# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

**2013**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

**A** This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

**B** This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

**C** If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

**D** Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

## Part II Basic Plan Information—enter all requested information

**1a** Name of plan
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 01/01/1964

**2a** Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

MGH WIND DOWN INC

BENEFITS OFFICE

420 W MAGNETIC STREET
MARQUETTE, MI 49855

**2b** Employer Identification Number (EIN)
38-1358036

**2c** Sponsor's telephone number
906-225-3446

**2d** Business code (see instructions)
622000

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| **SIGN HERE** | Filed with authorized/valid electronic signature. | | |
| | **Signature of plan administrator** | Date | Enter name of individual signing as plan administrator |
| **SIGN HERE** | | | |
| | **Signature of employer/plan sponsor** | Date | Enter name of individual signing as employer or plan sponsor |
| **SIGN HERE** | | | |
| | **Signature of DFE** | Date | Enter name of individual signing as DFE |

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)
ROBIN RAUVALA CPA

MAKELA TOUTANT HILL & NARDI PC

201 W BLUFF STREET
MARQUETTE, MI 49855

Preparer's telephone number (optional)
906-228-3600

**3a** Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address

**3b** Administrator's EIN

**3c** Administrator's telephone number

**4** If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

**a** Sponsor's name

**4b** EIN

**4c** PN

| | | | |
|---|---|---|---|
| **5** | Total number of participants at the beginning of the plan year | **5** | 1464 |
| **6** | Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**). | | |
| **a** | Active participants | **6a** | 0 |
| **b** | Retired or separated participants receiving benefits | **6b** | 0 |
| **c** | Other retired or separated participants entitled to future benefits | **6c** | 1 |
| **d** | Subtotal. Add lines **6a**, **6b**, and **6c** | **6d** | 1 |
| **e** | Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. | **6e** | 0 |
| **f** | Total. Add lines **6d** and **6e**. | **6f** | 1 |
| **g** | Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | |
| **h** | Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | 0 |
| **7** | Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
1A

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

**9a** Plan funding arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

**9b** Plan benefit arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [X] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 2 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2013**

**This Form is Open to Public Inspection**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

**A** Name of plan
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
MGH WIND DOWN INC

**D** Employer Identification Number (EIN)
38-1358036

**Part I** **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier

THE PRUDENTIAL INSURANCE COMPANY OF AMERICA

| **(b)** EIN | **(c)** NAIC code | **(d)** Contract or identification number | **(e)** Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | **(f)** From | **(g)** To |
| 22-1211670 | 68241 | 048007 | 1460 | 01/01/2013 | 12/31/2013 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| **(a)** Total amount of commissions paid | **(b)** Total amount of fees paid |
|---|---|
| 0 | 0 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| 4 | Current value of plan's interest under this contract in the general account at year end | 4 | |
| 5 | Current value of plan's interest under this contract in separate accounts at year end | 5 | |

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

| | | | |
|---|---|---|---|
| b | Premiums paid to carrier | 6b | 98296297 |
| c | Premiums due but unpaid at the end of the year | 6c | |
| d | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶ | 6d | |

e Type of contract: (1) ☐ individual policies (2) ☒ group deferred annuity (3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☒

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee (3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| b | Balance at the end of the previous year | | | 7b | |
| c | Additions: (1) Contributions deposited during the year | 7c(1) | | | |
| | (2) Dividends and credits | 7c(2) | | | |
| | (3) Interest credited during the year | 7c(3) | | | |
| | (4) Transferred from separate account | 7c(4) | | | |
| | (5) Other (specify below) ▶ | 7c(5) | | | |
| | (6) Total additions | | | 7c(6) | 0 |
| d | Total of balance and additions (add lines **7b** and **7c(6)**). | | | 7d | |
| e | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | 7e(1) | | | |
| | (2) Administration charge made by carrier | 7e(2) | | | |
| | (3) Transferred to separate account | 7e(3) | | | |
| | (4) Other (specify below) ▶ | 7e(4) | | | |
| | (5) Total deductions | | | 7e(5) | 0 |
| f | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | 7f | |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount | | | **10b** | |

Specify nature of costs ▶

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

# SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

**2013**

**This Form is Open to Public Inspection**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

**A** Name of plan
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
MGH WIND DOWN INC

**D** Employer Identification Number (EIN)
38-1358036

## Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

**1** Coverage Information:

**(a)** Name of insurance carrier

METROPOLITAN LIFE INSURANCE COMPANY

| (b) EIN | (c) NAIC code | (d) Contract or identification number | (e) Approximate number of persons covered at end of policy or contract year | Policy or contract year | |
|---|---|---|---|---|---|
| | | | | (f) From | (g) To |
| 13-5581829 | 65978 | 39052 | 1491 | 01/01/2013 | 12/31/2013 |

**2** Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

| (a) Total amount of commissions paid | (b) Total amount of fees paid |
|---|---|
| 0 | 0 |

**3** Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | (e) Organization code |
|---|---|---|---|
| | (c) Amount | (d) Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| (b) Amount of sales and base commissions paid | Fees and other commissions paid | | (e) Organization code |
|---|---|---|---|
| | (c) Amount | (d) Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

**(a)** Name and address of the agent, broker, or other person to whom commissions or fees were paid

| **(b)** Amount of sales and base commissions paid | Fees and other commissions paid | | **(e)** Organization code |
|---|---|---|---|
| | **(c)** Amount | **(d)** Purpose | |
| | | | |

## Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

| | | | |
|---|---|---|---|
| 4 | Current value of plan's interest under this contract in the general account at year end | 4 | |
| 5 | Current value of plan's interest under this contract in separate accounts at year end | 5 | |

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶ SEE ATTACHED

| | | | |
|---|---|---|---|
| b | Premiums paid to carrier | 6b | 95250000 |
| c | Premiums due but unpaid at the end of the year | 6c | |
| d | If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. | 6d | |

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☒ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☒

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

| | | | | | |
|---|---|---|---|---|---|
| b | Balance at the end of the previous year | | | 7b | |
| c | Additions: (1) Contributions deposited during the year | 7c(1) | | | |
| | (2) Dividends and credits | 7c(2) | | | |
| | (3) Interest credited during the year | 7c(3) | | | |
| | (4) Transferred from separate account | 7c(4) | | | |
| | (5) Other (specify below) | 7c(5) | | | |
| | ▶ | | | | |
| | (6) Total additions | | | 7c(6) | 0 |
| d | Total of balance and additions (add lines **7b** and **7c(6)**). | | | 7d | |
| e | Deductions: | | | | |
| | (1) Disbursed from fund to pay benefits or purchase annuities during year | 7e(1) | | | |
| | (2) Administration charge made by carrier | 7e(2) | | | |
| | (3) Transferred to separate account | 7e(3) | | | |
| | (4) Other (specify below) | 7e(4) | | | |
| | ▶ | | | | |
| | (5) Total deductions | | | 7e(5) | 0 |
| f | Balance at the end of the current year (subtract line **7e(5)** from line **7d**) | | | 7f | |

## Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

**8** Benefit and contract type (check all applicable boxes)

**a** ☐ Health (other than dental or vision) **b** ☐ Dental **c** ☐ Vision **d** ☐ Life insurance
**e** ☐ Temporary disability (accident and sickness) **f** ☐ Long-term disability **g** ☐ Supplemental unemployment **h** ☐ Prescription drug
**i** ☐ Stop loss (large deductible) **j** ☐ HMO contract **k** ☐ PPO contract **l** ☐ Indemnity contract
**m** ☐ Other (specify) ▶

| | | | | |
|---|---|---|---|---|
| **9** Experience-rated contracts: | | | | |
| **a** Premiums: (1) Amount received | **9a(1)** | | | |
| (2) Increase (decrease) in amount due but unpaid | **9a(2)** | | | |
| (3) Increase (decrease) in unearned premium reserve | **9a(3)** | | | |
| (4) Earned (**(1)** + **(2)** - **(3)**) | | | **9a(4)** | |
| **b** Benefit charges (1) Claims paid | **9b(1)** | | | |
| (2) Increase (decrease) in claim reserves | **9b(2)** | | | |
| (3) Incurred claims (add **(1)** and **(2)**) | | | **9b(3)** | |
| (4) Claims charged | | | **9b(4)** | |
| **c** Remainder of premium: (1) Retention charges (on an accrual basis) -- | | | | |
| (A) Commissions | **9c(1)(A)** | | | |
| (B) Administrative service or other fees | **9c(1)(B)** | | | |
| (C) Other specific acquisition costs | **9c(1)(C)** | | | |
| (D) Other expenses | **9c(1)(D)** | | | |
| (E) Taxes | **9c(1)(E)** | | | |
| (F) Charges for risks or other contingencies | **9c(1)(F)** | | | |
| (G) Other retention charges | **9c(1)(G)** | | | |
| (H) Total retention | | | **9c(1)(H)** | |
| (2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.) | | | **9c(2)** | |
| **d** Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement | | | **9d(1)** | |
| (2) Claim reserves | | | **9d(2)** | |
| (3) Other reserves | | | **9d(3)** | |
| **e** Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.) | | | **9e** | |
| **10** Nonexperience-rated contracts: | | | | |
| **a** Total premiums or subscription charges paid to carrier | | | **10a** | |
| **b** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount | | | **10b** | |

Specify nature of costs ▶

## Part IV Provision of Information

**11** Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

**12** If the answer to line 11 is "Yes," specify the information not provided. ▶

# SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

**2013**

**This Form is Open to Public Inspection**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

**A** Name of plan
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
MGH WIND DOWN INC

**D** Employer Identification Number (EIN)
38-1358036

**E** Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

**F** Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

## Part I Basic Information

| | | | | |
|---|---|---|---|---|
| **1** | Enter the valuation date: Month 01 Day 01 Year 2013 | | | |
| **2** | Assets: | | | |
| | **a** Market value | **2a** | | 193893277 |
| | **b** Actuarial value | **2b** | | 195589448 |
| **3** | Funding target/participant count breakdown: | | **(1)** Number of participants | **(2)** Funding Target |
| | **a** For retired participants and beneficiaries receiving payment | **3a** | 942 | 110458130 |
| | **b** For terminated vested participants | **3b** | 301 | 13473845 |
| | **c** For active participants: | | | |
| | **(1)** Non-vested benefits | **3c(1)** | | 1068997 |
| | **(2)** Vested benefits | **3c(2)** | | 17909133 |
| | **(3)** Total active | **3c(3)** | 221 | 18978130 |
| | **d** Total | **3d** | 1464 | 142910105 |
| **4** | If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ] | | | |
| | **a** Funding target disregarding prescribed at-risk assumptions | **4a** | | |
| | **b** Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor | **4b** | | |
| **5** | Effective interest rate | **5** | | 6.28 % |
| **6** | Target normal cost | **6** | | 93028 |

**Statement by Enrolled Actuary**

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

**SIGN HERE**

09/12/2014
Signature of actuary — Date

TIMOTHY HEDLUND, EA, MAAA
Type or print name of actuary

14-04791
Most recent enrollment number

AON HEWITT
Firm name

314-719-3803
Telephone number (including area code)

8182 MARYLAND AVENUE, SUITE 1400
ST LOUIS, MO 63105
Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

## Part II Beginning of Year Carryover and Prefunding Balances

| | | (a) Carryover balance | (b) Prefunding balance |
|---|---|---|---|
| 7 | Balance at beginning of prior year after applicable adjustments (line 13 from prior year) | | 68151316 |
| 8 | Portion elected for use to offset prior year's funding requirement (line 35 from prior year) | | |
| 9 | Amount remaining (line 7 minus line 8) | | 68151316 |
| 10 | Interest on line 9 using prior year's actual return of 7.92 % | | 5397584 |
| 11 | Prior year's excess contributions to be added to prefunding balance: | | |
| a | Present value of excess contributions (line 38a from prior year) | | 2276058 |
| b | Interest on (a) using prior year's effective interest rate of 7.10 % except as otherwise provided (see instructions) | | 161600 |
| c | Total available at beginning of current plan year to add to prefunding balance | | 2437658 |
| d | Portion of (c) to be added to prefunding balance | | |
| 12 | Other reductions in balances due to elections or deemed elections | | 23548900 |
| 13 | Balance at beginning of current year (line 9 + line 10 + line 11d – line 12) | 0 | 50000000 |

## Part III Funding Percentages

| | | | |
|---|---|---|---|
| 14 | Funding target attainment percentage | 14 | 101.87 % |
| 15 | Adjusted funding target attainment percentage | 15 | 136.86 % |
| 16 | Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement | 16 | 101.23 % |
| 17 | If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage | 17 | % |

## Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

| (a) Date (MM-DD-YYYY) | (b) Amount paid by employer(s) | (c) Amount paid by employees | (a) Date (MM-DD-YYYY) | (b) Amount paid by employer(s) | (c) Amount paid by employees |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | Totals ► 18(b) | | 18(c) |

| | | | |
|---|---|---|---|
| 19 | Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year: | | |
| a | Contributions allocated toward unpaid minimum required contributions from prior years. | 19a | |
| b | Contributions made to avoid restrictions adjusted to valuation date | 19b | |
| c | Contributions allocated toward minimum required contribution for current year adjusted to valuation date | 19c | |

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

| (1) 1st | (2) 2nd | (3) 3rd | (4) 4th |
|---|---|---|---|
| | | | |

## Part V Assumptions Used to Determine Funding Target and Target Normal Cost

**21** Discount rate:

**a** Segment rates:

| 1st segment: | 2nd segment: | 3rd segment: | |
|---|---|---|---|
| 4.94% | 6.15% | 6.76 % | ☐ N/A, full yield curve used |

| | | |
|---|---|---|
| **b** Applicable month (enter code) | **21b** | 4 |
| **22** Weighted average retirement age | **22** | 61 |

**23** Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

## Part VI Miscellaneous Items

| | | |
|---|---|---|
| **24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment | | ☐ Yes ☒ No |
| **25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment | | ☐ Yes ☒ No |
| **26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment | | ☒ Yes ☐ No |
| **27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment | **27** | |

## Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

| | | |
|---|---|---|
| **28** Unpaid minimum required contributions for all prior years | **28** | |
| **29** Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) | **29** | |
| **30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29) | **30** | 0 |

## Part VIII Minimum Required Contribution For Current Year

**31** Target normal cost and excess assets (see instructions):

| | | |
|---|---|---|
| **a** Target normal cost (line 6) | **31a** | 93028 |
| **b** Excess assets, if applicable, but not greater than line 31a | **31b** | 93028 |

**32** Amortization installments:

| | Outstanding Balance | Installment |
|---|---|---|
| **a** Net shortfall amortization installment | | |
| **b** Waiver amortization installment | | |

| | | |
|---|---|---|
| **33** If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____ ) and the waived amount | **33** | |
| **34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) | **34** | |

| | Carryover balance | Prefunding balance | Total balance |
|---|---|---|---|
| **35** Balances elected for use to offset funding requirement | | | |

| | | |
|---|---|---|
| **36** Additional cash requirement (line 34 minus line 35) | **36** | |
| **37** Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) | **37** | |
| **38** Present value of excess contributions for current year (see instructions) | | |
| **a** Total (excess, if any, of line 37 over line 36) | **38a** | |
| **b** Portion included in line 38a attributable to use of prefunding and funding standard carryover balances | **38b** | |
| **39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) | **39** | |
| **40** Unpaid minimum required contributions for all years | **40** | |

## Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

**41** If an election was made to use PRA 2010 funding relief for this plan:

**a** Schedule elected ☒ 2 plus 7 years ☐ 15 years

**b** Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☒ 2010 ☒ 2011

| | | |
|---|---|---|
| **42** Amount of acceleration adjustment | **42** | |
| **43** Excess installment acceleration amount to be carried over to future plan years | **43** | |

| SCHEDULE C (Form 5500) | Service Provider Information | OMB No. 1210-0110 |
|---|---|---|
| Department of the Treasury<br>Internal Revenue Service<br>Department of Labor<br>Employee Benefits Security Administration<br>Pension Benefit Guaranty Corporation | This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).<br>▶ **File as an attachment to Form 5500.** | **2013**<br>**This Form is Open to Public Inspection.** |

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

| **A** Name of plan | **B** Three-digit plan number (PN) ▶ | |
|---|---|---|
| MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN | | 001 |
| **C** Plan sponsor's name as shown on line 2a of Form 5500 | **D** Employer Identification Number (EIN) | |
| MGH WIND DOWN INC | 38-1358036 | |

## Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

### 1 Information on Persons Receiving Only Eligible Indirect Compensation

**a** Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . ☐ Yes ☒ No

**b** If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

RYAN LABS INC

13-3451974

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 162118 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

CAPTRUST ADVISORS LLC

45-0479693

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 16 17 27 34 38 49 50 70 | NONE | 140214 | Yes [ ] No [X] | Yes [ ] No [ ] | | Yes [ ] No [ ] |

**(a)** Enter name and EIN or address (see instructions)

WELLS FARGO BANK NA

94-1347393

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 19 21 50 63 | NONE | 48675 | Yes [X] No [ ] | Yes [ ] No [X] | 13853 | Yes [ ] No [X] |

**2. Information on Other Service Providers Receiving Direct or Indirect Compensation.** Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

**(a)** Enter name and EIN or address (see instructions)

LAZARD ASSET MANAGEMENT

05-0530199

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| 28 51 | NONE | 11649 | Yes ☐ No ☒ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

**(a)** Enter name and EIN or address (see instructions)

| (b) Service Code(s) | (c) Relationship to employer, employee organization, or person known to be a party-in-interest | (d) Enter direct compensation paid by the plan. If none, enter -0-. | (e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-. | (h) Did the service provider give you a formula instead of an amount or estimated amount? |
|---|---|---|---|---|---|---|
| | | | Yes ☐ No ☐ | Yes ☐ No ☐ | | Yes ☐ No ☐ |

## Part I Service Provider Information (continued)

**3** If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

| **(a)** Enter service provider name as it appears on line 2 | **(b)** Service Codes (see instructions) | **(c)** Enter amount of indirect compensation |
|---|---|---|
| | | |
| **(d)** Enter name and EIN (address) of source of indirect compensation | **(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation. | |
| | | |

## Part II Service Providers Who Fail or Refuse to Provide Information

**4** Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

| (a) Enter name and EIN or address of service provider (see instructions) | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
|---|---|---|
| | | |

## Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

| | | |
|---|---|---|
| **a** | Name: | **b** EIN: |
| **c** | Position: | |
| **d** | Address: | **e** Telephone: |

Explanation:

# SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2013**

**This Form is Open to Public Inspection**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

**A** Name of plan
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
MGH WIND DOWN INC

**D** Employer Identification Number (EIN)
38-1358036

## Part I Asset and Liability Statement

**1** Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

| Assets | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|
| **a** Total noninterest-bearing cash | 1a | | |
| **b** Receivables (less allowance for doubtful accounts): | | | |
| **(1)** Employer contributions | 1b(1) | | |
| **(2)** Participant contributions | 1b(2) | | |
| **(3)** Other | 1b(3) | 1172596 | |
| **c** General investments: | | | |
| **(1)** Interest-bearing cash (include money market accounts & certificates of deposit) | 1c(1) | 21545616 | 7592 |
| **(2)** U.S. Government securities | 1c(2) | 64737001 | |
| **(3)** Corporate debt instruments (other than employer securities): | | | |
| **(A)** Preferred | 1c(3)(A) | | |
| **(B)** All other | 1c(3)(B) | 93188937 | |
| **(4)** Corporate stocks (other than employer securities): | | | |
| **(A)** Preferred | 1c(4)(A) | | |
| **(B)** Common | 1c(4)(B) | 2929162 | |
| **(5)** Partnership/joint venture interests | 1c(5) | | |
| **(6)** Real estate (other than employer real property) | 1c(6) | | |
| **(7)** Loans (other than to participants) | 1c(7) | | |
| **(8)** Participant loans | 1c(8) | | |
| **(9)** Value of interest in common/collective trusts | 1c(9) | | |
| **(10)** Value of interest in pooled separate accounts | 1c(10) | | |
| **(11)** Value of interest in master trust investment accounts | 1c(11) | | |
| **(12)** Value of interest in 103-12 investment entities | 1c(12) | | |
| **(13)** Value of interest in registered investment companies (e.g., mutual funds) | 1c(13) | 13630007 | |
| **(14)** Value of funds held in insurance company general account (unallocated contracts) | 1c(14) | | |
| **(15)** Other | 1c(15) | 372695 | |

| | | | (a) Beginning of Year | (b) End of Year |
|---|---|---|---|---|
| **1d** | Employer-related investments: | | | |
| | **(1)** Employer securities | 1d(1) | | |
| | **(2)** Employer real property | 1d(2) | | |
| **e** | Buildings and other property used in plan operation | 1e | | |
| **f** | Total assets (add all amounts in lines 1a through 1e) | 1f | 197576014 | 7592 |
| | **Liabilities** | | | |
| **g** | Benefit claims payable | 1g | | |
| **h** | Operating payables | 1h | | |
| **i** | Acquisition indebtedness | 1i | | |
| **j** | Other liabilities | 1j | 3682736 | |
| **k** | Total liabilities (add all amounts in lines 1g through1j) | 1k | 3682736 | |
| | **Net Assets** | | | |
| **l** | Net assets (subtract line 1k from line 1f) | 1l | 193893278 | 7592 |

## Part II Income and Expense Statement

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

| | Income | | (a) Amount | (b) Total |
|---|---|---|---|---|
| **a** | **Contributions:** | | | |
| | **(1)** Received or receivable in cash from: **(A)** Employers | 2a(1)(A) | 12503169 | |
| | **(B)** Participants | 2a(1)(B) | | |
| | **(C)** Others (including rollovers) | 2a(1)(C) | | |
| | **(2)** Noncash contributions | 2a(2) | | |
| | **(3)** Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)** | 2a(3) | | 12503169 |
| **b** | **Earnings on investments:** | | | |
| | **(1)** Interest: | | | |
| | **(A)** Interest-bearing cash (including money market accounts and certificates of deposit) | 2b(1)(A) | 1668 | |
| | **(B)** U.S. Government securities | 2b(1)(B) | 402574 | |
| | **(C)** Corporate debt instruments | 2b(1)(C) | 1892792 | |
| | **(D)** Loans (other than to participants) | 2b(1)(D) | | |
| | **(E)** Participant loans | 2b(1)(E) | | |
| | **(F)** Other | 2b(1)(F) | 58899 | |
| | **(G)** Total interest. Add lines **2b(1)(A)** through **(F)** | 2b(1)(G) | | 2355933 |
| | **(2)** Dividends: **(A)** Preferred stock | 2b(2)(A) | | |
| | **(B)** Common stock | 2b(2)(B) | 60982 | |
| | **(C)** Registered investment company shares (e.g. mutual funds) | 2b(2)(C) | 130361 | |
| | **(D)** Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)** | 2b(2)(D) | | 191343 |
| | **(3)** Rents | 2b(3) | | |
| | **(4)** Net gain (loss) on sale of assets: **(A)** Aggregate proceeds | 2b(4)(A) | 584354650 | |
| | **(B)** Aggregate carrying amount (see instructions) | 2b(4)(B) | 594426753 | |
| | **(C)** Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result | 2b(4)(C) | | -10072103 |
| | **(5)** Unrealized appreciation (depreciation) of assets: **(A)** Real estate | 2b(5)(A) | | |
| | **(B)** Other | 2b(5)(B) | -73930 | |
| | **(C)** Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)** | 2b(5)(C) | | -73930 |

| | | (a) Amount | (b) Total |
|---|---|---|---|
| (6) Net investment gain (loss) from common/collective trusts | 2b(6) | | |
| (7) Net investment gain (loss) from pooled separate accounts | 2b(7) | | |
| (8) Net investment gain (loss) from master trust investment accounts | 2b(8) | | |
| (9) Net investment gain (loss) from 103-12 investment entities | 2b(9) | | |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) | 2b(10) | | 1299305 |
| c Other income | 2c | | 34862 |
| d Total income. Add all **income** amounts in column (b) and enter total | 2d | | 6238579 |
| **Expenses** | | | |
| e Benefit payment and payments to provide benefits: | | | |
| (1) Directly to participants or beneficiaries, including direct rollovers | 2e(1) | 6192694 | |
| (2) To insurance carriers for the provision of benefits | 2e(2) | 193552719 | |
| (3) Other | 2e(3) | | |
| (4) Total benefit payments. Add lines **2e(1)** through **(3)** | 2e(4) | | 199745413 |
| f Corrective distributions (see instructions) | 2f | | |
| g Certain deemed distributions of participant loans (see instructions) | 2g | | |
| h Interest expense | 2h | | |
| i Administrative expenses: (1) Professional fees | 2i(1) | | |
| (2) Contract administrator fees | 2i(2) | | |
| (3) Investment advisory and management fees | 2i(3) | 378852 | |
| (4) Other | 2i(4) | | |
| (5) Total administrative expenses. Add lines **2i(1)** through **(4)** | 2i(5) | | 378852 |
| j Total expenses. Add all **expense** amounts in column (b) and enter total | 2j | | 200124265 |
| **Net Income and Reconciliation** | | | |
| k Net income (loss). Subtract line **2j** from line **2d** | 2k | | -193885686 |
| l Transfers of assets: | | | |
| (1) To this plan | 2l(1) | | |
| (2) From this plan | 2l(2) | | |

## Part III Accountant's Opinion

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

**(1)** [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

**(1)** Name: MAKELA TOUTANT HILL & NARDI PC **(2)** EIN: 38-2806590

**d** The opinion of an independent qualified public accountant is **not attached** because:

**(1)** [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

## Part IV Compliance Questions

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

| | | Yes | No | Amount |
|---|---|---|---|---|
| **a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | 4a | | X | |
| **b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) | 4b | | X | |

| | | | Yes | No | Amount |
|---|---|---|---|---|---|
| c | Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) | 4c | | X | |
| d | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) | 4d | | X | |
| e | Was this plan covered by a fidelity bond? | 4e | X | | 500000 |
| f | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | 4f | | X | |
| g | Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? | 4g | | X | |
| h | Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? | 4h | | X | |
| i | Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) | 4i | X | | |
| j | Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.) | 4j | X | | |
| k | Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | 4k | | X | |
| l | Has the plan failed to provide any benefit when due under the plan? | 4l | | X | |
| m | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | 4m | | X | |
| n | If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. | 4n | | | |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ **Yes** ☒ **No** **Amount:**

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| **5b(1)** Name of plan(s) | **5b(2)** EIN(s) | **5b(3)** PN(s) |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

**5c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☐ Yes ☐ No ☐ Not determined

## Part V Trust Information (optional)

| **6a** Name of trust | **6b** Trust's EIN |
|---|---|
| | |

# SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

**2013**

**This Form is Open to Public Inspection.**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

**A** Name of plan
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN

**B** Three-digit plan number (PN) ▶ 001

**C** Plan sponsor's name as shown on line 2a of Form 5500
MGH WIND DOWN INC

**D** Employer Identification Number (EIN)
38-1358036

## Part I Distributions

**All references to distributions relate only to payments of benefits during the plan year.**

**1** Total value of distributions paid in property other than in cash or the forms of property specified in the instructions ..... **1**

**2** Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 41-6257133

**Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.**

**3** Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year ..... **3** 10

## Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

**4** Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☒ **No** ☐ **N/A**

**If the plan is a defined benefit plan, go to line 8.**

**5** If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

**If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.**

**6 a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) ..... **6a**

**b** Enter the amount contributed by the employer to the plan for this plan year ..... **6b**

**c** Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) ..... **6c**

**If you completed line 6c, skip lines 8 and 9.**

**7** Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

**8** If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☒ **N/A**

## Part III Amendments

**9** If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☒ **No**

## Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

**10** Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

**11 a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

**b** If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

**12** Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

## Part V Additional Information for Multiemployer Defined Benefit Pension Plans

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

**a** Name of contributing employer

**b** EIN **c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

**e** Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

**14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

| | | |
|---|---|---|
| **a** The current year | **14a** | |
| **b** The plan year immediately preceding the current plan year | **14b** | |
| **c** The second preceding plan year | **14c** | |

**15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

| | | |
|---|---|---|
| **a** The corresponding number for the plan year immediately preceding the current plan year | **15a** | |
| **b** The corresponding number for the second preceding plan year | **15b** | |

**16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

| | | |
|---|---|---|
| **a** Enter the number of employers who withdrew during the preceding plan year | **16a** | |
| **b** If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers | **16b** | |

**17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

## Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

**18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

**19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

**a** Enter the percentage of plan assets held as:
Stock: 8.1% Investment-Grade Debt: 5.4% High-Yield Debt: 0.0% Real Estate: 0.0% Other: 86.5%

**b** Provide the average duration of the combined investment-grade and high-yield debt:
☒ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

**c** What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☒ Modified duration ☐ Other (specify):

Audited Financial Statements
and Supplementary Information

# MARQUETTE GENERAL HOSPITAL, INC.
# RETIREMENT PLAN

December 31, 2013

Audited Financial Statements and Supplementary Information

# MARQUETTE GENERAL HOSPITAL, INC.
# RETIREMENT PLAN

December 31, 2013

Audited Financial Statements


Report of Independent Auditors ..... 1
Statements of Net Assets Available for Benefits ..... 3
Statements of Changes in Net Assets Available for Benefits ..... 4
Notes to Financial Statements ..... 5


Supplementary Information


Schedule H, Line 4i--Schedule of Assets Held at End of Year ..... 13
Schedule H, Line 4j--Schedule of Reportable Transactions ..... 14

MAKELA, TOUTANT, HILL & NARDI, P.C.
CERTIFIED PUBLIC ACCOUNTANTS

201 West Bluff Street
Marquette, Michigan 49855

Members
American Institute of CPA's
Michigan Association of CPA's

# REPORT OF INDEPENDENT AUDITORS

Board of Trustees
MGH Wind Down, Inc.
Marquette, Michigan

We were engaged to audit the accompanying financial statements of Marquette General Hospital Inc., Retirement Plan (Plan), which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

## Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

## Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

## Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note E, which was certified by Wells Fargo Bank, the Trustee of the Plan, except for comparing the information with the related information in the financial statements. We have been informed by the Plan administrator that the Trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the Trustee as of and for the years ended December 31, 2013 and 2012, that the information provided to the Plan administrator by the Trustee is complete and accurate.



*Our goal is to help you meet yours!*

◆ Phone: (906) 228-3600 ◆ Fax: (906) 228-3113 ◆ Wats: (800) 228-0554 ◆ E-Mail: mthn@mthn.com ◆

Board of Trustees
MGH Wind Down, Inc.

**Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

**Other Matter**

The supplemental Schedule of Assets Held at End of Year and Schedule of Reportable Transactions are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

**Report on Form and Content in Compliance With DOL Rules and Regulations**

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the Trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Makela, Toutant, Hill & Nardi, P.C.

Marquette, Michigan

October 9, 2014

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

**MARQUETTE GENERAL HOSPITAL, INC.**
**RETIREMENT PLAN**

| | December 31 2013 | 2012 |
|---|---|---|
| ASSETS | | |
| Marketable securities, at fair value | | |
| Cash and cash equivalents | $ 7,592 | $ 21,545,616 |
| United States Government and Agencies securities | | 64,737,001 |
| Corporate bonds and notes | | 93,188,937 |
| Common stock--ADRs | | 2,929,162 |
| Mutual funds | | 13,630,007 |
| Municipal bonds | | 372,695 |
| TOTAL ASSETS HELD FOR INVESTMENT | 7,592 | 196,403,418 |
| Receivables | | |
| Interest receivable | | 1,172,596 |
| TOTAL RECEIVABLES | 0 | 1,172,596 |
| TOTAL ASSETS | 7,592 | 197,576,014 |
| LIABILITIES | | |
| Due to Lifepoint Marquette Pension Plan | | 3,682,736 |
| TOTAL LIABILITIES | 0 | 3,682,736 |
| NET ASSETS AVAILABLE FOR BENEFITS | $ 7,592 | $ 193,893,278 |

See notes to financial statements.

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

**MARQUETTE GENERAL HOSPITAL, INC.**
**RETIREMENT PLAN**

| | Year Ended December 31 | |
|---|---|---|
| | 2013 | 2012 |
| ADDITIONS | | |
| Employer contributions | $ 12,503,169 | $ 95,471,205 |
| Employee contributions | | 636,857 |
| Interest and dividend income | 2,582,138 | 4,817,052 |
| Net appreciation (depreciation) in fair value of investments | (8,846,728) | 7,634,585 |
| TOTAL ADDITIONS | 6,238,579 | 108,559,699 |
| DEDUCTIONS | | |
| Benefit payments | 6,192,694 | 82,369,634 |
| Purchases of annuity contracts | 193,552,719 | |
| PBGC premium | | 119,770 |
| Investment management and custodial fees | 378,852 | 697,394 |
| TOTAL DEDUCTIONS | 200,124,265 | 83,186,798 |
| NET INCREASE (DECREASE) | (193,885,686) | 25,372,901 |
| Transfer of plan assets due to spin-off of new plan | | (14,435,065) |
| Net assets available for benefits at beginning of year | 193,893,278 | 182,955,442 |
| NET ASSETS AVAILABLE FOR BENEFITS AT END OF YEAR | $ 7,592 | $ 193,893,278 |

See notes to financial statements.

**MARQUETTE GENERAL HOSPITAL, INC.**
**RETIREMENT PLAN**

December 31, 2013

## NOTE A--SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting: The accompanying financial statements are prepared on the accrual basis of accounting.

Cash and Cash Equivalents: Money market mutual funds and investments with an original maturity of less than three months are considered cash equivalents.

Investments: Marketable securities are stated at aggregate current market value as quoted in national publications. The change in the difference between the current value and the cost of investments (unrealized gains or losses) and the realized gain or loss on investments (the difference between the proceeds received and the adjusted cost of specific investments sold or matured) is reflected in the statements of changes in net assets available for benefits as net appreciation or depreciation in the aggregate current value of securities.

Actuarial Present Value of Accumulated Plan Benefits: Accumulated Plan benefits (see Note C) are those estimated future periodic payments that are attributable under the Plan's provisions to services rendered by the employees to the valuation date. Accumulated Plan benefits include those expected to be paid to retired or terminated employees or their beneficiaries; beneficiaries of employees who have died; and, present employees or their beneficiaries. Benefits for retired, terminated, and active employees or their beneficiaries are based on the employee's highest consecutive sixty months of credited service compensation. Benefits payable under all circumstances--retirement, death, disability, and termination of employment--are included to the extent they are deemed attributable to employee services rendered to the valuation date.

Use of Estimates: The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

Risks and Uncertainties: The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statement of net assets available for benefits.

Subsequent Events: Subsequent events were evaluated through October 9, 2014, which is the date the financial statements were available to be issued.

## MARQUETTE GENERAL HOSPITAL, INC.
## RETIREMENT PLAN

### NOTE A--SIGNIFICANT ACCOUNTING POLICIES--Continued

Administration: There are certain administrative functions which are performed by employees of Marquette General Hospital, Inc., d/b/a Marquette General Health System (the System). No such employee receives compensation from the Plan. The System pays for various expenses incurred in administering the Plan. During the years ended December 31, 2013 and 2012, these administrative expenses approximated $338,000 and $455,000, respectively.

### NOTE B--DESCRIPTION OF THE PLAN

The following Plan description detail was applicable through September 1, 2012. See Note G--Asset Purchase Agreement for plan information subsequent to September 1, 2012.

The Marquette General Hospital, Inc., Retirement Plan (Plan) is a defined benefit plan which provides pension and disability benefits. The Plan covers substantially all employees of the System hired prior to January 1, 2006. Generally, employees hired after December 31, 2005, will not be eligible to participate in the Plan, except for certain employees covered under a collective bargaining unit who will be eligible to participate if hired prior to June 1, 2007. The System has agreed to voluntarily contribute such amounts as are necessary to provide assets sufficient to meet the benefits to be paid to Plan members. The System has the right under the Plan to discontinue such contributions at any time and terminate the Plan. However, the Pension Benefits Guaranty Corporation (PBGC) guarantees the payment of all non-forfeitable basic benefits subject to certain limitations prescribed by the Employee Retirement Income Security Act of 1974 (ERISA). Should the Plan terminate at some future time, its net assets generally will not be available on a pro rata basis to provide participants' benefits. Whether a particular participant's accumulated Plan benefits will be paid depends on both the priority of those benefits and the level of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC, while other benefits may not be provided for at all. The Plan is also insured by a fidelity bond against losses through fraud or dishonesty for a maximum recoverable loss of $500,000.

Contributions by the System are made in amounts sufficient to fund the Plan's current service cost, on a current basis, and to fund the initial past service costs plus interest thereon over a period of thirty years. The Plan has met the ERISA minimum funding requirements.

Effective November 1, 2010, employees under the collective bargaining agreement (bargaining employees) no longer continue to accrue benefits under the Plan unless they elect to continue to accrue benefits. In order to continue to accrue benefits, bargaining employees will be required to annually pay a contribution equal to six percent of their compensation into the Plan. Those not choosing this option will no longer accrue benefits under the Plan, but will be eligible to participate in the 401(k) defined contribution plan where the System will match contributions of up to four percent of compensation.

## MARQUETTE GENERAL HOSPITAL, INC. RETIREMENT PLAN

### NOTE B--DESCRIPTION OF THE PLAN--Continued

Generally, benefits are determined, under the terms of the Plan, in effect at the time of an employee's retirement or termination of employment. Information about the Plan agreement, the vesting and benefit provisions, and the PBGC's benefit guarantee are contained in the Plan's Summary Plan Description. Copies of the Summary Plan Description are available from the Plan administrator.

### NOTE C--ACCUMULATED PLAN BENEFITS

The Plan's actuary estimates the actuarial present value of accumulated Plan benefits, which is the amount that results from applying actuarial assumptions to adjust the accumulated Plan benefits earned by the participants to reflect the time value of money (through discounts for interest), and the probability of payment (by means of decrements such as for death, disability, withdrawal, or retirement) between the valuation date and the expected date of payment.

The accumulated Plan benefit information, as of the two most recent valuation dates, is as follows:

| | January 1 | |
|---|---|---|
| | 2013 | 2012 |
| Actuarial present value of accumulated plan benefits | | |
| Vested benefits | | |
| Participants currently receiving payments | $ 95,811,391 | $ 78,553,903 |
| Other participants | 24,645,883 | 97,605,016 |
| | 120,457,274 | 176,158,919 |
| Non-vested benefits | 981,186 | 4,997,639 |
| ACTUARIAL PRESENT VALUE OF ACCUMULATED PLAN BENEFITS | $ 121,438,460 | $ 181,156,558 |

The components of the change in actuarial present value of accumulated Plan benefits are as follows:

| | | |
|---|---|---|
| Actuarial present value of accumulated plan benefits as of January 1, 2012 and 2011 | $ 181,156,558 | $ 172,332,372 |
| Increase (Decrease) during the year due to: | | |
| Benefits paid | (97,254,149) | (7,925,242) |
| Interest due to decrease in discount period | 10,677,197 | 13,475,679 |
| Other–benefits accumulated | 26,698,266 | 3,036,655 |
| Change in actuarial assumptions | 160,588 | 237,094 |
| ACTUARIAL PRESENT VALUE OF ACCUMULATED PLAN BENEFITS AS OF JANUARY 1, 2013 AND 2012 | $ 121,438,460 | $ 181,156,558 |

**MARQUETTE GENERAL HOSPITAL, INC.**
**RETIREMENT PLAN**

**NOTE C--ACCUMULATED PLAN BENEFITS--Continued**

As described in Note G, the Plan sponsor was acquired by an unrelated for profit entity on September 1, 2012. The Purchase Agreement included the transfer of Plan assets and benefit obligation attributable to active participants to a "Spin-Off Plan". An actuary calculated the value of the Plan assets to be transferred to be $14,435,065 and the actuarial present value of accumulated plan benefits transferred to be $16,698,356.

Significant assumptions underlying the actuarial computations are:

| Description | Assumption |
|---|---|
| Investment Return | Average rate of return of eight percent. The assumed interest rate is the assumed net rate of return after payment of any expenses or fees charged to the Plan. |
| Retirement Age | The weighted average retirement age is 61. |
| Mortality Basis | In accordance with 2013 static mortality table for annuitants and non-annuitants for the 2013 valuation.<br><br>In accordance with 2012 static mortality table for annuitants and non-annuitants for the 2012 valuation. |

These actuarial assumptions were based on the presumption that the Plan would continue, as the decision to terminate the plan was made after the date of the most recent valuation (January 1, 2013).

**NOTE D--INVESTMENTS**

The Plan's investments are reported at fair value in the accompanying statements of net assets available for benefits. The Fair Value Measurements and Disclosures Topic of the Financial Accounting Standards Board Accounting Standards Codification establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority; Level 2 inputs consist of observable inputs other than quoted prices for identical assets; Level 3 inputs consist of unobservable inputs and have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. Level 3 inputs are used only when Level 1 or Level 2 inputs were not available.

**MARQUETTE GENERAL HOSPITAL, INC.**
**RETIREMENT PLAN**

**NOTE D--INVESTMENTS--Continued**

Level 1 Fair Value Measurements

The fair values of cash and cash equivalents, U.S. government securities, mutual funds, and common stock are based on quoted market prices.

Level 2 Fair Value Measurements

The fair values of municipal bonds and corporate bonds and notes are based on inputs other than directly observable quoted prices. The inputs include quoted prices for similar assets in active markets, and other inputs such as interest rates and yield curves that are observable at commonly quoted intervals.

As of December 31, 2013 and 2012, all of the Plan's investments were valued using either Level 1 or Level 2 inputs as noted:

| | Fair Value | Quoted Prices in Active Markets for Identical Assets (Level 1) | Significant Other Observable Inputs (Level 2) |
|---|---|---|---|
| December 31, 2013: | | | |
| Cash and cash equivalents | $ 7,592 | $ 7,592 | $ 0 |

| | Fair Value | Quoted Prices in Active Markets for Identical Assets (Level 1) | Significant Other Observable Inputs (Level 2) |
|---|---|---|---|
| December 31, 2012: | | | |
| Cash and cash equivalents | $ 21,545,616 | $ 21,545,616 | |
| United States Government and Agencies securities | 64,737,001 | 64,737,001 | |
| Corporate bonds and notes | 93,188,937 | | $ 93,188,937 |
| Common stock--ADRs | 2,929,162 | 2,929,162 | |
| Mutual funds | 13,630,007 | 13,630,007 | |
| Municipal bonds | 372,695 | | 372,695 |
| | $ 196,403,418 | $ 102,841,786 | $ 93,561,632 |

**MARQUETTE GENERAL HOSPITAL, INC.**
**RETIREMENT PLAN**

**NOTE D--INVESTMENTS--Continued**

During 2013 and 2012, the Plan's investments, including gains and losses on investments bought and sold, as well as held during the year, appreciated and (depreciated) in fair value by $(8,846,728) and $7,634,585, respectively, and are as follows:

| Year Ended December 31, 2013 | Net Appreciation (Depreciation) In Fair Value During Year | Fair Value At End of Year |
|---|---|---|
| Fair value as determined by quoted market price: | | |
| Cash and cash equivalents | $ 0 | $ 7,592 |
| United States Government and Agencies securities | (6,343,702) | 0 |
| Corporate bonds and notes | (3,812,786) | 0 |
| Common stock--ADRs | 20,090 | 0 |
| Mutual funds | 1,299,305 | 0 |
| Municipal bonds | (9,635) | 0 |
| | $ (8,846,728) | $ 7,592 |

| Year Ended December 31, 2012 | Net Appreciation (Depreciation) In Fair Value During Year | Fair Value At End of Year |
|---|---|---|
| Fair value as determined by quoted market price: | | |
| Cash and cash equivalents | | $ 21,545,616 |
| United States Government and Agencies securities | $ (2,929,431) | 64,737,001 |
| Corporate bonds and notes | 2,206,220 | 93,188,937 |
| Common stock--ADRs | 5,541,637 | 2,929,162 |
| Mutual funds | 2,832,458 | 13,630,007 |
| Municipal bonds | (16,299) | 372,695 |
| | $ 7,634,585 | $ 196,403,418 |

**MARQUETTE GENERAL HOSPITAL, INC.**
**RETIREMENT PLAN**

**NOTE D--INVESTMENTS--Continued**

The following investment represents five percent or more of the Plan's net assets at December 31, 2013:

| | Market Value |
|---|---|
| Federated Government Obligation | $ 7,592 |

The following investments represent five percent or more of the Plan's net assets at December 31, 2012:

| | Market Value |
|---|---|
| Wells Fargo Advantage | $ 16,750,479 |
| US Treasury Bond | $ 18,469,937 |

**NOTE E--INFORMATION PREPARED AND CERTIFIED BY TRUSTEE**

The following information included in the accompanying financial statements and supplemental schedules was obtained from data that has been prepared and certified to as complete and accurate by the Trustee in accordance with 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA as of December 31, 2013.

| | December 31 2013 | December 31 2012 |
|---|---|---|
| Investments, at fair value: | | |
| Cash and cash equivalents | $ 7,592 | $ 21,545,616 |
| United States Government and Agencies securities | $ 0 | 64,737,001 |
| Corporate bonds and notes | $ 0 | 93,188,937 |
| Common stock--ADRs | $ 0 | 2,929,162 |
| Mutual funds | $ 0 | 13,630,007 |
| Municipal bonds | $ 0 | 372,695 |
| Interest receivable | $ 0 | $ 1,172,596 |
| Investment income: | | |
| Interest and dividend income | $ 2,582,138 | $ 4,817,052 |
| Net appreciation (depreciation) in fair value of investments | $ (8,846,728) | $ 7,634,585 |

## MARQUETTE GENERAL HOSPITAL, INC.
## RETIREMENT PLAN

### NOTE F--INCOME TAX STATUS

Prior to the termination of the Plan (see Note H) the Plan obtained its latest determination letter on July 26, 2007, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since receiving the determination letter; however, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

The Plan administrator believes the Plan is no longer subject to income tax examinations for years prior to 2010.

### NOTE G--ASSET PURCHASE AGREEMENT

On June 28, 2012, the System (Seller) entered into an Asset Purchase Agreement (Agreement) with DLP Marquette Holding Company, LLC (Buyer), an unrelated, for profit healthcare entity. The Agreement called for the acquisition of substantially all of the assets of the Seller by the Buyer. The Asset Purchase Agreement provided for the full funding of the Plan. The transaction was completed effective September 1, 2012, on which date the System contributed approximately $94,700,000 to the Plan towards the full funding requirement.

Terms of the Agreement also included the establishment of a "Spin-Off Plan" for providing retirement benefits to active participants, solely to the extent required under the terms of a collective bargaining agreement with one of the Seller's employee groups. See Note H regarding the vested benefits for the remaining Plan participants.

### NOTE H--TERMINATION OF PLAN

The Plan was terminated effective April 1, 2013. The PBGC and remaining Plan participants were provided the required notices regarding the Plan termination. The Plan sponsor filed Internal Revenue Service Form 5310, "Application for Determination for Terminating Plan" and received an Internal Revenue Service determination letter dated August 28, 2014, stating that the termination of the Plan does not adversely affect its qualification for federal tax purposes.

Benefits of a terminating plan become 100 percent vested and the benefit liabilities must be paid by purchasing insurance annuity contracts. In July 2013 the Plan purchased allocated annuity contracts from two insurance companies to provide future benefit payments to the remaining Plan participants. The Plan benefits are fully guaranteed by the insurance companies, which has a legal obligation to make the benefit payments to the participants. Under GAAP, allocated contracts and the related benefit obligations are excluded from the Plan's financial statements because the purchase of the contract transfers the obligation to pay the benefits and the related risks to the insurance companies.

SCHEDULE H, LINE 4i--SCHEDULE OF ASSETS HELD AT END OF YEAR

**MGH WIND DOWN, INC. #38-1358036**
**MARQUETTE GENERAL HOSPITAL INC. RETIREMENT PLAN #001**

December 31, 2013

| (a) Party-in-Interest | (b) Identity of Issue, Borrower, Lessor or Similar Party | (c) Description of Investment, Including Maturity Date, Rate of Interest, Par, or Maturity Date | (d) Cost | (e) Current Value |
|---|---|---|---|---|
| | Cash and cash equivalents: | | | |
| | Federated Gov't Obligation Fund #5 | 7,592 shares | $ 7,592 | $ 7,592 |
| | | TOTAL CASH AND CASH EQUIVALENTS | $ 7,592 | $ 7,592 |

SCHEDULE H, LINE 4j--SCHEDULE OF REPORTABLE TRANSACTIONS

MGH WIND DOWN INC #38-1358036

MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN #001

DECEMBER 31, 2013



REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | | |
| 17697680 | 06/20/13 B | 21,738,609 1.000 | 0 | 21,738,609-* | 21,738,609 | |
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | | |
| 17697680 | 06/24/13 B | 91,820,490 1.000 | 0 | 91,820,490-* | 91,820,490 | |
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | | |
| 17697680 | 07/03/13 S | 148,084,946 1.000 | 0 | 148,084,946 * | 148,084,946 | 0 |
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | | |
| 17697695 | 07/03/13 S | 13,138,827 1.000 | 0 | 13,138,827 * | 13,138,827 | 0 |
| ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | | |
| 911406007 | 07/03/13 B | 148,084,946 1.000 | 0 | 148,084,946-* | 148,084,946 | |
| ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | | |
| 911406007 | 07/03/13 B | 13,138,827 1.000 | 0 | 13,138,827-* | 13,138,827 | |
| ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | | |
| 911406007 | 07/09/13 S | 181,043,128 1.000 | 0 | 181,043,128 * | 181,043,128 | 0 |
| ISSUE: 9128100W1 - US TREASURY BOND 3% 5/15/42 | | | | | | |
| 17697680 | 06/20/13 S | 16,000,000 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| GRAND TOTAL | | | 0 | 631,556,023 | 633,984,548 | -2,428,525 |

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|
| **BROKER: CREDIT SUISSE SECURITIES (USA)** | | | | | |
| ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 01/08/13 S | 2,800,000 1.402 | 0 | 3,926,563 | 3,974,967 | -48,405 |
| ISSUE: 912828TZ3 - US TREASURY NOTE 0.25% 11/30/14 | | | | | |
| 17697680 01/08/13 S | 300,000 1.000 | 0 | 299,988 | 299,918 | 70 |
| ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 01/09/13 S | 800,000 1.402 | 0 | 1,121,719 | 1,135,705 | -13,986 |
| ISSUE: 12624QAE3 - VR COMMERCIAL MORT 4.57909% 10/15/45 | | | | | |
| 17697680 01/10/13 B | 690,000 0.970 | 0 | 669,084- | 669,084 | |
| ISSUE: 80105N105 - SANOFI-ADR | | | | | |
| 17697660 01/15/13 S | 355 47.982 | 0 | 17,033 | 11,962 | 5,071 |
| ISSUE: 36828QQH2 - VR GE CAPITAL COMM 5.30824% 11/10/45 | | | | | |
| 17697680 01/23/13 B | 600,000 0.990 | 0 | 594,000- | 594,000 | |
| ISSUE: 59022HLL4 - VR MERRILL LYNCH 5.27312% 11/12/37 | | | | | |
| 17697680 01/23/13 S | 500,000 1.021 | 0 | 510,625 | 513,965 | -3,340 |
| ISSUE: 142795202 - CARLSBERG AS-B-SPON ADR | | | | | |
| 17697660 01/31/13 B | 400 21.538 | 0 | 8,615- | 8,615 | |
| ISSUE: 142795202 - CARLSBERG AS-B-SPON ADR | | | | | |
| 17697660 02/01/13 B | 400 21.973 | 0 | 8,789- | 8,789 | |
| ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 02/01/13 S | 1,500,000 0.968 | 0 | 1,451,191 | 1,587,635 | -136,444 |
| ISSUE: 084670BJ6 - BERKSHIRE HATHAWAY 3% 2/11/23 | | | | | |
| 17697680 02/01/13 B | 965,000 0.993 | 0 | 958,477- | 958,477 | |
| ISSUE: 23405X100 - DAITO TRUST CONS-UNSPON ADR | | | | | |
| 17697660 02/04/13 S | 440 23.662 | 0 | 10,411 | 8,257 | 2,154 |
| ISSUE: 142795202 - CARLSBERG AS-B-SPON ADR | | | | | |
| 17697660 02/04/13 B | 995 21.724 | 0 | 21,615- | 21,615 | |
| ISSUE: 502441306 - LVMH MOET HENNESSY UNSP ADR - ADR | | | | | |
| 17697660 02/06/13 S | 1,070 35.863 | 1 | 38,373 | 25,131 | 13,242 |
| ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 02/07/13 S | 500,000 1.378 | 0 | 689,121 | 709,816 | -20,695 |
| ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 | | | | | |
| 17697680 02/07/13 S | 175,000 0.971 | 0 | 169,928 | 171,063 | -1,135 |
| ISSUE: 36228CVY6 - VR GS MORTGAGE SECUR 4.841% 7/10/39 | | | | | |
| 17697680 02/07/13 B | 720,000 0.983 | 0 | 707,400- | 707,400 | |
| ISSUE: 80105N105 - SANOFI-ADR | | | | | |
| 17697660 02/08/13 S | 445 45.452 | 0 | 20,226 | 14,995 | 5,231 |

SCHEDULE H, LINE 4j--SCHEDULE OF REPORTABLE TRANSACTIONS

MGH WIND DOWN INC #38-1358036

MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN #001

DECEMBER 31, 2013



REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| 17697660 | ISSUE: 05565A202 - BNP PARIBAS - ADR<br>02/15/13 B 250 | 31.414 | 16 | 7,869- | 7,869 | |
| 17697680 | ISSUE: 585055AY2 - MEDTRONIC INC 4% 4/01/43<br>03/26/13 B 735,000 | 0.970 | 0 | 712,979- | 712,979 | |
| 17697660 | ISSUE: 919134304 - VALEO - ADR<br>04/04/13 B 200 | 27.334 | 11 | 5,478- | 5,478 | |
| 17697660 | ISSUE: 05565A202 - BNP PARIBAS - ADR<br>04/04/13 B 365 | 26.300 | 19 | 9,619- | 9,619 | |
| 17697660 | ISSUE: 919134304 - VALEO - ADR<br>04/05/13 B 120 | 27.189 | 7 | 3,269- | 3,269 | |
| 17697660 | ISSUE: 560877300 - MAKITA CORPORATION - ADR<br>04/19/13 B 100 | 48.342 | 0 | 4,834- | 4,834 | |
| 17697660 | ISSUE: 234062206 - DAIWA HOUSE IND LTD ADR<br>04/19/13 B 100 | 200.325 | 0 | 20,032- | 20,032 | |
| 17697660 | ISSUE: 234062206 - DAIWA HOUSE IND LTD ADR<br>04/22/13 B 51 | 209.258 | 0 | 10,672- | 10,672 | |
| 17697660 | ISSUE: 138006309 - CANON INC - ADR<br>04/30/13 S 600 | 35.756 | 0 | 21,453 | 22,659 | -1,207 |
| 17697660 | ISSUE: 138006309 - CANON INC - ADR<br>05/01/13 S 540 | 35.221 | 0 | 19,019 | 20,393 | -1,375 |
| 17697660 | ISSUE: 560877300 - MAKITA CORPORATION - ADR<br>05/01/13 B 380 | 58.860 | 0 | 22,367- | 22,367 | |
| 17697680 | ISSUE: 36828QQH2 - VR GE CAPITAL COMM 5.30588% 11/10/45<br>05/15/13 S 600,000 | 0.980 | 0 | 588,000 | 594,000 | -6,000 |
| 17697660 | ISSUE: 204319107 - COMPAGNIE FINANCIERE - ADR<br>05/17/13 B 2,730 | 9.170 | 0 | 25,034- | 25,034 | |
| 17697660 | ISSUE: 23381A108 - DAIHATSU MOT CO LTD-UNSP ADR<br>06/10/13 S 400 | 39.790 | 0 | 15,916 | 14,864 | 1,052 |
| 17697660 | ISSUE: 23381B106 - DAIKIN INDUSTRIES-UNSPONADR<br>06/10/13 B 375 | 87.266 | 0 | 32,725- | 32,725 | |
| 17697660 | ISSUE: 23381A108 - DAIHATSU MOT CO LTD-UNSP ADR<br>06/11/13 S 380 | 40.400 | 0 | 15,352 | 14,121 | 1,231 |
| 17697680 | ISSUE: 12624QAE3 - VR COMMERCIAL MORT 4.7308% 10/15/45<br>06/17/13 S 690,000 | 0.909 | 0 | 627,172 | 669,084 | -41,912 |
| 17697680 | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23<br>06/17/13 B 5,000,000 | 0.963 | 0 | 4,814,258- | 4,814,258 | |
| 17697680 | ISSUE: 958254AB0 - WESTERN GAS PARTNERS 4% 7/01/22<br>06/18/13 S 225,000 | 1.004 | 0 | 225,983 | 223,187 | 2,797 |
| 17697680 | ISSUE: 912810EQ7 - US TREASURY BOND 6.25% 8/15/23<br>06/20/13 S 159,000 | 1.350 | 0 | 214,625 | 206,552 | 8,073 |
| 17697680 | ISSUE: 912834HV3 - US TREAS SEC STRIPPED 5/15/40<br>06/20/13 S 2,000,000 | 0.369 | 0 | 738,860 | 850,880 | -112,020 |
| 17697680 | ISSUE: 38143USC6 - GOLDMAN SACHS GROUP 3.625% 2/07/16<br>06/20/13 S 545,000 | 1.046 | 0 | 570,201 | 537,153 | 33,048 |
| 17697680 | ISSUE: 912833Y46 - US TREAS SEC STRIPPED<br>06/20/13 S 1,700,000 | 0.418 | 0 | 709,852 | 812,022 | -102,170 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 | | | | | |
| 17697680 | 06/20/13 S 6,165,000 | 0.942 | 0 | 5,807,623 | 5,934,904 | -127,281 |
| | ISSUE: 91324PBP6 - UNITEDHEALTH GROUP 4.7% 2/15/21 | | | | | |
| 17697680 | 06/21/13 S 180,000 | 1.101 | 0 | 198,270 | 183,999 | 14,272 |
| | SUB-TOTAL | | 54 | 26,644,620 | 27,174,348 | -529,729 |
| | BROKER: BANC OF AMERICA SECURITIES LLC | | | | | |
| | ISSUE: 30261NAG5 - VR FREMF MORTGAGE 3.6169% 11/25/45 | | | | | |
| 17697680 | 02/07/13 B 683,000 | 0.923 | 0 | 630,611- | 630,611 | |
| | ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 | | | | | |
| 17697680 | 03/12/13 B 300,000 | 0.997 | 0 | 299,156- | 299,156 | |
| | ISSUE: 85171YAD1 - SPRINGLEAF MORTGAGE 4.44% 12/25/59 | | | | | |
| 17697680 | 04/19/13 B 680,000 | 1.031 | 0 | 700,825- | 700,825 | |
| | ISSUE: 912828UR9 - US TREASURY NOTE 0.75% 2/28/18 | | | | | |
| 17697680 | 05/07/13 S 60,000 | 1.002 | 0 | 60,117 | 59,897 | 220 |
| | ISSUE: 85171YAD1 - SPRINGLEAF MORTGAGE 4.44% 12/25/59 | | | | | |
| 17697680 | 05/23/13 S 1,130,000 | 1.020 | 0 | 1,152,600 | 1,150,746 | 1,854 |
| | ISSUE: 85171YAC3 - SPRINGLEAF MORTGAGE 3.56% 12/25/59 | | | | | |
| 17697680 | 05/23/13 S 450,000 | 1.020 | 0 | 459,000 | 449,857 | 9,143 |
| | ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 | 05/28/13 S 630,000 | 0.943 | 0 | 593,873 | 666,807 | -72,933 |
| | ISSUE: 983024AF7 - WYETH 6.45% 2/01/24 | | | | | |
| 17697680 | 06/07/13 S 90,000 | 1.276 | 0 | 114,843 | 119,185 | -4,343 |
| | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 | | | | | |
| 17697680 | 06/07/13 B 215,000 | 0.963 | 0 | 207,013- | 207,013 | |
| | ISSUE: 459200BB6 - IBM CORP 5.875% 11/29/32 | | | | | |
| 17697680 | 06/17/13 S 125,000 | 1.267 | 0 | 158,325 | 154,148 | 4,178 |
| | ISSUE: 20030NAJ0 - COMCAST CORP 5.85% 11/15/15 | | | | | |
| 17697680 | 06/17/13 S 150,000 | 1.120 | 0 | 167,948 | 166,970 | 978 |
| | ISSUE: 00206RBN1 - AT&T INC 2.625% 12/01/22 | | | | | |
| 17697680 | 06/17/13 S 1,650,000 | 0.944 | 0 | 1,556,874 | 1,665,576 | -108,702 |
| | ISSUE: 942683AE3 - WATSON PHARMACEUTICA 6.125% 8/15/19 | | | | | |
| 17697680 | 06/18/13 S 270,000 | 1.178 | 0 | 318,082 | 311,515 | 6,566 |
| | ISSUE: 151020AD6 - CELGENE CORP 2.45% 10/15/15 | | | | | |
| 17697680 | 06/18/13 S 90,000 | 1.033 | 0 | 92,966 | 90,740 | 2,226 |
| | ISSUE: 031162BK5 - AMGEN INC 5.15% 11/15/41 | | | | | |
| 17697680 | 06/18/13 S 745,000 | 1.030 | 0 | 767,253 | 857,860 | -90,607 |
| | ISSUE: 38374F2T0 - VR GOVT NATL MTG AS 5.19% 5/16/45 | | | | | |
| 17697680 | 06/18/13 S 41,941 | 1.006 | 0 | 42,203 | 44,523 | -2,320 |
| | ISSUE: 58155QAD5 - MCKESSON CORP 4.75% 3/01/21 | | | | | |
| 17697680 | 06/19/13 S 990,000 | 1.114 | 0 | 1,102,811 | 1,127,038 | -24,228 |
| | ISSUE: 80105NAD7 - SANOFI-AVENTIS 2.625% 3/29/16 | | | | | |
| 17697680 | 06/19/13 S 115,000 | 1.048 | 0 | 120,476 | 114,412 | 6,064 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| 17697680 | ISSUE: 94973VBA4 - WELLPOINT INC 3.3% 1/15/23<br>06/19/13 S 875,000 | 0.977 | 0 | 854,936 | 876,846 | -21,910 |
| 17697680 | ISSUE: 585055AY2 - MEDTRONIC INC 4% 4/01/43<br>06/19/13 S 735,000 | 0.928 | 0 | 682,286 | 712,979 | -30,694 |
| 17697680 | ISSUE: 06051GDY2 - BANK OF AMERICA CORP 7.375% 5/15/14<br>06/20/13 S 350,000 | 1.056 | 0 | 369,709 | 380,709 | -11,001 |
| 17697680 | ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31<br>06/20/13 S 58,000 | 1.307 | 0 | 75,781 | 82,339 | -6,558 |
| 17697680 | ISSUE: 36962G4G6 - GENERAL ELEC CAP COR 3.75% 11/14/14<br>06/20/13 S 175,000 | 1.037 | 0 | 181,417 | 184,888 | -3,470 |
| 17697680 | ISSUE: 89417EAG4 - TRAVELERS COS INC 3.9% 11/01/20<br>06/20/13 S 190,000 | 1.065 | 0 | 202,261 | 193,894 | 8,367 |
| 17697680 | ISSUE: 59156RAN8 - METLIFE INC 5% 6/15/15<br>06/20/13 S 265,000 | 1.074 | 0 | 284,541 | 285,341 | -800 |
| 17697680 | ISSUE: 912828SN1 - US TREASURY NOTE 1.5% 3/31/19<br>06/20/13 S 381,000 | 0.999 | 0 | 380,479 | 389,103 | -8,624 |
| 17697680 | ISSUE: 912834LK2 - US TREAS SEC STRIPPED<br>06/20/13 S 2,600,000 | 0.340 | 0 | 883,532 | 1,006,538 | -123,006 |
| 17697680 | ISSUE: 084670BJ6 - BERKSHIRE HATHAWAY 3% 2/11/23<br>06/20/13 S 965,000 | 0.960 | 0 | 926,197 | 958,477 | -32,279 |
| 17697680 | ISSUE: 9128334T4 - US TREASURY SEC STRIPPED<br>06/20/13 S 2,800,000 | 0.518 | 0 | 1,449,476 | 1,677,144 | -227,668 |
| 17697680 | ISSUE: 0258MOCY3 - AMER EXPRESS CREDIT 7.3% 8/20/13<br>06/20/13 S 270,000 | 1.009 | 0 | 272,395 | 272,853 | -458 |
| 17697680 | ISSUE: 487836BB3 - KELLOGG CO 4.45% 5/30/16<br>06/21/13 S 235,000 | 1.090 | 0 | 256,072 | 238,460 | 17,613 |
| 17697680 | ISSUE: 880451AY5 - TENNESSEE GAS PIPELI 8% 2/01/16<br>06/21/13 S 205,000 | 1.157 | 0 | 237,222 | 249,920 | -12,698 |
| 17697680 | ISSUE: 50075NAS3 - KRAFT FOODS INC 6.5% 8/11/17<br>06/21/13 S 240,000 | 1.170 | 0 | 280,879 | 254,460 | 26,419 |
| 17697680 | ISSUE: 70212FAA1 - PARTNERRE FINANCE 6.875% 6/01/18<br>06/21/13 S 150,000 | 1.172 | 0 | 175,766 | 158,894 | 16,872 |
| | SUB-TOTAL | | 0 | 16,057,925 | 16,739,724 | -681,799 |

BROKER: WELLS FARGO SECURITIES, LLC

| | | | | | | |
|---|---|---|---|---|---|---|
| 17697680 | ISSUE: 375558AQ6 - GILEAD SCIENCES INC 4.5% 4/01/21<br>01/11/13 S 200,000 | 1.139 | 0 | 227,852 | 214,162 | 13,690 |
| 17697680 | ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22<br>01/11/13 B 175,000 | 0.978 | 0 | 171,063- | 171,063 | |
| 17697680 | ISSUE: 17275RAE2 - CISCO SYSTEMS INC 4.95% 2/15/19<br>06/17/13 S 955,000 | 1.161 | 0 | 1,109,233 | 1,148,731 | -39,499 |
| 17697680 | ISSUE: 931142CS0 - WAL-MART STORES INC 5.625% 4/01/40<br>06/17/13 S 105,000 | 1.185 | 0 | 124,389 | 104,113 | 20,277 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 459200GU9 - IBM CORP 2% 1/05/16 | | | | | |
| 17697680 | 06/17/13 S 1,095,000 | 1.032 | 0 | 1,130,062 | 1,136,369 | -6,307 |
| | ISSUE: 00206RAY8 - AT&T INC 2.4% 8/15/16 | | | | | |
| 17697680 | 06/17/13 S 140,000 | 1.038 | 0 | 145,345 | 139,542 | 5,803 |
| | ISSUE: 918204AV0 - VF CORP 3.5% 9/01/21 | | | | | |
| 17697680 | 06/17/13 S 200,000 | 1.038 | 0 | 207,534 | 199,380 | 8,154 |
| | ISSUE: 63946BAC4 - NBCUNIVERSAL MEDIA 2.875% 4/01/16 | | | | | |
| 17697680 | 06/17/13 S 20,000 | 1.052 | 0 | 21,045 | 19,989 | 1,056 |
| | ISSUE: 053332AM4 - AUTOZONE INC 3.7% 4/15/22 | | | | | |
| 17697680 | 06/17/13 S 145,000 | 1.005 | 0 | 145,783 | 146,398 | -615 |
| | ISSUE: 294829AA4 - ERICSSON LM 4.125% 5/15/22 | | | | | |
| 17697680 | 06/17/13 S 290,000 | 1.013 | 0 | 293,834 | 288,797 | 5,037 |
| | ISSUE: 278642AE3 - EBAY INC 2.6% 7/15/22 | | | | | |
| 17697680 | 06/17/13 S 2,030,000 | 0.961 | 0 | 1,949,795 | 2,051,866 | -102,071 |
| | ISSUE: 20030NBH3 - COMCAST CORP 4.25% 1/15/33 | | | | | |
| 17697680 | 06/17/13 S 1,030,000 | 0.988 | 0 | 1,017,166 | 1,021,884 | -4,718 |
| | ISSUE: 035229CV3 - ANHEUSER BUSCH COS 5.05% 10/15/16 | | | | | |
| 17697680 | 06/18/13 S 245,000 | 1.130 | 0 | 276,781 | 281,748 | -4,966 |
| | ISSUE: 067383AC3 - CR BARD INC 4.4% 1/15/21 | | | | | |
| 17697680 | 06/18/13 S 100,000 | 1.093 | 0 | 109,309 | 99,282 | 10,027 |
| | ISSUE: 22303QAM2 - COVIDIEN INTL FINANC 1.35% 5/29/15 | | | | | |
| 17697680 | 06/18/13 S 270,000 | 1.010 | 0 | 272,789 | 269,914 | 2,876 |
| | ISSUE: 913017BT5 - UNITED TECHNOLOGIES 4.5% 6/01/42 | | | | | |
| 17697680 | 06/19/13 S 820,000 | 1.003 | 0 | 822,222 | 882,476 | -60,254 |
| | ISSUE: 291011AV6 - EMERSON ELECTRIC CO 5.125% 12/01/16 | | | | | |
| 17697680 | 06/19/13 S 35,000 | 1.133 | 0 | 39,643 | 39,227 | 416 |
| | ISSUE: 666807BG6 - NORTHROP GRUMMAN COR 3.25% 8/01/23 | | | | | |
| 17697680 | 06/19/13 S 555,000 | 0.967 | 0 | 536,657 | 552,014 | -15,357 |
| | ISSUE: 74432QBM6 - PRUDENTIAL FINANCIAL 5.375% 6/21/20 | | | | | |
| 17697680 | 06/20/13 S 260,000 | 1.123 | 0 | 291,920 | 271,767 | 20,154 |
| | ISSUE: 38141EA74 - GOLDMAN SACHS GROUP 3.7% 8/01/15 | | | | | |
| 17697680 | 06/20/13 S 40,000 | 1.044 | 0 | 41,754 | 40,305 | 1,449 |
| | ISSUE: 63946BAA8 - NBCUNIVERSAL MEDIA 2.1% 4/01/14 | | | | | |
| 17697680 | 06/20/13 S 310,000 | 1.011 | 0 | 313,525 | 309,960 | 3,565 |
| | ISSUE: 89233P5F9 - TOYOTA MOTOR CREDIT 3.4% 9/15/21 | | | | | |
| 17697680 | 06/20/13 S 670,000 | 0.997 | 0 | 667,689 | 723,772 | -56,083 |
| | ISSUE: 887317AC9 - TIME WARNER INC 5.875% 11/15/16 | | | | | |
| 17697680 | 06/20/13 S 260,000 | 1.144 | 0 | 297,427 | 251,339 | 46,088 |
| | ISSUE: 31771EAN1 - FICO STRIPS 12/27/18 | | | | | |
| 17697680 | 06/21/13 S 505,000 | 0.894 | 0 | 402,162 | 402,162 | 0 |
| | ISSUE: 42217KAU0 - HEALTH CARE REIT INC 4.95% 1/15/21 | | | | | |
| 17697680 | 06/21/13 S 275,000 | 1.065 | 0 | 292,746 | 274,539 | 18,206 |
| | ISSUE: 25459HAY1 - DIRECTV HLDG/FIN INC 3.5% 3/01/16 | | | | | |
| 17697680 | 06/21/13 S 275,000 | 1.053 | 0 | 289,520 | 276,671 | 12,849 |
| | ISSUE: 20030NAG6 - COMCAST CORP 4.95% 6/15/16 | | | | | |
| 17697680 | 06/21/13 S 255,000 | 1.110 | 0 | 282,974 | 268,041 | 14,933 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 983024AJ9 - WYETH 5.5% 2/15/16 | | | | | |
| 17697680 | 06/21/13 S 245,000 | 1.117 | 0 | 273,614 | 270,911 | 2,702 |
| | ISSUE: 35671DAW5 - FREEPORT-MCMORAN C 2.15% 3/01/17 | | | | | |
| 17697680 | 06/21/13 S 185,000 | 0.987 | 0 | 182,589 | 184,555 | -1,966 |
| | ISSUE: 31771EAP6 - FICO STRIP PRN15 3/07/19 | | | | | |
| 17697680 | 06/21/13 S 150,000 | 0.884 | 0 | 110,231 | 110,231 | 0 |
| | ISSUE: 059172AA4 - BALTIMORE GAS & ELEC 6.125% 7/01/13 | | | | | |
| 17697680 | 06/21/13 S 215,000 | 1.000 | 0 | 214,996 | 239,129 | -24,134 |
| | SUB-TOTAL | | 0 | 12,261,649 | 12,390,337 | -128,687 |
| | BROKER: BNP PARIBAS SECURITIES BOND | | | | | |
| | ISSUE: 912828UF5 - US TREASURY NOTE 1.125% 12/31/19 | | | | | |
| 17697680 | 01/30/13 S 965,000 | 0.983 | 0 | 948,339 | 950,261 | -1,922 |
| | ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 | 02/08/13 S 800,000 | 1.377 | 0 | 1,101,406 | 1,135,705 | -34,299 |
| | ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 | | | | | |
| 17697680 | 04/02/13 B 210,000 | 1.012 | 0 | 212,559- | 212,559 | |
| | ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 | | | | | |
| 17697680 | 05/07/13 S 510,000 | 1.020 | 0 | 520,001 | 511,716 | 8,285 |
| | ISSUE: 35177PAW7 - FRANCE TELECOM 4.125% 9/14/21 | | | | | |
| 17697680 | 06/17/13 S 100,000 | 1.044 | 0 | 104,353 | 98,990 | 5,363 |
| | ISSUE: 85771SAA4 - STATOILHYDRO ASA 5.25% 4/15/19 | | | | | |
| 17697680 | 06/18/13 S 890,000 | 1.171 | 0 | 1,041,976 | 1,078,094 | -36,118 |
| | ISSUE: 806854AA3 - P/P SCHLUMBERGER INV 1.95% 9/14/16 | | | | | |
| 17697680 | 06/18/13 S 240,000 | 1.024 | 0 | 245,700 | 239,580 | 6,120 |
| | ISSUE: 666807BH4 - NORTHROP GRUMMAN COR 4.75% 6/01/43 | | | | | |
| 17697680 | 06/19/13 S 525,000 | 0.962 | 0 | 505,034 | 524,496 | -19,462 |
| | ISSUE: 912834AE8 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 1,750,000 | 0.401 | 0 | 701,925 | 804,003 | -102,078 |
| | ISSUE: 9128337S3 - US TREAS SEC STRIPPED 11/15/32 | | | | | |
| 17697680 | 06/20/13 S 4,250,000 | 0.503 | 0 | 2,135,838 | 2,538,398 | -402,560 |
| | ISSUE: 61746SBR9 - MORGAN STANLEY 5.375% 10/15/15 | | | | | |
| 17697680 | 06/20/13 S 1,100,000 | 1.071 | 0 | 1,177,605 | 1,139,072 | 38,533 |
| | ISSUE: 912833Y20 - US TREAS SEC STRIPPED 5/15/36 | | | | | |
| 17697680 | 06/20/13 S 2,425,000 | 0.435 | 0 | 1,055,263 | 1,202,802 | -147,539 |
| | ISSUE: 9128337V6 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 2,575,000 | 0.472 | 0 | 1,214,962 | 1,368,638 | -153,676 |
| | ISSUE: 9128337W4 - US TREAS SEC STIPPED 11/15/34 | | | | | |
| 17697680 | 06/20/13 S 4,000,000 | 0.463 | 0 | 1,850,160 | 2,237,040 | -386,880 |
| | SUB-TOTAL | | 0 | 12,815,121 | 14,041,354 | -1,226,232 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| **BROKER: DEUTSCHE BANK SECURITIES** | | | | | | |
| ISSUE: 912828TZ3 - US TREASURY NOTE 0.25% 11/30/14 | | | | | | |
| 17697680 | 01/04/13 S 200,000 | 1.000 | 0 | 199,961 | 199,945 | 16 |
| ISSUE: 03064YAG7 - AMERICREDIT AUTOMOBI 2.64% 7/08/20 | | | | | | |
| 17697680 | 01/15/13 B 865,000 | 1.000 | 0 | 864,790- | 864,790 | |
| ISSUE: 80282WAE0 - SANTANDER DRIVE AUTO 3.01% 4/16/18 | | | | | | |
| 17697680 | 01/24/13 S 100,000 | 1.043 | 0 | 104,281 | 99,973 | 4,308 |
| ISSUE: 912828UF5 - US TREASURY NOTE 1.125% 12/31/19 | | | | | | |
| 17697680 | 01/28/13 B 1,390,000 | 0.985 | 0 | 1,368,770- | 1,368,770 | |
| ISSUE: 9128334T4 - US TREASURY SEC STRIPPED | | | | | | |
| 17697680 | 02/15/13 S 600,000 | 0.551 | 0 | 330,630 | 359,388 | -28,758 |
| ISSUE: 36228CVY6 - VR GS MORTGAGE SECUR 4.841% 7/10/39 | | | | | | |
| 17697680 | 03/15/13 S 720,000 | 0.981 | 0 | 706,050 | 707,400 | -1,350 |
| ISSUE: 227170AE7 - CRONOS CONTAINERS PR 3.08% 4/18/28 | | | | | | |
| 17697680 | 04/17/13 B 750,000 | 1.000 | 0 | 749,995- | 749,995 | |
| ISSUE: 31398AUJ9 - FED NATL MTG ASSN 2.875% 12/11/13 | | | | | | |
| 17697680 | 05/14/13 S 520,000 | 1.016 | 0 | 528,061 | 535,871 | -7,809 |
| ISSUE: 83162CQN6 - SBA 5.54% 9/01/26 | | | | | | |
| 17697680 | 06/12/13 S 40,430 | 1.120 | 0 | 45,281 | 44,586 | 695 |
| ISSUE: 912834DU9 - US TREASURY BOND 11/15/38 | | | | | | |
| 17697680 | 06/20/13 S 3,450,000 | 0.392 | 0 | 1,352,883 | 1,687,913 | -335,030 |
| ISSUE: 912828SV3 - US TREASURY NOTE 1.75% 5/15/22 | | | | | | |
| 17697680 | 06/20/13 S 2,014,000 | 0.959 | 0 | 1,932,260 | 2,053,846 | -121,586 |
| ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | | |
| 17697680 | 06/20/13 S 16,000,000 | 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 | | | | | | |
| 17697680 | 06/20/13 S 3,250,000 | 0.361 | 0 | 1,174,355 | 1,494,903 | -320,548 |
| ISSUE: 912833Y38 - US TREAS SEC STRIPPED | | | | | | |
| 17697680 | 06/20/13 S 4,000,000 | 0.426 | 0 | 1,703,400 | 2,091,440 | -388,040 |
| ISSUE: 912833X88 - US TREAS SEC STRIPPED | | | | | | |
| 17697680 | 06/20/13 S 800,000 | 0.452 | 0 | 361,560 | 410,176 | -48,616 |
| ISSUE: 912828VC1 - US TREASURY NOTE 0.25% 5/15/16 | | | | | | |
| 17697680 | 06/20/13 S 1,125,000 | 0.990 | 0 | 1,113,706 | 1,115,947 | -2,241 |
| ISSUE: 912828VE7 - US TREASURY NOTE 1% 5/31/18 | | | | | | |
| 17697680 | 06/20/13 S 950,000 | 0.986 | 0 | 936,678 | 945,547 | -8,869 |
| SUB-TOTAL | | | 0 | 27,978,911 | 31,665,265 | -3,686,353 |
| **BROKER: CANTOR FITZGERALD & CO.** | | | | | | |
| ISSUE: 25179MAM5 - DEVON ENERGY CORPORA 1.875% 5/15/17 | | | | | | |
| 17697680 | 02/12/13 B 530,000 | 1.005 | 0 | 532,565- | 532,565 | |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 682680AQ6 - ONEOK INC 4.25% 2/01/22 | | | | | |
| 17697680 | 06/18/13 S 930,000 | 1.018 | 0 | 946,852 | 979,188 | -32,336 |
| | ISSUE: 91911TAM5 - VALE OVERSEAS LIMITE 4.375% 1/11/22 | | | | | |
| 17697680 | 06/19/13 S 210,000 | 0.977 | 0 | 205,065 | 212,825 | -7,760 |
| | ISSUE: 912834DV7 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 1,850,000 | 0.384 | 0 | 710,955 | 815,462 | -104,507 |
| | ISSUE: 912834AD0 - US TREAS SEC STRIPPED 11/15/37 | | | | | |
| 17697680 | 06/20/13 S 3,625,000 | 0.409 | 0 | 1,481,139 | 1,832,873 | -351,734 |
| | ISSUE: 912834EV6 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 3,200,000 | 0.377 | 0 | 1,206,880 | 1,517,920 | -311,040 |
| | ISSUE: 9128337U8 - US TREASURY BOND STRIPPED | | | | | |
| 17697680 | 06/20/13 S 4,000,000 | 0.483 | 0 | 1,929,840 | 2,312,040 | -382,200 |
| | ISSUE: 912834LB2 - US TREASURY BOND 2/15/42 | | | | | |
| 17697680 | 06/20/13 S 2,750,000 | 0.343 | 0 | 942,838 | 1,216,408 | -273,570 |
| | ISSUE: 912834KP2 - US SEC STRIPPED 8/15/41 | | | | | |
| 17697680 | 06/20/13 S 2,700,000 | 0.350 | 0 | 945,351 | 1,214,001 | -268,650 |
| | ISSUE: 912834KH0 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 2,000,000 | 0.354 | 0 | 707,060 | 821,080 | -114,020 |
| | ISSUE: 9128337R5 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 3,400,000 | 0.513 | 0 | 1,744,506 | 2,016,846 | -272,340 |
| | ISSUE: 912833X96 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 3,250,000 | 0.444 | 0 | 1,442,318 | 1,752,855 | -310,538 |
| | SUB-TOTAL | | 0 | 12,795,369 | 15,224,063 | -2,428,693 |
| | GRAND TOTAL | | 54 | 108,553,595 | 117,235,091 | -8,681,494 |

FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697650 | 01/02/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 02/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 03/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 04/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 05/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 06/03/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 06/24/13 B | 5,398,407 | 1.000 | 0 | 5,398,407- | 5,398,407 | |
| 17697650 | 06/24/13 B | 17,985 | 1.000 | 0 | 17,985- | 17,985 | |
| 17697650 | 07/01/13 B | 10 | 1.000 | 0 | 10- | 10 | |
| 17697655 | 01/02/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 02/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 03/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 04/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 05/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 06/03/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 06/24/13 B | 5,756,472 | 1.000 | 0 | 5,756,472- | 5,756,472 | |
| 17697655 | 06/24/13 B | 33,414 | 1.000 | 0 | 33,414- | 33,414 | |
| 17697655 | 07/01/13 B | 11 | 1.000 | 0 | 11- | 11 | |
| 17697660 | 01/02/13 B | 1 | 1.000 | 0 | 1- | 1 | |
| 17697660 | 01/03/13 B | 1,147 | 1.000 | 0 | 1,147- | 1,147 | |
| 17697660 | 01/07/13 B | 276 | 1.000 | 0 | 276- | 276 | |
| 17697660 | 01/09/13 B | 1,949 | 1.000 | 0 | 1,949- | 1,949 | |
| 17697660 | 01/18/13 B | 17,336 | 1.000 | 0 | 17,336- | 17,336 | |
| 17697660 | 01/23/13 B | 8,964 | 1.000 | 0 | 8,964- | 8,964 | |
| 17697660 | 01/25/13 B | 4,610 | 1.000 | 0 | 4,610- | 4,610 | |
| 17697660 | 01/30/13 B | 19 | 1.000 | 0 | 19- | 19 | |
| 17697660 | 02/01/13 B | 1 | 1.000 | 0 | 1- | 1 | |
| 17697660 | 02/08/13 B | 148 | 1.000 | 0 | 148- | 148 | |
| 17697660 | 02/11/13 B | 38,570 | 1.000 | 0 | 38,570- | 38,570 | |
| 17697660 | 02/13/13 B | 23,152 | 1.000 | 0 | 23,152- | 23,152 | |
| 17697660 | 02/14/13 B | 7,985 | 1.000 | 0 | 7,985- | 7,985 | |
| 17697660 | 02/22/13 B | 19,312 | 1.000 | 0 | 19,312- | 19,312 | |
| 17697660 | 02/22/13 B | 6,216 | 1.000 | 0 | 6,216- | 6,216 | |
| 17697660 | 02/25/13 B | 5,801 | 1.000 | 0 | 5,801- | 5,801 | |
| 17697660 | 03/01/13 B | 1 | 1.000 | 0 | 1- | 1 | |
| 17697660 | 03/07/13 B | 37 | 1.000 | 0 | 37- | 37 | |
| 17697660 | 03/13/13 B | 9,276 | 1.000 | 0 | 9,276- | 9,276 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697660 | 03/14/13 B | 926 | 1.000 | 0 | 926- | 926 | |
| 17697660 | 03/15/13 B | 635 | 1.000 | 0 | 635- | 635 | |
| 17697660 | 03/22/13 B | 23,337 | 1.000 | 0 | 23,337- | 23,337 | |
| 17697660 | 04/01/13 B | 1,847 | 1.000 | 0 | 1,847- | 1,847 | |
| 17697660 | 04/02/13 B | 8,137 | 1.000 | 0 | 8,137- | 8,137 | |
| 17697660 | 04/03/13 B | 39,267 | 1.000 | 0 | 39,267- | 39,267 | |
| 17697660 | 04/05/13 B | 397 | 1.000 | 0 | 397- | 397 | |
| 17697660 | 04/08/13 B | 4,257 | 1.000 | 0 | 4,257- | 4,257 | |
| 17697660 | 04/12/13 B | 2,653 | 1.000 | 0 | 2,653- | 2,653 | |
| 17697660 | 04/18/13 B | 688 | 1.000 | 0 | 688- | 688 | |
| 17697660 | 04/19/13 B | 31,048 | 1.000 | 0 | 31,048- | 31,048 | |
| 17697660 | 04/22/13 B | 3,961 | 1.000 | 0 | 3,961- | 3,961 | |
| 17697660 | 04/25/13 B | 18,173 | 1.000 | 0 | 18,173- | 18,173 | |
| 17697660 | 05/01/13 B | 404 | 1.000 | 0 | 404- | 404 | |
| 17697660 | 05/03/13 B | 4,695 | 1.000 | 0 | 4,695- | 4,695 | |
| 17697660 | 05/09/13 B | 1,325 | 1.000 | 0 | 1,325- | 1,325 | |
| 17697660 | 05/10/13 B | 1,254 | 1.000 | 0 | 1,254- | 1,254 | |
| 17697660 | 05/14/13 B | 3,233 | 1.000 | 0 | 3,233- | 3,233 | |
| 17697660 | 05/16/13 B | 5,545 | 1.000 | 0 | 5,545- | 5,545 | |
| 17697660 | 05/22/13 B | 7,959 | 1.000 | 0 | 7,959- | 7,959 | |
| 17697660 | 05/30/13 B | 71 | 1.000 | 0 | 71- | 71 | |
| 17697660 | 06/03/13 B | 2,520 | 1.000 | 0 | 2,520- | 2,520 | |
| 17697660 | 06/05/13 B | 1,045 | 1.000 | 0 | 1,045- | 1,045 | |
| 17697660 | 06/06/13 B | 4,509 | 1.000 | 0 | 4,509- | 4,509 | |
| 17697660 | 06/10/13 B | 2,240 | 1.000 | 0 | 2,240- | 2,240 | |
| 17697660 | 06/11/13 B | 486 | 1.000 | 0 | 486- | 486 | |
| 17697660 | 06/14/13 B | 15,352 | 1.000 | 0 | 15,352- | 15,352 | |
| 17697660 | 06/20/13 B | 256 | 1.000 | 0 | 256- | 256 | |
| 17697660 | 06/21/13 B | 283,050 | 1.000 | 0 | 283,050- | 283,050 | |
| 17697660 | 06/24/13 B | 2,712,405 | 1.000 | 0 | 2,712,405- | 2,712,405 | |
| 17697660 | 06/26/13 B | 7,864 | 1.000 | 0 | 7,864- | 7,864 | |
| 17697660 | 06/28/13 B | 848 | 1.000 | 0 | 848- | 848 | |
| 17697660 | 07/01/13 B | 7 | 1.000 | 0 | 7- | 7 | |
| 17697660 | 07/03/13 B | 406 | 1.000 | 0 | 406- | 406 | |
| 17697675 | 01/02/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 02/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 03/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 03/26/13 B | 437 | 1.000 | 0 | 437- | 437 | |
| 17697675 | 04/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 05/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 06/03/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 06/26/13 B | 2,235,979 | 1.000 | 0 | 2,235,979- | 2,235,979 | |
| 17697675 | 07/01/13 B | 5 | 1.000 | 0 | 5- | 5 | |
| 17697680 | 01/02/13 B | 57,562 | 1.000 | 0 | 57,562- | 57,562 | |
| 17697680 | 01/03/13 B | 2,235 | 1.000 | 0 | 2,235- | 2,235 | |
| 17697680 | 01/03/13 B | 15,165 | 1.000 | 0 | 15,165- | 15,165 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 01/04/13 B | 2,528 | 1.000 | 0 | 2,528- | 2,528 | |
| 17697680 | 01/07/13 B | 68,004 | 1.000 | 0 | 68,004- | 68,004 | |
| 17697680 | 01/08/13 B | 1,974 | 1.000 | 0 | 1,974- | 1,974 | |
| 17697680 | 01/10/13 B | 4,515 | 1.000 | 0 | 4,515- | 4,515 | |
| 17697680 | 01/11/13 B | 4,395,136 | 1.000 | 0 | 4,395,136- | 4,395,136 | |
| 17697680 | 01/11/13 B | 369 | 1.000 | 0 | 369- | 369 | |
| 17697680 | 01/14/13 B | 127,226 | 1.000 | 0 | 127,226- | 127,226 | |
| 17697680 | 01/15/13 B | 3,875 | 1.000 | 0 | 3,875- | 3,875 | |
| 17697680 | 01/16/13 B | 121,026 | 1.000 | 0 | 121,026- | 121,026 | |
| 17697680 | 01/16/13 B | 1,511 | 1.000 | 0 | 1,511- | 1,511 | |
| 17697680 | 01/16/13 B | 4,115 | 1.000 | 0 | 4,115- | 4,115 | |
| 17697680 | 01/17/13 B | 5,674 | 1.000 | 0 | 5,674- | 5,674 | |
| 17697680 | 01/18/13 B | 3,012 | 1.000 | 0 | 3,012- | 3,012 | |
| 17697680 | 01/22/13 B | 18,548 | 1.000 | 0 | 18,548- | 18,548 | |
| 17697680 | 01/25/13 B | 42,436 | 1.000 | 0 | 42,436- | 42,436 | |
| 17697680 | 01/25/13 B | 721 | 1.000 | 0 | 721- | 721 | |
| 17697680 | 01/29/13 B | 87,435 | 1.000 | 0 | 87,435- | 87,435 | |
| 17697680 | 01/29/13 B | 104,398 | 1.000 | 0 | 104,398- | 104,398 | |
| 17697680 | 01/31/13 B | 1,368,943 | 1.000 | 0 | 1,368,943- | 1,368,943 | |
| 17697680 | 02/01/13 B | 108,992 | 1.000 | 0 | 108,992- | 108,992 | |
| 17697680 | 02/04/13 B | 949,388 | 1.000 | 0 | 949,388- | 949,388 | |
| 17697680 | 02/04/13 B | 1,258 | 1.000 | 0 | 1,258- | 1,258 | |
| 17697680 | 02/06/13 B | 1,461,509 | 1.000 | 0 | 1,461,509- | 1,461,509 | |
| 17697680 | 02/06/13 B | 2,598 | 1.000 | 0 | 2,598- | 2,598 | |
| 17697680 | 02/07/13 B | 9,878 | 1.000 | 0 | 9,878- | 9,878 | |
| 17697680 | 02/08/13 B | 1,974 | 1.000 | 0 | 1,974- | 1,974 | |
| 17697680 | 02/08/13 B | 888 | 1.000 | 0 | 888- | 888 | |
| 17697680 | 02/11/13 B | 2,654 | 1.000 | 0 | 2,654- | 2,654 | |
| 17697680 | 02/12/13 B | 600,767 | 1.000 | 0 | 600,767- | 600,767 | |
| 17697680 | 02/12/13 B | 11,513 | 1.000 | 0 | 11,513- | 11,513 | |
| 17697680 | 02/13/13 B | 369 | 1.000 | 0 | 369- | 369 | |
| 17697680 | 02/15/13 B | 136,836 | 1.000 | 0 | 136,836- | 136,836 | |
| 17697680 | 02/19/13 B | 15,995 | 1.000 | 0 | 15,995- | 15,995 | |
| 17697680 | 02/19/13 B | 25,127 | 1.000 | 0 | 25,127- | 25,127 | |
| 17697680 | 02/20/13 B | 27,158 | 1.000 | 0 | 27,158- | 27,158 | |
| 17697680 | 02/20/13 B | 288,513 | 1.000 | 0 | 288,513- | 288,513 | |
| 17697680 | 02/21/13 B | 330,630 | 1.000 | 0 | 330,630- | 330,630 | |
| 17697680 | 02/22/13 B | 4,742 | 1.000 | 0 | 4,742- | 4,742 | |
| 17697680 | 02/25/13 B | 49,835 | 1.000 | 0 | 49,835- | 49,835 | |
| 17697680 | 02/25/13 B | 704 | 1.000 | 0 | 704- | 704 | |
| 17697680 | 02/25/13 B | 3,000 | 1.000 | 0 | 3,000- | 3,000 | |
| 17697680 | 02/26/13 B | 1,056 | 1.000 | 0 | 1,056- | 1,056 | |
| 17697680 | 02/27/13 B | 8,554 | 1.000 | 0 | 8,554- | 8,554 | |
| 17697680 | 02/27/13 B | 203 | 1.000 | 0 | 203- | 203 | |
| 17697680 | 03/01/13 B | 721,521 | 1.000 | 0 | 721,521- | 721,521 | |
| 17697680 | 03/04/13 B | 14,156 | 1.000 | 0 | 14,156- | 14,156 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 03/08/13 B | 10,706 | 1.000 | 0 | 10,706- | 10,706 | |
| 17697680 | 03/11/13 B | 2,653 | 1.000 | 0 | 2,653- | 2,653 | |
| 17697680 | 03/12/13 B | 5,386 | 1.000 | 0 | 5,386- | 5,386 | |
| 17697680 | 03/12/13 B | 14,419 | 1.000 | 0 | 14,419- | 14,419 | |
| 17697680 | 03/13/13 B | 5,101 | 1.000 | 0 | 5,101- | 5,101 | |
| 17697680 | 03/13/13 B | 1,233 | 1.000 | 0 | 1,233- | 1,233 | |
| 17697680 | 03/14/13 B | 8,190 | 1.000 | 0 | 8,190- | 8,190 | |
| 17697680 | 03/15/13 B | 529 | 1.000 | 0 | 529- | 529 | |
| 17697680 | 03/18/13 B | 182,503 | 1.000 | 0 | 182,503- | 182,503 | |
| 17697680 | 03/18/13 B | 89,683 | 1.000 | 0 | 89,683- | 89,683 | |
| 17697680 | 03/18/13 B | 1,386 | 1.000 | 0 | 1,386- | 1,386 | |
| 17697680 | 03/19/13 B | 6,750 | 1.000 | 0 | 6,750- | 6,750 | |
| 17697680 | 03/20/13 B | 3,384,677 | 1.000 | 0 | 3,384,677- | 3,384,677 | |
| 17697680 | 03/25/13 B | 44,683 | 1.000 | 0 | 44,683- | 44,683 | |
| 17697680 | 03/25/13 B | 51 | 1.000 | 0 | 51- | 51 | |
| 17697680 | 03/26/13 B | 3,000 | 1.000 | 0 | 3,000- | 3,000 | |
| 17697680 | 03/26/13 B | 2,059 | 1.000 | 0 | 2,059- | 2,059 | |
| 17697680 | 03/27/13 B | 634,786 | 1.000 | 0 | 634,786- | 634,786 | |
| 17697680 | 03/28/13 B | 1,052,799 | 1.000 | 0 | 1,052,799- | 1,052,799 | |
| 17697680 | 04/01/13 B | 2,106 | 1.000 | 0 | 2,106- | 2,106 | |
| 17697680 | 04/05/13 B | 2,548 | 1.000 | 0 | 2,548- | 2,548 | |
| 17697680 | 04/08/13 B | 2,180,116 | 1.000 | 0 | 2,180,116- | 2,180,116 | |
| 17697680 | 04/11/13 B | 6,129 | 1.000 | 0 | 6,129- | 6,129 | |
| 17697680 | 04/12/13 B | 11,895 | 1.000 | 0 | 11,895- | 11,895 | |
| 17697680 | 04/15/13 B | 330,240 | 1.000 | 0 | 330,240- | 330,240 | |
| 17697680 | 04/16/13 B | 27,176 | 1.000 | 0 | 27,176- | 27,176 | |
| 17697680 | 04/16/13 B | 3,784 | 1.000 | 0 | 3,784- | 3,784 | |
| 17697680 | 04/17/13 B | 8,395 | 1.000 | 0 | 8,395- | 8,395 | |
| 17697680 | 04/18/13 B | 381,065 | 1.000 | 0 | 381,065- | 381,065 | |
| 17697680 | 04/22/13 B | 844 | 1.000 | 0 | 844- | 844 | |
| 17697680 | 04/25/13 B | 7,108 | 1.000 | 0 | 7,108- | 7,108 | |
| 17697680 | 04/29/13 B | 5,550 | 1.000 | 0 | 5,550- | 5,550 | |
| 17697680 | 04/30/13 B | 1,769,401 | 1.000 | 0 | 1,769,401- | 1,769,401 | |
| 17697680 | 04/30/13 B | 96,262 | 1.000 | 0 | 96,262- | 96,262 | |
| 17697680 | 05/01/13 B | 300,472 | 1.000 | 0 | 300,472- | 300,472 | |
| 17697680 | 05/06/13 B | 297,866 | 1.000 | 0 | 297,866- | 297,866 | |
| 17697680 | 05/07/13 B | 2,599 | 1.000 | 0 | 2,599- | 2,599 | |
| 17697680 | 05/08/13 B | 23,016 | 1.000 | 0 | 23,016- | 23,016 | |
| 17697680 | 05/08/13 B | 90,844 | 1.000 | 0 | 90,844- | 90,844 | |
| 17697680 | 05/09/13 B | 5,906 | 1.000 | 0 | 5,906- | 5,906 | |
| 17697680 | 05/09/13 B | 58 | 1.000 | 0 | 58- | 58 | |
| 17697680 | 05/15/13 B | 606,769 | 1.000 | 0 | 606,769- | 606,769 | |
| 17697680 | 05/15/13 B | 52 | 1.000 | 0 | 52- | 52 | |
| 17697680 | 05/16/13 B | 7,113 | 1.000 | 0 | 7,113- | 7,113 | |
| 17697680 | 05/17/13 B | 2,632,695 | 1.000 | 0 | 2,632,695- | 2,632,695 | |
| 17697680 | 05/17/13 B | 2,633 | 1.000 | 0 | 2,633- | 2,633 | |

SCHEDULE H, LINE 4j--SCHEDULE OF REPORTABLE TRANSACTIONS

MGH WIND DOWN INC #38-1358036

MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN #001

DECEMBER 31, 2013



REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 05/20/13 B | 7,413 | 1.000 | 0 | 7,413- | 7,413 | |
| 17697680 | 05/20/13 B | 220 | 1.000 | 0 | 220- | 220 | |
| 17697680 | 05/21/13 B | 40,188 | 1.000 | 0 | 40,188- | 40,188 | |
| 17697680 | 05/22/13 B | 24,403 | 1.000 | 0 | 24,403- | 24,403 | |
| 17697680 | 05/28/13 B | 23,107 | 1.000 | 0 | 23,107- | 23,107 | |
| 17697680 | 05/28/13 B | 13,257 | 1.000 | 0 | 13,257- | 13,257 | |
| 17697680 | 05/29/13 B | 2,200,272 | 1.000 | 0 | 2,200,272- | 2,200,272 | |
| 17697680 | 05/29/13 B | 192,539 | 1.000 | 0 | 192,539- | 192,539 | |
| 17697680 | 05/30/13 B | 22,942 | 1.000 | 0 | 22,942- | 22,942 | |
| 17697680 | 05/31/13 B | 594,695 | 1.000 | 0 | 594,695- | 594,695 | |
| 17697680 | 06/03/13 B | 87,186 | 1.000 | 0 | 87,186- | 87,186 | |
| 17697680 | 06/07/13 B | 1,672,150 | 1.000 | 0 | 1,672,150- | 1,672,150 | |
| 17697680 | 06/07/13 B | 206,554 | 1.000 | 0 | 206,554- | 206,554 | |
| 17697680 | 06/10/13 B | 279,366 | 1.000 | 0 | 279,366- | 279,366 | |
| 17697680 | 06/11/13 B | 637,277 | 1.000 | 0 | 637,277- | 637,277 | |
| 17697680 | 06/13/13 B | 11,916 | 1.000 | 0 | 11,916- | 11,916 | |
| 17697680 | 06/17/13 B | 135,845 | 1.000 | 0 | 135,845- | 135,845 | |
| 17697680 | 06/17/13 B | 12,258 | 1.000 | 0 | 12,258- | 12,258 | |
| 17697680 | 06/17/13 B | 2,720 | 1.000 | 0 | 2,720- | 2,720 | |
| 17697680 | 06/18/13 B | 18,029 | 1.000 | 0 | 18,029- | 18,029 | |
| 17697680 | 06/18/13 B | 1,254,464 | 1.000 | 0 | 1,254,464- | 1,254,464 | |
| 17697680 | 06/19/13 B | 8,159 | 1.000 | 0 | 8,159- | 8,159 | |
| 17697680 | 06/20/13 B | 21,738,609 | 1.000 | 0 | 21,738,609-* | 21,738,609 | |
| 17697680 | 06/20/13 B | 1,037,195 | 1.000 | 0 | 1,037,195- | 1,037,195 | |
| 17697680 | 06/21/13 B | 9,291,060 | 1.000 | 0 | 9,291,060- | 9,291,060 | |
| 17697680 | 06/21/13 B | 1,601,795 | 1.000 | 0 | 1,601,795- | 1,601,795 | |
| 17697680 | 06/21/13 B | 1,591,117 | 1.000 | 0 | 1,591,117- | 1,591,117 | |
| 17697680 | 06/24/13 B | 91,820,490 | 1.000 | 0 | 91,820,490-* | 91,820,490 | |
| 17697680 | 06/24/13 B | 3,481,708 | 1.000 | 0 | 3,481,708- | 3,481,708 | |
| 17697680 | 06/24/13 B | 4,459,388 | 1.000 | 0 | 4,459,388- | 4,459,388 | |
| 17697680 | 06/24/13 B | 1,363,561 | 1.000 | 0 | 1,363,561- | 1,363,561 | |
| 17697680 | 06/24/13 B | 221,324 | 1.000 | 0 | 221,324- | 221,324 | |
| 17697680 | 06/25/13 B | 503,526 | 1.000 | 0 | 503,526- | 503,526 | |
| 17697680 | 06/25/13 B | 2,080,277 | 1.000 | 0 | 2,080,277- | 2,080,277 | |
| 17697680 | 06/25/13 B | 799,058 | 1.000 | 0 | 799,058- | 799,058 | |
| 17697680 | 06/25/13 B | 5,516 | 1.000 | 0 | 5,516- | 5,516 | |
| 17697680 | 06/25/13 B | 731,519 | 1.000 | 0 | 731,519- | 731,519 | |
| 17697680 | 06/28/13 B | 16,235 | 1.000 | 0 | 16,235- | 16,235 | |
| 17697680 | 07/01/13 B | 357 | 1.000 | 0 | 357- | 357 | |
| 17697685 | 06/24/13 B | 1,601,914 | 1.000 | 0 | 1,601,914- | 1,601,914 | |
| 17697685 | 06/27/13 B | 11,116 | 1.000 | 0 | 11,116- | 11,116 | |
| 17697685 | 07/01/13 B | 3 | 1.000 | 0 | 3- | 3 | |
| 17697695 | 01/02/13 B | 204 | 1.000 | 0 | 204- | 204 | |
| 17697695 | 02/01/13 B | 119 | 1.000 | 0 | 119- | 119 | |
| 17697695 | 03/01/13 B | 101 | 1.000 | 0 | 101- | 101 | |
| 17697695 | 04/01/13 B | 112 | 1.000 | 0 | 112- | 112 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697695 | 05/01/13 B | 108 | 1.000 | 0 | 108- | 108 | |
| 17697695 | 06/03/13 B | 112 | 1.000 | 0 | 112- | 112 | |
| 17697695 | 07/01/13 B | 108 | 1.000 | 0 | 108- | 108 | |
| | SUB-TOTAL OF BUYS | # 224 | | 0 | 192,049,387 | 192,049,387 | |
| 17697650 | 07/03/13 S | 5,425,518 | 1.000 | 0 | 5,425,518 | 5,425,518 | 0 |
| 17697655 | 07/03/13 S | 5,806,822 | 1.000 | 0 | 5,806,822 | 5,806,822 | 0 |
| 17697660 | 01/22/13 S | 38,819 | 1.000 | 0 | 38,819 | 38,819 | 0 |
| 17697660 | 01/24/13 S | 4,519 | 1.000 | 0 | 4,519 | 4,519 | 0 |
| 17697660 | 02/05/13 S | 8,615 | 1.000 | 0 | 8,615 | 8,615 | 0 |
| 17697660 | 02/06/13 S | 8,789 | 1.000 | 0 | 8,789 | 8,789 | 0 |
| 17697660 | 02/07/13 S | 10,633 | 1.000 | 0 | 10,633 | 10,633 | 0 |
| 17697660 | 02/21/13 S | 7,869 | 1.000 | 0 | 7,869 | 7,869 | 0 |
| 17697660 | 03/18/13 S | 9,799 | 1.000 | 0 | 9,799 | 9,799 | 0 |
| 17697660 | 03/19/13 S | 234 | 1.000 | 0 | 234 | 234 | 0 |
| 17697660 | 03/20/13 S | 55,859 | 1.000 | 0 | 55,859 | 55,859 | 0 |
| 17697660 | 03/21/13 S | 568 | 1.000 | 0 | 568 | 568 | 0 |
| 17697660 | 03/25/13 S | 20,346 | 1.000 | 0 | 20,346 | 20,346 | 0 |
| 17697660 | 04/09/13 S | 21,683 | 1.000 | 0 | 21,683 | 21,683 | 0 |
| 17697660 | 04/10/13 S | 3,269 | 1.000 | 0 | 3,269 | 3,269 | 0 |
| 17697660 | 04/24/13 S | 30,813 | 1.000 | 0 | 30,813 | 30,813 | 0 |
| 17697660 | 04/26/13 S | 14,708 | 1.000 | 0 | 14,708 | 14,708 | 0 |
| 17697660 | 04/29/13 S | 7,388 | 1.000 | 0 | 7,388 | 7,388 | 0 |
| 17697660 | 04/29/13 S | 142 | 1.000 | 0 | 142 | 142 | 0 |
| 17697660 | 05/02/13 S | 2,009 | 1.000 | 0 | 2,009 | 2,009 | 0 |
| 17697660 | 05/06/13 S | 3,348 | 1.000 | 0 | 3,348 | 3,348 | 0 |
| 17697660 | 05/15/13 S | 5,553 | 1.000 | 0 | 5,553 | 5,553 | 0 |
| 17697660 | 05/20/13 S | 981 | 1.000 | 0 | 981 | 981 | 0 |
| 17697660 | 05/21/13 S | 7,332 | 1.000 | 0 | 7,332 | 7,332 | 0 |
| 17697660 | 05/23/13 S | 4,922 | 1.000 | 0 | 4,922 | 4,922 | 0 |
| 17697660 | 06/04/13 S | 10,979 | 1.000 | 0 | 10,979 | 10,979 | 0 |
| 17697660 | 06/12/13 S | 6,507 | 1.000 | 0 | 6,507 | 6,507 | 0 |
| 17697660 | 06/13/13 S | 16,440 | 1.000 | 0 | 16,440 | 16,440 | 0 |
| 17697660 | 06/18/13 S | 5,643 | 1.000 | 0 | 5,643 | 5,643 | 0 |
| 17697660 | 06/19/13 S | 5,487 | 1.000 | 0 | 5,487 | 5,487 | 0 |
| 17697660 | 06/21/13 S | 13,892 | 1.000 | 0 | 13,892 | 13,892 | 0 |
| 17697660 | 07/03/13 S | 3,131,237 | 1.000 | 0 | 3,131,237 | 3,131,237 | 0 |
| 17697675 | 07/03/13 S | 2,446,097 | 1.000 | 0 | 2,446,097 | 2,446,097 | 0 |
| 17697680 | 01/09/13 S | 382,111 | 1.000 | 0 | 382,111 | 382,111 | 0 |
| 17697680 | 01/11/13 S | 3,113,798 | 1.000 | 0 | 3,113,798 | 3,113,798 | 0 |
| 17697680 | 01/15/13 S | 557,284 | 1.000 | 0 | 557,284 | 557,284 | 0 |
| 17697680 | 01/24/13 S | 827,602 | 1.000 | 0 | 827,602 | 827,602 | 0 |
| 17697680 | 01/28/13 S | 65,195 | 1.000 | 0 | 65,195 | 65,195 | 0 |
| 17697680 | 01/31/13 S | 1,370,109 | 1.000 | 0 | 1,370,109 | 1,370,109 | 0 |
| 17697680 | 02/04/13 S | 809 | 1.000 | 0 | 809 | 809 | 0 |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 02/05/13 S | 437,843 | 1.000 | 0 | 437,843 | 437,843 | 0 |
| 17697680 | 02/11/13 S | 934,367 | 1.000 | 0 | 934,367 | 934,367 | 0 |
| 17697680 | 02/12/13 S | 1,092,306 | 1.000 | 0 | 1,092,306 | 1,092,306 | 0 |
| 17697680 | 02/13/13 S | 459,886 | 1.000 | 0 | 459,886 | 459,886 | 0 |
| 17697680 | 02/15/13 S | 532,417 | 1.000 | 0 | 532,417 | 532,417 | 0 |
| 17697680 | 02/28/13 S | 453,053 | 1.000 | 0 | 453,053 | 453,053 | 0 |
| 17697680 | 03/11/13 S | 810,814 | 1.000 | 0 | 810,814 | 810,814 | 0 |
| 17697680 | 03/15/13 S | 722,926 | 1.000 | 0 | 722,926 | 722,926 | 0 |
| 17697680 | 03/21/13 S | 552,073 | 1.000 | 0 | 552,073 | 552,073 | 0 |
| 17697680 | 03/26/13 S | 483,798 | 1.000 | 0 | 483,798 | 483,798 | 0 |
| 17697680 | 04/01/13 S | 2,035,647 | 1.000 | 0 | 2,035,647 | 2,035,647 | 0 |
| 17697680 | 04/02/13 S | 1,020,944 | 1.000 | 0 | 1,020,944 | 1,020,944 | 0 |
| 17697680 | 04/03/13 S | 890,450 | 1.000 | 0 | 890,450 | 890,450 | 0 |
| 17697680 | 04/03/13 S | 48,530 | 1.000 | 0 | 48,530 | 48,530 | 0 |
| 17697680 | 04/05/13 S | 6,067 | 1.000 | 0 | 6,067 | 6,067 | 0 |
| 17697680 | 04/10/13 S | 381,065 | 1.000 | 0 | 381,065 | 381,065 | 0 |
| 17697680 | 04/24/13 S | 701,392 | 1.000 | 0 | 701,392 | 701,392 | 0 |
| 17697680 | 04/25/13 S | 713,732 | 1.000 | 0 | 713,732 | 713,732 | 0 |
| 17697680 | 04/26/13 S | 1,000,000 | 1.000 | 0 | 1,000,000 | 1,000,000 | 0 |
| 17697680 | 04/30/13 S | 875,339 | 1.000 | 0 | 875,339 | 875,339 | 0 |
| 17697680 | 05/09/13 S | 2,000,000 | 1.000 | 0 | 2,000,000 | 2,000,000 | 0 |
| 17697680 | 05/10/13 S | 247,249 | 1.000 | 0 | 247,249 | 247,249 | 0 |
| 17697680 | 05/17/13 S | 1,000,000 | 1.000 | 0 | 1,000,000 | 1,000,000 | 0 |
| 17697680 | 05/31/13 S | 1,076,510 | 1.000 | 0 | 1,076,510 | 1,076,510 | 0 |
| 17697680 | 06/04/13 S | 353,946 | 1.000 | 0 | 353,946 | 353,946 | 0 |
| 17697680 | 06/12/13 S | 2,169 | 1.000 | 0 | 2,169 | 2,169 | 0 |
| 17697680 | 06/18/13 S | 913,871 | 1.000 | 0 | 913,871 | 913,871 | 0 |
| 17697680 | 06/26/13 S | 5,516 | 1.000 | 0 | 5,516 | 5,516 | 0 |
| 17697680 | 07/03/13 S | 148,084,946 | 1.000 | 0 | 148,084,946 * | 148,084,946 | 0 |
| 17697685 | 07/03/13 S | 1,613,042 | 1.000 | 0 | 1,613,042 | 1,613,042 | 0 |
| 17697695 | 01/08/13 S | 3,612,515 | 1.000 | 0 | 3,612,515 | 3,612,515 | 0 |
| 17697695 | 07/03/13 S | 13,138,827 | 1.000 | 0 | 13,138,827 * | 13,138,827 | 0 |
| | SUB-TOTAL OF SALES # 72 | | | 0 | 209,654,968 | 209,654,968 | 0 |
| | SUB-TOTAL | | | 0 | 401,704,355 | 401,704,355 | 0 |
| | ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | | |
| 911406007 | 01/02/13 B | 2,290 | 1.000 | 0 | 2,290- | 2,290 | |
| 911406007 | 01/03/13 B | 285,091 | 1.000 | 0 | 285,091- | 285,091 | |
| 911406007 | 01/03/13 B | 36,604 | 1.000 | 0 | 36,604- | 36,604 | |
| 911406007 | 01/04/13 B | 55,833 | 1.000 | 0 | 55,833- | 55,833 | |
| 911406007 | 01/07/13 B | 127,273 | 1.000 | 0 | 127,273- | 127,273 | |
| 911406007 | 01/09/13 B | 167,484 | 1.000 | 0 | 167,484- | 167,484 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 911406007 | 01/11/13 B | 35,055 | 1.000 | 0 | 35,055- | 35,055 | |
| 911406007 | 01/14/13 B | 167 | 1.000 | 0 | 167- | 167 | |
| 911406007 | 01/16/13 B | 17,705 | 1.000 | 0 | 17,705- | 17,705 | |
| 911406007 | 01/16/13 B | 132,314 | 1.000 | 0 | 132,314- | 132,314 | |
| 911406007 | 01/25/13 B | 6,715 | 1.000 | 0 | 6,715- | 6,715 | |
| 911406007 | 02/01/13 B | 889 | 1.000 | 0 | 889- | 889 | |
| 911406007 | 02/04/13 B | 1,932 | 1.000 | 0 | 1,932- | 1,932 | |
| 911406007 | 02/07/13 B | 3,597 | 1.000 | 0 | 3,597- | 3,597 | |
| 911406007 | 02/08/13 B | 189 | 1.000 | 0 | 189- | 189 | |
| 911406007 | 02/12/13 B | 133 | 1.000 | 0 | 133- | 133 | |
| 911406007 | 02/20/13 B | 15,865 | 1.000 | 0 | 15,865- | 15,865 | |
| 911406007 | 03/01/13 B | 2,000 | 1.000 | 0 | 2,000- | 2,000 | |
| 911406007 | 03/05/13 B | 17,061 | 1.000 | 0 | 17,061- | 17,061 | |
| 911406007 | 03/25/13 B | 17,780 | 1.000 | 0 | 17,780- | 17,780 | |
| 911406007 | 04/08/13 B | 30,430 | 1.000 | 0 | 30,430- | 30,430 | |
| 911406007 | 04/29/13 B | 1,000,000 | 1.000 | 0 | 1,000,000- | 1,000,000 | |
| 911406007 | 05/03/13 B | 177 | 1.000 | 0 | 177- | 177 | |
| 911406007 | 05/09/13 B | 2,000,000 | 1.000 | 0 | 2,000,000- | 2,000,000 | |
| 911406007 | 05/17/13 B | 1,000,000 | 1.000 | 0 | 1,000,000- | 1,000,000 | |
| 911406007 | 06/03/13 B | 127 | 1.000 | 0 | 127- | 127 | |
| 911406007 | 06/24/13 B | 32,390 | 1.000 | 0 | 32,390- | 32,390 | |
| 911406007 | 06/27/13 B | 1,292 | 1.000 | 0 | 1,292- | 1,292 | |
| 911406007 | 06/28/13 B | 28 | 1.000 | 0 | 28- | 28 | |
| 911406007 | 07/03/13 B | 5,425,518 | 1.000 | 0 | 5,425,518- | 5,425,518 | |
| 911406007 | 07/03/13 B | 5,806,822 | 1.000 | 0 | 5,806,822- | 5,806,822 | |
| 911406007 | 07/03/13 B | 3,131,237 | 1.000 | 0 | 3,131,237- | 3,131,237 | |
| 911406007 | 07/03/13 B | 2,446,097 | 1.000 | 0 | 2,446,097- | 2,446,097 | |
| 911406007 | 07/03/13 B | 148,084,946 | 1.000 | 0 | 148,084,946-* | 148,084,946 | |
| 911406007 | 07/03/13 B | 1,613,042 | 1.000 | 0 | 1,613,042- | 1,613,042 | |
| 911406007 | 07/03/13 B | 13,138,827 | 1.000 | 0 | 13,138,827-* | 13,138,827 | |
| 911406007 | 07/05/13 B | 339 | 1.000 | 0 | 339- | 339 | |
| 911406007 | 07/08/13 B | 1,527 | 1.000 | 0 | 1,527- | 1,527 | |
| 911406007 | 07/09/13 B | 961 | 1.000 | 0 | 961- | 961 | |
| 911406007 | 07/10/13 B | 112 | 1.000 | 0 | 112- | 112 | |
| 911406007 | 07/12/13 B | 885 | 1.000 | 0 | 885- | 885 | |
| 911406007 | 07/15/13 B | 473 | 1.000 | 0 | 473- | 473 | |
| 911406007 | 07/17/13 B | 455 | 1.000 | 0 | 455- | 455 | |
| 911406007 | 07/18/13 B | 145 | 1.000 | 0 | 145- | 145 | |
| 911406007 | 07/22/13 B | 151 | 1.000 | 0 | 151- | 151 | |
| 911406007 | 07/26/13 B | 205 | 1.000 | 0 | 205- | 205 | |
| 911406007 | 08/01/13 B | 397 | 1.000 | 0 | 397- | 397 | |
| 911406007 | 08/02/13 B | 57 | 1.000 | 0 | 57- | 57 | |
| 911406007 | 08/06/13 B | 502 | 1.000 | 0 | 502- | 502 | |
| 911406007 | 08/15/13 B | 53 | 1.000 | 0 | 53- | 53 | |
| 911406007 | 08/19/13 B | 9 | 1.000 | 0 | 9- | 9 | |
| 911406007 | 09/03/13 B | 30 | 1.000 | 0 | 30- | 30 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS | |
|---|---|---|---|---|---|---|---|---|
| 911406007 | 09/23/13 B | 81 | 1.000 | 0 | 81- | 81 | | |
| 911406007 | 10/01/13 B | 0 | 1.000 | 0 | 0- | 0 | | |
| 911406007 | 11/01/13 B | 0 | 1.000 | 0 | 0- | 0 | | |
| 911406007 | 11/15/13 B | 2,014 | 1.000 | 0 | 2,014- | 2,014 | | |
| 911406007 | 11/22/13 B | 3,423 | 1.000 | 0 | 3,423- | 3,423 | | |
| 911406007 | 11/25/13 B | 27,999 | 1.000 | 0 | 27,999- | 27,999 | | |
| 911406007 | 12/02/13 B | 0 | 1.000 | 0 | 0- | 0 | | |
| 911406007 | 12/20/13 B | 8,010 | 1.000 | 0 | 8,010- | 8,010 | | |
| 911406007 | 12/30/13 B | 1,712 | 1.000 | 0 | 1,712- | 1,712 | | |
| | SUB-TOTAL OF BUYS | # 61 | | 0 | 184,686,450 | 184,686,450 | | |
| 911406007 | 01/02/13 S | 949,024 | 1.000 | 0 | 949,024 | 949,024 | | 0 |
| 911406007 | 01/04/13 S | 285,091 | 1.000 | 0 | 285,091 | 285,091 | | 0 |
| 911406007 | 01/07/13 S | 75,383 | 1.000 | 0 | 75,383 | 75,383 | | 0 |
| 911406007 | 01/08/13 S | 48,688 | 1.000 | 0 | 48,688 | 48,688 | | 0 |
| 911406007 | 01/09/13 S | 127,273 | 1.000 | 0 | 127,273 | 127,273 | | 0 |
| 911406007 | 01/11/13 S | 167,484 | 1.000 | 0 | 167,484 | 167,484 | | 0 |
| 911406007 | 01/15/13 S | 35,055 | 1.000 | 0 | 35,055 | 35,055 | | 0 |
| 911406007 | 01/17/13 S | 17,705 | 1.000 | 0 | 17,705 | 17,705 | | 0 |
| 911406007 | 01/18/13 S | 132,314 | 1.000 | 0 | 132,314 | 132,314 | | 0 |
| 911406007 | 01/29/13 S | 135,836 | 1.000 | 0 | 135,836 | 135,836 | | 0 |
| 911406007 | 01/30/13 S | 3,462 | 1.000 | 0 | 3,462 | 3,462 | | 0 |
| 911406007 | 01/31/13 S | 47,031 | 1.000 | 0 | 47,031 | 47,031 | | 0 |
| 911406007 | 02/01/13 S | 830,047 | 1.000 | 0 | 830,047 | 830,047 | | 0 |
| 911406007 | 02/04/13 S | 895 | 1.000 | 0 | 895 | 895 | | 0 |
| 911406007 | 02/06/13 S | 1,571 | 1.000 | 0 | 1,571 | 1,571 | | 0 |
| 911406007 | 02/11/13 S | 3,387 | 1.000 | 0 | 3,387 | 3,387 | | 0 |
| 911406007 | 02/19/13 S | 3,218 | 1.000 | 0 | 3,218 | 3,218 | | 0 |
| 911406007 | 02/22/13 S | 15,865 | 1.000 | 0 | 15,865 | 15,865 | | 0 |
| 911406007 | 03/01/13 S | 830,027 | 1.000 | 0 | 830,027 | 830,027 | | 0 |
| 911406007 | 03/04/13 S | 8,138 | 1.000 | 0 | 8,138 | 8,138 | | 0 |
| 911406007 | 03/04/13 S | 161,698 | 1.000 | 0 | 161,698 | 161,698 | | 0 |
| 911406007 | 03/07/13 S | 17,649 | 1.000 | 0 | 17,649 | 17,649 | | 0 |
| 911406007 | 03/13/13 S | 1,461 | 1.000 | 0 | 1,461 | 1,461 | | 0 |
| 911406007 | 03/27/13 S | 22,398 | 1.000 | 0 | 22,398 | 22,398 | | 0 |
| 911406007 | 04/01/13 S | 833,395 | 1.000 | 0 | 833,395 | 833,395 | | 0 |
| 911406007 | 04/02/13 S | 2,000 | 1.000 | 0 | 2,000 | 2,000 | | 0 |
| 911406007 | 04/03/13 S | 22,661 | 1.000 | 0 | 22,661 | 22,661 | | 0 |
| 911406007 | 05/01/13 S | 835,688 | 1.000 | 0 | 835,688 | 835,688 | | 0 |
| 911406007 | 05/02/13 S | 1,453 | 1.000 | 0 | 1,453 | 1,453 | | 0 |
| 911406007 | 05/06/13 S | 172 | 1.000 | 0 | 172 | 172 | | 0 |
| 911406007 | 05/07/13 S | 30,430 | 1.000 | 0 | 30,430 | 30,430 | | 0 |
| 911406007 | 05/23/13 S | 28,641 | 1.000 | 0 | 28,641 | 28,641 | | 0 |
| 911406007 | 05/31/13 S | 152,283 | 1.000 | 0 | 152,283 | 152,283 | | 0 |
| 911406007 | 06/03/13 S | 838,508 | 1.000 | 0 | 838,508 | 838,508 | | 0 |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 911406007 | 06/04/13 S | 0 | 1.000 | 0 | 0 | 0 | 0 |
| 911406007 | 06/26/13 S | 32,390 | 1.000 | 0 | 32,390 | 32,390 | 0 |
| 911406007 | 07/01/13 S | 837,187 | 1.000 | 0 | 837,187 | 837,187 | 0 |
| 911406007 | 07/02/13 S | 1,140 | 1.000 | 0 | 1,140 | 1,140 | 0 |
| 911406007 | 07/09/13 S | 181,043,128 | 1.000 | 0 | 181,043,128 * | 181,043,128 | 0 |
| 911406007 | 07/23/13 S | 392 | 1.000 | 0 | 392 | 392 | 0 |
| 911406007 | 07/30/13 S | 642 | 1.000 | 0 | 642 | 642 | 0 |
| 911406007 | 11/19/13 S | 243 | 1.000 | 0 | 243 | 243 | 0 |
| 911406007 | 11/27/13 S | 27,999 | 1.000 | 0 | 27,999 | 27,999 | 0 |
| 911406007 | 11/29/13 S | 3,423 | 1.000 | 0 | 3,423 | 3,423 | 0 |
| 911406007 | 12/24/13 S | 6,422 | 1.000 | 0 | 6,422 | 6,422 | 0 |
| | SUB-TOTAL OF SALES # 45 | | | 0 | 188,618,897 | 188,618,897 | 0 |
| | SUB-TOTAL | | | 0 | 373,305,347 | 373,305,347 | 0 |
| | ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | | |
| 17697680 | 02/01/13 S | 1,500,000 | 0.968 | 0 | 1,451,191 | 1,587,635 | -136,444 |
| 17697680 | 05/28/13 S | 630,000 | 0.943 | 0 | 593,873 | 666,807 | -72,933 |
| 17697680 | 06/20/13 S | 16,000,000 | 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| | SUB-TOTAL OF SALES # 3 | | | 0 | 16,551,314 | 19,189,217 | -2,637,902 |
| | SUB-TOTAL | | | 0 | 16,551,314 | 19,189,217 | -2,637,902 |
| | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 | | | | | | |
| 17697680 | 06/07/13 B | 215,000 | 0.963 | 0 | 207,013- | 207,013 | |
| 17697680 | 06/13/13 B | 950,000 | 0.962 | 0 | 913,633- | 913,633 | |
| 17697680 | 06/17/13 B | 5,000,000 | 0.963 | 0 | 4,814,258- | 4,814,258 | |
| | SUB-TOTAL OF BUYS # 3 | | | 0 | 5,934,904 | 5,934,904 | |
| 17697680 | 06/20/13 S | 6,165,000 | 0.942 | 0 | 5,807,623 | 5,934,904 | -127,281 |
| | SUB-TOTAL OF SALES # 1 | | | 0 | 5,807,623 | 5,934,904 | -127,281 |
| | SUB-TOTAL | | | 0 | 11,742,527 | 11,869,808 | -127,281 |
| | GRAND TOTAL | | | 0 | 803,303,543 | 806,068,727 | -2,765,183 |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|

## FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

BROKER: DEUTSCHE BANK SECURITIES

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 912828TZ3 - US TREASURY NOTE 0.25% 11/30/14 | | | | | |
| 17697680 | 01/04/13 S | 200,000 1.000 | 0 | 199,961 | 199,945 | 16 |
| | ISSUE: 03064YAG7 - AMERICREDIT AUTOMOBI 2.64% 7/08/20 | | | | | |
| 17697680 | 01/15/13 B | 865,000 1.000 | 0 | 864,790- | 864,790 | |
| | ISSUE: 80282WAE0 - SANTANDER DRIVE AUTO 3.01% 4/16/18 | | | | | |
| 17697680 | 01/24/13 S | 100,000 1.043 | 0 | 104,281 | 99,973 | 4,308 |
| | ISSUE: 912828UF5 - US TREASURY NOTE 1.125% 12/31/19 | | | | | |
| 17697680 | 01/28/13 B | 1,390,000 0.985 | 0 | 1,368,770- | 1,368,770 | |
| | ISSUE: 9128334T4 - US TREASURY SEC STRIPPED | | | | | |
| 17697680 | 02/15/13 S | 600,000 0.551 | 0 | 330,630 | 359,388 | -28,758 |
| | ISSUE: 36228CVY6 - VR GS MORTGAGE SECUR 4.841% 7/10/39 | | | | | |
| 17697680 | 03/15/13 S | 720,000 0.981 | 0 | 706,050 | 707,400 | -1,350 |
| | ISSUE: 227170AE7 - CRONOS CONTAINERS PR 3.08% 4/18/28 | | | | | |
| 17697680 | 04/17/13 B | 750,000 1.000 | 0 | 749,995- | 749,995 | |
| | ISSUE: 31398AUJ9 - FED NATL MTG ASSN 2.875% 12/11/13 | | | | | |
| 17697680 | 05/14/13 S | 520,000 1.016 | 0 | 528,061 | 535,871 | -7,809 |
| | ISSUE: 83162CQN6 - SBA 5.54% 9/01/26 | | | | | |
| 17697680 | 06/12/13 S | 40,430 1.120 | 0 | 45,281 | 44,586 | 695 |
| | ISSUE: 912834DU9 - US TREASURY BOND 11/15/38 | | | | | |
| 17697680 | 06/20/13 S | 3,450,000 0.392 | 0 | 1,352,883 | 1,687,913 | -335,030 |
| | ISSUE: 912828SV3 - US TREASURY NOTE 1.75% 5/15/22 | | | | | |
| 17697680 | 06/20/13 S | 2,014,000 0.959 | 0 | 1,932,260 | 2,053,846 | -121,586 |
| | ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 | 06/20/13 S | 16,000,000 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| | ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 | | | | | |
| 17697680 | 06/20/13 S | 3,250,000 0.361 | 0 | 1,174,355 | 1,494,903 | -320,548 |
| | ISSUE: 912833Y38 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S | 4,000,000 0.426 | 0 | 1,703,400 | 2,091,440 | -388,040 |
| | ISSUE: 912833X88 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S | 800,000 0.452 | 0 | 361,560 | 410,176 | -48,616 |
| | ISSUE: 912828VC1 - US TREASURY NOTE 0.25% 5/15/16 | | | | | |
| 17697680 | 06/20/13 S | 1,125,000 0.990 | 0 | 1,113,706 | 1,115,947 | -2,241 |
| | ISSUE: 912828VE7 - US TREASURY NOTE 1% 5/31/18 | | | | | |
| 17697680 | 06/20/13 S | 950,000 0.986 | 0 | 936,678 | 945,547 | -8,869 |

REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|
| SUB-TOTAL | | 0 | 27,978,911 | 31,665,265 | -3,686,353 |
| GRAND TOTAL | | 0 | 27,978,911 | 31,665,265 | -3,686,353 |

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, Part V—Summary of Plan Provisions

| | |
|---|---|
| General Information | |
| Original Effective Date | January 1, 1964 as amended to date; last amended effective August 27, 2012. |
| Plan Termination Date | April 1, 2013 |
| Eligibility | All of the employees of the hospital are covered employees with the exception of leased employees, interns, residents, student personnel, and employees of Surgical Associates of Marquette, P.C. Employees participate on the January 1 of the plan year in which they complete 1,000 hours of service or, if earlier, the January 1 following their date of hire if they complete 1,000 hours of service in the first 12 months of employment. Effective January 1, 2006, non-union employees hired after December 31, 2005 and union employees hired after July 1, 2006 are excluded. Effective January 1, 2006, non-union employees who were rehired after August 1, 2008 are excluded. Eligible employees who participated in a merged plan participate on the merger date. Effective January 1, 2010, union employees who were rehired on or after November 1, 2010 are excluded. |
| Service | An employee receives one year of service for each plan year in which he has 1,000 or more hours of service on or after January 1, 1976. Prior to January 1, 1976, years of service shall be credited at the rate of one year of service for each 12 consecutive month period in which the individual was credited with at least one hour of service. |
| Credited Service | An employee receives one year of credited service for each plan year in which he has 1,995 or more hours of service. Proportionate credit is given for 1,000 to 1,995 hours of service. Service with St. Mary's Hospital prior to July 1, 1973 is also included. Employees who are not contributing to the retirement plan after November 1, 2010, do not receive credited service for hours worked after November 1, 2010. |
| Annual Compensation | Base rate of pay on January 1 of the plan year times 2080 (capped at $205,000 on and after January 1, 2005). |

| | |
|---|---|
| Final Average Annual Compensation | The average of the employee's annual compensation during the highest five consecutive calendar years. |
| Normal Retirement Benefit<br>Prior to November 1, 2010 | Upon the attainment of age 65. |
| Union Employees | A participant who terminates employment with the employer for any reason on or after January 1, 2001, shall be eligible for a monthly normal retirement benefit equal to one-twelfth (1/12th) of the greater of (i), (ii), or (iii) below.<br><br>(i) If the participant was born before 1938, 1.7% of his or her final average compensation up to covered compensation for each year of credited service (up to a maximum of 30 such years) plus 2.5% of final average compensation in excess of covered compensation for each year of credited service (up to a maximum of 30 such years). If the participant was born on or after January 1, 1938 but before January 1, 1955, the 1.7% is replaced with 1.7736%. If the participant was born on or after January 1, 1955, the 1.7% is replaced with 1.8333%.<br><br>(ii) The participant's accrued benefit determined as of December 31, 1999.<br><br>(iii) For a participant whose final average compensation is in excess of covered compensation and whose accrued benefit would otherwise decrease due to a change in covered compensation, his or her accrued benefit as of the last day of any preceding plan year which falls on or after December 31, 1999. |
| Non-Union Employees | A participant who terminates employment with the employer for any reason on or after January 1, 2001, shall be eligible for a monthly normal retirement benefit equal to one-twelfth (1/12th) of the greater of (i), (ii), or (iii) below. |

(i) The sum of a participant's accrued benefit as of January 1, 2008 and any subsequent annual benefit accruals according to the following:

(a) If the participant was born before 1938, 1.7% of his or her compensation up to covered compensation plus 2.5% of compensation in excess of covered compensation.

(b) If the participant was born on or after January 1, 1938 but before January 1, 1955, the 1.7% is replaced with 1.7736%.

(c) If the participant was born on or after January 1, 1955, the 1.7% is replaced with 1.8333%.

(ii) The participant's accrued benefit determined as of December 31, 1999.

(iii) For a participant whose final average compensation is in excess of covered compensation and whose accrued benefit would otherwise decrease due to a change in covered compensation, his or her accrued benefit as of the last day of any preceding plan year which falls on or after December 31, 1999.

Effective January 1, 2006, a participant may make an irrevocable election to waive future benefit accruals under the plan in exchange for increased company allocations under a defined contribution plan.

Effective November 1, 2010

All union employees and non-union employee that have attained age 60 with 25 years of vesting service who elect to make employee mandatory contributions to the retirement plan (4% for non-union participants, 6% for union participants), their normal retirement benefit remains the same as described above.

For all other participants, their accrued benefits under the retirement plan (described above) are frozen as November 1, 2010.

| | |
|---|---|
| Early Retirement Benefit | Upon the attainment of age 55 with 10 years of service:<br><br>A participant who terminates employment with the employer for any reason on or after January 1, 2001, and who is eligible for an early retirement benefit hereunder shall be entitled to a monthly single life pension equal to the participant's accrued benefit reduced by 1/3 of 1% for each month during which early retirement benefit payments are made before the normal retirement date. |
| Disability Retirement Benefit | Age 40 with 10 years of service and eligible for a disability benefit under the Federal Social Security Act. Accrued normal retirement benefit is payable without reduction. |
| Vesting | Five years of service: Normal retirement formula in effect at date of termination deferred to age 65 or later, or reduced as for early retirement. Effective January 1, 2010, all participants who are active as of November 1, 2010 are fully vested. |
| Employee Mandatory Contribution Benefit | The normal retirement benefit equal to the total of the employee mandatory contributions and all interest calculated per Section 14.10D of the Plan document. |
| Spouse Benefit for Preretirement Death | Death benefit attributable to employer contributions:<br><br>A monthly benefit payable to the spouse of a vested employee in the amount of 50% of the employee's vested accrued normal retirement benefit attributable to employer contributions, reduced for commencement prior to normal retirement date and the joint and survivor option. The benefit is payable on the first day of the month following the later of the employee's death or attainment of age 55 if eligible for early retirement.<br><br>Death benefit attributable to employee mandatory contributions:<br><br>A lump sum payable to the spouse of a vested employee in the amount equal to the present value of the employee mandatory contribution benefit determined as of the participant's date of death payable immediately. |

Payment Options

- Automatic joint and survivor benefit: Reduced benefit payable to retiree with 50% of such reduced benefit payable to surviving spouse (adjusted for age of spouse). Automatic for those married at retirement unless spouse waives rights.
- Life only.
- Lifetime benefit with 120 payments certain.
- Lifetime benefit with 50%, 75% or 100% payable to a contingent annuitant upon the retiree's death with certain restrictions.

The total amount that is paid on behalf of a participant in any of the payment forms that are offered shall never be less than the employee mandatory contribution benefit determined as of the participant's annuity starting date. The entire amount of each monthly benefit payment will be treated as a payment of the employee mandatory contribution benefit until the total amount that has been paid to or for the benefit of the participant is equal to the participant's employee mandatory contribution benefit.

## Other Information to Fully and Fairly Disclose the Actuarial Position of the Plan

Due to software limitations with the electronic filing process, information filed electronically cannot be controlled by the Enrolled Actuary. The values on the signed Schedule SB will govern to the extent there are any differences in the entries filed electronically and the actual data contained on the signed Schedule SB.

SCHEDULE H, LINE 4i--SCHEDULE OF ASSETS HELD AT END OF YEAR

**MGH WIND DOWN INC. #38-1358036**
**MARQUETTE GENERAL HOSPITAL INC. RETIREMENT PLAN #001**

December 31, 2013

| (b) Identity of Issuing Party | (c) Description | (d) Cost | (e) Current Value |
|---|---|---|---|
| Cash equivalents: | | | |
| Federated Gov't Obligation Fund #5 | 7,592 shares | $ 7,592 | $ 7,592 |
| | TOTAL CASH EQUIVALENTS | $ 7,592 | $ 7,592 |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, line 26—Schedule of Active Participant Data as of January 1, 2013

Number of Participants and Average Compensation

| Attained Age | Years of Credited Service | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | <1 | 1-4 | 5-9 | 10-14 | 15-19 | 20-24 | 25-29 | 30-34 | 35-39 | 40+ |
| <25 | | | | | | | | | | |
| 25-29 | | 2 | 2 | | | | | | | |
| 30-34 | | 2 | 9 | 2 | | | | | | |
| 35-39 | | 3 | 11 | 5 | | | | | | |
| 40-44 | | 2 | 9 | 9 | 6 | 2 | | | | |
| 45-49 | | | 8 | 10 | 7 | 8 | 3 | | | |
| 50-54 | | | 6 | 11 | 16 | 25<br>$66,089 | 6 | 2 | | |
| 55-59 | | 1 | 6 | 1 | 13 | 10 | 2 | 1 | | |
| 60-64 | | | 5 | 4 | 3 | 1 | 2 | 3 | 2 | |
| 65-69 | | | 1 | | | | | | | |
| 70+ | | | | | | | | | | |

N-221

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, Part V—Statement of Actuarial Assumptions/Methods

| | |
|---|---|
| Interest Rates for Minimum Funding Purposes | Based on segment rates with a four-month lookback (as of September 2012), each adjusted as needed to fall within the 25-year average interest rate corridor under MAP-21 |
| 1st Segment Rate | 4.94% |
| 2nd Segment Rate | 6.15% |
| 3rd Segment Rate | 6.76% |
| Interest Rates for Maximum Tax Purposes | Based on segment rates with a four-month lookback (as of September 2012), without regard to the MAP-21 interest rate corridor |
| 1st Segment Rate | 1.75% |
| 2nd Segment Rate | 4.62% |
| 3rd Segment Rate | 5.72% |
| Salary Increases | |
| Minimum Funding Target Normal Cost | 4% |
| Maximum Tax Expected Benefit Increase | 4% |
| Retirement Age | |
| Active Participants | See Table 1 |
| Terminated Vested Participants | Age 65 |
| Mortality Rates | |
| Healthy and Disabled | 2013 static mortality table for annuitants and non-annuitants per §1.430(h)(3)-1(e) |
| Withdrawal Rates | See Table 2 |
| Disability Rates | See Table 3 |
| Decrement Timing | Beginning of year decrements |
| Surviving Spouse Benefit | It is assumed that 80% of males and 70% of females have an eligible spouse, and that males are three years older than their spouses. |
| Benefit and Compensation Limits | Projected benefits and compensation are limited by the current IRC section 415 maximum benefit of )00 and the IRC section 401(a)(17) |

| | |
|---|---|
| | compensation limit of $255,000. |
| Valuation of Plan Assets | Smoothed fair market value of assets over the current and prior two years, adjusted for contributions, benefit payments, administrative expenses, and expected earnings. The average value of assets calculated in this manner is further limited to not less than 90% nor more than 110% of fair market value.<br><br>A characteristic of this method is that the expected distribution of the value of plan assets is skewed toward understatement relative to the corresponding market values for expected long-term rates of return in excess of the third segment rate under IRC section 430(h)(2)(C)(iii). |
| Expected Return on Assets | |
| 2011 Plan Year | 8.00%, limited to 6.57% |
| 2012 Plan Year | 8.00%, limited to 7.52% |
| Trust Expenses Included in Target Normal Cost | assumed to equal prior year's administrative expense |
| Actuarial Method | Standard unit credit cost method |
| Valuation Date | January 1, 2013 |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

Table 1

**Retirement Rates**

| Age | Rate | Age | Rate |
|---|---|---|---|
| 15 | 0.00% | 45 | 0.00% |
| 16 | 0.00% | 46 | 0.00% |
| 17 | 0.00% | 47 | 0.00% |
| 18 | 0.00% | 48 | 0.00% |
| 19 | 0.00% | 49 | 0.00% |
| 20 | 0.00% | 50 | 0.00% |
| 21 | 0.00% | 51 | 0.00% |
| 22 | 0.00% | 52 | 0.00% |
| 23 | 0.00% | 53 | 0.00% |
| 24 | 0.00% | 54 | 0.00% |
| 25 | 0.00% | 55 | 10.00% |
| 26 | 0.00% | 56 | 3.00% |
| 27 | 0.00% | 57 | 3.00% |
| 28 | 0.00% | 58 | 5.00% |
| 29 | 0.00% | 59 | 5.00% |
| 30 | 0.00% | 60 | 10.00% |
| 31 | 0.00% | 61 | 15.00% |
| 32 | 0.00% | 62 | 35.00% |
| 33 | 0.00% | 63 | 25.00% |
| 34 | 0.00% | 64 | 25.00% |
| 35 | 0.00% | 65 | 50.00% |
| 36 | 0.00% | 66 | 50.00% |
| 37 | 0.00% | 67 | 100.00% |
| 38 | 0.00% | 68 | 100.00% |
| 39 | 0.00% | 69 | 100.00% |
| 40 | 0.00% | 70 | 100.00% |
| 41 | 0.00% | 71 | 100.00% |
| 42 | 0.00% | 72 | 100.00% |
| 43 | 0.00% | 73 | 100.00% |
| 44 | 0.00% | 74 | 100.00% |

Table 2

**Withdrawal Rates**

| Age | Male | Female | Age | Male | Female |
|---|---|---|---|---|---|
| 15 | 0.00% | 0.00% | 45 | 2.00% | 5.00% |
| 16 | 0.00% | 0.00% | 46 | 1.80% | 4.60% |
| 17 | 0.00% | 0.00% | 47 | 1.60% | 4.20% |
| 18 | 0.00% | 0.00% | 48 | 1.40% | 3.80% |
| 19 | 0.00% | 0.00% | 49 | 1.20% | 3.40% |
| 20 | 15.00% | 38.00% | 50 | 1.00% | 3.00% |
| 21 | 14.00% | 34.90% | 51 | 0.80% | 2.60% |
| 22 | 13.00% | 31.80% | 52 | 0.60% | 2.20% |
| 23 | 12.00% | 28.70% | 53 | 0.40% | 1.80% |
| 24 | 11.00% | 25.60% | 54 | 0.20% | 1.40% |
| 25 | 10.00% | 22.50% | 55 | 0.00% | 1.00% |
| 26 | 9.40% | 21.00% | 56 | 0.00% | 0.80% |
| 27 | 8.80% | 19.50% | 57 | 0.00% | 0.60% |
| 28 | 8.20% | 18.00% | 58 | 0.00% | 0.40% |
| 29 | 7.60% | 16.50% | 59 | 0.00% | 0.20% |
| 30 | 7.00% | 15.00% | 60 | 0.00% | 0.00% |
| 31 | 6.60% | 14.20% | 61 | 0.00% | 0.00% |
| 32 | 6.20% | 13.40% | 62 | 0.00% | 0.00% |
| 33 | 5.80% | 12.60% | 63 | 0.00% | 0.00% |
| 34 | 5.40% | 11.80% | 64 | 0.00% | 0.00% |
| 35 | 5.00% | 11.00% | 65 | 0.00% | 0.00% |
| 36 | 4.60% | 10.30% | 66 | 0.00% | 0.00% |
| 37 | 4.20% | 9.60% | 67 | 0.00% | 0.00% |
| 38 | 3.80% | 8.90% | 68 | 0.00% | 0.00% |
| 39 | 3.40% | 8.20% | 69 | 0.00% | 0.00% |
| 40 | 3.00% | 7.50% | 70 | 0.00% | 0.00% |
| 41 | 2.80% | 7.00% | 71 | 0.00% | 0.00% |
| 42 | 2.60% | 6.50% | 72 | 0.00% | 0.00% |
| 43 | 2.40% | 6.00% | 73 | 0.00% | 0.00% |
| 44 | 2.20% | 5.50% | 74 | 0.00% | 0.00% |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

Table 3

**Disability Rates**

| Age | Male | Female | Age | Male | Female |
|---|---|---|---|---|---|
| 15 | 0.0000% | 0.0000% | 45 | 0.1692% | 0.1530% |
| 16 | 0.0000% | 0.0000% | 46 | 0.1836% | 0.1650% |
| 17 | 0.0000% | 0.0000% | 47 | 0.1980% | 0.1770% |
| 18 | 0.0000% | 0.0000% | 48 | 0.2256% | 0.1992% |
| 19 | 0.0000% | 0.0000% | 49 | 0.2532% | 0.2214% |
| 20 | 0.0287% | 0.0112% | 50 | 0.2808% | 0.2436% |
| 21 | 0.0308% | 0.0129% | 51 | 0.3084% | 0.2658% |
| 22 | 0.0330% | 0.0150% | 52 | 0.3360% | 0.2880% |
| 23 | 0.0354% | 0.0174% | 53 | 0.3888% | 0.3258% |
| 24 | 0.0378% | 0.0198% | 54 | 0.4416% | 0.3636% |
| 25 | 0.0402% | 0.0222% | 55 | 0.4944% | 0.4014% |
| 26 | 0.0426% | 0.0246% | 56 | 0.5472% | 0.4392% |
| 27 | 0.0450% | 0.0270% | 57 | 0.6000% | 0.4770% |
| 28 | 0.0480% | 0.0318% | 58 | 0.6456% | 0.4806% |
| 29 | 0.0510% | 0.0366% | 59 | 0.6912% | 0.4842% |
| 30 | 0.0540% | 0.0414% | 60 | 0.7368% | 0.4878% |
| 31 | 0.0570% | 0.0462% | 61 | 0.7824% | 0.4914% |
| 32 | 0.0600% | 0.0510% | 62 | 0.8280% | 0.4950% |
| 33 | 0.0660% | 0.0564% | 63 | 0.8763% | 0.4986% |
| 34 | 0.0720% | 0.0618% | 64 | 0.9273% | 0.5023% |
| 35 | 0.0780% | 0.0672% | 65 | 0.0000% | 0.0000% |
| 36 | 0.0840% | 0.0726% | 66 | 0.0000% | 0.0000% |
| 37 | 0.0900% | 0.0780% | 67 | 0.0000% | 0.0000% |
| 38 | 0.0972% | 0.0858% | 68 | 0.0000% | 0.0000% |
| 39 | 0.1044% | 0.0936% | 69 | 0.0000% | 0.0000% |
| 40 | 0.1116% | 0.1014% | 70 | 0.0000% | 0.0000% |
| 41 | 0.1188% | 0.1092% | 71 | 0.0000% | 0.0000% |
| 42 | 0.1260% | 0.1170% | 72 | 0.0000% | 0.0000% |
| 43 | 0.1404% | 0.1290% | 73 | 0.0000% | 0.0000% |
| 44 | 0.1548% | 0.1410% | 74 | 0.0000% | 0.0000% |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, line 22—Description of Weighted Average Retirement Age

The average retirement age shown in line 22 has been calculated by assuming the following retirement rates and no decrements other than retirement for this calculation. All retirements are assumed to occur at beginning of year.

| (a) Age | (b) Rate | (c) Weight | (d) Product (a) × (b) × (c) |
|---|---|---|---|
| 55 | 10.00% | 1.0000 | 5.50 |
| 56 | 3.00% | 0.9000 | 1.51 |
| 57 | 3.00% | 0.8730 | 1.49 |
| 58 | 5.00% | 0.8468 | 2.46 |
| 59 | 5.00% | 0.8045 | 2.37 |
| 60 | 10.00% | 0.7642 | 4.59 |
| 61 | 15.00% | 0.6878 | 6.29 |
| 62 | 35.00% | 0.5846 | 12.69 |
| 63 | 25.00% | 0.3800 | 5.99 |
| 64 | 25.00% | 0.2850 | 4.56 |
| 65 | 50.00% | 0.2138 | 6.95 |
| 66 | 100.00% | 0.1069 | 7.05 |
| | | Weighted Average | 61.45 |

SCHEDULE A, LINE 6a -- BASIS OF PREMIUM RATES

MGH WIND DOWN INC #38-1358036
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN #001

DECEMBER 31, 2013

METROPOLITAN LIFE INSURANCE COMPANY:

1994 Group Annuity Mortality Table with MetLife modification and projection
Interest assumption - 4.08%
Expense load - 0.69%

# Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210 - 0110
1210 - 0089

**2013**

**This Form is Open to Public Inspection**

## Part I Annual Report Identification Information

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

**A** This return/report is for:
- [ ] a multiemployer plan;
- [X] a single-employer plan;
- [ ] a multiple-employer plan; or
- [ ] a DFE (specify) _____

**B** This return/report is:
- [ ] the first return/report;
- [ ] an amended return/report;
- [ ] the final return/report;
- [ ] a short plan year return/report (less than 12 months).

**C** If the plan is a collectively-bargained plan, check here ▶ [X]

**D** Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

## Part II Basic Plan Information - enter all requested information

**1a** Name of plan
MARQUETTE GENERAL HOSPITAL INC RETIREMENT PLAN

**1b** Three-digit plan number (PN) ▶ 001

**1c** Effective date of plan 01/01/1964

**2a** Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

MGH WIND DOWN INC

BENEFITS OFFICE
420 W MAGNETIC STREET

MARQUETTE MI 49855

**2b** Employer Identification Number (EIN) 38-1358036

**2c** Sponsor's telephone number 906-225-3446

**2d** Business code (see instructions) 622000

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| | | | |
|---|---|---|---|
| SIGN HERE | Signature of plan administrator | Date 10/13/14 | Enter name of individual signing as plan administrator Scott Heridux |
| SIGN HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |
| SIGN HERE | Signature of DFE | Date | Enter name of individual signing as DFE |

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

ROBIN RAUVALA CPA
MAKELA TOUTANT HILL & NARDI PC
201 W BLUFF STREET
MARQUETTE MI 49855

Preparer's telephone number (optional) (906)228-3600

**3a** Plan administrator's name and address [X] Same as Plan Sponsor Name [X] Same as Plan Sponsor Address

**3b** Administrator's EIN

**3c** Administrator's telephone number

**4** If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:
**a** Sponsor's name

**4b** EIN

**4c** PN

| | | |
|---|---|---|
| **5** Total number of participants at the beginning of the plan year | **5** | 1464 |
| **6** Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**). | | |
| **a** Active participants | **6a** | 0 |
| **b** Retired or separated participants receiving benefits | **6b** | 0 |
| **c** Other retired or separated participants entitled to future benefits | **6c** | 1 |
| **d** Subtotal. Add lines **6a, 6b,** and **6c** | **6d** | 1 |
| **e** Deceased participants whose beneficiaries are receiving or are entitled to receive benefits | **6e** | 0 |
| **f** Total. Add lines **6d** and **6e** | **6f** | 1 |
| **g** Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) | **6g** | |
| **h** Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested | **6h** | 0 |
| **7** Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item) | **7** | |

**8a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
1A

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

**9a** Plan funding arrangement (check all that apply)
- (1) [X] Insurance
- (2) [ ] Code section 412(e)(3) insurance contracts
- (3) [X] Trust
- (4) [ ] General assets of the sponsor

**9b** Plan benefit arrangement (check all that apply)
- (1) [X] Insurance
- (2) [ ] Code section 412(e)(3) insurance contracts
- (3) [X] Trust
- (4) [ ] General assets of the sponsor

**10** Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

**a Pension Schedules**
- (1) [X] **R** (Retirement Plan Information)
- (2) [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3) [X] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

**b General Schedules**
- (1) [X] **H** (Financial Information)
- (2) [ ] **I** (Financial Information - Small Plan)
- (3) [X] 2 **A** (Insurance Information)
- (4) [X] **C** (Service Provider Information)
- (5) [ ] **D** (DFE/Participating Plan Information)
- (6) [ ] **G** (Financial Transaction Schedules)

REPORTABLE TRANSACTIONS - SINGLE / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | |
| 17697680 06/20/13 B | 21,738,609 1.000 | 0 | 21,738,609-* | 21,738,609 | |
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | |
| 17697680 06/24/13 B | 91,820,490 1.000 | 0 | 91,820,490-* | 91,820,490 | |
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | |
| 17697680 07/03/13 S | 148,084,946 1.000 | 0 | 148,084,946 * | 148,084,946 | 0 |
| ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250 | | | | | |
| 17697695 07/03/13 S | 13,138,827 1.000 | 0 | 13,138,827 * | 13,138,827 | 0 |
| ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | |
| 911406007 07/03/13 B | 148,084,946 1.000 | 0 | 148,084,946-* | 148,084,946 | |
| ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | |
| 911406007 07/03/13 B | 13,138,827 1.000 | 0 | 13,138,827-* | 13,138,827 | |
| ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | |
| 911406007 07/09/13 S | 181,043,128 1.000 | 0 | 181,043,128 * | 181,043,128 | 0 |
| ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 06/20/13 S | 16,000,000 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| GRAND TOTAL | | 0 | 631,556,023 | 633,984,548 | -2,428,525 |

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

BROKER: CREDIT SUISSE SECURITIES (USA)

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 | 01/08/13 S | 2,800,000 | 1.402 | 0 | 3,926,563 | 3,974,967 | -48,405 |
| | ISSUE: 912828TZ3 - US TREASURY NOTE 0.25% 11/30/14 | | | | | |
| 17697680 | 01/08/13 S | 300,000 | 1.000 | 0 | 299,988 | 299,918 | 70 |
| | ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 | 01/09/13 S | 800,000 | 1.402 | 0 | 1,121,719 | 1,135,705 | -13,986 |
| | ISSUE: 12624QAE3 - VR COMMERCIAL MORT 4.57909% 10/15/45 | | | | | |
| 17697680 | 01/10/13 B | 690,000 | 0.970 | 0 | 669,084- | 669,084 | |
| | ISSUE: 80105N105 - SANOFI-ADR | | | | | |
| 17697660 | 01/15/13 S | 355 | 47.982 | 0 | 17,033 | 11,962 | 5,071 |
| | ISSUE: 36828QQH2 - VR GE CAPITAL COMM 5.30824% 11/10/45 | | | | | |
| 17697680 | 01/23/13 B | 600,000 | 0.990 | 0 | 594,000- | 594,000 | |
| | ISSUE: 59022HLL4 - VR MERRILL LYNCH 5.27312% 11/12/37 | | | | | |
| 17697680 | 01/23/13 S | 500,000 | 1.021 | 0 | 510,625 | 513,965 | -3,340 |
| | ISSUE: 14279502 - CARLSBERG AS-B-SPON ADR | | | | | |
| 17697660 | 01/31/13 B | 400 | 21.538 | 0 | 8,615- | 8,615 | |
| | ISSUE: 14279502 - CARLSBERG AS-B-SPON ADR | | | | | |
| 17697660 | 02/01/13 B | 400 | 21.973 | 0 | 8,789- | 8,789 | |
| | ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 | 02/01/13 S | 1,500,000 | 0.968 | 0 | 1,451,191 | 1,587,635 | -136,444 |
| | ISSUE: 084670BJ6 - BERKSHIRE HATHAWAY 3% 2/11/23 | | | | | |
| 17697680 | 02/01/13 B | 965,000 | 0.993 | 0 | 958,477- | 958,477 | |
| | ISSUE: 23405X100 - DAITO TRUST CONS-UNSPON ADR | | | | | |
| 17697660 | 02/04/13 S | 440 | 23.662 | 0 | 10,411 | 8,257 | 2,154 |
| | ISSUE: 14279502 - CARLSBERG AS-B-SPON ADR | | | | | |
| 17697660 | 02/04/13 B | 995 | 21.724 | 0 | 21,615- | 21,615 | |
| | ISSUE: 502441306 - LVMH MOET HENNESSY UNSP ADR - ADR | | | | | |
| 17697660 | 02/06/13 S | 1,070 | 35.863 | 1 | 38,373 | 25,131 | 13,242 |
| | ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 | 02/07/13 S | 500,000 | 1.378 | 0 | 689,121 | 709,816 | -20,695 |
| | ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 | | | | | |
| 17697680 | 02/07/13 S | 175,000 | 0.971 | 0 | 169,928 | 171,063 | -1,135 |
| | ISSUE: 36228CVY6 - VR GS MORTGAGE SECUR 4.841% 7/10/39 | | | | | |
| 17697680 | 02/07/13 B | 720,000 | 0.983 | 0 | 707,400- | 707,400 | |
| | ISSUE: 80105N105 - SANOFI-ADR | | | | | |
| 17697660 | 02/08/13 S | 445 | 45.452 | 0 | 20,226 | 14,995 | 5,231 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| | ISSUE: 05565A202 - BNP PARIBAS - ADR | | | | | | |
| 17697660 | 02/15/13 B | 250 | 31.414 | 16 | 7,869- | 7,869 | |
| | ISSUE: 585055AY2 - MEDTRONIC INC 4% 4/01/43 | | | | | | |
| 17697680 | 03/26/13 B | 735,000 | 0.970 | 0 | 712,979- | 712,979 | |
| | ISSUE: 919134304 - VALEO - ADR | | | | | | |
| 17697660 | 04/04/13 B | 200 | 27.334 | 11 | 5,478- | 5,478 | |
| | ISSUE: 05565A202 - BNP PARIBAS - ADR | | | | | | |
| 17697660 | 04/04/13 B | 365 | 26.300 | 19 | 9,619- | 9,619 | |
| | ISSUE: 919134304 - VALEO - ADR | | | | | | |
| 17697660 | 04/05/13 B | 120 | 27.189 | 7 | 3,269- | 3,269 | |
| | ISSUE: 560877300 - MAKITA CORPORATION - ADR | | | | | | |
| 17697660 | 04/19/13 B | 100 | 48.342 | 0 | 4,834- | 4,834 | |
| | ISSUE: 234062206 - DAIWA HOUSE IND LTD ADR | | | | | | |
| 17697660 | 04/19/13 B | 100 | 200.325 | 0 | 20,032- | 20,032 | |
| | ISSUE: 234062206 - DAIWA HOUSE IND LTD ADR | | | | | | |
| 17697660 | 04/22/13 B | 51 | 209.258 | 0 | 10,672- | 10,672 | |
| | ISSUE: 138006309 - CANON INC - ADR | | | | | | |
| 17697660 | 04/30/13 S | 600 | 35.756 | 0 | 21,453 | 22,659 | -1,207 |
| | ISSUE: 138006309 - CANON INC - ADR | | | | | | |
| 17697660 | 05/01/13 S | 540 | 35.221 | 0 | 19,019 | 20,393 | -1,375 |
| | ISSUE: 560877300 - MAKITA CORPORATION - ADR | | | | | | |
| 17697660 | 05/01/13 B | 380 | 58.860 | 0 | 22,367- | 22,367 | |
| | ISSUE: 36828QQH2 - VR GE CAPITAL COMM 5.30588% 11/10/45 | | | | | | |
| 17697680 | 05/15/13 S | 600,000 | 0.980 | 0 | 588,000 | 594,000 | -6,000 |
| | ISSUE: 204319107 - COMPAGNIE FINANCIERE - ADR | | | | | | |
| 17697660 | 05/17/13 B | 2,730 | 9.170 | 0 | 25,034- | 25,034 | |
| | ISSUE: 23381A108 - DAIHATSU MOT CO LTD-UNSP ADR | | | | | | |
| 17697660 | 06/10/13 S | 400 | 39.790 | 0 | 15,916 | 14,864 | 1,052 |
| | ISSUE: 23381B106 - DAIKIN INDUSTRIES-UNSPONADR | | | | | | |
| 17697660 | 06/10/13 B | 375 | 87.266 | 0 | 32,725- | 32,725 | |
| | ISSUE: 23381A108 - DAIHATSU MOT CO LTD-UNSP ADR | | | | | | |
| 17697660 | 06/11/13 S | 380 | 40.400 | 0 | 15,352 | 14,121 | 1,231 |
| | ISSUE: 12624QAE3 - VR COMMERCIAL MORT 4.7308% 10/15/45 | | | | | | |
| 17697680 | 06/17/13 S | 690,000 | 0.909 | 0 | 627,172 | 669,084 | -41,912 |
| | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 | | | | | | |
| 17697680 | 06/17/13 B | 5,000,000 | 0.963 | 0 | 4,814,258- | 4,814,258 | |
| | ISSUE: 958254AB0 - WESTERN GAS PARTNERS 4% 7/01/22 | | | | | | |
| 17697680 | 06/18/13 S | 225,000 | 1.004 | 0 | 225,983 | 223,187 | 2,797 |
| | ISSUE: 912810EQ7 - US TREASURY BOND 6.25% 8/15/23 | | | | | | |
| 17697680 | 06/20/13 S | 159,000 | 1.350 | 0 | 214,625 | 206,552 | 8,073 |
| | ISSUE: 912834HV3 - US TREAS SEC STRIPPED 5/15/40 | | | | | | |
| 17697680 | 06/20/13 S | 2,000,000 | 0.369 | 0 | 738,860 | 850,880 | -112,020 |
| | ISSUE: 38143USC6 - GOLDMAN SACHS GROUP 3.625% 2/07/16 | | | | | | |
| 17697680 | 06/20/13 S | 545,000 | 1.046 | 0 | 570,201 | 537,153 | 33,048 |
| | ISSUE: 912833Y46 - US TREAS SEC STRIPPED | | | | | | |
| 17697680 | 06/20/13 S | 1,700,000 | 0.418 | 0 | 709,852 | 812,022 | -102,170 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 | | | | | |
| 17697680 | 06/20/13 S 6,165,000 | 0.942 | 0 | 5,807,623 | 5,934,904 | -127,281 |
| | ISSUE: 91324PBP6 - UNITEDHEALTH GROUP 4.7% 2/15/21 | | | | | |
| 17697680 | 06/21/13 S 180,000 | 1.101 | 0 | 198,270 | 183,999 | 14,272 |
| | SUB-TOTAL | | 54 | 26,644,620 | 27,174,348 | -529,729 |
| | BROKER: BANC OF AMERICA SECURITIES LLC | | | | | |
| | ISSUE: 30261NAG5 - VR FREMF MORTGAGE 3.6169% 11/25/45 | | | | | |
| 17697680 | 02/07/13 B 683,000 | 0.923 | 0 | 630,611- | 630,611 | |
| | ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 | | | | | |
| 17697680 | 03/12/13 B 300,000 | 0.997 | 0 | 299,156- | 299,156 | |
| | ISSUE: 85171YAD1 - SPRINGLEAF MORTGAGE 4.44% 12/25/59 | | | | | |
| 17697680 | 04/19/13 B 680,000 | 1.031 | 0 | 700,825- | 700,825 | |
| | ISSUE: 912828UR9 - US TREASURY NOTE 0.75% 2/28/18 | | | | | |
| 17697680 | 05/07/13 S 60,000 | 1.002 | 0 | 60,117 | 59,897 | 220 |
| | ISSUE: 85171YAD1 - SPRINGLEAF MORTGAGE 4.44% 12/25/59 | | | | | |
| 17697680 | 05/23/13 S 1,130,000 | 1.020 | 0 | 1,152,600 | 1,150,746 | 1,854 |
| | ISSUE: 85171YAC3 - SPRINGLEAF MORTGAGE 3.56% 12/25/59 | | | | | |
| 17697680 | 05/23/13 S 450,000 | 1.020 | 0 | 459,000 | 449,857 | 9,143 |
| | ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 | 05/28/13 S 630,000 | 0.943 | 0 | 593,873 | 666,807 | -72,933 |
| | ISSUE: 983024AF7 - WYETH 6.45% 2/01/24 | | | | | |
| 17697680 | 06/07/13 S 90,000 | 1.276 | 0 | 114,843 | 119,185 | -4,343 |
| | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 | | | | | |
| 17697680 | 06/07/13 B 215,000 | 0.963 | 0 | 207,013- | 207,013 | |
| | ISSUE: 459200BB6 - IBM CORP 5.875% 11/29/32 | | | | | |
| 17697680 | 06/17/13 S 125,000 | 1.267 | 0 | 158,325 | 154,148 | 4,178 |
| | ISSUE: 20030NAJ0 - COMCAST CORP 5.85% 11/15/15 | | | | | |
| 17697680 | 06/17/13 S 150,000 | 1.120 | 0 | 167,948 | 166,970 | 978 |
| | ISSUE: 00206RBN1 - AT&T INC 2.625% 12/01/22 | | | | | |
| 17697680 | 06/17/13 S 1,650,000 | 0.944 | 0 | 1,556,874 | 1,665,576 | -108,702 |
| | ISSUE: 942683AE3 - WATSON PHARMACEUTICA 6.125% 8/15/19 | | | | | |
| 17697680 | 06/18/13 S 270,000 | 1.178 | 0 | 318,082 | 311,515 | 6,566 |
| | ISSUE: 151020AD6 - CELGENE CORP 2.45% 10/15/15 | | | | | |
| 17697680 | 06/18/13 S 90,000 | 1.033 | 0 | 92,966 | 90,740 | 2,226 |
| | ISSUE: 031162BK5 - AMGEN INC 5.15% 11/15/41 | | | | | |
| 17697680 | 06/18/13 S 745,000 | 1.030 | 0 | 767,253 | 857,860 | -90,607 |
| | ISSUE: 38374F2T0 - VR GOVT NATL MTG AS 5.19% 5/16/45 | | | | | |
| 17697680 | 06/18/13 S 41,941 | 1.006 | 0 | 42,203 | 44,523 | -2,320 |
| | ISSUE: 58155QAD5 - MCKESSON CORP 4.75% 3/01/21 | | | | | |
| 17697680 | 06/19/13 S 990,000 | 1.114 | 0 | 1,102,811 | 1,127,038 | -24,228 |
| | ISSUE: 80105NAD7 - SANOFI-AVENTIS 2.625% 3/29/16 | | | | | |
| 17697680 | 06/19/13 S 115,000 | 1.048 | 0 | 120,476 | 114,412 | 6,064 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| | ISSUE: 94973VBA4 - WELLPOINT INC 3.3% 1/15/23 | | | | | | |
| 17697680 | 06/19/13 S | 875,000 | 0.977 | 0 | 854,936 | 876,846 | -21,910 |
| | ISSUE: 585055AY2 - MEDTRONIC INC 4% 4/01/43 | | | | | | |
| 17697680 | 06/19/13 S | 735,000 | 0.928 | 0 | 682,286 | 712,979 | -30,694 |
| | ISSUE: 06051GDY2 - BANK OF AMERICA CORP 7.375% 5/15/14 | | | | | | |
| 17697680 | 06/20/13 S | 350,000 | 1.056 | 0 | 369,709 | 380,709 | -11,001 |
| | ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | | |
| 17697680 | 06/20/13 S | 58,000 | 1.307 | 0 | 75,781 | 82,339 | -6,558 |
| | ISSUE: 36962G4G6 - GENERAL ELEC CAP COR 3.75% 11/14/14 | | | | | | |
| 17697680 | 06/20/13 S | 175,000 | 1.037 | 0 | 181,417 | 184,888 | -3,470 |
| | ISSUE: 89417EAG4 - TRAVELERS COS INC 3.9% 11/01/20 | | | | | | |
| 17697680 | 06/20/13 S | 190,000 | 1.065 | 0 | 202,261 | 193,894 | 8,367 |
| | ISSUE: 59156RAN8 - METLIFE INC 5% 6/15/15 | | | | | | |
| 17697680 | 06/20/13 S | 265,000 | 1.074 | 0 | 284,541 | 285,341 | -800 |
| | ISSUE: 912828SN1 - US TREASURY NOTE 1.5% 3/31/19 | | | | | | |
| 17697680 | 06/20/13 S | 381,000 | 0.999 | 0 | 380,479 | 389,103 | -8,624 |
| | ISSUE: 912834LK2 - US TREAS SEC STRIPPED | | | | | | |
| 17697680 | 06/20/13 S | 2,600,000 | 0.340 | 0 | 883,532 | 1,006,538 | -123,006 |
| | ISSUE: 084670BJ6 - BERKSHIRE HATHAWAY 3% 2/11/23 | | | | | | |
| 17697680 | 06/20/13 S | 965,000 | 0.960 | 0 | 926,197 | 958,477 | -32,279 |
| | ISSUE: 9128334T4 - US TREASURY SEC STRIPPED | | | | | | |
| 17697680 | 06/20/13 S | 2,800,000 | 0.518 | 0 | 1,449,476 | 1,677,144 | -227,668 |
| | ISSUE: 0258M0CY3 - AMER EXPRESS CREDIT 7.3% 8/20/13 | | | | | | |
| 17697680 | 06/20/13 S | 270,000 | 1.009 | 0 | 272,395 | 272,853 | -458 |
| | ISSUE: 487836BB3 - KELLOGG CO 4.45% 5/30/16 | | | | | | |
| 17697680 | 06/21/13 S | 235,000 | 1.090 | 0 | 256,072 | 238,460 | 17,613 |
| | ISSUE: 880451AY5 - TENNESSEE GAS PIPELI 8% 2/01/16 | | | | | | |
| 17697680 | 06/21/13 S | 205,000 | 1.157 | 0 | 237,222 | 249,920 | -12,698 |
| | ISSUE: 50075NAS3 - KRAFT FOODS INC 6.5% 8/11/17 | | | | | | |
| 17697680 | 06/21/13 S | 240,000 | 1.170 | 0 | 280,879 | 254,460 | 26,419 |
| | ISSUE: 70212FAA1 - PARTNERRE FINANCE 6.875% 6/01/18 | | | | | | |
| 17697680 | 06/21/13 S | 150,000 | 1.172 | 0 | 175,766 | 158,894 | 16,872 |
| | SUB-TOTAL | | | 0 | 16,057,925 | 16,739,724 | -681,799 |
| | BROKER: WELLS FARGO SECURITIES, LLC | | | | | | |
| | ISSUE: 375558AQ6 - GILEAD SCIENCES INC 4.5% 4/01/21 | | | | | | |
| 17697680 | 01/11/13 S | 200,000 | 1.139 | 0 | 227,852 | 214,162 | 13,690 |
| | ISSUE: 912828TY6 - US TREASURY NOTE 1.625% 11/15/22 | | | | | | |
| 17697680 | 01/11/13 B | 175,000 | 0.978 | 0 | 171,063- | 171,063 | |
| | ISSUE: 17275RAE2 - CISCO SYSTEMS INC 4.95% 2/15/19 | | | | | | |
| 17697680 | 06/17/13 S | 955,000 | 1.161 | 0 | 1,109,233 | 1,148,731 | -39,499 |
| | ISSUE: 931142CS0 - WAL-MART STORES INC 5.625% 4/01/40 | | | | | | |
| 17697680 | 06/17/13 S | 105,000 | 1.185 | 0 | 124,389 | 104,113 | 20,277 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| 17697680 | ISSUE: 459200GU9 - IBM CORP 2% 1/05/16<br>06/17/13 S 1,095,000 | 1.032 | 0 | 1,130,062 | 1,136,369 | -6,307 |
| 17697680 | ISSUE: 00206RAY8 - AT&T INC 2.4% 8/15/16<br>06/17/13 S 140,000 | 1.038 | 0 | 145,345 | 139,542 | 5,803 |
| 17697680 | ISSUE: 918204AV0 - VF CORP 3.5% 9/01/21<br>06/17/13 S 200,000 | 1.038 | 0 | 207,534 | 199,380 | 8,154 |
| 17697680 | ISSUE: 63946BAC4 - NBCUNIVERSAL MEDIA 2.875% 4/01/16<br>06/17/13 S 20,000 | 1.052 | 0 | 21,045 | 19,989 | 1,056 |
| 17697680 | ISSUE: 053332AM4 - AUTOZONE INC 3.7% 4/15/22<br>06/17/13 S 145,000 | 1.005 | 0 | 145,783 | 146,398 | -615 |
| 17697680 | ISSUE: 294829AA4 - ERICSSON LM 4.125% 5/15/22<br>06/17/13 S 290,000 | 1.013 | 0 | 293,834 | 288,797 | 5,037 |
| 17697680 | ISSUE: 278642AE3 - EBAY INC 2.6% 7/15/22<br>06/17/13 S 2,030,000 | 0.961 | 0 | 1,949,795 | 2,051,866 | -102,071 |
| 17697680 | ISSUE: 20030NBH3 - COMCAST CORP 4.25% 1/15/33<br>06/17/13 S 1,030,000 | 0.988 | 0 | 1,017,166 | 1,021,884 | -4,718 |
| 17697680 | ISSUE: 035229CV3 - ANHEUSER BUSCH COS 5.05% 10/15/16<br>06/18/13 S 245,000 | 1.130 | 0 | 276,781 | 281,748 | -4,966 |
| 17697680 | ISSUE: 067383AC3 - CR BARD INC 4.4% 1/15/21<br>06/18/13 S 100,000 | 1.093 | 0 | 109,309 | 99,282 | 10,027 |
| 17697680 | ISSUE: 22303QAM2 - COVIDIEN INTL FINANC 1.35% 5/29/15<br>06/18/13 S 270,000 | 1.010 | 0 | 272,789 | 269,914 | 2,876 |
| 17697680 | ISSUE: 913017BT5 - UNITED TECHNOLOGIES 4.5% 6/01/42<br>06/19/13 S 820,000 | 1.003 | 0 | 822,222 | 882,476 | -60,254 |
| 17697680 | ISSUE: 291011AV6 - EMERSON ELECTRIC CO 5.125% 12/01/16<br>06/19/13 S 35,000 | 1.133 | 0 | 39,643 | 39,227 | 416 |
| 17697680 | ISSUE: 666807BG6 - NORTHROP GRUMMAN COR 3.25% 8/01/23<br>06/19/13 S 555,000 | 0.967 | 0 | 536,657 | 552,014 | -15,357 |
| 17697680 | ISSUE: 74432QBM6 - PRUDENTIAL FINANCIAL 5.375% 6/21/20<br>06/20/13 S 260,000 | 1.123 | 0 | 291,920 | 271,767 | 20,154 |
| 17697680 | ISSUE: 38141EA74 - GOLDMAN SACHS GROUP 3.7% 8/01/15<br>06/20/13 S 40,000 | 1.044 | 0 | 41,754 | 40,305 | 1,449 |
| 17697680 | ISSUE: 63946BAA8 - NBCUNIVERSAL MEDIA 2.1% 4/01/14<br>06/20/13 S 310,000 | 1.011 | 0 | 313,525 | 309,960 | 3,565 |
| 17697680 | ISSUE: 89233P5F9 - TOYOTA MOTOR CREDIT 3.4% 9/15/21<br>06/20/13 S 670,000 | 0.997 | 0 | 667,689 | 723,772 | -56,083 |
| 17697680 | ISSUE: 887317AC9 - TIME WARNER INC 5.875% 11/15/16<br>06/20/13 S 260,000 | 1.144 | 0 | 297,427 | 251,339 | 46,088 |
| 17697680 | ISSUE: 31771EAN1 - FICO STRIPS 12/27/18<br>06/21/13 S 505,000 | 0.894 | 0 | 402,162 | 402,162 | 0 |
| 17697680 | ISSUE: 42217KAU0 - HEALTH CARE REIT INC 4.95% 1/15/21<br>06/21/13 S 275,000 | 1.065 | 0 | 292,746 | 274,539 | 18,206 |
| 17697680 | ISSUE: 25459HAY1 - DIRECTV HLDG/FIN INC 3.5% 3/01/16<br>06/21/13 S 275,000 | 1.053 | 0 | 289,520 | 276,671 | 12,849 |
| 17697680 | ISSUE: 20030NAG6 - COMCAST CORP 4.95% 6/15/16<br>06/21/13 S 255,000 | 1.110 | 0 | 282,974 | 268,041 | 14,933 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 983024AJ9 - WYETH 5.5% 2/15/16 | | | | | |
| 17697680 | 06/21/13 S 245,000 | 1.117 | 0 | 273,614 | 270,911 | 2,702 |
| | ISSUE: 35671DAW5 - FREEPORT-MCMORAN C 2.15% 3/01/17 | | | | | |
| 17697680 | 06/21/13 S 185,000 | 0.987 | 0 | 182,589 | 184,555 | -1,966 |
| | ISSUE: 31771EAP6 - FICO STRIP PRN15 3/07/19 | | | | | |
| 17697680 | 06/21/13 S 150,000 | 0.884 | 0 | 110,231 | 110,231 | 0 |
| | ISSUE: 059172AA4 - BALTIMORE GAS & ELEC 6.125% 7/01/13 | | | | | |
| 17697680 | 06/21/13 S 215,000 | 1.000 | 0 | 214,996 | 239,129 | -24,134 |
| | SUB-TOTAL | | 0 | 12,261,649 | 12,390,337 | -128,687 |
| | BROKER: BNP PARIBAS SECURITIES BOND | | | | | |
| | ISSUE: 912828UF5 - US TREASURY NOTE 1.125% 12/31/19 | | | | | |
| 17697680 | 01/30/13 S 965,000 | 0.983 | 0 | 948,339 | 950,261 | -1,922 |
| | ISSUE: 912810FP8 - US TREASURY BOND 5.375% 2/15/31 | | | | | |
| 17697680 | 02/08/13 S 800,000 | 1.377 | 0 | 1,101,406 | 1,135,705 | -34,299 |
| | ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 | | | | | |
| 17697680 | 04/02/13 B 210,000 | 1.012 | 0 | 212,559- | 212,559 | |
| | ISSUE: 912828UN8 - US TREASURY NOTE 2% 2/15/23 | | | | | |
| 17697680 | 05/07/13 S 510,000 | 1.020 | 0 | 520,001 | 511,716 | 8,285 |
| | ISSUE: 35177PAW7 - FRANCE TELECOM 4.125% 9/14/21 | | | | | |
| 17697680 | 06/17/13 S 100,000 | 1.044 | 0 | 104,353 | 98,990 | 5,363 |
| | ISSUE: 85771SAA4 - STATOILHYDRO ASA 5.25% 4/15/19 | | | | | |
| 17697680 | 06/18/13 S 890,000 | 1.171 | 0 | 1,041,976 | 1,078,094 | -36,118 |
| | ISSUE: 806854AA3 - P/P SCHLUMBERGER INV 1.95% 9/14/16 | | | | | |
| 17697680 | 06/18/13 S 240,000 | 1.024 | 0 | 245,700 | 239,580 | 6,120 |
| | ISSUE: 666807BH4 - NORTHROP GRUMMAN COR 4.75% 6/01/43 | | | | | |
| 17697680 | 06/19/13 S 525,000 | 0.962 | 0 | 505,034 | 524,496 | -19,462 |
| | ISSUE: 912834AE8 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 1,750,000 | 0.401 | 0 | 701,925 | 804,003 | -102,078 |
| | ISSUE: 9128337S3 - US TREAS SEC STRIPPED 11/15/32 | | | | | |
| 17697680 | 06/20/13 S 4,250,000 | 0.503 | 0 | 2,135,838 | 2,538,398 | -402,560 |
| | ISSUE: 61746SBR9 - MORGAN STANLEY 5.375% 10/15/15 | | | | | |
| 17697680 | 06/20/13 S 1,100,000 | 1.071 | 0 | 1,177,605 | 1,139,072 | 38,533 |
| | ISSUE: 912833Y20 - US TREAS SEC STRIPPED 5/15/36 | | | | | |
| 17697680 | 06/20/13 S 2,425,000 | 0.435 | 0 | 1,055,263 | 1,202,802 | -147,539 |
| | ISSUE: 9128337V6 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 2,575,000 | 0.472 | 0 | 1,214,962 | 1,368,638 | -153,676 |
| | ISSUE: 9128337W4 - US TREAS SEC STIPPED 11/15/34 | | | | | |
| 17697680 | 06/20/13 S 4,000,000 | 0.463 | 0 | 1,850,160 | 2,237,040 | -386,880 |
| | SUB-TOTAL | | 0 | 12,815,121 | 14,041,354 | -1,226,232 |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | BROKER: DEUTSCHE BANK SECURITIES | | | | | |
| | ISSUE: 912828TZ3 - US TREASURY NOTE 0.25% 11/30/14 | | | | | |
| 17697680 | 01/04/13 S 200,000 | 1.000 | 0 | 199,961 | 199,945 | 16 |
| | ISSUE: 03064YAG7 - AMERICREDIT AUTOMOBI 2.64% 7/08/20 | | | | | |
| 17697680 | 01/15/13 B 865,000 | 1.000 | 0 | 864,790- | 864,790 | |
| | ISSUE: 80282WAE0 - SANTANDER DRIVE AUTO 3.01% 4/16/18 | | | | | |
| 17697680 | 01/24/13 S 100,000 | 1.043 | 0 | 104,281 | 99,973 | 4,308 |
| | ISSUE: 912828UF5 - US TREASURY NOTE 1.125% 12/31/19 | | | | | |
| 17697680 | 01/28/13 B 1,390,000 | 0.985 | 0 | 1,368,770- | 1,368,770 | |
| | ISSUE: 9128334T4 - US TREASURY SEC STRIPPED | | | | | |
| 17697680 | 02/15/13 S 600,000 | 0.551 | 0 | 330,630 | 359,388 | -28,758 |
| | ISSUE: 36228CVY6 - VR GS MORTGAGE SECUR 4.841% 7/10/39 | | | | | |
| 17697680 | 03/15/13 S 720,000 | 0.981 | 0 | 706,050 | 707,400 | -1,350 |
| | ISSUE: 227170AE7 - CRONOS CONTAINERS PR 3.08% 4/18/28 | | | | | |
| 17697680 | 04/17/13 B 750,000 | 1.000 | 0 | 749,995- | 749,995 | |
| | ISSUE: 31398AUJ9 - FED NATL MTG ASSN 2.875% 12/11/13 | | | | | |
| 17697680 | 05/14/13 S 520,000 | 1.016 | 0 | 528,061 | 535,871 | -7,809 |
| | ISSUE: 83162CQN6 - SBA 5.54% 9/01/26 | | | | | |
| 17697680 | 06/12/13 S 40,430 | 1.120 | 0 | 45,281 | 44,586 | 695 |
| | ISSUE: 912834DU9 - US TREASURY BOND 11/15/38 | | | | | |
| 17697680 | 06/20/13 S 3,450,000 | 0.392 | 0 | 1,352,883 | 1,687,913 | -335,030 |
| | ISSUE: 912828SV3 - US TREASURY NOTE 1.75% 5/15/22 | | | | | |
| 17697680 | 06/20/13 S 2,014,000 | 0.959 | 0 | 1,932,260 | 2,053,846 | -121,586 |
| | ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 | 06/20/13 S 16,000,000 | 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| | ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 | | | | | |
| 17697680 | 06/20/13 S 3,250,000 | 0.361 | 0 | 1,174,355 | 1,494,903 | -320,548 |
| | ISSUE: 912833Y38 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 4,000,000 | 0.426 | 0 | 1,703,400 | 2,091,440 | -388,040 |
| | ISSUE: 912833X88 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 800,000 | 0.452 | 0 | 361,560 | 410,176 | -48,616 |
| | ISSUE: 912828VC1 - US TREASURY NOTE 0.25% 5/15/16 | | | | | |
| 17697680 | 06/20/13 S 1,125,000 | 0.990 | 0 | 1,113,706 | 1,115,947 | -2,241 |
| | ISSUE: 912828VE7 - US TREASURY NOTE 1% 5/31/18 | | | | | |
| 17697680 | 06/20/13 S 950,000 | 0.986 | 0 | 936,678 | 945,547 | -8,869 |
| | SUB-TOTAL | | 0 | 27,978,911 | 31,665,265 | -3,686,353 |
| | BROKER: CANTOR FITZGERALD & CO. | | | | | |
| | ISSUE: 25179MAM5 - DEVON ENERGY CORPORA 1.875% 5/15/17 | | | | | |
| 17697680 | 02/12/13 B 530,000 | 1.005 | 0 | 532,565- | 532,565 | |

REPORTABLE TRANSACTIONS - SERIES / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| | (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|
| | ISSUE: 682680AQ6 - ONEOK INC 4.25% 2/01/22 | | | | | |
| 17697680 | 06/18/13 S 930,000 | 1.018 | 0 | 946,852 | 979,188 | -32,336 |
| | ISSUE: 91911TAM5 - VALE OVERSEAS LIMITE 4.375% 1/11/22 | | | | | |
| 17697680 | 06/19/13 S 210,000 | 0.977 | 0 | 205,065 | 212,825 | -7,760 |
| | ISSUE: 912834DV7 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 1,850,000 | 0.384 | 0 | 710,955 | 815,462 | -104,507 |
| | ISSUE: 912834AD0 - US TREAS SEC STRIPPED 11/15/37 | | | | | |
| 17697680 | 06/20/13 S 3,625,000 | 0.409 | 0 | 1,481,139 | 1,832,873 | -351,734 |
| | ISSUE: 912834EV6 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 3,200,000 | 0.377 | 0 | 1,206,880 | 1,517,920 | -311,040 |
| | ISSUE: 9128337U8 - US TREASURY BOND STRIPPED | | | | | |
| 17697680 | 06/20/13 S 4,000,000 | 0.483 | 0 | 1,929,840 | 2,312,040 | -382,200 |
| | ISSUE: 912834LB2 - US TREASURY BOND 2/15/42 | | | | | |
| 17697680 | 06/20/13 S 2,750,000 | 0.343 | 0 | 942,838 | 1,216,408 | -273,570 |
| | ISSUE: 912834KP2 - US SEC STRIPPED 8/15/41 | | | | | |
| 17697680 | 06/20/13 S 2,700,000 | 0.350 | 0 | 945,351 | 1,214,001 | -268,650 |
| | ISSUE: 912834KH0 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 2,000,000 | 0.354 | 0 | 707,060 | 821,080 | -114,020 |
| | ISSUE: 9128337R5 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 3,400,000 | 0.513 | 0 | 1,744,506 | 2,016,846 | -272,340 |
| | ISSUE: 912833X96 - US TREAS SEC STRIPPED | | | | | |
| 17697680 | 06/20/13 S 3,250,000 | 0.444 | 0 | 1,442,318 | 1,752,855 | -310,538 |
| | SUB-TOTAL | | 0 | 12,795,369 | 15,224,063 | -2,428,693 |
| | GRAND TOTAL | | 54 | 108,553,595 | 117,235,091 | -8,681,494 |

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

ISSUE: VP4500022 - WF ADV CASH INVT MM FD-SVC #250

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | (c) & (d) PRICE | | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697650 | 01/02/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 02/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 03/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 04/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 05/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 06/03/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697650 | 06/24/13 B | 5,398,407 | 1.000 | 0 | 5,398,407- | 5,398,407 | |
| 17697650 | 06/24/13 B | 17,985 | 1.000 | 0 | 17,985- | 17,985 | |
| 17697650 | 07/01/13 B | 10 | 1.000 | 0 | 10- | 10 | |
| 17697655 | 01/02/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 02/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 03/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 04/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 05/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 06/03/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 17697655 | 06/24/13 B | 5,756,472 | 1.000 | 0 | 5,756,472- | 5,756,472 | |
| 17697655 | 06/24/13 B | 33,414 | 1.000 | 0 | 33,414- | 33,414 | |
| 17697655 | 07/01/13 B | 11 | 1.000 | 0 | 11- | 11 | |
| 17697660 | 01/02/13 B | 1 | 1.000 | 0 | 1- | 1 | |
| 17697660 | 01/03/13 B | 1,147 | 1.000 | 0 | 1,147- | 1,147 | |
| 17697660 | 01/07/13 B | 276 | 1.000 | 0 | 276- | 276 | |
| 17697660 | 01/09/13 B | 1,949 | 1.000 | 0 | 1,949- | 1,949 | |
| 17697660 | 01/18/13 B | 17,336 | 1.000 | 0 | 17,336- | 17,336 | |
| 17697660 | 01/23/13 B | 8,964 | 1.000 | 0 | 8,964- | 8,964 | |
| 17697660 | 01/25/13 B | 4,610 | 1.000 | 0 | 4,610- | 4,610 | |
| 17697660 | 01/30/13 B | 19 | 1.000 | 0 | 19- | 19 | |
| 17697660 | 02/01/13 B | 1 | 1.000 | 0 | 1- | 1 | |
| 17697660 | 02/08/13 B | 148 | 1.000 | 0 | 148- | 148 | |
| 17697660 | 02/11/13 B | 38,570 | 1.000 | 0 | 38,570- | 38,570 | |
| 17697660 | 02/13/13 B | 23,152 | 1.000 | 0 | 23,152- | 23,152 | |
| 17697660 | 02/14/13 B | 7,985 | 1.000 | 0 | 7,985- | 7,985 | |
| 17697660 | 02/22/13 B | 19,312 | 1.000 | 0 | 19,312- | 19,312 | |
| 17697660 | 02/22/13 B | 6,216 | 1.000 | 0 | 6,216- | 6,216 | |
| 17697660 | 02/25/13 B | 5,801 | 1.000 | 0 | 5,801- | 5,801 | |
| 17697660 | 03/01/13 B | 1 | 1.000 | 0 | 1- | 1 | |
| 17697660 | 03/07/13 B | 37 | 1.000 | 0 | 37- | 37 | |
| 17697660 | 03/13/13 B | 9,276 | 1.000 | 0 | 9,276- | 9,276 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697660 | 03/14/13 B | 926 | 1.000 | 0 | 926- | 926 | |
| 17697660 | 03/15/13 B | 635 | 1.000 | 0 | 635- | 635 | |
| 17697660 | 03/22/13 B | 23,337 | 1.000 | 0 | 23,337- | 23,337 | |
| 17697660 | 04/01/13 B | 1,847 | 1.000 | 0 | 1,847- | 1,847 | |
| 17697660 | 04/02/13 B | 8,137 | 1.000 | 0 | 8,137- | 8,137 | |
| 17697660 | 04/03/13 B | 39,267 | 1.000 | 0 | 39,267- | 39,267 | |
| 17697660 | 04/05/13 B | 397 | 1.000 | 0 | 397- | 397 | |
| 17697660 | 04/08/13 B | 4,257 | 1.000 | 0 | 4,257- | 4,257 | |
| 17697660 | 04/12/13 B | 2,653 | 1.000 | 0 | 2,653- | 2,653 | |
| 17697660 | 04/18/13 B | 688 | 1.000 | 0 | 688- | 688 | |
| 17697660 | 04/19/13 B | 31,048 | 1.000 | 0 | 31,048- | 31,048 | |
| 17697660 | 04/22/13 B | 3,961 | 1.000 | 0 | 3,961- | 3,961 | |
| 17697660 | 04/25/13 B | 18,173 | 1.000 | 0 | 18,173- | 18,173 | |
| 17697660 | 05/01/13 B | 404 | 1.000 | 0 | 404- | 404 | |
| 17697660 | 05/03/13 B | 4,695 | 1.000 | 0 | 4,695- | 4,695 | |
| 17697660 | 05/09/13 B | 1,325 | 1.000 | 0 | 1,325- | 1,325 | |
| 17697660 | 05/10/13 B | 1,254 | 1.000 | 0 | 1,254- | 1,254 | |
| 17697660 | 05/14/13 B | 3,233 | 1.000 | 0 | 3,233- | 3,233 | |
| 17697660 | 05/16/13 B | 5,545 | 1.000 | 0 | 5,545- | 5,545 | |
| 17697660 | 05/22/13 B | 7,959 | 1.000 | 0 | 7,959- | 7,959 | |
| 17697660 | 05/30/13 B | 71 | 1.000 | 0 | 71- | 71 | |
| 17697660 | 06/03/13 B | 2,520 | 1.000 | 0 | 2,520- | 2,520 | |
| 17697660 | 06/05/13 B | 1,045 | 1.000 | 0 | 1,045- | 1,045 | |
| 17697660 | 06/06/13 B | 4,509 | 1.000 | 0 | 4,509- | 4,509 | |
| 17697660 | 06/10/13 B | 2,240 | 1.000 | 0 | 2,240- | 2,240 | |
| 17697660 | 06/11/13 B | 486 | 1.000 | 0 | 486- | 486 | |
| 17697660 | 06/14/13 B | 15,352 | 1.000 | 0 | 15,352- | 15,352 | |
| 17697660 | 06/20/13 B | 256 | 1.000 | 0 | 256- | 256 | |
| 17697660 | 06/21/13 B | 283,050 | 1.000 | 0 | 283,050- | 283,050 | |
| 17697660 | 06/24/13 B | 2,712,405 | 1.000 | 0 | 2,712,405- | 2,712,405 | |
| 17697660 | 06/26/13 B | 7,864 | 1.000 | 0 | 7,864- | 7,864 | |
| 17697660 | 06/28/13 B | 848 | 1.000 | 0 | 848- | 848 | |
| 17697660 | 07/01/13 B | 7 | 1.000 | 0 | 7- | 7 | |
| 17697660 | 07/03/13 B | 406 | 1.000 | 0 | 406- | 406 | |
| 17697675 | 01/02/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 02/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 03/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 03/26/13 B | 437 | 1.000 | 0 | 437- | 437 | |
| 17697675 | 04/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 05/01/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 06/03/13 B | 2 | 1.000 | 0 | 2- | 2 | |
| 17697675 | 06/26/13 B | 2,235,979 | 1.000 | 0 | 2,235,979- | 2,235,979 | |
| 17697675 | 07/01/13 B | 5 | 1.000 | 0 | 5- | 5 | |
| 17697680 | 01/02/13 B | 57,562 | 1.000 | 0 | 57,562- | 57,562 | |
| 17697680 | 01/03/13 B | 2,235 | 1.000 | 0 | 2,235- | 2,235 | |
| 17697680 | 01/03/13 B | 15,165 | 1.000 | 0 | 15,165- | 15,165 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 01/04/13 B | 2,528 | 1.000 | 0 | 2,528- | 2,528 | |
| 17697680 | 01/07/13 B | 68,004 | 1.000 | 0 | 68,004- | 68,004 | |
| 17697680 | 01/08/13 B | 1,974 | 1.000 | 0 | 1,974- | 1,974 | |
| 17697680 | 01/10/13 B | 4,515 | 1.000 | 0 | 4,515- | 4,515 | |
| 17697680 | 01/11/13 B | 4,395,136 | 1.000 | 0 | 4,395,136- | 4,395,136 | |
| 17697680 | 01/11/13 B | 369 | 1.000 | 0 | 369- | 369 | |
| 17697680 | 01/14/13 B | 127,226 | 1.000 | 0 | 127,226- | 127,226 | |
| 17697680 | 01/15/13 B | 3,875 | 1.000 | 0 | 3,875- | 3,875 | |
| 17697680 | 01/16/13 B | 121,026 | 1.000 | 0 | 121,026- | 121,026 | |
| 17697680 | 01/16/13 B | 1,511 | 1.000 | 0 | 1,511- | 1,511 | |
| 17697680 | 01/16/13 B | 4,115 | 1.000 | 0 | 4,115- | 4,115 | |
| 17697680 | 01/17/13 B | 5,674 | 1.000 | 0 | 5,674- | 5,674 | |
| 17697680 | 01/18/13 B | 3,012 | 1.000 | 0 | 3,012- | 3,012 | |
| 17697680 | 01/22/13 B | 18,548 | 1.000 | 0 | 18,548- | 18,548 | |
| 17697680 | 01/25/13 B | 42,436 | 1.000 | 0 | 42,436- | 42,436 | |
| 17697680 | 01/25/13 B | 721 | 1.000 | 0 | 721- | 721 | |
| 17697680 | 01/29/13 B | 87,435 | 1.000 | 0 | 87,435- | 87,435 | |
| 17697680 | 01/29/13 B | 104,398 | 1.000 | 0 | 104,398- | 104,398 | |
| 17697680 | 01/31/13 B | 1,368,943 | 1.000 | 0 | 1,368,943- | 1,368,943 | |
| 17697680 | 02/01/13 B | 108,992 | 1.000 | 0 | 108,992- | 108,992 | |
| 17697680 | 02/04/13 B | 949,388 | 1.000 | 0 | 949,388- | 949,388 | |
| 17697680 | 02/04/13 B | 1,258 | 1.000 | 0 | 1,258- | 1,258 | |
| 17697680 | 02/06/13 B | 1,461,509 | 1.000 | 0 | 1,461,509- | 1,461,509 | |
| 17697680 | 02/06/13 B | 2,598 | 1.000 | 0 | 2,598- | 2,598 | |
| 17697680 | 02/07/13 B | 9,878 | 1.000 | 0 | 9,878- | 9,878 | |
| 17697680 | 02/08/13 B | 1,974 | 1.000 | 0 | 1,974- | 1,974 | |
| 17697680 | 02/08/13 B | 888 | 1.000 | 0 | 888- | 888 | |
| 17697680 | 02/11/13 B | 2,654 | 1.000 | 0 | 2,654- | 2,654 | |
| 17697680 | 02/12/13 B | 600,767 | 1.000 | 0 | 600,767- | 600,767 | |
| 17697680 | 02/12/13 B | 11,513 | 1.000 | 0 | 11,513- | 11,513 | |
| 17697680 | 02/13/13 B | 369 | 1.000 | 0 | 369- | 369 | |
| 17697680 | 02/15/13 B | 136,836 | 1.000 | 0 | 136,836- | 136,836 | |
| 17697680 | 02/19/13 B | 15,995 | 1.000 | 0 | 15,995- | 15,995 | |
| 17697680 | 02/19/13 B | 25,127 | 1.000 | 0 | 25,127- | 25,127 | |
| 17697680 | 02/20/13 B | 27,158 | 1.000 | 0 | 27,158- | 27,158 | |
| 17697680 | 02/20/13 B | 288,513 | 1.000 | 0 | 288,513- | 288,513 | |
| 17697680 | 02/21/13 B | 330,630 | 1.000 | 0 | 330,630- | 330,630 | |
| 17697680 | 02/22/13 B | 4,742 | 1.000 | 0 | 4,742- | 4,742 | |
| 17697680 | 02/25/13 B | 49,835 | 1.000 | 0 | 49,835- | 49,835 | |
| 17697680 | 02/25/13 B | 704 | 1.000 | 0 | 704- | 704 | |
| 17697680 | 02/25/13 B | 3,000 | 1.000 | 0 | 3,000- | 3,000 | |
| 17697680 | 02/26/13 B | 1,056 | 1.000 | 0 | 1,056- | 1,056 | |
| 17697680 | 02/27/13 B | 8,554 | 1.000 | 0 | 8,554- | 8,554 | |
| 17697680 | 02/27/13 B | 203 | 1.000 | 0 | 203- | 203 | |
| 17697680 | 03/01/13 B | 721,521 | 1.000 | 0 | 721,521- | 721,521 | |
| 17697680 | 03/04/13 B | 14,156 | 1.000 | 0 | 14,156- | 14,156 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 03/08/13 B | 10,706 | 1.000 | 0 | 10,706- | 10,706 | |
| 17697680 | 03/11/13 B | 2,653 | 1.000 | 0 | 2,653- | 2,653 | |
| 17697680 | 03/12/13 B | 5,386 | 1.000 | 0 | 5,386- | 5,386 | |
| 17697680 | 03/12/13 B | 14,419 | 1.000 | 0 | 14,419- | 14,419 | |
| 17697680 | 03/13/13 B | 5,101 | 1.000 | 0 | 5,101- | 5,101 | |
| 17697680 | 03/13/13 B | 1,233 | 1.000 | 0 | 1,233- | 1,233 | |
| 17697680 | 03/14/13 B | 8,190 | 1.000 | 0 | 8,190- | 8,190 | |
| 17697680 | 03/15/13 B | 529 | 1.000 | 0 | 529- | 529 | |
| 17697680 | 03/18/13 B | 182,503 | 1.000 | 0 | 182,503- | 182,503 | |
| 17697680 | 03/18/13 B | 89,683 | 1.000 | 0 | 89,683- | 89,683 | |
| 17697680 | 03/18/13 B | 1,386 | 1.000 | 0 | 1,386- | 1,386 | |
| 17697680 | 03/19/13 B | 6,750 | 1.000 | 0 | 6,750- | 6,750 | |
| 17697680 | 03/20/13 B | 3,384,677 | 1.000 | 0 | 3,384,677- | 3,384,677 | |
| 17697680 | 03/25/13 B | 44,683 | 1.000 | 0 | 44,683- | 44,683 | |
| 17697680 | 03/25/13 B | 51 | 1.000 | 0 | 51- | 51 | |
| 17697680 | 03/26/13 B | 3,000 | 1.000 | 0 | 3,000- | 3,000 | |
| 17697680 | 03/26/13 B | 2,059 | 1.000 | 0 | 2,059- | 2,059 | |
| 17697680 | 03/27/13 B | 634,786 | 1.000 | 0 | 634,786- | 634,786 | |
| 17697680 | 03/28/13 B | 1,052,799 | 1.000 | 0 | 1,052,799- | 1,052,799 | |
| 17697680 | 04/01/13 B | 2,106 | 1.000 | 0 | 2,106- | 2,106 | |
| 17697680 | 04/05/13 B | 2,548 | 1.000 | 0 | 2,548- | 2,548 | |
| 17697680 | 04/08/13 B | 2,180,116 | 1.000 | 0 | 2,180,116- | 2,180,116 | |
| 17697680 | 04/11/13 B | 6,129 | 1.000 | 0 | 6,129- | 6,129 | |
| 17697680 | 04/12/13 B | 11,895 | 1.000 | 0 | 11,895- | 11,895 | |
| 17697680 | 04/15/13 B | 330,240 | 1.000 | 0 | 330,240- | 330,240 | |
| 17697680 | 04/16/13 B | 27,176 | 1.000 | 0 | 27,176- | 27,176 | |
| 17697680 | 04/16/13 B | 3,784 | 1.000 | 0 | 3,784- | 3,784 | |
| 17697680 | 04/17/13 B | 8,395 | 1.000 | 0 | 8,395- | 8,395 | |
| 17697680 | 04/18/13 B | 381,065 | 1.000 | 0 | 381,065- | 381,065 | |
| 17697680 | 04/22/13 B | 844 | 1.000 | 0 | 844- | 844 | |
| 17697680 | 04/25/13 B | 7,108 | 1.000 | 0 | 7,108- | 7,108 | |
| 17697680 | 04/29/13 B | 5,550 | 1.000 | 0 | 5,550- | 5,550 | |
| 17697680 | 04/30/13 B | 1,769,401 | 1.000 | 0 | 1,769,401- | 1,769,401 | |
| 17697680 | 04/30/13 B | 96,262 | 1.000 | 0 | 96,262- | 96,262 | |
| 17697680 | 05/01/13 B | 300,472 | 1.000 | 0 | 300,472- | 300,472 | |
| 17697680 | 05/06/13 B | 297,866 | 1.000 | 0 | 297,866- | 297,866 | |
| 17697680 | 05/07/13 B | 2,599 | 1.000 | 0 | 2,599- | 2,599 | |
| 17697680 | 05/08/13 B | 23,016 | 1.000 | 0 | 23,016- | 23,016 | |
| 17697680 | 05/08/13 B | 90,844 | 1.000 | 0 | 90,844- | 90,844 | |
| 17697680 | 05/09/13 B | 5,906 | 1.000 | 0 | 5,906- | 5,906 | |
| 17697680 | 05/09/13 B | 58 | 1.000 | 0 | 58- | 58 | |
| 17697680 | 05/15/13 B | 606,769 | 1.000 | 0 | 606,769- | 606,769 | |
| 17697680 | 05/15/13 B | 52 | 1.000 | 0 | 52- | 52 | |
| 17697680 | 05/16/13 B | 7,113 | 1.000 | 0 | 7,113- | 7,113 | |
| 17697680 | 05/17/13 B | 2,632,695 | 1.000 | 0 | 2,632,695- | 2,632,695 | |
| 17697680 | 05/17/13 B | 2,633 | 1.000 | 0 | 2,633- | 2,633 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 05/20/13 B | 7,413 | 1.000 | 0 | 7,413- | 7,413 | |
| 17697680 | 05/20/13 B | 220 | 1.000 | 0 | 220- | 220 | |
| 17697680 | 05/21/13 B | 40,188 | 1.000 | 0 | 40,188- | 40,188 | |
| 17697680 | 05/22/13 B | 24,403 | 1.000 | 0 | 24,403- | 24,403 | |
| 17697680 | 05/28/13 B | 23,107 | 1.000 | 0 | 23,107- | 23,107 | |
| 17697680 | 05/28/13 B | 13,257 | 1.000 | 0 | 13,257- | 13,257 | |
| 17697680 | 05/29/13 B | 2,200,272 | 1.000 | 0 | 2,200,272- | 2,200,272 | |
| 17697680 | 05/29/13 B | 192,539 | 1.000 | 0 | 192,539- | 192,539 | |
| 17697680 | 05/30/13 B | 22,942 | 1.000 | 0 | 22,942- | 22,942 | |
| 17697680 | 05/31/13 B | 594,695 | 1.000 | 0 | 594,695- | 594,695 | |
| 17697680 | 06/03/13 B | 87,186 | 1.000 | 0 | 87,186- | 87,186 | |
| 17697680 | 06/07/13 B | 1,672,150 | 1.000 | 0 | 1,672,150- | 1,672,150 | |
| 17697680 | 06/07/13 B | 206,554 | 1.000 | 0 | 206,554- | 206,554 | |
| 17697680 | 06/10/13 B | 279,366 | 1.000 | 0 | 279,366- | 279,366 | |
| 17697680 | 06/11/13 B | 637,277 | 1.000 | 0 | 637,277- | 637,277 | |
| 17697680 | 06/13/13 B | 11,916 | 1.000 | 0 | 11,916- | 11,916 | |
| 17697680 | 06/17/13 B | 135,845 | 1.000 | 0 | 135,845- | 135,845 | |
| 17697680 | 06/17/13 B | 12,258 | 1.000 | 0 | 12,258- | 12,258 | |
| 17697680 | 06/17/13 B | 2,720 | 1.000 | 0 | 2,720- | 2,720 | |
| 17697680 | 06/18/13 B | 18,029 | 1.000 | 0 | 18,029- | 18,029 | |
| 17697680 | 06/18/13 B | 1,254,464 | 1.000 | 0 | 1,254,464- | 1,254,464 | |
| 17697680 | 06/19/13 B | 8,159 | 1.000 | 0 | 8,159- | 8,159 | |
| 17697680 | 06/20/13 B | 21,738,609 | 1.000 | 0 | 21,738,609-* | 21,738,609 | |
| 17697680 | 06/20/13 B | 1,037,195 | 1.000 | 0 | 1,037,195- | 1,037,195 | |
| 17697680 | 06/21/13 B | 9,291,060 | 1.000 | 0 | 9,291,060- | 9,291,060 | |
| 17697680 | 06/21/13 B | 1,601,795 | 1.000 | 0 | 1,601,795- | 1,601,795 | |
| 17697680 | 06/21/13 B | 1,591,117 | 1.000 | 0 | 1,591,117- | 1,591,117 | |
| 17697680 | 06/24/13 B | 91,820,490 | 1.000 | 0 | 91,820,490-* | 91,820,490 | |
| 17697680 | 06/24/13 B | 3,481,708 | 1.000 | 0 | 3,481,708- | 3,481,708 | |
| 17697680 | 06/24/13 B | 4,459,388 | 1.000 | 0 | 4,459,388- | 4,459,388 | |
| 17697680 | 06/24/13 B | 1,363,561 | 1.000 | 0 | 1,363,561- | 1,363,561 | |
| 17697680 | 06/24/13 B | 221,324 | 1.000 | 0 | 221,324- | 221,324 | |
| 17697680 | 06/25/13 B | 503,526 | 1.000 | 0 | 503,526- | 503,526 | |
| 17697680 | 06/25/13 B | 2,080,277 | 1.000 | 0 | 2,080,277- | 2,080,277 | |
| 17697680 | 06/25/13 B | 799,058 | 1.000 | 0 | 799,058- | 799,058 | |
| 17697680 | 06/25/13 B | 5,516 | 1.000 | 0 | 5,516- | 5,516 | |
| 17697680 | 06/25/13 B | 731,519 | 1.000 | 0 | 731,519- | 731,519 | |
| 17697680 | 06/28/13 B | 16,235 | 1.000 | 0 | 16,235- | 16,235 | |
| 17697680 | 07/01/13 B | 357 | 1.000 | 0 | 357- | 357 | |
| 17697685 | 06/24/13 B | 1,601,914 | 1.000 | 0 | 1,601,914- | 1,601,914 | |
| 17697685 | 06/27/13 B | 11,116 | 1.000 | 0 | 11,116- | 11,116 | |
| 17697685 | 07/01/13 B | 3 | 1.000 | 0 | 3- | 3 | |
| 17697695 | 01/02/13 B | 204 | 1.000 | 0 | 204- | 204 | |
| 17697695 | 02/01/13 B | 119 | 1.000 | 0 | 119- | 119 | |
| 17697695 | 03/01/13 B | 101 | 1.000 | 0 | 101- | 101 | |
| 17697695 | 04/01/13 B | 112 | 1.000 | 0 | 112- | 112 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697695 | 05/01/13 B | 108 | 1.000 | 0 | 108- | 108 | |
| 17697695 | 06/03/13 B | 112 | 1.000 | 0 | 112- | 112 | |
| 17697695 | 07/01/13 B | 108 | 1.000 | 0 | 108- | 108 | |
| | SUB-TOTAL OF BUYS # 224 | | | 0 | 192,049,387 | 192,049,387 | |
| 17697650 | 07/03/13 S | 5,425,518 | 1.000 | 0 | 5,425,518 | 5,425,518 | 0 |
| 17697655 | 07/03/13 S | 5,806,822 | 1.000 | 0 | 5,806,822 | 5,806,822 | 0 |
| 17697660 | 01/22/13 S | 38,819 | 1.000 | 0 | 38,819 | 38,819 | 0 |
| 17697660 | 01/24/13 S | 4,519 | 1.000 | 0 | 4,519 | 4,519 | 0 |
| 17697660 | 02/05/13 S | 8,615 | 1.000 | 0 | 8,615 | 8,615 | 0 |
| 17697660 | 02/06/13 S | 8,789 | 1.000 | 0 | 8,789 | 8,789 | 0 |
| 17697660 | 02/07/13 S | 10,633 | 1.000 | 0 | 10,633 | 10,633 | 0 |
| 17697660 | 02/21/13 S | 7,869 | 1.000 | 0 | 7,869 | 7,869 | 0 |
| 17697660 | 03/18/13 S | 9,799 | 1.000 | 0 | 9,799 | 9,799 | 0 |
| 17697660 | 03/19/13 S | 234 | 1.000 | 0 | 234 | 234 | 0 |
| 17697660 | 03/20/13 S | 55,859 | 1.000 | 0 | 55,859 | 55,859 | 0 |
| 17697660 | 03/21/13 S | 568 | 1.000 | 0 | 568 | 568 | 0 |
| 17697660 | 03/25/13 S | 20,346 | 1.000 | 0 | 20,346 | 20,346 | 0 |
| 17697660 | 04/09/13 S | 21,683 | 1.000 | 0 | 21,683 | 21,683 | 0 |
| 17697660 | 04/10/13 S | 3,269 | 1.000 | 0 | 3,269 | 3,269 | 0 |
| 17697660 | 04/24/13 S | 30,813 | 1.000 | 0 | 30,813 | 30,813 | 0 |
| 17697660 | 04/26/13 S | 14,708 | 1.000 | 0 | 14,708 | 14,708 | 0 |
| 17697660 | 04/29/13 S | 7,388 | 1.000 | 0 | 7,388 | 7,388 | 0 |
| 17697660 | 04/29/13 S | 142 | 1.000 | 0 | 142 | 142 | 0 |
| 17697660 | 05/02/13 S | 2,009 | 1.000 | 0 | 2,009 | 2,009 | 0 |
| 17697660 | 05/06/13 S | 3,348 | 1.000 | 0 | 3,348 | 3,348 | 0 |
| 17697660 | 05/15/13 S | 5,553 | 1.000 | 0 | 5,553 | 5,553 | 0 |
| 17697660 | 05/20/13 S | 981 | 1.000 | 0 | 981 | 981 | 0 |
| 17697660 | 05/21/13 S | 7,332 | 1.000 | 0 | 7,332 | 7,332 | 0 |
| 17697660 | 05/23/13 S | 4,922 | 1.000 | 0 | 4,922 | 4,922 | 0 |
| 17697660 | 06/04/13 S | 10,979 | 1.000 | 0 | 10,979 | 10,979 | 0 |
| 17697660 | 06/12/13 S | 6,507 | 1.000 | 0 | 6,507 | 6,507 | 0 |
| 17697660 | 06/13/13 S | 16,440 | 1.000 | 0 | 16,440 | 16,440 | 0 |
| 17697660 | 06/18/13 S | 5,643 | 1.000 | 0 | 5,643 | 5,643 | 0 |
| 17697660 | 06/19/13 S | 5,487 | 1.000 | 0 | 5,487 | 5,487 | 0 |
| 17697660 | 06/21/13 S | 13,892 | 1.000 | 0 | 13,892 | 13,892 | 0 |
| 17697660 | 07/03/13 S | 3,131,237 | 1.000 | 0 | 3,131,237 | 3,131,237 | 0 |
| 17697675 | 07/03/13 S | 2,446,097 | 1.000 | 0 | 2,446,097 | 2,446,097 | 0 |
| 17697680 | 01/09/13 S | 382,111 | 1.000 | 0 | 382,111 | 382,111 | 0 |
| 17697680 | 01/11/13 S | 3,113,798 | 1.000 | 0 | 3,113,798 | 3,113,798 | 0 |
| 17697680 | 01/15/13 S | 557,284 | 1.000 | 0 | 557,284 | 557,284 | 0 |
| 17697680 | 01/24/13 S | 827,602 | 1.000 | 0 | 827,602 | 827,602 | 0 |
| 17697680 | 01/28/13 S | 65,195 | 1.000 | 0 | 65,195 | 65,195 | 0 |
| 17697680 | 01/31/13 S | 1,370,109 | 1.000 | 0 | 1,370,109 | 1,370,109 | 0 |
| 17697680 | 02/04/13 S | 809 | 1.000 | 0 | 809 | 809 | 0 |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 17697680 | 02/05/13 S | 437,843 | 1.000 | 0 | 437,843 | 437,843 | 0 |
| 17697680 | 02/11/13 S | 934,367 | 1.000 | 0 | 934,367 | 934,367 | 0 |
| 17697680 | 02/12/13 S | 1,092,306 | 1.000 | 0 | 1,092,306 | 1,092,306 | 0 |
| 17697680 | 02/13/13 S | 459,886 | 1.000 | 0 | 459,886 | 459,886 | 0 |
| 17697680 | 02/15/13 S | 532,417 | 1.000 | 0 | 532,417 | 532,417 | 0 |
| 17697680 | 02/28/13 S | 453,053 | 1.000 | 0 | 453,053 | 453,053 | 0 |
| 17697680 | 03/11/13 S | 810,814 | 1.000 | 0 | 810,814 | 810,814 | 0 |
| 17697680 | 03/15/13 S | 722,926 | 1.000 | 0 | 722,926 | 722,926 | 0 |
| 17697680 | 03/21/13 S | 552,073 | 1.000 | 0 | 552,073 | 552,073 | 0 |
| 17697680 | 03/26/13 S | 483,798 | 1.000 | 0 | 483,798 | 483,798 | 0 |
| 17697680 | 04/01/13 S | 2,035,647 | 1.000 | 0 | 2,035,647 | 2,035,647 | 0 |
| 17697680 | 04/02/13 S | 1,020,944 | 1.000 | 0 | 1,020,944 | 1,020,944 | 0 |
| 17697680 | 04/03/13 S | 890,450 | 1.000 | 0 | 890,450 | 890,450 | 0 |
| 17697680 | 04/03/13 S | 48,530 | 1.000 | 0 | 48,530 | 48,530 | 0 |
| 17697680 | 04/05/13 S | 6,067 | 1.000 | 0 | 6,067 | 6,067 | 0 |
| 17697680 | 04/10/13 S | 381,065 | 1.000 | 0 | 381,065 | 381,065 | 0 |
| 17697680 | 04/24/13 S | 701,392 | 1.000 | 0 | 701,392 | 701,392 | 0 |
| 17697680 | 04/25/13 S | 713,732 | 1.000 | 0 | 713,732 | 713,732 | 0 |
| 17697680 | 04/26/13 S | 1,000,000 | 1.000 | 0 | 1,000,000 | 1,000,000 | 0 |
| 17697680 | 04/30/13 S | 875,339 | 1.000 | 0 | 875,339 | 875,339 | 0 |
| 17697680 | 05/09/13 S | 2,000,000 | 1.000 | 0 | 2,000,000 | 2,000,000 | 0 |
| 17697680 | 05/10/13 S | 247,249 | 1.000 | 0 | 247,249 | 247,249 | 0 |
| 17697680 | 05/17/13 S | 1,000,000 | 1.000 | 0 | 1,000,000 | 1,000,000 | 0 |
| 17697680 | 05/31/13 S | 1,076,510 | 1.000 | 0 | 1,076,510 | 1,076,510 | 0 |
| 17697680 | 06/04/13 S | 353,946 | 1.000 | 0 | 353,946 | 353,946 | 0 |
| 17697680 | 06/12/13 S | 2,169 | 1.000 | 0 | 2,169 | 2,169 | 0 |
| 17697680 | 06/18/13 S | 913,871 | 1.000 | 0 | 913,871 | 913,871 | 0 |
| 17697680 | 06/26/13 S | 5,516 | 1.000 | 0 | 5,516 | 5,516 | 0 |
| 17697680 | 07/03/13 S | 148,084,946 | 1.000 | 0 | 148,084,946 * | 148,084,946 | 0 |
| 17697685 | 07/03/13 S | 1,613,042 | 1.000 | 0 | 1,613,042 | 1,613,042 | 0 |
| 17697695 | 01/08/13 S | 3,612,515 | 1.000 | 0 | 3,612,515 | 3,612,515 | 0 |
| 17697695 | 07/03/13 S | 13,138,827 | 1.000 | 0 | 13,138,827 * | 13,138,827 | 0 |
| | SUB-TOTAL OF SALES # 72 | | | 0 | 209,654,968 | 209,654,968 | 0 |
| | SUB-TOTAL | | | 0 | 401,704,355 | 401,704,355 | 0 |
| | ISSUE: VP6000104 - FEDERATED GOVT OBLIGATION #5 | | | | | | |
| 911406007 | 01/02/13 B | 2,290 | 1.000 | 0 | 2,290- | 2,290 | |
| 911406007 | 01/03/13 B | 285,091 | 1.000 | 0 | 285,091- | 285,091 | |
| 911406007 | 01/03/13 B | 36,604 | 1.000 | 0 | 36,604- | 36,604 | |
| 911406007 | 01/04/13 B | 55,833 | 1.000 | 0 | 55,833- | 55,833 | |
| 911406007 | 01/07/13 B | 127,273 | 1.000 | 0 | 127,273- | 127,273 | |
| 911406007 | 01/09/13 B | 167,484 | 1.000 | 0 | 167,484- | 167,484 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 911406007 | 01/11/13 B | 35,055 | 1.000 | 0 | 35,055- | 35,055 | |
| 911406007 | 01/14/13 B | 167 | 1.000 | 0 | 167- | 167 | |
| 911406007 | 01/16/13 B | 17,705 | 1.000 | 0 | 17,705- | 17,705 | |
| 911406007 | 01/16/13 B | 132,314 | 1.000 | 0 | 132,314- | 132,314 | |
| 911406007 | 01/25/13 B | 6,715 | 1.000 | 0 | 6,715- | 6,715 | |
| 911406007 | 02/01/13 B | 889 | 1.000 | 0 | 889- | 889 | |
| 911406007 | 02/04/13 B | 1,932 | 1.000 | 0 | 1,932- | 1,932 | |
| 911406007 | 02/07/13 B | 3,597 | 1.000 | 0 | 3,597- | 3,597 | |
| 911406007 | 02/08/13 B | 189 | 1.000 | 0 | 189- | 189 | |
| 911406007 | 02/12/13 B | 133 | 1.000 | 0 | 133- | 133 | |
| 911406007 | 02/20/13 B | 15,865 | 1.000 | 0 | 15,865- | 15,865 | |
| 911406007 | 03/01/13 B | 2,000 | 1.000 | 0 | 2,000- | 2,000 | |
| 911406007 | 03/05/13 B | 17,061 | 1.000 | 0 | 17,061- | 17,061 | |
| 911406007 | 03/25/13 B | 17,780 | 1.000 | 0 | 17,780- | 17,780 | |
| 911406007 | 04/08/13 B | 30,430 | 1.000 | 0 | 30,430- | 30,430 | |
| 911406007 | 04/29/13 B | 1,000,000 | 1.000 | 0 | 1,000,000- | 1,000,000 | |
| 911406007 | 05/03/13 B | 177 | 1.000 | 0 | 177- | 177 | |
| 911406007 | 05/09/13 B | 2,000,000 | 1.000 | 0 | 2,000,000- | 2,000,000 | |
| 911406007 | 05/17/13 B | 1,000,000 | 1.000 | 0 | 1,000,000- | 1,000,000 | |
| 911406007 | 06/03/13 B | 127 | 1.000 | 0 | 127- | 127 | |
| 911406007 | 06/24/13 B | 32,390 | 1.000 | 0 | 32,390- | 32,390 | |
| 911406007 | 06/27/13 B | 1,292 | 1.000 | 0 | 1,292- | 1,292 | |
| 911406007 | 06/28/13 B | 28 | 1.000 | 0 | 28- | 28 | |
| 911406007 | 07/03/13 B | 5,425,518 | 1.000 | 0 | 5,425,518- | 5,425,518 | |
| 911406007 | 07/03/13 B | 5,806,822 | 1.000 | 0 | 5,806,822- | 5,806,822 | |
| 911406007 | 07/03/13 B | 3,131,237 | 1.000 | 0 | 3,131,237- | 3,131,237 | |
| 911406007 | 07/03/13 B | 2,446,097 | 1.000 | 0 | 2,446,097- | 2,446,097 | |
| 911406007 | 07/03/13 B | 148,084,946 | 1.000 | 0 | 148,084,946-* | 148,084,946 | |
| 911406007 | 07/03/13 B | 1,613,042 | 1.000 | 0 | 1,613,042- | 1,613,042 | |
| 911406007 | 07/03/13 B | 13,138,827 | 1.000 | 0 | 13,138,827-* | 13,138,827 | |
| 911406007 | 07/05/13 B | 339 | 1.000 | 0 | 339- | 339 | |
| 911406007 | 07/08/13 B | 1,527 | 1.000 | 0 | 1,527- | 1,527 | |
| 911406007 | 07/09/13 B | 961 | 1.000 | 0 | 961- | 961 | |
| 911406007 | 07/10/13 B | 112 | 1.000 | 0 | 112- | 112 | |
| 911406007 | 07/12/13 B | 885 | 1.000 | 0 | 885- | 885 | |
| 911406007 | 07/15/13 B | 473 | 1.000 | 0 | 473- | 473 | |
| 911406007 | 07/17/13 B | 455 | 1.000 | 0 | 455- | 455 | |
| 911406007 | 07/18/13 B | 145 | 1.000 | 0 | 145- | 145 | |
| 911406007 | 07/22/13 B | 151 | 1.000 | 0 | 151- | 151 | |
| 911406007 | 07/26/13 B | 205 | 1.000 | 0 | 205- | 205 | |
| 911406007 | 08/01/13 B | 397 | 1.000 | 0 | 397- | 397 | |
| 911406007 | 08/02/13 B | 57 | 1.000 | 0 | 57- | 57 | |
| 911406007 | 08/06/13 B | 502 | 1.000 | 0 | 502- | 502 | |
| 911406007 | 08/15/13 B | 53 | 1.000 | 0 | 53- | 53 | |
| 911406007 | 08/19/13 B | 9 | 1.000 | 0 | 9- | 9 | |
| 911406007 | 09/03/13 B | 30 | 1.000 | 0 | 30- | 30 | |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 911406007 | 09/23/13 B | 81 | 1.000 | 0 | 81- | 81 | |
| 911406007 | 10/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 911406007 | 11/01/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 911406007 | 11/15/13 B | 2,014 | 1.000 | 0 | 2,014- | 2,014 | |
| 911406007 | 11/22/13 B | 3,423 | 1.000 | 0 | 3,423- | 3,423 | |
| 911406007 | 11/25/13 B | 27,999 | 1.000 | 0 | 27,999- | 27,999 | |
| 911406007 | 12/02/13 B | 0 | 1.000 | 0 | 0- | 0 | |
| 911406007 | 12/20/13 B | 8,010 | 1.000 | 0 | 8,010- | 8,010 | |
| 911406007 | 12/30/13 B | 1,712 | 1.000 | 0 | 1,712- | 1,712 | |
| | SUB-TOTAL OF BUYS | # 61 | | 0 | 184,686,450 | 184,686,450 | |
| 911406007 | 01/02/13 S | 949,024 | 1.000 | 0 | 949,024 | 949,024 | 0 |
| 911406007 | 01/04/13 S | 285,091 | 1.000 | 0 | 285,091 | 285,091 | 0 |
| 911406007 | 01/07/13 S | 75,383 | 1.000 | 0 | 75,383 | 75,383 | 0 |
| 911406007 | 01/08/13 S | 48,688 | 1.000 | 0 | 48,688 | 48,688 | 0 |
| 911406007 | 01/09/13 S | 127,273 | 1.000 | 0 | 127,273 | 127,273 | 0 |
| 911406007 | 01/11/13 S | 167,484 | 1.000 | 0 | 167,484 | 167,484 | 0 |
| 911406007 | 01/15/13 S | 35,055 | 1.000 | 0 | 35,055 | 35,055 | 0 |
| 911406007 | 01/17/13 S | 17,705 | 1.000 | 0 | 17,705 | 17,705 | 0 |
| 911406007 | 01/18/13 S | 132,314 | 1.000 | 0 | 132,314 | 132,314 | 0 |
| 911406007 | 01/29/13 S | 135,836 | 1.000 | 0 | 135,836 | 135,836 | 0 |
| 911406007 | 01/30/13 S | 3,462 | 1.000 | 0 | 3,462 | 3,462 | 0 |
| 911406007 | 01/31/13 S | 47,031 | 1.000 | 0 | 47,031 | 47,031 | 0 |
| 911406007 | 02/01/13 S | 830,047 | 1.000 | 0 | 830,047 | 830,047 | 0 |
| 911406007 | 02/04/13 S | 895 | 1.000 | 0 | 895 | 895 | 0 |
| 911406007 | 02/06/13 S | 1,571 | 1.000 | 0 | 1,571 | 1,571 | 0 |
| 911406007 | 02/11/13 S | 3,387 | 1.000 | 0 | 3,387 | 3,387 | 0 |
| 911406007 | 02/19/13 S | 3,218 | 1.000 | 0 | 3,218 | 3,218 | 0 |
| 911406007 | 02/22/13 S | 15,865 | 1.000 | 0 | 15,865 | 15,865 | 0 |
| 911406007 | 03/01/13 S | 830,027 | 1.000 | 0 | 830,027 | 830,027 | 0 |
| 911406007 | 03/04/13 S | 8,138 | 1.000 | 0 | 8,138 | 8,138 | 0 |
| 911406007 | 03/04/13 S | 161,698 | 1.000 | 0 | 161,698 | 161,698 | 0 |
| 911406007 | 03/07/13 S | 17,649 | 1.000 | 0 | 17,649 | 17,649 | 0 |
| 911406007 | 03/13/13 S | 1,461 | 1.000 | 0 | 1,461 | 1,461 | 0 |
| 911406007 | 03/27/13 S | 22,398 | 1.000 | 0 | 22,398 | 22,398 | 0 |
| 911406007 | 04/01/13 S | 833,395 | 1.000 | 0 | 833,395 | 833,395 | 0 |
| 911406007 | 04/02/13 S | 2,000 | 1.000 | 0 | 2,000 | 2,000 | 0 |
| 911406007 | 04/03/13 S | 22,661 | 1.000 | 0 | 22,661 | 22,661 | 0 |
| 911406007 | 05/01/13 S | 835,688 | 1.000 | 0 | 835,688 | 835,688 | 0 |
| 911406007 | 05/02/13 S | 1,453 | 1.000 | 0 | 1,453 | 1,453 | 0 |
| 911406007 | 05/06/13 S | 172 | 1.000 | 0 | 172 | 172 | 0 |
| 911406007 | 05/07/13 S | 30,430 | 1.000 | 0 | 30,430 | 30,430 | 0 |
| 911406007 | 05/23/13 S | 28,641 | 1.000 | 0 | 28,641 | 28,641 | 0 |
| 911406007 | 05/31/13 S | 152,283 | 1.000 | 0 | 152,283 | 152,283 | 0 |
| 911406007 | 06/03/13 S | 838,508 | 1.000 | 0 | 838,508 | 838,508 | 0 |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | | | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|---|---|
| 911406007 | 06/04/13 S | 0 | 1.000 | 0 | 0 | 0 | 0 |
| 911406007 | 06/26/13 S | 32,390 | 1.000 | 0 | 32,390 | 32,390 | 0 |
| 911406007 | 07/01/13 S | 837,187 | 1.000 | 0 | 837,187 | 837,187 | 0 |
| 911406007 | 07/02/13 S | 1,140 | 1.000 | 0 | 1,140 | 1,140 | 0 |
| 911406007 | 07/09/13 S | 181,043,128 | 1.000 | 0 | 181,043,128 * | 181,043,128 | 0 |
| 911406007 | 07/23/13 S | 392 | 1.000 | 0 | 392 | 392 | 0 |
| 911406007 | 07/30/13 S | 642 | 1.000 | 0 | 642 | 642 | 0 |
| 911406007 | 11/19/13 S | 243 | 1.000 | 0 | 243 | 243 | 0 |
| 911406007 | 11/27/13 S | 27,999 | 1.000 | 0 | 27,999 | 27,999 | 0 |
| 911406007 | 11/29/13 S | 3,423 | 1.000 | 0 | 3,423 | 3,423 | 0 |
| 911406007 | 12/24/13 S | 6,422 | 1.000 | 0 | 6,422 | 6,422 | 0 |
| | SUB-TOTAL OF SALES # 45 | | | 0 | 188,618,897 | 188,618,897 | 0 |
| | SUB-TOTAL | | | 0 | 373,305,347 | 373,305,347 | 0 |
| | ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | | |
| 17697680 | 02/01/13 S | 1,500,000 | 0.968 | 0 | 1,451,191 | 1,587,635 | -136,444 |
| 17697680 | 05/28/13 S | 630,000 | 0.943 | 0 | 593,873 | 666,807 | -72,933 |
| 17697680 | 06/20/13 S | 16,000,000 | 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| | SUB-TOTAL OF SALES # 3 | | | 0 | 16,551,314 | 19,189,217 | -2,637,902 |
| | SUB-TOTAL | | | 0 | 16,551,314 | 19,189,217 | -2,637,902 |
| | ISSUE: 912828VB3 - US TREASURY NOTE 1.75% 5/15/23 | | | | | | |
| 17697680 | 06/07/13 B | 215,000 | 0.963 | 0 | 207,013- | 207,013 | |
| 17697680 | 06/13/13 B | 950,000 | 0.962 | 0 | 913,633- | 913,633 | |
| 17697680 | 06/17/13 B | 5,000,000 | 0.963 | 0 | 4,814,258- | 4,814,258 | |
| | SUB-TOTAL OF BUYS # 3 | | | 0 | 5,934,904 | 5,934,904 | |
| 17697680 | 06/20/13 S | 6,165,000 | 0.942 | 0 | 5,807,623 | 5,934,904 | -127,281 |
| | SUB-TOTAL OF SALES # 1 | | | 0 | 5,807,623 | 5,934,904 | -127,281 |
| | SUB-TOTAL | | | 0 | 11,742,527 | 11,869,808 | -127,281 |
| | GRAND TOTAL | | | 0 | 803,303,543 | 806,068,727 | -2,765,183 |

REPORTABLE TRANSACTIONS - SERIES / BY ISSUE
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|

## FOOTNOTES

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|
| BROKER: DEUTSCHE BANK SECURITIES | | | | | |
| ISSUE: 912828TZ3 - US TREASURY NOTE 0.25% 11/30/14 | | | | | |
| 17697680 01/04/13 S 200,000 | 1.000 | 0 | 199,961 | 199,945 | 16 |
| ISSUE: 03064YAG7 - AMERICREDIT AUTOMOBI 2.64% 7/08/20 | | | | | |
| 17697680 01/15/13 B 865,000 | 1.000 | 0 | 864,790- | 864,790 | |
| ISSUE: 80282WAE0 - SANTANDER DRIVE AUTO 3.01% 4/16/18 | | | | | |
| 17697680 01/24/13 S 100,000 | 1.043 | 0 | 104,281 | 99,973 | 4,308 |
| ISSUE: 912828UF5 - US TREASURY NOTE 1.125% 12/31/19 | | | | | |
| 17697680 01/28/13 B 1,390,000 | 0.985 | 0 | 1,368,770- | 1,368,770 | |
| ISSUE: 9128334T4 - US TREASURY SEC STRIPPED | | | | | |
| 17697680 02/15/13 S 600,000 | 0.551 | 0 | 330,630 | 359,388 | -28,758 |
| ISSUE: 36228CVY6 - VR GS MORTGAGE SECUR 4.841% 7/10/39 | | | | | |
| 17697680 03/15/13 S 720,000 | 0.981 | 0 | 706,050 | 707,400 | -1,350 |
| ISSUE: 227170AE7 - CRONOS CONTAINERS PR 3.08% 4/18/28 | | | | | |
| 17697680 04/17/13 B 750,000 | 1.000 | 0 | 749,995- | 749,995 | |
| ISSUE: 31398AUJ9 - FED NATL MTG ASSN 2.875% 12/11/13 | | | | | |
| 17697680 05/14/13 S 520,000 | 1.016 | 0 | 528,061 | 535,871 | -7,809 |
| ISSUE: 83162CQN6 - SBA 5.54% 9/01/26 | | | | | |
| 17697680 06/12/13 S 40,430 | 1.120 | 0 | 45,281 | 44,586 | 695 |
| ISSUE: 912834DU9 - US TREASURY BOND 11/15/38 | | | | | |
| 17697680 06/20/13 S 3,450,000 | 0.392 | 0 | 1,352,883 | 1,687,913 | -335,030 |
| ISSUE: 912828SV3 - US TREASURY NOTE 1.75% 5/15/22 | | | | | |
| 17697680 06/20/13 S 2,014,000 | 0.959 | 0 | 1,932,260 | 2,053,846 | -121,586 |
| ISSUE: 912810QW1 - US TREASURY BOND 3% 5/15/42 | | | | | |
| 17697680 06/20/13 S 16,000,000 | 0.907 | 0 | 14,506,250 * | 16,934,775 | -2,428,525 |
| ISSUE: 912834JH2 - US TREASURY BOND 11/15/40 | | | | | |
| 17697680 06/20/13 S 3,250,000 | 0.361 | 0 | 1,174,355 | 1,494,903 | -320,548 |
| ISSUE: 912833Y38 - US TREAS SEC STRIPPED | | | | | |
| 17697680 06/20/13 S 4,000,000 | 0.426 | 0 | 1,703,400 | 2,091,440 | -388,040 |
| ISSUE: 912833X88 - US TREAS SEC STRIPPED | | | | | |
| 17697680 06/20/13 S 800,000 | 0.452 | 0 | 361,560 | 410,176 | -48,616 |
| ISSUE: 912828VC1 - US TREASURY NOTE 0.25% 5/15/16 | | | | | |
| 17697680 06/20/13 S 1,125,000 | 0.990 | 0 | 1,113,706 | 1,115,947 | -2,241 |
| ISSUE: 912828VE7 - US TREASURY NOTE 1% 5/31/18 | | | | | |
| 17697680 06/20/13 S 950,000 | 0.986 | 0 | 936,678 | 945,547 | -8,869 |

REPORTABLE TRANSACTIONS - SINGLE / BY BROKER
FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013

MGH CONSOLIDATED
ACCOUNT NUMBER 17697699

| (a) IDENTITY OF PARTY & (b) DESCRIPTION OF ASSET | (c) & (d) PRICE | (f) EXPENSE | (g) COST OF ASSET | (h) CURRENT VALUE | (i) NET GAIN/LOSS |
|---|---|---|---|---|---|
| SUB-TOTAL | | 0 | 27,978,911 | 31,665,265 | -3,686,353 |
| GRAND TOTAL | | 0 | 27,978,911 | 31,665,265 | -3,686,353 |

F O O T N O T E S

* = SINGLE TRANSACTION IS 5% REPORTABLE
B = BUY TRANSACTION
S = SELL TRANSACTION
R = REINVESTMENT TRANSACTION

# SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ File as an attachment to Form 5500 or 5500-SF.

OMB No. 1210-0110

**2013**

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

| A Name of plan | B Three-digit plan number (PN) ▶ | 001 |
|---|---|---|
| Marquette Gen Hosp Inc Ret Plan | | |
| **C** Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF | **D** Employer Identification Number (EIN) | |
| MGH Wind Down, Inc. | 38-1358036 | |

**E** Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

**F** Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

## Part I Basic Information

1 Enter the valuation date: Month 1 Day 1 Year 2013

| | | | | |
|---|---|---|---|---|
| 2 | Assets: | | | |
| | a Market value | 2a | | 193,893,277 |
| | b Actuarial value | 2b | | 195,589,448 |
| 3 | Funding target/participant count breakdown: | | (1) Number of participants | (2) Funding Target |
| | a For retired participants and beneficiaries receiving payment | 3a | 942 | 110,458,130 |
| | b For terminated vested participants | 3b | 301 | 13,473,845 |
| | c For active participants: | | | |
| | (1) Non-vested benefits | 3c(1) | | 1,068,997 |
| | (2) Vested benefits | 3c(2) | | 17,909,133 |
| | (3) Total active | 3c(3) | 221 | 18,978,130 |
| | d Total | 3d | 1,464 | 142,910,105 |
| 4 | If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ] | | | |
| | a Funding target disregarding prescribed at-risk assumptions | 4a | | |
| | b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor | 4b | | |
| 5 | Effective interest rate | 5 | | 6.28 % |
| 6 | Target normal cost | 6 | | 93,028 |

### Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE Timothy W. Hedlund (TWH) — Signature of actuary

09/12/2014 — Date

Timothy Hedlund, E.A., M.A.A.A. — Type or print name of actuary

14-04791 — Most recent enrollment number

Aon Hewitt — Firm name

(314) 719-3803 — Telephone number (including area code)

8182 Maryland Ave, Suite 1400

St. Louis MO 63105 — Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

## Part II Beginning of Year Carryover and Prefunding Balances

| | | (a) Carryover balance | (b) Prefunding balance |
|---|---|---|---|
| 7 | Balance at beginning of prior year after applicable adjustments (line 13 from prior year) | 0 | 68,151,316 |
| 8 | Portion elected for use to offset prior year's funding requirement (line 35 from prior year) | | |
| 9 | Amount remaining (line 7 minus line 8) | 0 | 68,151,316 |
| 10 | Interest on line 9 using prior year's actual return of 7.92 % | | 5,397,584 |
| 11 | Prior year's excess contributions to be added to prefunding balance: | | |
| | a Present value of excess contributions (line 38a from prior year) | | 2,276,058 |
| | b Interest on (a) using prior year's effective interest rate of 7.10 % except as otherwise provided (see instructions) | | 161,600 |
| | c Total available at beginning of current plan year to add to prefunding balance | | 2,437,658 |
| | d Portion of (c) to be added to prefunding balance | | |
| 12 | Other reductions in balances due to elections or deemed elections | | 23,548,900 |
| 13 | Balance at beginning of current year (line 9 + line 10 + line 11d – line 12) | 0 | 50,000,000 |

## Part III Funding Percentages

| | | | |
|---|---|---|---|
| 14 | Funding target attainment percentage | 14 | 101.87 % |
| 15 | Adjusted funding target attainment percentage | 15 | 136.86 % |
| 16 | Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement | 16 | 101.23 % |
| 17 | If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage | 17 | % |

## Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

| (a) Date (MM-DD-YYYY) | (b) Amount paid by employer(s) | (c) Amount paid by employees | (a) Date (MM-DD-YYYY) | (b) Amount paid by employer(s) | (c) Amount paid by employees |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | Totals ▶ 18(b) | 0 | 18(c) 0 |

| | | | |
|---|---|---|---|
| 19 | Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year: | | |
| | a Contributions allocated toward unpaid minimum required contributions from prior years. | 19a | 0 |
| | b Contributions made to avoid restrictions adjusted to valuation date | 19b | 0 |
| | c Contributions allocated toward minimum required contribution for current year adjusted to valuation date | 19c | 0 |

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? [ ] Yes [X] No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? [ ] Yes [ ] No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

| (1) 1st | (2) 2nd | (3) 3rd | (4) 4th |
|---|---|---|---|
| | | | |

## Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

| 1st segment: | 2nd segment: | 3rd segment: | |
|---|---|---|---|
| 4.94 % | 6.15 % | 6.76 % | ☐ N/A, full yield curve used |

b Applicable month (enter code) — 21b: 4

22 Weighted average retirement age — 22: 61

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

## Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No

25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No

26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment — 27:

## Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years — 28: 0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) — 29: 0

30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29) — 30: 0

## Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6) — 31a: 93,028

b Excess assets, if applicable, but not greater than line 31a — 31b: 93,028

32 Amortization installments:

| | Outstanding Balance | Installment |
|---|---|---|
| a Net shortfall amortization installment | 0 | 0 |
| b Waiver amortization installment | 0 | 0 |

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount — 33:

34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) — 34: 0

| | Carryover balance | Prefunding balance | Total balance |
|---|---|---|---|
| 35 Balances elected for use to offset funding requirement | 0 | 0 | 0 |

36 Additional cash requirement (line 34 minus line 35) — 36: 0

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) — 37: 0

38 Present value of excess contributions for current year (see instructions)

a Total (excess, if any, of line 37 over line 36) — 38a: 0

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances — 38b: 0

39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) — 39: 0

40 Unpaid minimum required contributions for all years — 40: 0

## Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☒ 2 plus 7 years ☐ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☒ 2010 ☒ 2011

42 Amount of acceleration adjustment — 42:

43 Excess installment acceleration amount to be carried over to future plan years — 43:

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, Part V—Statement of Actuarial Assumptions/Methods

| | |
|---|---|
| Interest Rates for Minimum Funding Purposes | Based on segment rates with a four-month lookback (as of September 2012), each adjusted as needed to fall within the 25-year average interest rate corridor under MAP-21 |
| 1st Segment Rate | 4.94% |
| 2nd Segment Rate | 6.15% |
| 3rd Segment Rate | 6.76% |
| Interest Rates for Maximum Tax Purposes | Based on segment rates with a four-month lookback (as of September 2012), without regard to the MAP-21 interest rate corridor |
| 1st Segment Rate | 1.75% |
| 2nd Segment Rate | 4.62% |
| 3rd Segment Rate | 5.72% |
| Salary Increases | |
| Minimum Funding Target Normal Cost | 4% |
| Maximum Tax Expected Benefit Increase | 4% |
| Retirement Age | |
| Active Participants | See Table 1 |
| Terminated Vested Participants | Age 65 |
| Mortality Rates | |
| Healthy and Disabled | 2013 static mortality table for annuitants and non-annuitants per §1.430(h)(3)-1(e) |
| Withdrawal Rates | See Table 2 |
| Disability Rates | See Table 3 |
| Decrement Timing | Beginning of year decrements |
| Surviving Spouse Benefit | It is assumed that 80% of males and 70% of females have an eligible spouse, and that males are three years older than their spouses. |
| Benefit and Compensation Limits | Projected benefits and compensation are limited by the current IRC section 415 maximum benefit of )00 and the IRC section 401(a)(17) |

| | |
|---|---|
| | compensation limit of $255,000. |
| Valuation of Plan Assets | Smoothed fair market value of assets over the current and prior two years, adjusted for contributions, benefit payments, administrative expenses, and expected earnings. The average value of assets calculated in this manner is further limited to not less than 90% nor more than 110% of fair market value.<br><br>A characteristic of this method is that the expected distribution of the value of plan assets is skewed toward understatement relative to the corresponding market values for expected long-term rates of return in excess of the third segment rate under IRC section 430(h)(2)(C)(iii). |
| Expected Return on Assets | |
| 2011 Plan Year | 8.00%, limited to 6.57% |
| 2012 Plan Year | 8.00%, limited to 7.52% |
| Trust Expenses Included in Target Normal Cost | assumed to equal prior year's administrative expense |
| Actuarial Method | Standard unit credit cost method |
| Valuation Date | January 1, 2013 |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

Table 1

**Retirement Rates**

| Age | Rate | Age | Rate |
|---|---|---|---|
| 15 | 0.00% | 45 | 0.00% |
| 16 | 0.00% | 46 | 0.00% |
| 17 | 0.00% | 47 | 0.00% |
| 18 | 0.00% | 48 | 0.00% |
| 19 | 0.00% | 49 | 0.00% |
| 20 | 0.00% | 50 | 0.00% |
| 21 | 0.00% | 51 | 0.00% |
| 22 | 0.00% | 52 | 0.00% |
| 23 | 0.00% | 53 | 0.00% |
| 24 | 0.00% | 54 | 0.00% |
| 25 | 0.00% | 55 | 10.00% |
| 26 | 0.00% | 56 | 3.00% |
| 27 | 0.00% | 57 | 3.00% |
| 28 | 0.00% | 58 | 5.00% |
| 29 | 0.00% | 59 | 5.00% |
| 30 | 0.00% | 60 | 10.00% |
| 31 | 0.00% | 61 | 15.00% |
| 32 | 0.00% | 62 | 35.00% |
| 33 | 0.00% | 63 | 25.00% |
| 34 | 0.00% | 64 | 25.00% |
| 35 | 0.00% | 65 | 50.00% |
| 36 | 0.00% | 66 | 50.00% |
| 37 | 0.00% | 67 | 100.00% |
| 38 | 0.00% | 68 | 100.00% |
| 39 | 0.00% | 69 | 100.00% |
| 40 | 0.00% | 70 | 100.00% |
| 41 | 0.00% | 71 | 100.00% |
| 42 | 0.00% | 72 | 100.00% |
| 43 | 0.00% | 73 | 100.00% |
| 44 | 0.00% | 74 | 100.00% |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

Table 2

**Withdrawal Rates**

| Age | Male | Female | Age | Male | Female |
|---|---|---|---|---|---|
| 15 | 0.00% | 0.00% | 45 | 2.00% | 5.00% |
| 16 | 0.00% | 0.00% | 46 | 1.80% | 4.60% |
| 17 | 0.00% | 0.00% | 47 | 1.60% | 4.20% |
| 18 | 0.00% | 0.00% | 48 | 1.40% | 3.80% |
| 19 | 0.00% | 0.00% | 49 | 1.20% | 3.40% |
| 20 | 15.00% | 38.00% | 50 | 1.00% | 3.00% |
| 21 | 14.00% | 34.90% | 51 | 0.80% | 2.60% |
| 22 | 13.00% | 31.80% | 52 | 0.60% | 2.20% |
| 23 | 12.00% | 28.70% | 53 | 0.40% | 1.80% |
| 24 | 11.00% | 25.60% | 54 | 0.20% | 1.40% |
| 25 | 10.00% | 22.50% | 55 | 0.00% | 1.00% |
| 26 | 9.40% | 21.00% | 56 | 0.00% | 0.80% |
| 27 | 8.80% | 19.50% | 57 | 0.00% | 0.60% |
| 28 | 8.20% | 18.00% | 58 | 0.00% | 0.40% |
| 29 | 7.60% | 16.50% | 59 | 0.00% | 0.20% |
| 30 | 7.00% | 15.00% | 60 | 0.00% | 0.00% |
| 31 | 6.60% | 14.20% | 61 | 0.00% | 0.00% |
| 32 | 6.20% | 13.40% | 62 | 0.00% | 0.00% |
| 33 | 5.80% | 12.60% | 63 | 0.00% | 0.00% |
| 34 | 5.40% | 11.80% | 64 | 0.00% | 0.00% |
| 35 | 5.00% | 11.00% | 65 | 0.00% | 0.00% |
| 36 | 4.60% | 10.30% | 66 | 0.00% | 0.00% |
| 37 | 4.20% | 9.60% | 67 | 0.00% | 0.00% |
| 38 | 3.80% | 8.90% | 68 | 0.00% | 0.00% |
| 39 | 3.40% | 8.20% | 69 | 0.00% | 0.00% |
| 40 | 3.00% | 7.50% | 70 | 0.00% | 0.00% |
| 41 | 2.80% | 7.00% | 71 | 0.00% | 0.00% |
| 42 | 2.60% | 6.50% | 72 | 0.00% | 0.00% |
| 43 | 2.40% | 6.00% | 73 | 0.00% | 0.00% |
| 44 | 2.20% | 5.50% | 74 | 0.00% | 0.00% |

Table 3

**Disability Rates**

| Age | Male | Female | Age | Male | Female |
|---|---|---|---|---|---|
| 15 | 0.0000% | 0.0000% | 45 | 0.1692% | 0.1530% |
| 16 | 0.0000% | 0.0000% | 46 | 0.1836% | 0.1650% |
| 17 | 0.0000% | 0.0000% | 47 | 0.1980% | 0.1770% |
| 18 | 0.0000% | 0.0000% | 48 | 0.2256% | 0.1992% |
| 19 | 0.0000% | 0.0000% | 49 | 0.2532% | 0.2214% |
| 20 | 0.0287% | 0.0112% | 50 | 0.2808% | 0.2436% |
| 21 | 0.0308% | 0.0129% | 51 | 0.3084% | 0.2658% |
| 22 | 0.0330% | 0.0150% | 52 | 0.3360% | 0.2880% |
| 23 | 0.0354% | 0.0174% | 53 | 0.3888% | 0.3258% |
| 24 | 0.0378% | 0.0198% | 54 | 0.4416% | 0.3636% |
| 25 | 0.0402% | 0.0222% | 55 | 0.4944% | 0.4014% |
| 26 | 0.0426% | 0.0246% | 56 | 0.5472% | 0.4392% |
| 27 | 0.0450% | 0.0270% | 57 | 0.6000% | 0.4770% |
| 28 | 0.0480% | 0.0318% | 58 | 0.6456% | 0.4806% |
| 29 | 0.0510% | 0.0366% | 59 | 0.6912% | 0.4842% |
| 30 | 0.0540% | 0.0414% | 60 | 0.7368% | 0.4878% |
| 31 | 0.0570% | 0.0462% | 61 | 0.7824% | 0.4914% |
| 32 | 0.0600% | 0.0510% | 62 | 0.8280% | 0.4950% |
| 33 | 0.0660% | 0.0564% | 63 | 0.8763% | 0.4986% |
| 34 | 0.0720% | 0.0618% | 64 | 0.9273% | 0.5023% |
| 35 | 0.0780% | 0.0672% | 65 | 0.0000% | 0.0000% |
| 36 | 0.0840% | 0.0726% | 66 | 0.0000% | 0.0000% |
| 37 | 0.0900% | 0.0780% | 67 | 0.0000% | 0.0000% |
| 38 | 0.0972% | 0.0858% | 68 | 0.0000% | 0.0000% |
| 39 | 0.1044% | 0.0936% | 69 | 0.0000% | 0.0000% |
| 40 | 0.1116% | 0.1014% | 70 | 0.0000% | 0.0000% |
| 41 | 0.1188% | 0.1092% | 71 | 0.0000% | 0.0000% |
| 42 | 0.1260% | 0.1170% | 72 | 0.0000% | 0.0000% |
| 43 | 0.1404% | 0.1290% | 73 | 0.0000% | 0.0000% |
| 44 | 0.1548% | 0.1410% | 74 | 0.0000% | 0.0000% |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, line 22—Description of Weighted Average Retirement Age

The average retirement age shown in line 22 has been calculated by assuming the following retirement rates and no decrements other than retirement for this calculation. All retirements are assumed to occur at beginning of year.

| (a) Age | (b) Rate | (c) Weight | (d) Product (a) × (b) × (c) |
|---|---|---|---|
| 55 | 10.00% | 1.0000 | 5.50 |
| 56 | 3.00% | 0.9000 | 1.51 |
| 57 | 3.00% | 0.8730 | 1.49 |
| 58 | 5.00% | 0.8468 | 2.46 |
| 59 | 5.00% | 0.8045 | 2.37 |
| 60 | 10.00% | 0.7642 | 4.59 |
| 61 | 15.00% | 0.6878 | 6.29 |
| 62 | 35.00% | 0.5846 | 12.69 |
| 63 | 25.00% | 0.3800 | 5.99 |
| 64 | 25.00% | 0.2850 | 4.56 |
| 65 | 50.00% | 0.2138 | 6.95 |
| 66 | 100.00% | 0.1069 | 7.05 |
| | | Weighted Average | 61.45 |

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, Part V—Summary of Plan Provisions

| | |
|---|---|
| General Information | |
| Original Effective Date | January 1, 1964 as amended to date; last amended effective August 27, 2012. |
| Plan Termination Date | April 1, 2013 |
| Eligibility | All of the employees of the hospital are covered employees with the exception of leased employees, interns, residents, student personnel, and employees of Surgical Associates of Marquette, P.C. Employees participate on the January 1 of the plan year in which they complete 1,000 hours of service or, if earlier, the January 1 following their date of hire if they complete 1,000 hours of service in the first 12 months of employment. Effective January 1, 2006, non-union employees hired after December 31, 2005 and union employees hired after July 1, 2006 are excluded. Effective January 1, 2006, non-union employees who were rehired after August 1, 2008 are excluded. Eligible employees who participated in a merged plan participate on the merger date. Effective January 1, 2010, union employees who were rehired on or after November 1, 2010 are excluded. |
| Service | An employee receives one year of service for each plan year in which he has 1,000 or more hours of service on or after January 1, 1976. Prior to January 1, 1976, years of service shall be credited at the rate of one year of service for each 12 consecutive month period in which the individual was credited with at least one hour of service. |
| Credited Service | An employee receives one year of credited service for each plan year in which he has 1,995 or more hours of service. Proportionate credit is given for 1,000 to 1,995 hours of service. Service with St. Mary's Hospital prior to July 1, 1973 is also included. Employees who are not contributing to the retirement plan after November 1, 2010, do not receive credited service for hours worked after November 1, 2010. |
| Annual Compensation | Base rate of pay on January 1 of the plan year times 2080 (capped at $205,000 on and after January 1, 2005). |

| | |
|---|---|
| Final Average Annual Compensation | The average of the employee's annual compensation during the highest five consecutive calendar years. |
| Normal Retirement Benefit<br>Prior to November 1, 2010 | Upon the attainment of age 65. |
| Union Employees | A participant who terminates employment with the employer for any reason on or after January 1, 2001, shall be eligible for a monthly normal retirement benefit equal to one-twelfth (1/12th) of the greater of (i), (ii), or (iii) below.<br><br>(i) If the participant was born before 1938, 1.7% of his or her final average compensation up to covered compensation for each year of credited service (up to a maximum of 30 such years) plus 2.5% of final average compensation in excess of covered compensation for each year of credited service (up to a maximum of 30 such years). If the participant was born on or after January 1, 1938 but before January 1, 1955, the 1.7% is replaced with 1.7736%. If the participant was born on or after January 1, 1955, the 1.7% is replaced with 1.8333%.<br><br>(ii) The participant's accrued benefit determined as of December 31, 1999.<br><br>(iii) For a participant whose final average compensation is in excess of covered compensation and whose accrued benefit would otherwise decrease due to a change in covered compensation, his or her accrued benefit as of the last day of any preceding plan year which falls on or after December 31, 1999. |
| Non-Union Employees | A participant who terminates employment with the employer for any reason on or after January 1, 2001, shall be eligible for a monthly normal retirement benefit equal to one-twelfth (1/12th) of the greater of (i), (ii), or (iii) below. |

(i) The sum of a participant's accrued benefit as of January 1, 2008 and any subsequent annual benefit accruals according to the following:

(a) If the participant was born before 1938, 1.7% of his or her compensation up to covered compensation plus 2.5% of compensation in excess of covered compensation.

(b) If the participant was born on or after January 1, 1938 but before January 1, 1955, the 1.7% is replaced with 1.7736%.

(c) If the participant was born on or after January 1, 1955, the 1.7% is replaced with 1.8333%.

(ii) The participant's accrued benefit determined as of December 31, 1999.

(iii) For a participant whose final average compensation is in excess of covered compensation and whose accrued benefit would otherwise decrease due to a change in covered compensation, his or her accrued benefit as of the last day of any preceding plan year which falls on or after December 31, 1999.

Effective January 1, 2006, a participant may make an irrevocable election to waive future benefit accruals under the plan in exchange for increased company allocations under a defined contribution plan.

Effective November 1, 2010

All union employees and non-union employee that have attained age 60 with 25 years of vesting service who elect to make employee mandatory contributions to the retirement plan (4% for non-union participants, 6% for union participants), their normal retirement benefit remains the same as described above.

For all other participants, their accrued benefits under the retirement plan (described above) are frozen as November 1, 2010.

| | |
|---|---|
| Early Retirement Benefit | Upon the attainment of age 55 with 10 years of service:<br><br>A participant who terminates employment with the employer for any reason on or after January 1, 2001, and who is eligible for an early retirement benefit hereunder shall be entitled to a monthly single life pension equal to the participant's accrued benefit reduced by 1/3 of 1% for each month during which early retirement benefit payments are made before the normal retirement date. |
| Disability Retirement Benefit | Age 40 with 10 years of service and eligible for a disability benefit under the Federal Social Security Act. Accrued normal retirement benefit is payable without reduction. |
| Vesting | Five years of service: Normal retirement formula in effect at date of termination deferred to age 65 or later, or reduced as for early retirement. Effective January 1, 2010, all participants who are active as of November 1, 2010 are fully vested. |
| Employee Mandatory Contribution Benefit | The normal retirement benefit equal to the total of the employee mandatory contributions and all interest calculated per Section 14.10D of the Plan document. |
| Spouse Benefit for Preretirement Death | Death benefit attributable to employer contributions:<br><br>A monthly benefit payable to the spouse of a vested employee in the amount of 50% of the employee's vested accrued normal retirement benefit attributable to employer contributions, reduced for commencement prior to normal retirement date and the joint and survivor option. The benefit is payable on the first day of the month following the later of the employee's death or attainment of age 55 if eligible for early retirement.<br><br>Death benefit attributable to employee mandatory contributions:<br><br>A lump sum payable to the spouse of a vested employee in the amount equal to the present value of the employee mandatory contribution benefit determined as of the participant's date of death payable immediately. |

Payment Options

- Automatic joint and survivor benefit: Reduced benefit payable to retiree with 50% of such reduced benefit payable to surviving spouse (adjusted for age of spouse). Automatic for those married at retirement unless spouse waives rights.
- Life only.
- Lifetime benefit with 120 payments certain.
- Lifetime benefit with 50%, 75% or 100% payable to a contingent annuitant upon the retiree's death with certain restrictions.

The total amount that is paid on behalf of a participant in any of the payment forms that are offered shall never be less than the employee mandatory contribution benefit determined as of the participant's annuity starting date. The entire amount of each monthly benefit payment will be treated as a payment of the employee mandatory contribution benefit until the total amount that has been paid to or for the benefit of the participant is equal to the participant's employee mandatory contribution benefit.

## Other Information to Fully and Fairly Disclose the Actuarial Position of the Plan

Due to software limitations with the electronic filing process, information filed electronically cannot be controlled by the Enrolled Actuary. The values on the signed Schedule SB will govern to the extent there are any differences in the entries filed electronically and the actual data contained on the signed Schedule SB.

# Schedule SB Attachment (Form 5500)—2013 Plan Year
# Marquette General Hospital, Inc. Retirement Plan
# EIN: 38-1358036 PN: 001

## Schedule SB, line 26—Schedule of Active Participant Data as of January 1, 2013

Number of Participants and Average Compensation

| Attained Age | Years of Credited Service | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | <1 | 1-4 | 5-9 | 10-14 | 15-19 | 20-24 | 25-29 | 30-34 | 35-39 | 40+ |
| <25 | | | | | | | | | | |
| 25-29 | | 2 | 2 | | | | | | | |
| 30-34 | | 2 | 9 | 2 | | | | | | |
| 35-39 | | 3 | 11 | 5 | | | | | | |
| 40-44 | | 2 | 9 | 9 | 6 | 2 | | | | |
| 45-49 | | | 8 | 10 | 7 | 8 | 3 | | | |
| 50-54 | | | 6 | 11 | 16 | 25<br>$66,089 | 6 | 2 | | |
| 55-59 | | 1 | 6 | 1 | 13 | 10 | 2 | 1 | | |
| 60-64 | | | 5 | 4 | 3 | 1 | 2 | 3 | 2 | |
| 65-69 | | | 1 | | | | | | | |
| 70+ | | | | | | | | | | |

N-221