registered Investment Company		$196,345
	Vangrd Mid-Cap Index Fund Sig	Registered Investment Company		$734,026
	Vangrd Sm-Cap Index Fnd Sig	Registered Investment Company		$533,369
	Vangrd Tot Bd Mkt Ind Fd Sig	Registered Investment Company		$304,317
	Vangrd Tot Int Stk In F Sig	Registered Investment Company		$437,507
	Vangrd Tot St Mkt Indx Fd Sig	Registered Investment Company		$1,855,635
	Wasatch Small Cap Growth Fund	Registered Investment Company		$394,920
		Participant Loans - Rates 4.25% to 9.25%		$543,722
	TOTAL			$31,129,187

* denotes party-in-interest
Column (d) is not required as the Plan's investments are totally participant directed.

DuBois Chemicals, Inc. Retirement Savings Plan

Financial Statements
With Supplementary Information
Years Ended December 31, 2013 and 2012
With Independent Auditors' Report

BARNES DENNIG
Accounting · Tax · Business Insight

Independent Auditors' Report

To the Plan Administrator of the
DuBois Chemicals, Inc. Retirement Savings Plan
Cincinnati, OH

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Dubois Chemicals, Inc. Retirement Savings Plan, which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, and the related statement of changes in net assets available for benefits for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 7, which was certified by ING Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the Plan administrator ING Trust Company holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained certification from the trustees as of and for the years ended December 31, 2013 and 2012, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

150 East Fourth Street | Cincinnati, OH 45202 | Tel 513.241.8313 | Fax 513.241.8303
www.barnesdennig.com Independent Member, PKF International

Independent Auditors' Report
(Continued)

Other Matter

The supplemental schedule of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

October 9, 2014
Cincinnati, Ohio

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Statements of Net Assets Available for Benefits
December 31, 2013 and 2012

	2013	2012
Investments:		
Mutual funds	$ 27,521,614	$ 19,549,108
Common/collective trusts	2,124,674	1,564,682
Common stocks	309,863	527,976
Cash	645,182	401,203
Total investments at fair value	30,601,333	22,042,969
Receivables:		
Notes receivable from participants	543,722	473,816
Employer contribution receivable	29,985	-
Employee contribution receivable	69,084	-
Total receivables	642,791	473,816
Net assets available for benefits at fair value	31,244,124	22,516,785
Adjustment from fair value to contract value for interest in collective trust relating to fully benefit responsive investment contracts	(15,868)	(50,936)
Net assets available for benefits	$ 31,228,256	$ 22,465,849

The accompanying notes are an integral part of these financial statements

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2013

Additions	
Investment income:	
Dividends and interest	$ 841,630
Net appreciation in fair value of investments	3,509,631
Total investment income	4,351,261
Interest income on notes receivable from participants	24,511
Contributions:	
Employer	1,159,855
Employee	2,260,261
Rollover	329,618
Total contributions	3,749,734
Transfer of assets into Plan	3,980,960
Total additions	12,106,466
Deductions	
Benefit payments to participants	3,336,725
Other deductions	7,334
Total deductions	3,344,059
Net increase	8,762,407
Net assets available for benefits	
Beginning of year	22,465,849
End of year	$ 31,228,256

The accompanying notes are an integral part of these financial statements

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Notes to Financial Statements

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The accompanying financial statements of DuBois Chemicals, Inc. Retirement Savings Plan (the "Plan") have been prepared on the accrual basis.

Investment contracts held by a defined contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The Statement of Net Assets Available for Benefits presents the fair value of the investment in the collective trust as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The Statement of Changes in Net Assets Available for Benefits is prepared on a contract value basis.

Investment Valuation

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 6 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Investment securities, in general, are exposed to various risks such as interest rate, credit and overall market volatility risks. Due to the level of risk associated with certain investments, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based upon the terms of the plan document.

Related-Party Transactions

Certain Plan investments are in mutual funds managed by the ING Trust Company. ING Trust Company is the asset custodian and trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest.

Several employees of DuBois Chemicals, Inc. (the "Company") provide administrative services to the Plan. These services include Plan oversight and day-to-day Plan administration. The Plan is not charged by the Company for the services of the employees to the Plan.

NOTE 1 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Estimates

The preparation of financial statements in conformity with United States generally accepted accounting principles (GAAP) requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Benefit Payments

Benefit payments are recorded when paid.

Expenses

Certain expenses of the Plan were paid by the Company and accordingly, are not reflected in the Plan's financial statements. Certain investment related expenses are included in net appreciation in fair value of investments.

Subsequent Events

The Company has evaluated subsequent events from the balance sheet date of December 31, 2013, through October 9, 2014, which is the date these financial statements were available to be issued.

NOTE 2 DESCRIPTION OF THE PLAN

The following description of the Plan provides only general information. Participants should refer to their Plan document for a more complete description of the Plan's provisions.

General

The original effective date of the Plan was September 26, 2008. The Plan is a defined contribution plan. All full-time employees of the Company and its subsidiaries, except leased employees, certain nonresident aliens who have no earned income from sources within the United States and union employees whose employment is governed by a collective bargaining agreement under which retirement benefits were the subject of good faith bargaining, who are 21 years of age are eligible to participate after 60 consecutive days of service. The plan was amended on November 30, 2011 to increase the service requirement to 90 days of consecutive service. The Plan is subject to the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions

A participant may contribute up to 100% of eligible compensation. However, employee contributions may not exceed limitations established under Section 402(g) of the Internal Revenue Code of 1986 as amended ($17,500 at December 31, 2013). For 2013, Section 414(v) of the Internal Revenue Code of 1986 as amended allowed a maximum catch-up contribution of $5,500. Participants are eligible to make pre-tax and Roth after-tax contributions to the Plan.

NOTE 2 DESCRIPTION OF THE PLAN (CONTINUED)

Contributions (Continued)

The Plan allows for the Company to make employer matching contributions throughout the Plan year and a discretionary profit-sharing contribution at the end of each Plan year. The profit sharing contribution is allocated to participants based on the participant's compensation as a percentage of the total compensation of all participants eligible for the profit sharing contribution. During 2013, no profit sharing contributions were made. During 2013, the Company made safe harbor matching employer contributions to those employees who elect deferral contributions. The Company contributed 100% of the participant's elective deferral up to 3% of their eligible compensation plus 50% of salary deferrals between 4% and 5% of eligible compensation.

Participants designate the percentage of their deferral and company contributions to be invested in any of the Plan's investment funds. Participants may change the percentage of contributions invested in each account and may make transfers between accounts at any time during the year. A participant may change the amount of his or her elective deferral contributions at any time during the year.

Rollover Contributions

Participants may rollover their taxable portion of funds previously held for their account in another employee benefit plan to the Plan on a tax-free basis.

Participant Accounts

Each participant's account is credited with the participant's and Company's contributions and a pro-rata allocation of the Plan's earnings. The pro-rata allocation is based upon the proportion of individual account balances to total participants' account balances in the respective investment funds. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants become immediately vested at the time of participation in the Plan in their contributions plus actual earnings thereon. The Plan has adopted safe harbor employer match provisions. As a result, participants are immediately vested in employer match contributions. Participants become partially vested in profit sharing contributions after one year of service with 100% vested after five years of credited service. Forfeited balances of terminated participants' non-vested accounts are applied to offset administrative expenses of the Plan. Forfeitures exceeding these expenses shall be used to reduce employer contributions. No forfeitures were used during 2013. The balance of unallocated forfeitures was $3,992 and $17,325 as of December 31, 2013 and 2012, respectively.

Payment of Benefits

Upon a participant's retirement, death, total and permanent disability, other termination of employment with the Company or termination of the Plan, the participant's account is distributed by a lump sum distribution.

In-service distributions are permissible from the Plan for participants that have obtained the age of 59 1/2. Participants electing in-service distributions may elect to receive all or a portion of their vested benefits in a lump sum payment.

NOTE 2 DESCRIPTION OF THE PLAN (CONTINUED)

Payment of Benefits (Continued)

In conditions of "financial hardship" a participant may apply to the Plan administrator for approval to withdraw that portion of his 401(k) deferral account balance necessary to meet the financial hardship, subject to the limitations of the Plan and the Internal Revenue Code.

Notes Receivable from Participants

Participant loans are available on amounts in the participant's account. The minimum loan amount is $1,000 and the maximum loan amount is the lesser of one-half of the participant's vested account balance or $50,000. The vested participant account balance will be used as collateral for any loan. All loans shall bear a reasonable rate of interest as determined by the Plan administrator. All loans must be repaid within five years except in the case of a loan for the purpose of acquiring a home. Participants may only have two loans outstanding at any given time.

NOTE 3 INVESTMENTS

The following investments represent 5% or more of the Plan's net assets available for benefits as of December 31, 2013 and 2012:

	2013	2012
T. Rowe Price Retirement 2020	$ 6,617,467	$ 5,471,093
T. Rowe Price Retirement 2030	2,936,541	2,092,908
Putnam Stable Value (at contract value)	2,108,806	1,196,358
TD Ameritrade SDBA	1,866,705	1,827,280
Vanguard Total Stock Market Index	1,855,635	*
T. Rowe Price Retirement 2040	1,852,673	1,296,358
T. Rowe Price Retirement 2010	*	1,226,284
Total Return (Pimco)	*	1,326,982

* Fund was not 5% of total assets at year-end.

During 2013, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value as follows:

Mutual funds	$ 3,479,948
Common/collective trusts	20,429
Common stocks	9,254
	$ 3,509,631

NOTE 4 BENEFIT-RESPONSIVE INVESTMENTS

Wells Fargo Stable Return

The Plan's investment in the Wells Fargo Stable Return Fund (the Wells Fund) is a benefit-responsive investment with Wells Fargo Bank, N.A. Wells Fargo Bank, N.A maintains the contributions in a general account, which is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The investment is included in the financial statements at contract value as reported to the Plan by with Wells Fargo Bank, N.A. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. Contract value approximates fair value.

In certain circumstances, the amount withdrawn from the Wells Fund would be payable at fair value rather than at contract value. These circumstances may include, but are not limited to, the following: material amendments to the Wells Fund's structure or administration, complete or partial termination of the Wells Fund, changes to the participating plans' competing investment options including the elimination of equity wash provisions or other events within the control of the Wells Fund or the plan sponsor resulting in a material and adverse financial impact on the issuer's obligations under the contract.

In addition to the limitations noted above, issuers of investment contracts have certain rights to terminate a contract and settle at an amount which differs from contract value.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The contract crediting rate was approximately 1.52% and 1.95% at December 31, 2013 and 2012, respectively. The crediting interest rate is based on a formula agreed upon with the issuer. Such interest rates are reviewed on a quarterly basis for resetting and will not fall below zero percent. The market value yield for the years ended December 31, 2013 and 2012 was approximately 1.36% and 0.94% respectively. The crediting rate, and hence a portfolio's return, may be affected by many factors, including withdrawals and transfers by unitholders. The impact depends on whether the market value of the underlying assets is higher or lower than the contract value of those assets. If the market value of underlying assets is higher than their contract value, the crediting rate will ordinarily be higher than the yield of the underlying assets. Under these circumstances, cash from new investors will tend to lower the crediting rate and a portfolio's return, and redemptions by existing shareholders will tend to increase the crediting rate and a portfolio's return.

At December 31, 2013, all investments had been transferred out of the Wells Fund.

Putnam Stable Value

The Plan's investment in the Putnam Stable Value Fund (the Putnam Fund) is a benefit-responsive investment with Putnam Fiduciary Trust Company. Putnam Fiduciary Trust Company maintains the contributions in a general account, which is credited with earnings on the underlying investments and charged for participant withdrawals and administrative expenses. The investment is included in the financial statements at contract value as reported to the Plan by with Putnam Fiduciary Trust Company. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

NOTE 4 BENEFIT-RESPONSIVE INVESTMENTS (CONTINUED)

Putnam Stable Value (Continued)

All of the contracts that the Putnam Fund purchases are benefit responsive at contract value for all plan permitted, participant directed transactions. There are no exceptions to this stipulation. Additionally, the Putnam Fund negotiates contracts with certain employer-initiated transaction provisions including percentage allowances for targeted layoffs, bankruptcy resulting in employee job loss, reorganizations, spin-offs, and Plan terminations. Due to the size of the Putnam Fund and the specific percentage allowances negotiated by the Putnam Fund, it is highly unlikely (not probable) that any even would occur that would limit the Putnam Fund's ability to transact at contract value with each issuer under these events as of December 31, 2013.

The only event or circumstance that would allow issuers to immediately terminate a fully benefit responsive wrap contract with the Putnam Fund at an amount different from contract value would be if they were provided with willingly fraudulent information about the Putnam Fund by the trustee. This would constitute a breach of contract and would allow for termination at a value that could be different from contract value. Additionally, most wrap contracts have provisions that allow the issuer to terminate at book value over a specified period of time, normally the duration of the portfolio being wrapped. At no point may an issuer of a wrap contract immediately terminate at market value (other than as specified above) if the market value of the underlying portfolio is less than the book value.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The contract crediting rate was approximately 1.86% and 2.02% at December 31, 2013 and 2012, respectively. The crediting interest rate is based on a formula agreed upon with the issuer. Such interest rates are reviewed on a quarterly basis for resetting and will not fall below zero percent. The market value yield for the years ended December 31, 2013 and 2012 was approximately 1.74% and 0.72%, respectively. In a wrapper contract structure, the underlying investments are owned by the Putnam Fund and held in trust for plan participants. The wrapper contract amortizes the realized and unrealized gains and losses on the underlying bonds, typically over the duration of the bonds, through adjustments to the future interest crediting rate. The crediting rate, and hence a portfolio's return, may be affected by many factors, including: the level of market interest rates, the amount and timing of participant contributions, transfers, and withdrawals into/out of the contract, the investment returns generated by the bonds backed by the wrapper contract, and the duration of the underlying investments backed by the contract.

NOTE 5 TAX STATUS

The Plan Sponsor has adopted a prototype plan which has received an opinion letter from the Internal Revenue Service dated May 11, 2009, which states the provider's prototype, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan itself has not received a determination letter from the Internal Revenue Service. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code, therefore, no provision for income taxes has been included in the Plan's financial statements.

NOTE 6 FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities and the lowest priority to unobservable inputs.

The hierarchy is broken down into three levels based on the ability to observe inputs as follows:

Level 1 – Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 – Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in methodologies used at December 31, 2013 and 2012.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-ended mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed actively traded.

Common/collective trust: Valued at fair value of the collective trust's underlying investments based on information reported by the investment advisor using the audited financial statements of the collective trust at year-end.

Common stocks: Valued at the closing price reported on the active market on which the individual securities are traded.

NOTE 6 FAIR VALUE MEASUREMENTS (CONTINUED)

The methods described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013:

	Level 1	Level 2	Level 3	Total
Mutual funds	$ 27,521,614	$ -	$ -	$ 27,521,614
Common/collective trusts	-	2,124,674	-	2,124,674
Common stocks	309,863	-	-	309,863
Cash	645,182	-	-	645,182
Total assets at fair value	$ 28,476,659	$ 2,124,674	$ -	$ 30,601,333

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2012:

	Level 1	Level 2	Level 3	Total
Mutual funds	$ 19,549,108	$ -	$ -	$ 19,549,108
Common/collective trusts	-	1,564,682	-	1,564,682
Common stocks	527,976	-	-	527,976
Cash	401,203	-	-	401,203
Total assets at fair value	$ 20,478,287	$ 1,564,682	$ -	$ 22,042,969

NOTE 7 INFORMATION CERTIFIED BY TRUSTEE

The following information provided by ING Trust Company was certified to be accurate and complete with respect to the following:

(a) The assets held by ING Trust Company as of December 31, 2013 and 2012, as well as the transactions involving these assets for the years ended December 31, 2013 and 2012.

(b) The amount of investment income for the year ended December 31, 2013.

(c) The Schedule H, Line 4i – Schedule of Assets (Held at End of Year) at December 31, 2013.

NOTE 8 PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in all amounts credited to their accounts.

NOTE 9 PLAN TRANSFERS

Effective January 1, 2013, the plan covering the employees of Galaxy Associates, Inc., a subsidiary of DuBois Chemicals Inc., were transferred into the Plan. Total transfers into the Plan during 2013 were $3,980,960.

NOTE 10 RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits at December 31, 2013 and 2012 per the financial statements to Schedule H of Form 5500:

	2013	2012
Ending net assets available for benefits per financial statements	$ 31,228,256	$ 22,465,849
Less: Contribution receivable at end of year	(99,069)	-
Ending net assets for benefits per Form 5500	$ 31,129,187	$ 22,465,849

The following is a reconciliation of the change in net assets available for benefits at December 31, 2013 per the financial statements to Schedule H of Form 5500:

	2013
Contributions per financial statements	$ 3,749,734
Less: Contribution receivable at end of year	(99,069)
Contributions per Form 5500	$ 3,650,665

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
December 31, 2013

Schedule H (Form 5500)
EIN 80-0244824
Plan Number – 001

Column A	Column B Identity of issuer, borrower or similar entity	Column C Description of Investment	Column D Cost	Column E Current Value
	American Funds EuroPacific Growth	Mutual Funds		$ 659,236
	Oppenheimer Developing Markets	Mutual Funds		191,800
	Invesco International Growth	Mutual Funds		188,285
	Vanguard Total International Stock	Mutual Funds		437,507
*	ING Real Estate	Mutual Funds		217,653
*	ING MidCap Opportunities	Mutual Funds		625,977
	Vanguard Mid-Cap Index	Mutual Funds		734,026
	Vanguard Small-Cap Index	Mutual Funds		533,369
	Aston Fairpointe Mid/Cap	Mutual Funds		489,462
	Perkins Mid Cap Value	Mutual Funds		436,982
	Wasatch Small Cap Growth	Mutual Funds		394,920
	Goldman Sachs Small Cap Value	Mutual Funds		256,991
	Prudential Jennsion National Resource	Mutual Funds		116,532
	Franklin Utilities Fund R	Mutual Funds		27,452
	Fidelity Contrafund	Mutual Funds		1,229,657
	American Funds Fundamental	Mutual Funds		827,802
	Vanguard Total Stock Market Index	Mutual Funds		1,855,635
	BlackRock Equity Dividend	Mutual Funds		830,927
	Manning & Napier Pro-Blend Max Term	Mutual Funds		472
	Fidelity Puritan	Mutual Funds		106,848
	T. Rowe Price Retirement Income	Mutual Funds		196,345
	T. Rowe Price Retirement 2010	Mutual Funds		1,268,308
	T. Rowe Price Retirement 2015	Mutual Funds		35,221
	T. Rowe Price Retirement 2020	Mutual Funds		6,617,467
	T. Rowe Price Retirement 2025	Mutual Funds		290,382
	T. Rowe Price Retirement 2045	Mutual Funds		39,111
	T. Rowe Price Retirement 2035	Mutual Funds		132,502
	T. Rowe Price Retirement 2040	Mutual Funds		1,852,673
	T. Rowe Price Retirement 2030	Mutual Funds		2,936,541

(Continued)

DUBOIS CHEMICALS, INC. RETIREMENT SAVINGS PLAN

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) (Continued)
December 31, 2013

Schedule H (Form 5500)
EIN 80-0244824
Plan Number – 001

Column A	Column B	Column C	Column D	Column E
	Identity of issuer, borrower or similar entity	Description of Investment	Cost	Current Value
	T. Rowe Price Retirement 2050	Mutual Funds		402,070
	T. Rowe Price Retirement 2055	Mutual Funds		23,533
	T. Rowe Price Retirement 2005	Mutual Funds		22,362
	Manning & Napier Pro-Blend Con Term	Mutual Funds		16,091
	Manning & Napier Pro-Blend Mod Term	Mutual Funds		121,019
	Total Return (Pimco)	Mutual Funds		1,507,078
	Vanguard Total Bond	Mutual Funds		304,317
	Real Return (Pimco)	Mutual Funds		213,449
	Templeton Global Bond	Mutual Funds		107,897
	Putnam Stable Value	Common/Collective Trust		2,108,806
	BlackRock Liquidity	Mutual Funds		362,055
	Ameritrade SDBA	Self Directed Funds		1,866,705
*	Participant Loans	3.25% - 10.00%		543,722
				$ 31,129,187

NOTE: The above data is based upon information that has been certified as complete and accurate by ING Trust Company.

* Denotes Party-In-Interest

See independent auditors' disclaimer of opinion report