## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

| Pension E  | Benefit Guaranty Corporation                          | ▶ Complete all entries in acc          | cordance with the instru       | ctions to the Form 5500-              | SF.   | .,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |  |  |
|--|---|--|--------------------------------|---------------------------------------|---|---|--|--|
| Part I   | Annual Report   | Identification Information             |                                |                                       |   |   |  |  |
| For calend   | dar plan year 2013 or fis                             | scal plan year beginning 01/01/2       | 2013                           | and ending 12/                        | /31/2013  |   |  |  |
| <b>A</b> This re   | A This return/report is for:                          |  |                                |                                       |   |   |  |  |
| <b>B</b> This re   | eturn/report is:                                      | the first return/report                | the final return/report        |                                       |   |   |  |  |
|  |   | an amended return/report               | a short plan year retur        | rn/report (less than 12 mon           | nths)   |   |  |  |
| C Check  | box if filing under:                                  | X Form 5558                            | automatic extension            |                                       | DFVC progra   | am                                      |  |  |
|  | T   | special extension (enter descri        | ·                              |                                       |   |   |  |  |
| Part II  |   | rmation—enter all requested info       | ormation                       |                                       |   | Τ                                       |  |  |
| 1a Name  |   |  |                                |                                       | <b>1b</b> Three-digit                                   |   |  |  |
| SATORI SC  | OFTWARE, INC. 401(K)                                  | PLAN AND TRUST                         |                                |                                       | plan number   | 001                                     |  |  |
|  |   |  |                                | <del> </del>                          | (PN)  |   |  |  |
|  |   |  |                                |                                       | 1c Effective date o                                     |   |  |  |
| 22 Plan (  | nancar'a nama and ad                                  | drage: include room or quite numbe     | or (omployer if for a single   | omployer plan)                        | 01/01/2009  |   |  |  |
|  | OFTWARE, INC.   | dress; include room or suite numbe     | i (employer, ir for a single   | -employer plan)                       | <b>2b</b> Employer Identification Numb (EIN) 80-0467424 |   |  |  |
|  |   |  |                                | :                                     | 2c Sponsor's telep                                      |   |  |  |
| 1301 FIFTH<br>SEATTLE,   | HAVENUE SUITE 2200<br>WA 98101                        | 1                                      |                                | -                                     | 206-357-2900 <b>2d</b> Business code (see instruction   |   |  |  |
|  |   |  |                                |                                       | 54151   |   |  |  |
| 3a Plan a  | administrator's name ar                               | nd address XSame as Plan Sponso        | or Name Same as Pla            | n Sponsor Address                     | <b>3b</b> Administrator's                               | EIN                                     |  |  |
|  |   |  |                                | ;                                     | 3c Administrator's                                      | telephone number                        |  |  |
|  |   |  |                                |                                       |   |   |  |  |
|  |   |  |                                |                                       |   |   |  |  |
|  |   |  |                                |                                       |   |   |  |  |
| 4 If the   | name and/or EIN of the                                | e plan sponsor has changed since the   | the last return/report filed f | or this plan, optor the               | 4h FIN  |   |  |  |
|  |   | mber from the last return/report.      | ne iast return/report nieu i   | or this plan, enter the               | <b>4b</b> EIN   |   |  |  |
|  | sor's name  |  |                                | 4                                     | 4c PN   |   |  |  |
| <b>5a</b> Total  | number of participants                                | at the beginning of the plan year      |                                |                                       | 5a  | 91                                      |  |  |
| <b>b</b> Total number of participants at the end of the plan year  |   |  |                                | <u> </u>                              | 5b  | 110                                     |  |  |
|  |   | account balances as of the end of the  | ' '                            | '                                     | 5c  | 85                                      |  |  |
|  | •   | s during the plan year invested in el  |                                | <b>.</b>                              | <b>.</b>  | X Yes No                                |  |  |
| <b>b</b> Are y   | ou claiming a waiver of                               | the annual examination and report      | of an independent qualific     | ed public accountant (IQPA            | A)  |   |  |  |
|  |   | ? (See instructions on waiver eligibil |                                |                                       |   | X Yes   No                              |  |  |
| If you   | u answered "No" to ei                                 | ther line 6a or line 6b, the plan ca   | annot use Form 5500-SF         | and must instead use Fo               | orm 5500.   |   |  |  |
| C If the   | plan is a defined benef                               | it plan, is it covered under the PBG   | C insurance program (see       | ERISA section 4021)?                  | Yes No  | Not determined                          |  |  |
| Coution  | A manalty for the late                                | er incomplete filipe of this veture    | lean art will be accessed      | unless ressentible source             | e is setablished  |   |  |  |
|  |   | or incomplete filing of this return    |                                |                                       |   | able a Cabadula                         |  |  |
| Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. |   |  |                                |                                       |   |   |  |  |
| SIGN   | Filed with authorized/                                | valid electronic signature.            | 10/13/2014                     | MATTHEW BRANTLEY                      |   |   |  |  |
| HERE   | Signature of plan administrator Date Enter name of ir |  | Enter name of individua        | ividual signing as plan administrator |   |   |  |  |
| SIGN   |   |  |                                |                                       |   |   |  |  |
| HERE   | Signature of emplo                                    | ver/nlan snonsor                       | Date                           | Enter name of individua               | al cigning ac employe                                   | or or plan enoneor                      |  |  |
|  |   |  |                                | or plant sponsor                      |   |   |  |  |
|  | s name (including firm n                              | ame, if applicable) and address; inc   | clude room or suite number     | er (optional)                         | Preparer's telephone                                    | number (optional)                       |  |  |
| roparor  | aname (including firm n                               | ame, if applicable) and address; ind   | clude room or suite numbe      | er (optional) F                       | Preparer's telephone                                    | number (optional)                       |  |  |

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| Pa  | rt III   Financial Information  |             |                                |         |                            |          |                 |        |     |      |
|---|---|-------------|--------------------------------|---------|----------------------------|----------|-----------------|--------|-----|------|
| 7   | Plan Assets and Liabilities   |             | (a) Beginning of Yea           |         |                            |          | (b) End o       | f Voor |     |      |
|   | Total plan assets   | 7a          | (a) Beginning of Tea           |         | (b) End of Year<br>4802071 |          |                 |        |     |      |
|   | Total plan liabilities  | 7a<br>7b    | 02020                          |         |                            |          |                 | 10020  |     |      |
|   |   | 76<br>7c    | 320294                         | 3       |                            |          |                 | 48020  | 71  |      |
|   | C Net plan assets (subtract line 7b from line 7a)   |             |                                |         |                            |          |                 |        |     |      |
|   | Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:   |             | (a) Amount                     |         |                            |          | (b) To          | lai    |     |      |
|   | (1) Employers   | 8a(1)       | 31273                          | 6       |                            |          |                 |        |     |      |
|   | (2) Participants  | 8a(2)       | 69921                          | 9       |                            |          |                 |        |     |      |
|   | (3) Others (including rollovers)  | 8a(3)       | 15068                          | 33      |                            |          |                 |        |     |      |
| b   | Other income (loss)   | 8b          | 81337                          | 8       |                            |          |                 |        |     |      |
| С   | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  | 8c          |                                |         |                            |          |                 | 197601 | 6   |      |
| d   | Benefits paid (including direct rollovers and insurance premiums to provide benefits)   | 8d          | 37688                          | 8       |                            |          |                 |        |     |      |
| е   | Certain deemed and/or corrective distributions (see instructions)   | 8e          |                                |         |                            |          |                 |        |     |      |
| f   | Administrative service providers (salaries, fees, commissions)  | 8f          |                                |         |                            |          |                 |        |     |      |
| g   | Other expenses  | 8g          |                                |         |                            |          |                 |        |     |      |
| h   | Total expenses (add lines 8d, 8e, 8f, and 8g)   | 8h          |                                |         |                            |          |                 | 3768   | 38  |      |
| i   | Net income (loss) (subtract line 8h from line 8c)   | 8i          |                                |         |                            |          |                 | 15991  | 28  |      |
| j   | Transfers to (from) the plan (see instructions)   | 8j          |                                |         |                            |          |                 |        |     |      |
| Pai   | t IV Plan Characteristics   |             |                                |         | •                          |          |                 |        |     |      |
| 9a  | If the plan provides pension benefits, enter the applicable pension 2F 2G 2J 2K 2R 3D   | feature co  | des from the List of Plan Char | acteris | stic Co                    | des in   | the instruction | ons:   |     |      |
| b   | If the plan provides welfare benefits, enter the applicable welfare fe  | eature cod  | es from the List of Plan Chara | cterist | ic Cod                     | les in t | he instructio   | ns:    |     |      |
| Par   | V Compliance Questions  |             |                                |         |                            |          |                 |        |     |      |
| 10  | During the plan year:   |             |                                |         | Yes                        | No       | Ι,              | mount  |     |      |
| a   |   |             |                                | 10a     |                            | X        | <u> </u>        | unount |     |      |
| b   | Were there any nonexempt transactions with any party-in-interest on line 10a.)  | ? (Do not i | include transactions reported  | 10b     |                            | X        |                 |        |     |      |
|   |   |             |                                |         | X                          |          |                 |        | F0( | 0000 |
|   |   |             |                                | 10c     |                            |          |                 |        | 500 | 0000 |
| d   | or dishonesty?  |             |                                | 10d     |                            | X        |                 |        |     |      |
| е   | Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all                 |             |                                |         |                            |          |                 |        |     |      |
|   | instructions.)  |             | . ,                            | 10e     |                            | X        |                 |        |     |      |
| f   | Has the plan failed to provide any benefit when due under the plan?   |             |                                | 10f     |                            | X        |                 |        |     |      |
| g   | Did the plan have any participant loans? (If "Yes," enter amount as of year end.)   |             |                                | 10g     | X                          |          |                 |        | 82  | 2029 |
| h   | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)                                       |             |                                | 10h     |                            | X        |                 |        |     |      |
| i   | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10                 | ne required | d notice or one of the         | 10i     |                            |          |                 |        |     |      |
| Pari  |   |             |                                |         |                            |          |                 |        |     |      |
| 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form   |   |             |                                |         |                            |          |                 |        |     |      |
|   |   |             |                                |         |                            |          |                 |        |     |      |
|   | 11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39  |             |                                |         |                            |          |                 |        |     |      |
| 12  | 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?     Yes   No |             |                                |         |                            |          |                 |        |     |      |
|   | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)   |             |                                |         |                            |          |                 |        |     |      |
| <b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver |   |             |                                |         |                            |          |                 |        |     |      |
|   | you completed line 12a, complete lines 3, 9, and 10 of Schedule   | •           |                                |         | <u> </u>                   | 401      | I               |        |     |      |
| b   | Enter the minimum required contribution for this plan year  |             |                                |         |                            | 12b      | I               |        |     |      |

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|------|-----|---|
|------|-----|---|

| С   | Enter the amount contributed by the employer to the plan for this plan year   | 12c      |                 |                     |  |  |
|---|---|----------|-----------------|---------------------|--|--|
| d   | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d      |                 |                     |  |  |
| е   | Will the minimum funding amount reported on line 12d be met by the funding deadline?  |          | Yes             | No N/A              |  |  |
| Part  | VII Plan Terminations and Transfers of Assets   |          |                 |                     |  |  |
| 13a   | Has a resolution to terminate the plan been adopted in any plan year?   | Y        | es X No         |                     |  |  |
|   | If "Yes," enter the amount of any plan assets that reverted to the employer this year   | 13a      |                 |                     |  |  |
| <b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?  |   |          |                 | Yes X No            |  |  |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) |   |          |                 |                     |  |  |
| 1   | <b>3c(1)</b> Name of plan(s):   | c(2) Ell | V(s)            | <b>13c(3)</b> PN(s) |  |  |
|   |   |          |                 |                     |  |  |
|   |   |          |                 |                     |  |  |
| Part  | VIII Trust Information (optional)   |          |                 |                     |  |  |
| 14a Name of trust   |   |          | 14b Trust's EIN |                     |  |  |
|   |   |          |                 |                     |  |  |
|   |   |          |                 |                     |  |  |
|   |   |          |                 |                     |  |  |