Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
V. SATTUI WINERY 401(K) PROFIT SHARING PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 08/01/1980

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

V. SATTUI WINERY, INC.

1111 WHITE LANE
ST. HELENA, CA 94574

2b Employer Identification Number (EIN)
94-2684602

2c Sponsor's telephone number
707-286-7248

2d Business code (see instructions)
312130

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2014	TOM DAVIES
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2014	TOM DAVIES
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	174
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	110
b	Retired or separated participants receiving benefits	**6b**	3
c	Other retired or separated participants entitled to future benefits	**6c**	75
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	188
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	
f	Total. Add lines **6d** and **6e**.	**6f**	188
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	188
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	3
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2E 2G 2J 2K

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] **A** (Insurance Information)
- **(4)** [ ] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
V. SATTUI WINERY 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
V. SATTUI WINERY, INC.

D Employer Identification Number (EIN)
94-2684602

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	2659	5890
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	323139	378744
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	674	258633
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	721643	1302968
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)	20672	24258
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)	4325873	3988792
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	114639	181872
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	1048495	1878027
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	6557794	8019184
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i	0	
j	Other liabilities	1j	4822	
k	Total liabilities (add all amounts in lines 1g through1j)	1k	4822	
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	6552972	8019184

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	378743	
	(B) Participants	2a(1)(B)	234966	
	(C) Others (including rollovers)	2a(1)(C)	11966	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		625675
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	581	
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)	734	
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)	5726	
	(F) Other	2b(1)(F)	278	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		7319
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)	148343	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	11265	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		159608
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	15683934	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	15730647	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		-46713
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)	822981	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		822981

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		49775
d Total income. Add all **income** amounts in column (b) and enter total	2d		1618645
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	152253	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		152253
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	180	
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		180
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		152433
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		1466212
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unqualified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [ ] Yes [X] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: DAVID J. CORMIA **(2)** EIN: 20-8298840

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		300000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
V. SATTUI WINERY 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
V. SATTUI WINERY, INC.

D Employer Identification Number (EIN)
94-2684602

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 94-2726483

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

V. SATTUI WINERY 401(K)
PROFIT SHARING PLAN

Financial Statements
With Supplemental Schedule

December 31, 2013 and 2012

(With Independent Auditors' Report Thereon)

David J. Cormia
Certified Public Accountant
5669 Snell Ave. #111
San Jose, CA 95123

V. SATTUI WINERY 401(K)
PROFIT SHARING PLAN
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Schedules other than those listed above have been omitted because the information is otherwise disclosed, not applicable, or is not required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended.

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Independent Auditor's Report

To the Plan Administrative Committee
V. Sattui Winery 401(k) Profit Sharing Plan

Report on the Financial Statements
I have audited the accompanying statements of net assets available for benefits of V. Sattui Winery 401(k) Profit Sharing Plan (the "Plan") which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, and the related statement of changes in net assets available for benefits for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements
Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

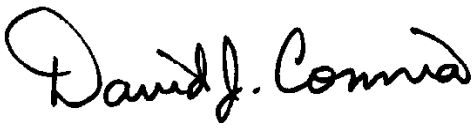
Auditor's Responsibility
My responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion

Opinion
In my opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2013 and 2012, and the changes in net assets available for benefits for the year ended December 31, 2013 in conformity with accounting principles generally accepted in the United States of America.

Report on Supplementary Information
Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of the assets held for investment purposes as of December 31, 2013 is prepared for the purpose of additional analysis and is not a required part of the financial statements but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.



San Jose, California
October 9, 2014

V. Sattui Winery 401(k) Profit Sharing Plan

Statements of Net Assets Available for Benefits

December 31, 2013 and 2012

Assets	**2013**	2012
Investments (participant directed, at fair value):		
Cash and Cash Equivalents	**$ 1,308,858**	$ 724,302
Corporate Debt Instruments	**24,258**	20,672
Corporate Stocks	**3,988,792**	4,325,873
Mutual Funds	**1,878,027**	1,048,495
Total investments	**7,199,935**	6,119,342
Receivables:		
Notes receivable from participants	**181,872**	114,639
Plan Sponsor receivables	**378,744**	323,139
Other receivables	**258,633**	674
Total receivables	**819,249**	438,452
Other Payables	**-**	(4,822)
Net assets available for benefits	**$ 8,019,184**	$ 6,552,972

The accompanying Independent Auditor's Report
and notes are an integral part of these financial statements.

V. Sattui Winery 401(k) Profit Sharing Plan

Statement of Changes in Net Assets Available for Benefits

For the year ended December 31, 2013

Changes in net assets attributable to:	
Contributions:	
Participants	**$ 234,966**
Plan sponsor	**378,743**
Participant transfers from other plans	**11,966**
Total contributions	**625,675**
Investment activity:	
Net realized and unrealized gains	**826,043**
Dividends and interest	**161,201**
Net investment activity	**987,244**
Interest income on notes receivable from participants	**5,726**
Distributions to participants	**(152,253)**
Administrative expenses	**(180)**
Net change	**1,466,212**
Net assets available for benefits at:	
Beginning of year	**6,552,972**
End of year	**$ 8,019,184**

The accompanying Independent Auditor's Report
and notes are an integral part of these financial statements.

V. Sattui Winery 401(k) Profit Sharing Plan
Notes to the Financial Statements

1. DESCRIPTION OF PLAN

The following description of the V. Sattui Winery 401(k) Profit Sharing Plan (the Plan) provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan was established on August 1, 1980 as a defined contribution plan established to provide eligible employees of the V. Sattui Winery, Inc. (the Plan Sponsor) with retirement, death and disability benefits. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA), and Section 401(k) of the Internal Revenue Code (the IRC).

Participant Eligibility, Contribution, and Vesting

Employees are eligible to participate in the Plan providing they have reached age twenty-one (21) and have completed a minimum of one year of service. Employees covered under a collective bargaining agreement are not eligible to participate in the plan. Participants may begin deferring a portion of their compensation into the Plan on the first day of January first day of July of the plan year following eligibility. Contributions to the Plan are limited to the maximum amount allowable under the provisions of the IRC and to an annual limitation of $17,500 ($23,000 for those participants over age 49).

The Company has elected to make a safe-harbor non-elective contribution up to 3% of eligible compensation and also a fixed matching contribution equal to 140% of participant's 401(k) deferrals up to 5% of the eligible salary. The amount of the safe-harbor non-elective contribution and fixed matching contribution totaled $378,743 and $323,140 for the years ended December 31, 2013 and 2012, respectively. Additionally, the Plan allows the Company to make discretionary profit sharing contributions to eligible employees. For the year ended December 31, 2013 and 2012, the Company elected to forego a discretionary profit sharing contribution.

Vesting is immediate for the safe harbor non-elective matching. The Company's fixed matching contribution vests according the employee's years of service, as follows:

Years of Service	Vesting Percentage
Less than 1	0%
2	20%
3	40%
4	60%
5	80%
6	100%

In addition, the Company's contribution is fully vested when a participant reaches the age of 65 and has 5 years of service, or becomes totally disabled, or dies. As of December 31, 2013, nonvested forfeiture accounts totaled $14,221. These accounts will be used to reduce future employer contributions.

Participant Accounts
Each participant's account is credited with the employee's payroll contributions; the employer's matching and safe-harbor non-elective contributions, and Plan earnings and losses. Allocations to participants' accounts are based on participant compensation or account balances in accordance with the provisions of the Plan.

Participant Loans
With the Plan trustee's approval, and subject to IRS regulations and limitations, participants may borrow up to the lesser of $50,000 or 50% of their vested account balance with a minimum loan amount of $1,000. Loans are subject to approval by the plan administrator, bear interest at prevailing market rates of interest and must be repaid within five years, except for loans secured by a principal residence, where the repayment period of 30 years is allowed. All loans are secured by the participants' account balances and must be repaid through a direct, after tax payroll deduction.

Payment of Benefits
The Plan provides for the payment of benefits to the participant (or, if applicable, the beneficiary) at retirement (the later of age 65 or the 5th anniversary of participation in the Plan), disability, death, or termination of employment. Participants who qualify for retirement benefits and withdrawals may elect to receive their benefits in the form of a lump sum in cash. The benefit to which a participant is entitled is the benefit that can be provided directly from the participant's vested account balance. In-service withdrawals are not allowed by the plan.

Participants who separate from service and have vested account balances of $1,000 or less are subject to an administrative lump sum distribution at the discretion of the plan administrator. Mandatory distributions of separated participants' vested balances between $1,000 and $5,000 are subject to a direct rollover of their balance into an Individual Retirement Account (IRA) designated by the plan administrator in the name of the separated participant.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting
The financial statements of the plan are prepared on the accrual basis of accounting.

Use of Estimates
The preparation of financial statements in conformity accounting principles generally accepted in the United States of America requires the plan administrator to make estimates and assumptions that affect the reported amounts of assets and liabilities, and changes therein, and contingent assets and liabilities at the date of the financial statements and the reported amounts of additions and deductions during the reporting period. Actual results could differ from those estimates.

Valuation of Investments
Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See note 4 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the plan's gains and losses on investments bought and sold as well as held during the year.

Benefits
Benefits are recorded when paid.

Expenses
Certain expenses of maintaining the Plan are paid directly by the Company and are excluded from these financial statements. Fees related to the administration of notes receivable from participants are charged directly to the participant's account and are included in administrative expenses. Investment related expenses are included in net appreciation of fair value of investments.

Subsequent Events
The Company has evaluated subsequent events through October 9, 2014, the date the financial statements were available to be issued.

3. INVESTMENTS

The following is a summary of the information prepared by Umpqua bank, Morgan Stanley Smith Barney, Vanguard and T. Row Price, furnished to the Plan administrator and included in the Plan's financial statements and supplemental schedule:

	2013	2012
Cash and Cash Equivalents	$ 1,308,858	$ 724,302
Corporate Debt Instruments	24,258	20,672
Corporate Stocks	3,988,792	4,325,873
Mutual Funds	1,878,027	1,048,495
Total investments	$ 7,199,935	$ 6,119,342

The following investments exceeded 5% of net plan assets as of December 31, 2013:

	Value	Percentage of Assets
Morgan Stanley Bank - Cash	401,807	5.4%
Morgan Stanley Bank - Cash	401,618	5.4%

During the year ended December 31, 2013, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year), increased by $987,244 as follows:

Interest bearing cash	$ 581
Corporate debt instruments	734
Coporate stocks	924,611
Mutual funds	11,265
Other income	50,053
Total	$ 987,244

4. FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, Fair Value Measurements and disclosures, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1	Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.
Level 2	Inputs to the valuation methodology include: • quoted prices for similar assets or liabilities in active markets; • quoted prices for identical or similar assets or liabilities in inactive markets; • inputs other than quoted prices that are observable for the asset or liability; • inputs that are derived principally from or corroborated by observable market data by correlation or other means • If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.
Level 3	Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value at December 31, 2013.

Cash and cash equivalents: Valued at the closing of December 31, 2013

Mutual funds/Common stocks, Corporate debt instruments: Valued at the net asset value (NAV) of shares held by the plan at year end.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the plan's assets at fair value as of December 31, 2013 and 2012:

	Assets at Fair Value as of December 31, 2013			
	Level 1	***Level 2***	***Level 3***	***Total***
Cash and Cash Equivalents	$ 1,308,858	$ -	$ -	$ 1,308,858
Corporate Debt Instruments	24,258	-	-	24,258
Corporate Stocks	3,988,792	-	-	3,988,792
Mutual Funds				
Equity	538,781	-	-	538,781
Fixed Income	307,489	-	-	307,489
Index	485,766	-	-	485,766
International	131,007	-	-	131,007
Specialty	414,984	-	-	414,984
	$ 7,199,935	$ -	$ -	$ 7,199,935

	Assets at Fair Value as of December 31, 2012			
	Level 1	***Level 2***	***Level 3***	***Total***
Cash and Cash Equivalents	$ 724,302	$ -	$ -	$ 724,302
Corporate Debt Instruments	20,672	-	-	20,672
Corporate Stocks	4,325,873	-	-	4,325,873
Mutual Funds				
Fixed Income	256,504	-	-	256,504
Index	365,489	-	-	365,489
International	106,409	-	-	106,409
Large Growth	56,245	-	-	56,245
Small Growth	84,332	-	-	84,332
Specialty	179,516	-	-	179,516
	$ 6,119,342	$ -	$ -	$ 6,119,342

5. PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right to terminate or amend the Plan at any time, subject to the provisions of ERISA. In the event of Plan termination, all non-vested account balances would become 100% vested in their employer contributions.

6. TAX STATUS

The plan adopted a prototype profit sharing 401(k) plan with a cash or deferral arrangement and has previously received a favorable opinion letter from the Internal Revenue Service. The plan has been amended since the issuance of the opinion letter. However, the plan administrator and the plan's tax counsel believe that the plan is designed and is being operated in full compliance with the legislative changes and requirements under the provisions of the Internal Revenue Code (IRC).

7. RISKS AND UNCERTAINTIES

The plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

V. Sattui Winery 401(k) Profit Sharing Plan

Plan Sponsor EIN: 94-2684602 Plan Number: 001

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

December 31, 2013

(a)	(b) Identity of issue, borrower lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral par, or maturity value	(d) Cost		Current (e) Value
	MorganStanley SmithBarney #11777				
	Cash	Cash	3,250	$	3,250
	Morgan Stanley Bank	Cash	401,807		401,807
	Morgan Stanley Private Bank	Cash	245,000		245,000
	MorganStanley SmithBarney #14777				
	Cash	Cash	2,640		2,640
	Morgan Stanley Bank	Cash	401,618		401,618
	Morgan Stanley Private Bank	Cash	245,000		245,000
	Umpqua Bank				
	Cash	Cash	7,185		7,185
	Vanguard				
	Prime Money Market Fund	Cash	2,358		2,358
		Total Cash and Cash equivalents			1,308,858
	MolyCorp Inc	Corporate Debt Instruments			7,414
	XPO Logistics Inc	Corporate Debt Instruments			16,844
		Total Corporate Debt Instruments			24,258
	MorganStanley SmithBarney #11777				
	Abbvie Inc. Com	Corporate Stocks	^		79,215
	Air Lease Corp Cl A	Corporate Stocks	^		124,320
	Alcoa Inc	Corporate Stocks	^		31,890
	Apple Inc	Corporate Stocks	^		280,510
	Bank of America Corp	Corporate Stocks	^		194,624
	Boeing Co	Corporate Stocks	^		136,490
	Citigroup Inc	Corporate Stocks	^		312,660
	Cobalt Intl Energy	Corporate Stocks	^		148,050
	Conagra Foods Inc	Corporate Stocks	^		84,250
	Crown Castle Intl	Corporate Stocks	^		146,860
	Facebook Inc	Corporate Stocks	^		54,649
	Freeport Mcmoran CP & GLD	Corporate Stocks	^		56,610
	Frontier Communications Corp	Corporate Stocks	^		23,250
	Gilead Science	Corporate Stocks	^		112,650
	Golar Lng Partneres LP ULPI	Corporate Stocks	^		90,750
	Google Inc	Corporate Stocks	^		224,142
	Icahn Enterprises LP Dep Unit	Corporate Stocks	^		54,705
	Pfizer Inc	Corporate Stocks	^		122,520

The accompanying Independent Auditor's Report
and notes are an integral part of this schedule.

V. Sattui Winery 401(k) Profit Sharing Plan

Plan Sponsor EIN: 94-2684602 Plan Number: 001

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

December 31, 2013

(a)	(b) Identity of issue, borrower lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral par, or maturity value	(d) Cost	Current (e) Value
	Relypsa, Inc	Corporate Stocks	^	75,000
	Safeway Inc Com	Corporate Stocks	^	65,140
	Seadrill LTD	Corporate Stocks	^	82,160
	Seadrill Partners LLC	Corporate Stocks	^	37,200
	Spirit Realty Capital Inc	Corporate Stocks	^	68,810
	T-Mobile US Inc Com	Corporate Stocks	^	168,200
	Terex CP New Del	Corporate Stocks	^	104,975
	Twnty-First Century Fox Cl A	Corporate Stocks	^	52,755
	Zoetis Inc Class A	Corporate Stocks	^	65,380
	MorganStanley SmithBarney #14777			
	American Rlty Cap PPTYS Inc	Corporate Stocks	^	19,277
	Boeing Co	Corporate Stocks	^	136,490
	Cobalt Intl Energy	Corporate Stocks	^	16,450
	Cole Real Estate	Corporate Stocks	^	42,120
	Crosstex Energy LP	Corporate Stocks	^	41,400
	Enterprise Prod Partners LP	Corporate Stocks	^	79,560
	Frontier Communications Corp	Corporate Stocks	^	41,850
	General Motors Company	Corporate Stocks	^	40,870
	Golar Inc Partners LP ULPI	Corporate Stocks	^	60,500
	Knightsbridge Tankers LTD	Corporate Stocks	^	55,140
	Northstar Realty Fin Corp	Corporate Stocks	^	26,900
	Seadrill LTD	Corporate Stocks	^	61,620
	Seardrill Partners LLC	Corporate Stocks	^	46,500
	Spirit Realty Capital Inc	Corporate Stocks	^	88,470
	Starwood Property Trust Inc	Corporate Stocks	^	55,400
	Tesoro Logistics LP	Corporate Stocks	^	104,680
	Vanguard Natural Resources LLC	Corporate Stocks	^	73,800
		Total Corporate Stocks		3,988,792
	Ares Capital Corp	Mutual Funds	^	88,850
	Medallion Fincl Corp	Mutual Funds	^	35,875
	SPDR Gold TR Gold SHS	Mutual Funds	^	121,926
	Sprott Physical Silver Tr	Mutual Funds	^	45,390
	Ares Capital Corp	Mutual Funds	^	53,310
	Doubleline Income Solutions Fd	Mutual Funds	^	63,270
	First TR Interm Dur Prf&Inc	Mutual Funds	^	63,750
	Kayne Anderson MLP Invt Co	Mutual Funds	^	79,700

The accompanying Independent Auditor's Report
and notes are an integral part of this schedule.

V. Sattui Winery 401(k) Profit Sharing Plan

Plan Sponsor EIN: 94-2684602 Plan Number: 001

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

December 31, 2013

(a)	(b) Identity of issue, borrower lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral par, or maturity value	(d) Cost	Current (e) Value
	Explorer Fund Admiral	Mutual Funds	^	121,935
	Health Care Fund Adm	Mutual Funds	^	257,183
	International Growth Adm	Mutual Funds	^	131,007
	Morgan Growth Fund Inv	Mutual Funds	^	75,886
	Equity Index 500	Mutual Funds	^	227,469
	Total Equity Market Index	Mutual Funds	^	258,297
	Prime Reserve	Mutual Funds	^	254,179
		Total Mutual Funds		1,878,027
*	Participant loans	36 loans - 4.25%	-	181,872
				$ 7,381,807

* Party in interest to the Plan, as defined by ERISA.

^ Cost Information not provided as assets are part of an individual account plan that participants and beneficiaries directed with respect to assets allocated to his or her account.

The accompanying Independent Auditor's Report and notes are an integral part of this schedule.

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Paychex Inc	common stock	54,613	57,643
PBF Energy Inc	common stock	43,200	34,303
Sandridge Energy Inc	common stock	2,400	2,630
Servicenow Inc	common stock	55,967	65,363
Servicenow Inc	common stock	36,435	43,575
Ship Finance Intl Ltd	common stock	82,250	77,334
Ship Finance Intl Ltd	common stock	32,900	30,933
Spirit Relaty Capital Inc New	common stock	7	8
Amerigas Partners Common Units	common stock	71,400	69,057
Apollo Coml Real Est Fin Inc	common stock	26,035	23,655
Apollo Coml Real Est Fin Inc	common stock	17,165	15,770
Apollo Investment Corp	closed end fund	21,500	19,700
CVR Partners LP	common stock	24,394	22,633
CVR Partners LP	common stock	24,567	22,633
Fifth Street Finance Corp Com	common stock	43,400	42,025
Kayne Anderson MLP Invt Co	closed end fund	33,360	36,119
New Mountain Finance CP	common stock	29,100	27,611
Seadrill Partners LLC	common stock	2,913	2,959
Seadrill Partners LLC	common stock	54,088	56,230
Seadrill Partners LLC	common stock	28,213	29,595
Ship Finance Intl LTD	common stock	82,250	78,850
Athlon Energy Inc Com	common stock	6,000	7,992
AVG Technologies	common stock	87,000	86,802
CF Industries Holdings Inc	common stock	57,135	57,249
Cousins Properties Inc GA	common stock	70,010	7,052
Cvent Inc Com	common stock	8,400	11,979
Envision Healthcare Hldgs Inc	common stock	13,800	14,764
First Tr Energy Inc & Grw Fund	closed end fund	69,100	65,539
First Tr Energy Inc & Grw Fund	closed end fund	41,460	39,432
Franks Intl N V Com	common stock	8,800	10,452
Grana Y Montero S A A	common stock	21,130	20,779
Graphic Packaging Holding Co	common stock	28,050	28,325
Jones energy Inc Com Cl A	common stock	4,500	4,145
Norwegian Cruise Line HldgsInc	common stock	2,975	3,174
Onconova Therapuetics Inc	common stock	1,500	2,106
Oneok Partners LP	common stock	545,714	53,776
Oracle Corp	common stock	48,346	49,773
Phillips 66 Partners LP	common stock	25,300	34,740
Retailmenot Inc Sers I Com Stk	common stock	14,700	19,985

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Roadrunner Trnsn Svcs Hldg Inc	common stock	24,300	24,300
Ross Stores Inc	common stock	66,225	66,689
Salesforce.com Inc	common stock	44,122	48,008
Sandisk Corp	common stock	56,147	57,515
Stock Bldg Supply Holdgs	common stock	1,400	1,276
Third Pt Reins Ltd Com	common stock	20,000	20,714
Tower Intl Inc	common stock	4,250	4,440
US Silica Hldgs Inc	common stock	19,975	22,011
US Silica Hldgs Inc	common stock	20,142	22,011
WCI Communities Inc	common stock	3,000	3,286
World Pt Terms LP Unt Rep Ltd	common stock	8,000	7,689
Yume Inc Com	common stock	900	893
Zillow Inc	common stock	90,200	92,006
American Homes 4 Rent	common stock	22,400	22,177
Ardmore Shipping Corp com	common stock	56,000	55,144
Assoc Estates Realty	common stock	29,325	25,019
Seadrill Partners LLC	common stock	43,297	45,087
Select Income REIT Com	common stock	56,500	49,759
Weyerhaeuser Co 6.375 CV Prf A	convertible preferred stock	60,000	61,976
Baltic Trading Ltd	common stock	11,960	13,714
Bioscrip Inc	common stock	23,359	20,289
Buckeye Partners LP	common stock	100,425	97,398
CF Industries Holdings Inc	common stock	58,035	58,379
Clearbridge Amern Engy MLP Fnd	mutual fund	40,000	38,016
Controladora Vuela CIA AVI SPN	common stock	8,400	9,879
DCP Midstream Partners LP Unit	common stock	65,052	61,766
Dollar Gen Corp New Com	common stock	81,975	83,075
Fifth Str Sr Floating Rate Cor	floating rate bond	45,000	40,504
Pandora Media Inc	common stock	25,000	26,337
Pennymac Mortgage Investment	common stock	53,616	51,272
Ross Stores Inc	common stock	68,567	69,625
Shutterstock Inc Com	common stock	24,000	28,126
Sophiris Bio Inc Com New	common stock	5,500	5,131
Sophiris Bio Inc Com New	common stock	4,164	4,198
Sophiris Bio Inc Com New	common stock	575	466
Sophiris Bio Inc Com New	common stock	4,558	4,198
Stratasys Ltd Shs	common stock	18,600	18,788
Weyerhaeuser Co	common stock	13,875	14,316
Ares Capital Corp	common stock	35,685	34,517

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Paychex Inc	common stock	54,613	57,643
PBF Energy Inc	common stock	43,200	34,303
Sandridge Energy Inc	common stock	2,400	2,630
Servicenow Inc	common stock	55,967	65,363
Servicenow Inc	common stock	36,435	43,575
Ship Finance Intl Ltd	common stock	82,250	77,334
Ship Finance Intl Ltd	common stock	32,900	30,933
Spirit Realty Capital Inc New	common stock	7	8
Amerigas Partners Common Units	common stock	71,400	69,057
Apollo Coml Real Est Fin Inc	common stock	26,035	23,655
Apollo Coml Real Est Fin Inc	common stock	17,165	15,770
Apollo Investment Corp	common stock	21,500	19,700
CVR Partners LP	common stock	24,394	22,633
CVR Partners LP	common stock	24,567	22,633
Fifth Street Finance Corp Com	common stock	43,400	42,025
Kayne Anderson MLP Invt Co	common stock	33,360	36,119
New Mountain Finance CP	common stock	29,100	27,611
Seadrill Partners LLC	common stock	2,913	2,959
Seadrill Partners LLC	common stock	54,088	56,230
Seadrill Partners LLC	common stock	28,213	29,595
Ship Finance Intl LTD	common stock	82,250	78,850
Athlon Energy Inc Com	common stock	6,000	7,992
AVG Technologies	common stock	87,000	86,802
CF Industries Holdings Inc	common stock	57,135	57,249
Cousins Properties Inc GA	common stock	7,000	7,052
Cvent Inc Com	common stock	8,400	11,979
Envision Healthcare Hldgs Inc	common stock	13,800	14,764
First Tr Energy Inc & Grw Fund	mutual fund	69,100	65,539
First Tr Energy Inc & Grw Fund	mutual fund	41,460	39,432
Franks Intl N V Com	common stock	8,800	10,452
Grana Y Montero S A A	common stock	21,130	20,779
Graphic Packaging Holding Co	common stock	28,050	28,325
Jones Energy Inc Com Cl A	common stock	4,500	4,145
Norwegian Cruise Line HldgsInc	common stock	2,975	3,174
Onconova Therapuetics Inc	common stock	1,500	2,106
Oneok Partners LP	common stock	54,571	53,776
Oracle Corp	common stock	48,346	49,773
Phillips 66 Partners LP	common stock	25,300	34,740
Retailmenot Inc Sers I Com Stk	common stock	14,700	19,985

Schedule H, line 4i

Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan

V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number

94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Buckeye Partners LP	common stock	80,340	81,362
Buckeye Partners LP	common stock	53,669	54,241
Cherry Hill Mtg Invt Corp Com	common stock	50,000	45,874
Crosstex Energy LP	common stock	50,825	62,785
Empire St Rlty Tr Inc Cl A	common stock	5,200	5,550
Invesco Mortgage Capital Inc	common stock	65,100	46,344
Liberty Property Trust SBI	common stock	37,444	37,834
Pacific Coast Oil Trust	common stock	51,300	46,456
QTS Rlty Tr Inc Com Cl A	common stock	6,300	6,335
Align Technology	common stock	84,750	80,798
Artisan Partners Asset Mgmt	common stock	5,600	6,085
Constellium Hold co BV Cl A	common stock	11,900	13,060
Crestwood Midstream Partners	common stock	45,000	43,379
Cst Brands Inc Com	common stock	15,500	16,515
Fifth Str Sr Floating Rate Cor	closed end fund	42,000	37,648
Fifth Str Sr Floating Rate Cor	closed end fund	3,000	2,689
Fifth Street Finance Corp Com	common stock	21,651	20,312
Houghton Mifflin Harcourt Co	common stock	16,800	21,737
Knightsbridge Tankers Ltd	common stock	72,000	65,228
Memorial Prodn Ptnrs	common stock	11,940	11,712
Memorial Prodn Ptnrs	common stock	18,538	17,568
Molycorp Inc Del Com	common stock	53,000	49,115
Pennantpark Fltg Rt Cap Ltd	closed end fund	71,000	68,278
Tableau Software Inc Cl A	common stock	19,500	19,839
Toll Brothers	common stock	9,600	10,035
Toll Brothers	common stock	39,642	40,139
Tower Intl Inc	common stock	73,800	78,552
Twitter Inc	common stock	10,400	16,981
Center Coast MLP Infrstr Fd	closed end fund	40,000	36,643
CVR Partners LP	common stock	44,677	34,327
Fifth Str Sr Floating Rate Cor	common stock	60,000	52,501
Fifth Street Finance Corp com	common stock	51,550	48,224
Kite Realty Group Trust	common stock	1,232	1,229
Nordic American Tankers Ltd	common stock	48,000	49,106
Northern Tier Energy LP	common stock	30,238	37,562
Northern Tier Energy LP	common stock	35,108	37,562
Pennantpark Fltg Rt Cap Ltd	common stock	56,800	55,048
Pennantpark Fltg Rt Cap Ltd	common stock	7,100	6,881
Star Bulk Carriers Corp New	common stock	41,304	45,555

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

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Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

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Breitburn Energy Ptnrs LP	common stock	45,017	45,727
Clearbridge Amern Engy Mlp Fnd	closed end fund	30,000	28,437
Lyondellbasell NV Cl A	common stock	69,333	72,163
Lyondellbasell NV Cl A	common stock	71,593	72,163
Oneok Inc New	common stock	50,863	53,009
Oneok Inc New	common stock	52,730	53,009
Pennymac Mortgage Investment	common stock	43,408	42,664
Transmontaigne Partners LP	common stock	57,084	53,532
Transmontaigne Partners LP	common stock	30,324	28,825
Abengoa SA SP ADR Rep B	common stock	2,436	2,309
Alkermes PLC Shs	common stock	30,166	32,168
Alkermes PLC Shs	common stock	30,474	32,168
Ares Capital Corp	common stock	85,750	86,619
Bitauto Hldgs Ltd Spon ADS	common stock	25,760	29,962
Buckeye Partners LP	common stock	37,566	38,838
Burlington Stores Inc	common stock	10,200	14,983
Cherry Hill Mtg Invt Corp Com	common stock	50,000	45,919
Cherry Hill Mtg Invt Corp Com	common stock	10,000	9,184
Enduro Royalty Trust	common stock	30,470	26,350
Evertec Inc	common stock	9,000	9,036
Evertec Inc	common stock	34,528	36,144
Michael Kors Holdings Ltd	common stock	111,965	114,816
New Mountain Finance CP	closed end fund	7,170	7,232
Noble Corp New	common stock	42,186	37,573
Noble Corp New	common stock	41,429	37,573
Ophthotech Corp Com	common stock	15,400	21,830
Ophthotech Corp Com	common stock	22,412	24,949
Pacific Coast Oil Trust	common stock	51,300	45,479
Pattern Energy Inc Cls A	common stock	24,200	24,847
Paychex Inc	common stock	60,686	63,636
Paychex Inc	common stock	60,462	63,636
Re Max Hldgs Inc Cl A	common stock	17,600	22,312
Restoration Hardware Hldgs Inc	common stock	93,000	96,946
Teekay Lng Partners LP	common stock	12,786	12,744
Teekay Lng Partners LP	common stock	71,596	72,214
Wal Mart Stores Inc	common stock	75,394	76,509
Wal Mart Stores Inc	common stock	37,810	38,255
Westar Energy Inc	common stock	6,230	6,063
Ares Capital Corp	common stock	17,150	17,280

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Apollo Glbl Mgmt LLC CL A Shs	common stock	37,500	39,220
Apollo Residential Mtge Inc	common stock	66,750	67,826
Breitburn Energy Ptnrs LP	common stock	39,720	37,984
Breitburn Energy Ptnrs LP	common stock	20,202	18,992
Brookfield Infra Ptnr LP	common stock	3,775	3,701
Ellington Residential Mtg REIT	common stock	28,000	26,465
Health Care REIT Inc	common stock	22,050	23,322
Holly Energy Partners LP	common stock	32,640	29,080
Holly Energy Partners LP	common stock	27,856	25,445
Inergy LP	common stock	44,630	47,107
Javelin Mortgage Inv Corp	common stock	30,880	23,720
Kite Realty Group Trust	common stock	1,310	1,282
Kite Realty Group Trust	common stock	5,263	5,129
Pennantpark Fltg RT Cap Ltd	closed end fund	2,352	2,296
Pennantpark Fltg RT Cap Ltd	closed end fund	18,648	18,887
Seadrill Partners LLC	common stock	27,731	28,210
Seadrill Partners LLC	common stock	28,190	28,210
TC Pipelines LP	common stock	43,850	44,888
West Corporation	common stock	32,732	33,510
Apollo Investment Corp	common stock	21,500	19,012
Boardwalk Pipeline Ptnrs LP	common stock	45,180	44,691
Giant Interactive Group Inc	common stock	50,750	52,978
Golub Capital BDC Inc Com	closed end fund	52,410	51,720
Petrologistics LP	common stock	15,251	12,426
Petrologistics LP	common stock	14,569	12,426
THL Credit Inc	common stock	17,544	17,766
Western Gas Partners LP	common stock	61,180	62,194
CF Industries Holdings Inc	common stock	55,460	59,527
CF Indistries Holdings Inc	common stock	37,043	39,684
Clearbridge Amern Engy Mlp Fnd	closed end fund	20,000	20,537
Clearbridge Amern Engy Mlp Fnd	closed end fund	20,000	20,587
Grupo Aeroportuario Del ADR	common stock	2,475	2,546
Kayne Anderson Mlp Invt Co	common stock	108,000	108,139
Merck & Co Inc New Com	common stock	49,231	47,404
Merck & Co Inc New Com	common stock	23,504	23,702
Merck & Co Inc New Com	common stock	48,108	47,404
News Corporation Cl A	common stock	5,548	5,342
Palo Alto Networks Inc	common stock	55,361	49,249
Palo Alto Networks Inc	common stock	54,046	49,249

Schedule H, line 4i

Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan

V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number

94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Affymax	common stock	20,584	21,255
Crosstex Energy LP	common stock	15,859	16,733
Sandisk Corp	common stock	23,069	23,977
Tesoro Logistics LP	common stock	45,870	46,541
Cyrusone Inc	common stock	24,700	26,728
Duke Realty Corp	common stock	42,750	45,364
Newcastle Invt Corp	common stock	8,415	9,288
Newcastle Invt Corp	common stock	11,183	11,352
Affymax	common stock	30,303	20,026
Affymax	common stock	19,323	13,351
Affymax	common stock	19,264	13,351
Enterprise Prod Prtnrs LP	common stock	54,560	56,990
Fusion-IO Inc	common stock	21,488	16,617
Genpact Ltd	common stock	59,400	61,262
Molycorp Inc Del Com	common stock	12,000	11,663
Navios Maritime Partners LP	common stock	22,640	21,266
Piedmont Natural Gas NC	common stock	28,800	29,215
Pioneer Natural Resources Co	common stock	51,200	52,237
Workday Inc Cl A	common stock	51,379	54,570
Buckeye Partners LP	common stock	63,048	63,478
Buckeye Partners LP	common stock	41,744	42,319
Enterprise Prod Prtnrs LP	common stock	54,560	56,793
Golar Lng Ptnrs LP ULPI	common stock	30,000	29,661
Solar Capital Ltd	common stock	24,400	25,382
Solar Capital Ltd	common stock	25,345	25,382
Solar Senior Capital Ltd	common stock	35,815	35,913
Apollo Coml Real Est Fin Inc	common stock	15,345	15,562
Artisan Partners Asset Mgmt	common stock	12,000	14,759
Discovery Communications Ser C	common stock	6,475	6,852
Generac Hldgs Inc Com	common stock	70,000	68,264
US Silica Hldgs Inc	common stock	55,000	56,596
Williams Partners Ltd	common stock	98,280	100,390
DCP Midstream Partners LP Unit	common stock	40,630	42,253
Golar Lng Ptnrs LP ULPI	common stock	9,150	9,708
Golar Lng Ptnrs LP ULPI	common stock	21,591	22,653
Government Pptys Inc Tr	common stock	25,200	25,461
Hospitality Pptys Tr Com SBI	common stock	51,100	54,041
Linnco LLC	common stock	57,000	58,229
Access Midstream Partners LP	common stock	83,706	84,554

Schedule H, line 4i

Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan

V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number

94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Surgical Care Affiliates Inc	common stock	26,622	28,258
AES Corp	common stock	47,075	49,646
AMC Entertainment Hldgs Cl A	common stock	7,200	7,764
Baxter Intl Inc	common stock	73,775	65,852
Baxter Intl Inc	common stock	35,269	32,926
Baxter Intl Inc	common stock	74,482	69,110
Center Coast MLP & Infrstr Fd	closed end fund	60,000	53,415
Cicsco Sys Inc	common stock	9,730	10,395
Cisco Sys Inc	common stock	29,220	31,184
Doubleline Income Solutions Fd	closed end fund	100,000	79,687
EMC Corp Mass	common stock	26,739	24,823
EMC Corp Mass	common stock	39,599	37,234
Hilton Worldwide Holdings Inc	common stock	10,300	11,054
Nimble Storage Inc	common stock	105,010	16,836
Ross Stores Inc	common stock	72,631	74,051
US Silica Hldgs Inc	common stock	94,500	95,753
Walgreen Co	common stock	56,430	57,180
Walgreen Co	common stock	28,402	28,590
Clearbridge AmernEngy MLP Fund	closed end fund	30,000	25,431
Hospitality Pptys Com SBI	common stock	44,800	42,927
Hospitality Pptys Com SBI	common stock	11,348	10,732
Seaspan Corp	common stock	13,200	13,342
Western Gas Partners LP	common stock	86,114	84,294
Western Gas Partners LP	common stock	35,161	36,596

Schedule H, line 4i

Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

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V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number

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(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Buckeye Partners LP	common stock	80,340	81,362
Buckeye Partners LP	common stock	53,669	54,241
Cherry Hill Mtg Invt Corp Com	common stock	50,000	45,874
Crosstex Energy LP	common stock	50,825	62,785
Empire St Rlty Tr Inc Cl A	common stock	5,200	5,550
Invesco Mortgage Capital Inc	common stock	65,100	46,344
Liberty Property Trust SBI	common stock	37,444	37,834
Pacific Coast Oil Trust	common stock	51,300	46,456
QTS Rlty Tr Inc Com Cl A	common stock	6,300	6,335
Align Technology	common stock	84,750	80,798
Artisan Partners Asset Mgmt	common stock	5,600	6,085
Constellium Hold Co BV Cl A	common stock	11,900	13,060
Crestwood Midstream Partners	common stock	45,000	43,379
Cst Brands Inc Com	common stock	15,500	16,515
Fifth Str Sr Floating Rate Cor	common stock	42,000	37,648
Fifth Str Sr Floating Rate Cor	common stock	3,000	2,689
Fifth Street Finance Corp Com	common stock	21,651	20,312
Houghton Mifflin Harcourt Co	common stock	16,800	21,737
Knightsbridge Tankers Ltd	common stock	72,000	65,228
Memorial Prodn Ptnrs	common stock	11,940	11,712
Memorial Prodn Ptnrs	common stock	18,538	17,568
Molycorp Inc Del Com	common stock	53,000	49,115
Pennantpark Fltg Rt Cap Ltd	closed end fund	71,000	68,278
Tableau Software Inc Cl A	common stock	19,500	19,839
Toll Brothers	common stock	9,600	10,035
Toll Brothers	common stock	39,642	40,139
Tower Intl Inc	common stock	73,800	78,552
Twitter Inc	common stock	10,400	16,981
Center Coast MLP Infrstr Fd	closed end fund	40,000	36,643
CVR Partners LP	common stock	44,677	34,327
Fifth Str Sr Floating Rate Cor	common stock	60,000	52,501
Fifth Street Finance Corp com	common stock	51,550	48,224
Kite Realty Group Trust	common stock	1,232	1,229
Nordic American Tankers Ltd	common stock	48,000	49,106
Northern Tier Energy LP	common stock	30,238	37,562
Northern Tier Energy LP	common stock	35,108	37,562
Pennantpark Fltg Rt Cap Ltd	closed end fund	56,800	55,048
Pennantpark Fltg Rt Cap Ltd	closed end fund	7,100	6,881
Star Bulk Carriers Corp New	common stock	41,304	45,555

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

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(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
		0	0
Chesapeake Energy Corp	common stock	52,000	54,792
DR Reddy's Laboratories Ltd	common stock	33,356	36,539
DR Reddy's Laboratories Ltd	common stock	33,541	36,539
Fortress Investment Group CL A	common stock	20,160	21,279
Generac Hldgs Inc Com	common stock	130,095	129,324
HCA Holdings Inc	common stock	57,120	59,331
Ing US Inc	common stock	60,450	62,779
Laclede Group Inc New	common stock	62,300	63,952
Michael Kors Holdings Ltd	common stock	56,228	60,093
Michael Kors Holdings Ltd	common stock	53,794	61,738
Nielsen Holdings NV	common stock	42,012	40,176
Performant Financial Corp	common stock	6,000	4,603
Performant Financial Corp	common stock	9,866	9,207
Prologis Inc Com	common stock	83,200	84,867
Resolute Energy Corp Com	common stock	2,400	2,490
Sensata Technologies	common stock	108,300	104,705
Servicenow Inc	common stock	32,782	40,286
Spansion Inc Com Cl A New	common stock	37,410	38,668
SS&C Technologies Hldgs Inc	common stock	35,420	35,137
SS&C Technologies Hldgs Inc	common stock	25,063	25,554
Tallgrass Energy Ptnrs LP	common stock	10,750	11,065
TRW Automotive Holding Corp	common stock	59,250	60,859
William Lyon Homes Class A Com	common stock	7,500	7,894
ZAIS Financial Corp	common stock	31,875	29,747
Ambit Biosciences Corp	common stock	4,800	4,521
Ambit Biosciences Corp	common stock	6,278	6,781
Boston Private Fincl Hldgs Inc	common stock	26,730	26,833
Boston Private Fincl Hldgs Inc	common stock	20,790	20,870
Dollar Gen Corp New Com	common stock	76,125	76,334
First Solar Inc	common stock	78,200	78,290
Generac Hlds Inc Com	common stock	104,850	105,569
General Growth Properties Com	common stock	22,950	20,943
GNC Holdings Inc Com Cl A	common stock	40,177	43,628
Graphic Packaging Holding Co	common stock	51,480	51,694
Kamada Ltd Ord	common stock	5,550	6,151
Orbitz Worldwide	common stock	21,970	21,061
RTI Intl Metals Inc	common stock	58,897	56,985
US Silica Hldgs Inc	common stock	56,840	61,395

Schedule H, line 4i
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Oneok Inc New	common stock	50,863	53,009
Oneok Inc New	common stock	52,730	53,009
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Burlington Stores Inc	common stock	10,200	14,983
Cherry Hill Mtg Invt Corp Com	common stock	50,000	45,919
Cherry Hill Mtg Invt Corp Com	common stock	10,000	9,184
Enduro Royalty Trust	common stock	30,470	26,350
Evertec Inc	common stock	9,000	9,036
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Noble Corp New	common stock	41,429	37,573
Opthotech Corp Com	common stock	15,400	21,830
Opthotech Corp Com	common stock	22,412	24,949
Pacific Coast Oil Trust	common stock	51,300	45,479
Pattern Energy Inc Cls A	common stock	24,200	24,847
Paychex Inc	common stock	60,686	63,636
Paychex Inc	common stock	60,462	63,636
Re Max Hldgs Inc Cl A	common stock	17,600	22,312
Restoration Hardware Hldgs Inc	common stock	93,000	986,946
Teekay Lng Partners LP	common stock	12,786	12,744
Teekay Lng Partners LP	common stock	71,596	72,214
Wal Mart Stores Inc	common stock	75,394	76,509
Wal Mart Stores Inc	common stock	37,810	38,255
Westar Energy Inc	common stock	6,230	6,063
Ares Capital Corp	common stock	17,150	17,280

Schedule H, line 4i

Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan

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AES Corp	common stock	47,075	49,646
AMC Entertainment Hldgs Cl A	common stock	7,200	7,764
Baxter Intl Inc	common stock	73,775	65,852
Baxter Intl Inc	common stock	35,269	32,926
Baxter Intl Inc	common stock	74,482	69,110
Center Coast MLP & Infrstr Fd	closed end fund	60,000	53,415
Cisco Sys Inc	common stock	9,730	10,395
Cisco Sys Inc	common stock	29,220	31,184
Doubleline Income Solutions Fd	closed end fund	100,000	79,687
EMC Corp Mass	common stock	26,739	24,823
EMC Corp Mass	common stock	39,599	37,234
Hilton Worldwide Holdings Inc	common stock	10,300	11,054
Nimble Storage Inc	common stock	10,500	16,836
Ross Stores Inc	common stock	72,631	74,051
US Silica Hldgs Inc	common stock	94,500	95,753
Walgreen Co	common stock	56,430	57,180
Walgreen Co	common stock	28,402	28,590
Clearbridge AmernEngy MLP Fund	closed end fund	30,000	25,431
Hospitality Pptys Com SBI	common stock	44,800	42,927
Hospitality Pptys Com SBI	common stock	11,348	10,732
Seaspan Corp	common stock	13,200	13,342
Western Gas Partners LP	common stock	86,114	84,294
Western Gas Partners LP	common stock	35,161	36,396

Schedule H, line 4i

Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan

V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number

94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	**(b)** Description of investment including maturity date, rate of interest, collateral, par, or maturity value	**(c)** Costs of acquisitions	**(d)** Proceeds of dispositions
Roadrunner Trnsn Svcs Hldg Inc	common stock	24,300	24,300
Ross Stores Inc	common stock	66,225	66,689
Salesforce.com Inc	common stock	44,122	48,008
Sandisk Corp	common stock	56,147	57,515
Stock Bldg Supply Holdgs	common stock	1,400	1,276
Third Pt Reins Ltd Com	common stock	20,000	20,714
Tower Intl Inc	common stock	4,250	4,440
US Silica Hldgs Inc	common stock	19,975	22,011
US Silica Hldgs Inc	common stock	20,142	22,011
WCI Communities Inc	common stock	3,000	3,286
World Pt Terms LP Unt Rep Ltd	common stock	8,000	7,689
Yume Inc Com	common stock	900	893
Zillow Inc	common stock	90,200	92,006
American Homes 4 Rent	common stock	22,400	22,177
Ardmore Shipping Corp com	common stock	56,000	55,144
Assoc Estates Realty	common stock	29,325	25,019
Seadrill Partners LLC	common stock	43,297	45,087
Select Income REIT Com	common stock	56,500	49,759
Weyerhaeuser Co 6.375 CV Prf A	convertible preferred stock	60,000	61,976
Baltic Trading Ltd	common stock	11,960	13,714
Bioscrip Inc	common stock	23,359	20,289
Buckeye Partners LP	common stock	100,425	97,398
CF Industries Holdings Inc	common stock	58,035	58,379
Clearbridge Amern Engy MLP Fnd	closed end fund	40,000	38,016
Controladora Vuela CIA AVI SPN	common stock	8,400	9,879
DCP Midstream Partners LP Unit	sommon stock	65,052	61,766
Dollar Gen Corp New Com	common stock	81,975	83,075
Fifth Str Sr Floating Rate Cor	common stock	45,000	40,504
Pandora Media Inc	common stock	25,000	26,337
Pennymac Mortgage Investment	common stock	53,616	51,272
Ross Stores Inc	common stock	68,567	69,625
Shutterstock Inc Com	common stock	24,000	28,126
Sophiris Bio Inc Com New	common stock	5,500	5,131
Sophiris Bio Inc Com New	common stock	4,164	4,198
Sophiris Bio Inc Com New	common stock	575	466
Sophiris Bio Inc Com New	common stock	4,558	4,198
Stratasys Ltd Shs	common stock	18,600	18,788
Weyerhaeuser Com	common stock	13,875	14,316
Ares Capital Corp	closed end fund	35,685	34,517

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Alkermes PLC Shs	common stock	33,628	41,502
Chimerix Inc	common stock	9,800	12,421
Dollar Gen Corp New Com	common stock	51,519	49,804
Dollar Gen Corp New com	common stock	25,375	24,902
E*Trade Financial Corp New Com	common stock	68,100	59,354
Hewlett Packard	common stock	46,588	41,423
Infinity Pharmaceuticals	common stock	72,000	76,191
News Corp Ltd Cl A Del	common stock	28,213	30,434
News Corp Ltd Cl A Del	common stock	27,713	30,434
RDA Microelectric-Spon ADR	common stock	3,700	3,805
Realogy Holdings Corp	common stock	22,000	22,573
Realty Incoem Corp	common stock	91,800	92,505
Sensata Technologies	common stock	80,280	77,875
UnitedHealth Gp Inc	common stock	26,875	29,618
West Corporation	common stock	56,000	56,863
American Capital Agency	common stock	91,727	94,108
American Capital Mortgage	common stock	48,735	49,289
American Capital Mortgage	common stock	28,312	38,536
Ares Capital Corp	common stock	52,830	54,015
Armour Residential REIT Inc	common stock	41,040	38,400
AT&T Inc	common stock	57,272	54,785
Atlas Pipeline Ptnrs LP	common stock	51,000	52,655
Biomed Realty Trust Inc	common stock	27,820	28,463
Cousins Properties Inc GA	common stock	5,225	5,367
Kinder Morgan Energy Ptrs LP	common stock	86,350	89,374
New Mountain Finance CP	common stock	32,890	32,690
Realty Income Corp	common stock	68,850	69,415
Zoetis Inc Class A	common stock	15,586	16,513
American Residential Prop Inc	common stock	29,400	28,823
Ares Capital Corp	common stock	52,830	54,329
Bankunited Inc	common stock	60,600	61,283
Bankunited Inc	common stock	15,026	15,321
Bioscrip Inc	common stock	7,200	8,210
Blackstone Group LP	common stock	37,610	43,897
Bruker Corporation	common stock	62,345	61,406
Calpine Corp New	common stock	70,550	71,330
Calpine Corp New	common stock	20,750	20,980
CF Industries Holdings Inc	common stock	53,906	56,987
CF Industries Holdings Inc	common stock	37,666	37,991

Schedule H, line 4i
Schedule of Assets (Acquired and Disposed of Within Year).

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a) Identity of issue, borrower, lessor, or similar party	(b) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(c) Costs of acquisitions	(d) Proceeds of dispositions
Apollo Glbl Mgmt LLC CL A Shs	common stock	37,500	39,220
Apollo Residential Mtge Inc	common stock	66,750	67,826
Breitburn Energy Ptnrs LP	common stock	39,720	37,984
Breitburn Energy Ptnrs LP	common stock	20,202	18,992
Brookfield Infra Ptnr LP	common stock	3,775	3,701
Ellington Residential Mtg REIT	common stock	28,000	26,465
Health Care REIT Inc	common stock	22,050	23,322
Holly Energy Partners LP	common stock	32,640	29,080
Holly Energy Partners LP	common stock	27,856	25,445
Inergy LP	common stock	44,630	47,107
Javelin Mortgage Inv Corp	common stock	30,880	23,720
Kite Realty Group Trust	common stock	1,310	1,282
Kite Realty Group Trust	common stock	5,263	5,129
Pennantpark Fltg RT Cap Ltd	common stock	2,352	2,296
Pennantpark Fltg RT Cap Ltd	common stock	18,648	18,887
Seadrill Partners LLC	common stock	27,731	28,210
Seadrill Partners LLC	common stock	28,190	28,210
TC Pipelines LP	common stock	43,850	44,888
West Corporation	common stock	32,732	33,510
Apollo Investment Corp	common stock	21,500	19,012
Boardwalk Pipeline Ptnrs LP	common stock	45,180	44,691
Giant Interactive Group Inc	common stock	50,750	52,978
Golub Capital BDC Inc Com	common stock	52,410	51,720
Petrologistics LP	common stock	15,251	12,426
Petrologistics LP	common stock	14,569	12,426
THL Credit Inc	common stock	17,544	17,766
Western Gas Partners LP	common stock	61,180	62,194
CF Industries Holdings Inc	common stock	55,460	59,527
CF Indistries Holdings Inc	common stock	37,043	39,684
Clearbridge Amern Engy Mlp Fnd	common stock	20,000	20,537
Clearbridge Amern Engy Mlp Fnd	common stock	20,000	20,587
Grupo Aeroportuario Del ADP	common stock	2,475	2,546
Kayne Anderson Mlp Invt Co	common stock	108,000	108,139
Merck & Co Inc New Com	common stock	49,231	47,404
Merck & Co Inc New Com	common stock	23,504	23,702
Merck & Co Inc New Com	common stock	48,108	47,404
News Corporation Cl A	common stock	5,548	5,342
Palo Alto Networks Inc	common stock	55,361	49,249
Palo Alto Networks Inc	common stock	54,046	49,249

Schedule H, line 4i
Schedule of Assets (Held At End of Year)

For the plan year beginning **01/01/2013** and ending **12/31/2013**

Name of plan
V. Sattui Winery 401(k) Profit Sharing Plan

Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(d) Cost	(e) Current value
	Cobalt Intl Energy	common stock	26,800	16,450
	Cole Real Estate	common stock	42,506	42,120
	Crosstex Energy LP	common stock	40,203	41,400
	Enterprise Prod Prtnrs LP	common stock	74,460	79,560
	Frontier Communications Corp	common stock	43,180	41,850
	General Mtrs Co	common stock	30,902	40,870
	Golar Lng Ptnrs Lp ULPI	common stock	59,200	60,500
	Knightsbridge Tankers Ltd	common stock	54,000	55,140
	Northstar Realty Fin Corp	common stock	25,588	26,900
	Seadrill Ltd	common stock	58,310	61,620
	Seadrill Partners LLC	common stock	47,097	46,500
	Spirit Realty Capital Inc New	common stock	70,874	88,470
	Starwood Property Trust Inc	common stock	49,052	55,400
	Tesoro Logistics LP	common stock	101,870	104,680
	Vanguard Natural Resources LLC	common stock	71,280	73,800
	Ares Capital Corp	closed-end fund	53,370	53,310
	Doubleline Income Solutions Fd	closed-end fund	75,000	63,270
	First Tr Interm Dur Prf & Inc	closed-end fund	75,000	63,750
	Kayne Anderson MLP Invt Co	closed-end fund	71,959	79,700
	Molycorp Inc	corp bond, 6%, due 9/1/17	9,000	7,234
	XPO Logistics Inc	corp bond, 4.5%, due 10/1/17	10,000	16,731
	T Rowe Price Equity Index 500	mutual fund	172,295	227,469
	TRowePrice Tot Equity Mkt Indx	mutual fund	193,195	258,297
	T Rowe Price Prime Reserve	mutual fund	254,147	254,179
	Vanguard Explorer Fund Admiral	mutual fund	84,332	121,935
	Vanguard Health Care Fund Adm	mutual fund	179,516	257,183
	Vanguard Intl Growth Adm	mutual fund	106,409	131,007
	Vanguard MorganGrowth FundAdm	mutual fund	56,245	75,886
	Vanguard Prime Money Mkt Fund	money market fund, var int	2,357	2,357
	Umpqua Bank	bus savings acct, variable int	7,185	7,185

Schedule H, line 4i
Schedule of Assets (Held At End of Year)

For the plan year beginning **01/01/2013** and ending **12/31/2013**

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Employer Identification Number
94-2684602

Three-digit plan number ► **001**

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(d) Cost	(e) Current value
	Cash	0% interest	3,250	3,250
	Morgan Stanley Bank	variable interest	401,807	401,807
	Morgan Stanley Private Bank	variable interest	245,000	245,000
	Abbvie Inc	common stock	79,892	79,215
	Air Lease Corp Cl A	common stock	126,000	124,320
	Alcoa Inc	common stock	29,156	31,890
	Apple Inc	common stock	232,021	280,510
	Bank of America Corp	common stock	239,507	194,625
	Boeing Co	common stock	70,094	136,490
	Citigroup Inc New	common stock	234,830	312,660
	Cobalt Intl Energy	common stock	241,523	148,050
	Conagra Foods Inc	common stock	78,462	84,250
	Crown Castle Intl	common stock	148,416	146,860
	Facebook Inc Cl-A	common stock	38,000	54,649
	Freeport McMoran CP & GLD	common stock	55,076	56,610
	Frontier Communications Corp	common stock	24,870	23,250
	Gilead Science	common stock	107,105	112,650
	Golar Lng Ptnrs Lp ULPI	common stock	88,800	90,750
	Google Inc Cl A	common stock	158,182	224,142
	Icahn Enterprises LP Dep Unit	common stock	69,500	54,705
	Pfizer Inc	common stock	98,420	122,520
	Relypsa Inc	common stock	82,833	75,000
	Safeway Inc	common stock	65,797	65,140
	Seadrill Ltd	common stock	85,951	82,160
	Seadrill Partners LLC	common stock	35,400	37,200
	Spirit Realty Capital Inc New	common stock	64,807	68,810
	T-Mobile US Inc Com	common stock	125,000	168,200
	Terex CP New Del	common stock	83,592	104,975
	Twenty-First Century Fox Cl A	common stock	42,909	52,755
	Zoetis Inc Class A	common stock	65,429	65,380
	Ares Capital Corp	closed-end fund	88,950	88,850
	Medallion Fincl Corp	closed-end fund	41,000	35,875
	SPDR Gold Tr Gold Shs	closed-end fund	181,341	121,926
	Sprott Physical Silver Tr	closed-end fund	78,900	45,390
	Cash	0% interest	2,640	2,640
	Morgan Stanley Bank	variable interest	401,618	401,618
	Morgan Stanley Private Bank	variable interest	245,000	245,000
	American Realty Cap PPTYS Inc	common stock	20,072	19,277
	Boeing Co	common stock	70,293	136,490