

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2013</div> <div style="text-align: center; font-weight: bold;">This Form is Open to Public Inspection</div>
---	---	---

Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information		
1a Name of plan <u>ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST</u>	1b Three-digit plan number (PN) ▶	<u>001</u>	
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>ASSOCIATED UROLOGICAL</u> <u>7530 WEST COLLEGE DRIVE</u> <u>PALOS HEIGHTS, IL 60463</u>	1c Effective date of plan <u>01/01/2005</u>		
	2b Employer Identification Number (EIN) <u>20-2136282</u>		
	2c Sponsor's telephone number <u>708-422-2242</u>		
	2d Business code (see instructions) <u>621111</u>		

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2014	DANIEL E. DAVIES
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN	
5 Total number of participants at the beginning of the plan year		5	182
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).			
a Active participants		6a	138
b Retired or separated participants receiving benefits		6b	0
c Other retired or separated participants entitled to future benefits		6c	42
d Subtotal. Add lines 6a , 6b , and 6c		6d	180
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e	0
f Total. Add lines 6d and 6e		6f	180
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	163
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	10
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2A 2E 2F 2G 2J 2T 3D			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 ASSOCIATED UROLOGICAL	D Employer Identification Number (EIN) 20-2136282

Part I	Service Provider Information (see instructions)
---------------	--

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
ALLIANCEBERNSTEIN L.P.
13-4064930

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
ALLIANZ GLOBAL INVESTORS DISTRIBUTO 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
AMERICAN FUNDS DISTRIBUTORS, INC.
95-2769620

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
BLACKROCK INVESTMENTS, INC.
23-2784752

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GOLDMAN SACHS

71 SOUTH WACKER DRIVE
SUITE 500
CHICAGO, IL 60606

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HARRIS ASSOCIATES SECURITIES L.P.

2 N LA SALLE ST
ST #500
CHICAGO, IL 60602

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

INVESCO DISTRIBUTORS, INC.

74-1894784

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

J.P. MORGAN INVESTMENT MANAGEMENT I

13-3200244

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

JANUS DISTRIBUTORS, LLC

151 DETROIT STREET
DENVER, CO 80206

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

LORD ABBETT DISTRIBUTOR, LLC

90 HUDSON STREET
JERSEY CITY, NJ 07032

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MBSC SECURITIES CORPORATION, DISTRI

ONE BOSTON PLACE
24TH FLOOR
BOSTON, MA 02108

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MFS FUND DISTRIBUTORS, INC.

04-2747644

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

NATIXIS DISTRIBUTORS, L.P.

399 BOYLSTON STREET
BOSTON, MA 02116

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

OPPENHEIMER FUNDS INC.

13-2527171

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PHOENIX - VIRTUS

56 PROSPECT STREET
PO BOX 150480
HARTFORD, CT 06115

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PRUDENTIAL INVESTMENTS LLC

22-3468527

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MERRILL LYNCH PIERCE FENNER & SMITH

13-5674085

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
52 59 60 63	INVESTMENT ADVISOR	0	Yes ☒ No ☐	Yes ☐ No ☒	53785	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

ADP

22-1467904

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 50 15 64	RECORD KEEPER	1520	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

ADP BROKER-DEALER

22-3319984

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49 99	RECORD KEEPER	0	Yes ☒ No ☐	Yes ☐ No ☒	0	Yes ☒ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
MERRILL LYNCH PIERCE FENNER & SMITH	52 59 60 63	53785
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
UNAVAILABLE UNAVAILABLE UNAVAILABLE, CA 91367	INVESTMENT MANAGEMENT FEES PAID INDIRECTLY BY PLAN SHAREHOLDER SERVICING FEES SUB-TRANSFER AGENCY FEES DISTRIBUTION (12B-1) FEES	
<hr/>		
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANCEBERNSTEIN L.P. 13-4064930	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
<hr/>		
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANZ GLOBAL INVESTORS DISTRIBUTO 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
AMERICAN FUNDS DISTRIBUTORS, INC. 95-2769620	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BLACKROCK INVESTMENTS, INC. 23-2784752	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
GOLDMAN SACHS 71 SOUTH WACKER DRIVE SUITE 500 CHICAGO, IL 60606	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARRIS ASSOCIATES SECURITIES L.P. 2 N LA SALLE ST ST #500 CHICAGO, IL 60602	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO DISTRIBUTORS, INC. 74-1894784	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
J.P. MORGAN INVESTMENT MANAGEMENT I 13-3200244	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS DISTRIBUTORS, LLC 151 DETROIT STREET DENVER, CO 80206	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
<hr/>		
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT DISTRIBUTOR, LLC 90 HUDSON STREET JERSEY CITY, NJ 07032	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
<hr/>		
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation		(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MBSC SECURITIES CORPORATION, DISTRI ONE BOSTON PLACE 24TH FLOOR BOSTON, MA 02108	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
MFS FUND DISTRIBUTORS, INC. 04-2747644	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
NATIXIS DISTRIBUTORS, L.P. 399 BOYLSTON STREET BOSTON, MA 02116	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
OPPENHEIMER FUNDS INC. 13-2527171	ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
ADP BROKER-DEALER	99	0
<p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>PHOENIX - VIRTUS 56 PROSPECT STREET PO BOX 150480 HARTFORD, CT 06115</p> <p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS</p>		
<p>(a) Enter service provider name as it appears on line 2</p> <p>ADP BROKER-DEALER</p>		
<p>(b) Service Codes (see instructions)</p> <p style="text-align: center;">99</p>		
<p>(c) Enter amount of indirect compensation</p> <p style="text-align: center;">0</p>		
<p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>PRUDENTIAL INVESTMENTS LLC</p> <p>22-3468527</p> <p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>ANNUAL RATE 0.00260 OF AVERAGE DAILY ASSETS-A SHRS ANNUAL RATE 0.00325 OF AVERAGE DAILY ASSETS-C SHRS ANNUAL RATE 0.00150 OF AVERAGE DAILY ASSETS-I SHRS ANNUAL RATE 0.00275 OF AVERAGE DAILY ASSETS-R SHRS</p>		
<p>(a) Enter service provider name as it appears on line 2</p>		
<p>(b) Service Codes (see instructions)</p>		
<p>(c) Enter amount of indirect compensation</p>		
<p>(d) Enter name and EIN (address) of source of indirect compensation</p>		
<p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p>		

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013	
A Name of plan ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 ASSOCIATED UROLOGICAL	D Employer Identification Number (EIN) 20-2136282

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)		
(2) Participant contributions.....	1b(2)		
(3) Other.....	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)	63359	68703
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	8146261	10741450
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	8209620	10810153

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	8209620	10810153
---	-----------	---------	----------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	680608	
(B) Participants	2a(1)(B)	559852	
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		1240460

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	2978	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		2978

(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	468648	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		468648

(3) Rents	2b(3)		
-----------------	--------------	--	--

(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0

(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		1032838
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total	2d		2744924

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	142726	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		142726
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		145
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	1520	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		1520
j Total expenses. Add all expense amounts in column (b) and enter total	2j		144391

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		2600533
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: JEFFREY W. KROL & ASSOCIATES LTD

(2) EIN: 36-3094368

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
e Was this plan covered by a fidelity bond?	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
---	--	--

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ASSOCIATED UROLOGICAL</u>	D Employer Identification Number (EIN) <u>20-2136282</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>57-1198022</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	6a		
b Enter the amount contributed by the employer to the plan for this plan year.....	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☐ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?.....	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST

FINANCIAL STATEMENTS

DECEMBER 31, 2013 AND 2012

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
TABLE OF CONTENTS

INDEPENDENT AUDITOR'S REPORT.....	1
FINANCIAL STATEMENTS	
Statement of Net Assets Available for Benefits - Modified Cash Basis.....	4
Statement of Changes in Net Assets Available for Benefits - Modified Cash Basis.....	5
Notes to Financial Statements.....	6
SUPPLEMENTARY INFORMATION AS REQUIRED BY ERISA AND THE DEPARTMENT OF LABOR	
Schedule of Assets (Held at End of Year).....	15

Jeffrey W. Krol & Associates, Ltd.

CERTIFIED PUBLIC ACCOUNTANTS

Presidents Plaza
8700 W. Bryn Mawr, Suite 810 North
Chicago, Illinois 60631
(773) 399-1390 • Fax (773) 399-1223
<http://www.jwkrol.com>

INDEPENDENT AUDITOR'S REPORT

To the Participants and Trustees of
Associated Urological 401(k) Profit Sharing Plan and Trust
Palos Heights, Illinois

Report on the Financial Statements

We have audited the accompanying financial statements of Associated Urological 401(k) Profit Sharing Plan and Trust, which comprise the statements of net assets available for benefits (modified cash basis) as of December 31, 2013 and 2012, and the related statements of changes in net assets available for benefits (modified cash basis) for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 1; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal controls relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan

administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Reliance Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2013 and 2012, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Other Matter

We were engaged for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of Schedule of Assets (Held at End of Year), which is the responsibility of plan management, is presented for the purpose of additional analysis and is not a required part of the financial statements but is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, it is inappropriate to and we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in

the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Jeffrey W. Krol & Associates, Ltd.
Jeffrey W. Krol & Associates, Ltd.

Chicago, Illinois
October 9, 2014

ASSOCIATED UROLOGICAL 401(k) PROFIT SHARING PLAN & TRUST
STATEMENT OF NET ASSETS AVAILABLE FOR BENEFITS
(MODIFIED CASH BASIS)
DECEMBER 31, 2013 AND 2012

ASSETS

	<u>2013</u>	<u>2012</u>
Investments at fair value:		
Mutual funds	\$ 10,741,450	\$ 8,146,261
Total investments at fair value	10,741,450	8,146,261
Receivables:		
Notes receivable from participants	72,271	66,782
Total receivables	72,271	66,782
 Total Assets	 <u>10,813,721</u>	 <u>8,213,043</u>
 Net Assets Available for Benefits	 <u>\$ 10,813,721</u>	 <u>\$ 8,213,043</u>

The Accompanying Notes Are an Integral Part of These Financial
Statements.

ASSOCIATED UROLOGICAL 401(k) PROFIT SHARING PLAN & TRUST
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
(MODIFIED CASH BASIS)
FOR THE YEAR ENDED DECEMBER 31, 2013

ADDITIONS

Additions to net assets attributed to:

Investment income:

Net appreciation in fair value of investments	\$ 1,032,838
Dividends	468,648
	<u>1,501,486</u>

Contributions:

Employer	680,608
Employee	559,852
	<u>1,240,460</u>

Other:

Interest income on participant loans	2,978
	<u>2,978</u>

Total Additions	<u>2,744,924</u>
-----------------	------------------

DEDUCTIONS

Deductions from net assets attributed to:

Benefits paid to participants	142,726
Administrative expenses	1,520
	<u>144,246</u>

Total Deductions	<u>144,246</u>
------------------	----------------

Net Increase	2,600,678
--------------	-----------

Net Assets Available for Benefits:

Beginning of Year	<u>8,213,043</u>
-------------------	------------------

End of Year	<u><u>\$ 10,813,721</u></u>
-------------	-----------------------------

The Accompanying Notes Are an Integral Part of These Financial Statements.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES

The following description of Associated Urological 401(k) Profit Sharing Plan and Trust (the Plan) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General and Eligibility

The Plan was established in 2005 to allow Associated Urological Specialists, LLC (the Company) to share a portion of its profits with its employees, to promote within them the strongest interest in the successful operation of the business, to increase efficiency in their work, to assure them that they will share in the prosperity of the business, and to provide them with an opportunity for accumulation of funds for retirement. The Plan is a defined contribution plan covering all eligible employees of the Company who have at least six months of eligibility service and are age twenty one or older. Eligibility service is six months for elective deferrals and safe harbor contributions and one year for employer profit sharing. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Basis of Accounting

The financial statements of the Plan are prepared under the modified cash basis of accounting which is a comprehensive basis of accounting other than generally accepted accounting principles.

Use of Estimates

The preparation of financial statements in conformity with the modified cash basis of accounting requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

Contributions

Each year, participants may contribute pre-tax compensation up to the lesser of the Federal Statutory limit or 90% of the participant's annual compensation, subject to certain limitations, as defined in the Plan. Participants may also contribute amounts representing distributions from other qualified plans (rollovers). The Plan does not permit nondeductible contributions by participants.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES
(continued)

Contributions (continued)

The Company is required to make safe harbor contributions of 3% of all eligible employees' compensation on an annual basis.

Each year, the Company may contribute a discretionary profit sharing contribution to participants. These contributions are subject to legal, Plan, and compensation limitations. Furthermore, generally a participant must be employed on the last day of the year to receive a contribution.

Payment of Benefits

On retirement or termination of service, a participant may elect to receive a lump-sum, partial payment, installment payments or an annuity contract in an amount equal to the value of his or her account. Benefits are recorded when paid.

Vesting

Participants are immediately 100% vested in their elective deferrals. Vesting for employer contributions is based on years of continuous service. A participant is 100% vested after six years of credited service.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 2 for discussion of fair value measurements.

Transactions are recorded at trade date. Dividends are recorded on the ex-dividend date. Interest is recorded on the accrual basis. Realized gains and losses are computed either by the average value or specific identification of the securities sold.

Risk and Uncertainties

The Plan provides for various investment options in mutual funds. Investment securities are exposed to various risks such as interest rate, market, and credit. Due to the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in the various risk factors, in the near term, could materially affect the participant's account balances and the amounts reported in the financial statements.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES
(continued)

Participant Accounts

Each participant's accounts is credited with the participant's contribution and allocations of (a) the Company's contribution, (b) Plan earnings (losses), (c) and charged with an allocation of administrative expenses, if any. Allocations are based on participants' share of employer contributions or account balances as prescribed by the Plan. The benefit to which a participant is entitled is limited to the benefit that can be provided from the participant's vested account balance. The Plan allows participants to direct contributions to any of the provided investment alternatives.

Notes Receivables from Participants

Active participants may borrow from their accounts an amount equal to the lesser of \$50,000 or 50% of their vested account balance. Active participants can only have one outstanding loan at a time. The minimum amount a participant can borrow is \$1,000. Loans are secured by the balance in the participant's account and bear interest at a rate equal to the prime rate as published in the Wall Street Journal on the 14th day of each month plus one percent. The note interest rate will be determined on the date of the loan request and will not change for the duration of the loan repayment period. Principal and interest is paid ratably through bi-weekly payroll deductions. Delinquent participant notes are reclassified as distributions based upon the terms of the plan document.

Forfeited Accounts

At December 31, 2013 and 2012, forfeited nonvested accounts totaled \$13,234 and \$7,957, respectively. Forfeitures from participant's nonvested accounts may be used to reduce employer contributions or pay administrative fees.

Tax Status

The Plan adopted a Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement) which received a favorable opinion letter from the Internal Revenue Service (IRS) as of March 31, 2008 which stated that the Plan is designed in accordance with applicable sections of the Internal Revenue Code and that any employer adopting this form of the Plan will be considered to

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES
(continued)

Tax Status (continued)

have a plan qualified under Section 401(a) of the Code. Once qualified, the Plan is required to operate in conformity with the Internal Revenue Code ("IRC") to maintain its tax qualification. Although the Plan has been amended and restated since receiving the determination letter, the Plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC and, as a result, no provision for income taxes has been included in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2010. In 2013, the record-keeper reported to the plan that it failed to include eligible participants into the plan. The record-keeper is pursuing a correction through the Internal Revenue Service's Self Correction Program, and this matter is not expected to change the tax-qualified status of the plan. Therefore, it is believed that the Plan was qualified and was tax-exempt as of the financial statement date.

Date of Management's Review

The Plan has evaluated subsequent events through October 9, 2014, which is the date the financial statements were available to be issued.

NOTE 2. - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, provides a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 2. - FAIR VALUE MEASUREMENTS (continued)

Level 2: Inputs to the valuation methodology include

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in active markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

Mutual funds: The mutual funds are stated at fair value as quoted on a recognized securities exchange and are valued at the last reported sales price on the last business day of the Plan year and are classified as Level 1 investments.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 2. - FAIR VALUE MEASUREMENTS (continued)

The following table presents the Plan's fair value hierarchy for those assets measured at fair value as of December 31, 2013.

<u>December 31, 2013</u>	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Mutual Funds:				
Money Market	\$ 1,074,501	\$ 1,074,501	-	-
Fixed Income Funds	694,560	694,560	-	-
Equity Funds	4,057,129	4,057,129	-	-
Blended Funds	4,915,260	4,915,260	-	-
Total Mutual Funds	<u>10,741,450</u>	<u>10,741,450</u>	<u>-</u>	<u>-</u>
Total	<u>\$ 10,741,450</u>	<u>\$ 10,741,450</u>	<u>\$ -</u>	<u>\$ -</u>

The following table presents the Plan's fair value hierarchy for those assets measured at fair value as of December 31, 2012.

<u>December 31, 2012</u>	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Mutual Funds:				
Money Market	\$ 417,579	\$ 417,579	-	-
Fixed Income Funds	604,477	604,477	-	-
Equity Funds	2,252,380	2,252,380	-	-
Blended Funds	4,871,825	4,871,825	-	-
Total Mutual Funds	<u>8,146,261</u>	<u>8,146,261</u>	<u>-</u>	<u>-</u>
Total	<u>\$ 8,146,261</u>	<u>\$ 8,146,261</u>	<u>\$ -</u>	<u>\$ -</u>

NOTE 3. INFORMATION CERTIFIED BY THE PLAN TRUSTEE

The plan administrator has elected the method of compliance as permitted by 29 CFR 2520.103-8 of the United States Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Accordingly, as permitted under such election, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the following information certified by Reliance Trust Company as of December 31, 2013 and 2012, except for comparing such information certified by the trustee to information included in the Plan's financial statements and supplemental schedule, including investments and notes receivable from participants held at December 31, 2013 and 2012, and net appreciation in fair value of investments, interest and dividends for year ended December 31, 2013.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 3. INFORMATION CERTIFIED BY THE PLAN TRUSTEE (continued)

The following is a summary of the Plan's investment information which has been certified by Reliance Trust Company and also includes investments representing 5% or more of the Plan's net assets at December 31, 2013:

Investments at Fair Value as Determined by Quoted Market Price:	2013
Ready Assets Prime Money	\$ 1,074,501
Blackrock Global Allocation Fund Inc.	3,616,229
Blackrock Equity Dividend Fund A	481,998
JP Morgan Large Cap Growth Fund	533,183
JP Morgan Smart Retirement 2050 Fund	478,996
The Oakmark Equity & Inc Fund CL II	609,490
	<u>6,794,397</u>
Total Investments in Excess of 5% of Net Assets	<u>6,794,397</u>
Investments Less Than 5% of Net Assets	<u>3,947,053</u>
Total Investments Held by Custodian	<u>\$ 10,741,450</u>
Notes receivable from participants	<u>\$ 72,271</u>
Interest and dividend income	<u>\$ 471,626</u>
Net appreciation in fair value of investments:	
Measured at Quoted Fair Value:	
Mutual funds	<u>\$ 1,032,838</u>

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

The following is a summary of the Plan's investment information which has been certified by Reliance Trust Company and also includes investments representing 5% or more of the Plan's net assets at December 31, 2012:

Investments at Fair Value as Determined by Quoted Market Price:	2012
Ready Assets Prime Money	\$ 417,579
Blackrock Global Allocation Fund Inc.	3,804,605
Blackrock Equity Dividend Fund A	395,975
Dreyfus Opportunistic Midcap Value Fund	283,355
JP Morgan Large Cap Growth Fund	413,347
The Oakmark Equity & Inc Fund CL II	508,806
	<hr/> 5,823,667
Total Investments in Excess of 5% of Net Assets	<hr/> 5,823,667
Investments Less Than 5% of Net Assets	<hr/> 2,322,594
Total Investments Held by Custodian	<hr/> \$ 8,146,261
Notes receivable from participants	<hr/> \$ 66,782
Interest and dividend income	<hr/> \$ 142,899
Net appreciation in fair value of investments:	
Measured at Quoted Fair Value:	
Mutual funds	<hr/> \$ 728,813

NOTE 4. - PRIORITIES UPON TERMINATION

It is the intent of the trustees to continue the Plan in full force and effect. However, in the event of termination and in order to safeguard against any unforeseen contingencies, the right to discontinue the Plan is reserved by the trustees. In the event of termination, the trustees shall first satisfy or make provisions to satisfy the obligations of the Plan. Any remaining assets will be distributed in such manner as will, in the opinion of the trustees, bring about the purpose of the Plan.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 5. - PARTY-IN-INTEREST TRANSACTIONS

Parties-in-interest are defined under Department of Labor (DOL) regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer, and certain others. Indirect fees were paid to Merrill Lynch during the year ended December 31, 2013 and 2012. Merrill Lynch is the investment advisor and, therefore, these transactions qualify as party-in-interest.

NOTE 6. - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 at December 31, 2013:

	<u>2013</u>
Net assets available for benefits per the financial statements	\$ 10,813,721
Deemed loans	<u>(3,568)</u>
Net assets available for benefits per Form 5500	<u>\$ 10,810,153</u>

The following is a reconciliation of the total increase in net assets per the financial statements to the Form 5500 for the year ended December 31, 2013:

	<u>2013</u>
Net increase in net assets available for benefits per the financial statements	\$ 2,600,678
Interest on deemed distributions	<u>(145)</u>
Total income per Form 5500	<u>\$ 2,600,533</u>

NOTE 7 - ADMINISTRATIVE EXPENSES

Associated Urological Specialists, LLC, the Plan Sponsor, directly absorbs a majority of all costs related to the administration of the Plan.

SUPPLEMENTARY INFORMATION
AS REQUIRED BY ERISA AND
THE DEPARTMENT OF LABOR

ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING SHARING PLAN
 FOR THE PLAN YEAR ENDING DECEMBER 31, 2013
 EIN: 20-2136282
 PLAN # : 001

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)

<u>(a)</u>	<u>(b)</u>	<u>(c)</u>	<u>(d)</u>	<u>(e)</u>
	Identity of Issue, borrower, lessor, lessor or similar party	Description of investment including maturity date, rate of interest, collateral, par of maturity value	Cost	Current Value
	Ready Assets Prime Money	Registered Investment Company	\$	1,074,501
	Alliance Bernstein Discovery Value Fund A	Registered Investment Company		227,220
	American Capital World Growth and Income FD	Registered Investment Company		62,228
	Blackrock HL SC OPP A	Registered Investment Company		206,001
	Blackrock Inflation Protected BD Port CL A	Registered Investment Company		74,608
	Blackrock Global Allocation FD Inc A	Registered Investment Company		3,616,229
	Blackrock Equity Dividend FD A	Registered Investment Company		481,998
	Blackrock S&P 500 Index Fund Instl	Registered Investment Company		207,892
	Dreyfus Opportunistic Mid Cap Value Fund CL A	Registered Investment Company		353,349
	Goldman Sachs Small Mid Cap Growth Fund CL A	Registered Investment Company		220,344
	Goldman Sachs Small Cap Value Fund CL A	Registered Investment Company		101,655
	Investco Charter Fund CL A	Registered Investment Company		144,444
	Janus Overseas FD CL A	Registered Investment Company		135,884
	JP Morgan Large Cap Growth Fund CL A	Registered Investment Company		533,183
	JP Morgan Smart Retirement 2010 FD CL A	Registered Investment Company		44,385
	JP Morgan Smart Retirement 2015 FD CL A	Registered Investment Company		19,363
	JP Morgan Smart Retirement 2020 FD CL A	Registered Investment Company		26,437
	JP Morgan Smart Retirement 2030 FD CL A	Registered Investment Company		122,237
	JP Morgan Smart Retirement 2040 FD CL A	Registered Investment Company		141,792
	JP Morgan Smart Retirement 2025 FD A	Registered Investment Company		168,553
	JP Morgan Smart Retirement 2035 FD A	Registered Investment Company		96,949
	JP Morgan Smart Retirement 2045 FD A	Registered Investment Company		69,825
	JP Morgan Smart Retirement 2050 FD A	Registered Investment Company		478,996
	Loomis Sayles Strategic Income FD Class A	Registered Investment Company		340,384
	Lord Abbot Short Duration Income FD A	Registered Investment Company		15,224
	MFS International FD CL A	Registered Investment Company		62,074
	MFS New Discovery	Registered Investment Company		85,700
	Oppenheimer Developing Markets FD CL A	Registered Investment Company		273,871
	Pimco Total Return FD CL A	Registered Investment Company		177,723
	Pimco High Yield FD CL A	Registered Investment Company		86,621
	Prudential Jennison Utility Fund CL A	Registered Investment Company		56,318
	The Oakmark Equity & Inc Fund CL II	Registered Investment Company		609,490
	The Oakmark International FD CL II	Registered Investment Company		368,121
	Virtus Real Estate Securities FD CL A	Registered Investment Company		57,851
				<u>10,741,450</u>
	Participant loans	Interest rates - 4.25%		<u>72,271</u>
			\$	<u><u>10,813,721</u></u>

* Represents a party-in-interest to the plan as defined by ERISA

Note: Cost information has not been included because all investments are participant-directed.

Schedule C, Line 2(h) Formula Description

For those service providers listed on Line 2 for which Line 2(h) has been checked “yes”:

For a description of indirect compensation received by service providers listed on Line 2 that was disclosed as a formula, please see Line 3(e). Please note: If indirect compensation disclosed as a formula was received by a service provider from more than one source, there will be multiple Lines 3(e) for that service provider.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST

FINANCIAL STATEMENTS

DECEMBER 31, 2013 AND 2012

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
TABLE OF CONTENTS

INDEPENDENT AUDITOR'S REPORT.....	1
FINANCIAL STATEMENTS	
Statement of Net Assets Available for Benefits - Modified Cash Basis.....	4
Statement of Changes in Net Assets Available for Benefits - Modified Cash Basis.....	5
Notes to Financial Statements.....	6
SUPPLEMENTARY INFORMATION AS REQUIRED BY ERISA AND THE DEPARTMENT OF LABOR	
Schedule of Assets (Held at End of Year).....	15

Jeffrey W. Krol & Associates, Ltd.

CERTIFIED PUBLIC ACCOUNTANTS

Presidents Plaza
8700 W. Bryn Mawr, Suite 810 North
Chicago, Illinois 60631
(773) 399-1390 • Fax (773) 399-1223
<http://www.jwkrol.com>

INDEPENDENT AUDITOR'S REPORT

To the Participants and Trustees of
Associated Urological 401(k) Profit Sharing Plan and Trust
Palos Heights, Illinois

Report on the Financial Statements

We have audited the accompanying financial statements of Associated Urological 401(k) Profit Sharing Plan and Trust, which comprise the statements of net assets available for benefits (modified cash basis) as of December 31, 2013 and 2012, and the related statements of changes in net assets available for benefits (modified cash basis) for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 1; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal controls relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan

administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by Reliance Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements and supplemental schedules. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of and for the years ended December 31, 2013 and 2012, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Other Matter

We were engaged for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of Schedule of Assets (Held at End of Year), which is the responsibility of plan management, is presented for the purpose of additional analysis and is not a required part of the financial statements but is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, it is inappropriate to and we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in

the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Jeffrey W. Krol & Associates, Ltd.
Jeffrey W. Krol & Associates, Ltd.

Chicago, Illinois
October 9, 2014

ASSOCIATED UROLOGICAL 401(k) PROFIT SHARING PLAN & TRUST
STATEMENT OF NET ASSETS AVAILABLE FOR BENEFITS
(MODIFIED CASH BASIS)
DECEMBER 31, 2013 AND 2012

ASSETS

	<u>2013</u>	<u>2012</u>
Investments at fair value:		
Mutual funds	\$ 10,741,450	\$ 8,146,261
Total investments at fair value	10,741,450	8,146,261
Receivables:		
Notes receivable from participants	72,271	66,782
Total receivables	72,271	66,782
 Total Assets	 <u>10,813,721</u>	 <u>8,213,043</u>
 Net Assets Available for Benefits	 <u>\$ 10,813,721</u>	 <u>\$ 8,213,043</u>

The Accompanying Notes Are an Integral Part of These Financial
Statements.

ASSOCIATED UROLOGICAL 401(k) PROFIT SHARING PLAN & TRUST
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
(MODIFIED CASH BASIS)
FOR THE YEAR ENDED DECEMBER 31, 2013

ADDITIONS

Additions to net assets attributed to:

Investment income:

Net appreciation in fair value of investments	\$ 1,032,838
Dividends	468,648
	<u>1,501,486</u>

Contributions:

Employer	680,608
Employee	559,852
	<u>1,240,460</u>

Other:

Interest income on participant loans	2,978
	<u>2,978</u>

Total Additions	<u>2,744,924</u>
-----------------	------------------

DEDUCTIONS

Deductions from net assets attributed to:

Benefits paid to participants	142,726
Administrative expenses	1,520
	<u>144,246</u>

Total Deductions	<u>144,246</u>
------------------	----------------

Net Increase	2,600,678
--------------	-----------

Net Assets Available for Benefits:

Beginning of Year	<u>8,213,043</u>
-------------------	------------------

End of Year	<u><u>\$ 10,813,721</u></u>
-------------	-----------------------------

The Accompanying Notes Are an Integral Part of These Financial Statements.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES

The following description of Associated Urological 401(k) Profit Sharing Plan and Trust (the Plan) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General and Eligibility

The Plan was established in 2005 to allow Associated Urological Specialists, LLC (the Company) to share a portion of its profits with its employees, to promote within them the strongest interest in the successful operation of the business, to increase efficiency in their work, to assure them that they will share in the prosperity of the business, and to provide them with an opportunity for accumulation of funds for retirement. The Plan is a defined contribution plan covering all eligible employees of the Company who have at least six months of eligibility service and are age twenty one or older. Eligibility service is six months for elective deferrals and safe harbor contributions and one year for employer profit sharing. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Basis of Accounting

The financial statements of the Plan are prepared under the modified cash basis of accounting which is a comprehensive basis of accounting other than generally accepted accounting principles.

Use of Estimates

The preparation of financial statements in conformity with the modified cash basis of accounting requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results may differ from those estimates.

Contributions

Each year, participants may contribute pre-tax compensation up to the lesser of the Federal Statutory limit or 90% of the participant's annual compensation, subject to certain limitations, as defined in the Plan. Participants may also contribute amounts representing distributions from other qualified plans (rollovers). The Plan does not permit nondeductible contributions by participants.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES
(continued)

Contributions (continued)

The Company is required to make safe harbor contributions of 3% of all eligible employees' compensation on an annual basis.

Each year, the Company may contribute a discretionary profit sharing contribution to participants. These contributions are subject to legal, Plan, and compensation limitations. Furthermore, generally a participant must be employed on the last day of the year to receive a contribution.

Payment of Benefits

On retirement or termination of service, a participant may elect to receive a lump-sum, partial payment, installment payments or an annuity contract in an amount equal to the value of his or her account. Benefits are recorded when paid.

Vesting

Participants are immediately 100% vested in their elective deferrals. Vesting for employer contributions is based on years of continuous service. A participant is 100% vested after six years of credited service.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 2 for discussion of fair value measurements.

Transactions are recorded at trade date. Dividends are recorded on the ex-dividend date. Interest is recorded on the accrual basis. Realized gains and losses are computed either by the average value or specific identification of the securities sold.

Risk and Uncertainties

The Plan provides for various investment options in mutual funds. Investment securities are exposed to various risks such as interest rate, market, and credit. Due to the level of uncertainty related to changes in the value of investment securities, it is at least reasonably possible that changes in the various risk factors, in the near term, could materially affect the participant's account balances and the amounts reported in the financial statements.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES
(continued)

Participant Accounts

Each participant's accounts is credited with the participant's contribution and allocations of (a) the Company's contribution, (b) Plan earnings (losses), (c) and charged with an allocation of administrative expenses, if any. Allocations are based on participants' share of employer contributions or account balances as prescribed by the Plan. The benefit to which a participant is entitled is limited to the benefit that can be provided from the participant's vested account balance. The Plan allows participants to direct contributions to any of the provided investment alternatives.

Notes Receivables from Participants

Active participants may borrow from their accounts an amount equal to the lesser of \$50,000 or 50% of their vested account balance. Active participants can only have one outstanding loan at a time. The minimum amount a participant can borrow is \$1,000. Loans are secured by the balance in the participant's account and bear interest at a rate equal to the prime rate as published in the Wall Street Journal on the 14th day of each month plus one percent. The note interest rate will be determined on the date of the loan request and will not change for the duration of the loan repayment period. Principal and interest is paid ratably through bi-weekly payroll deductions. Delinquent participant notes are reclassified as distributions based upon the terms of the plan document.

Forfeited Accounts

At December 31, 2013 and 2012, forfeited nonvested accounts totaled \$13,234 and \$7,957, respectively. Forfeitures from participant's nonvested accounts may be used to reduce employer contributions or pay administrative fees.

Tax Status

The Plan adopted a Volume Submitter Profit Sharing Plan with CODA (Cash or Deferred Arrangement) which received a favorable opinion letter from the Internal Revenue Service (IRS) as of March 31, 2008 which stated that the Plan is designed in accordance with applicable sections of the Internal Revenue Code and that any employer adopting this form of the Plan will be considered to

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 1. - PLAN DESCRIPTION AND SIGNIFICANT ACCOUNTING POLICIES
(continued)

Tax Status (continued)

have a plan qualified under Section 401(a) of the Code. Once qualified, the Plan is required to operate in conformity with the Internal Revenue Code ("IRC") to maintain its tax qualification. Although the Plan has been amended and restated since receiving the determination letter, the Plan administrator believes that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC and, as a result, no provision for income taxes has been included in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2010. In 2013, the record-keeper reported to the plan that it failed to include eligible participants into the plan. The record-keeper is pursuing a correction through the Internal Revenue Service's Self Correction Program, and this matter is not expected to change the tax-qualified status of the plan. Therefore, it is believed that the Plan was qualified and was tax-exempt as of the financial statement date.

Date of Management's Review

The Plan has evaluated subsequent events through October 9, 2014, which is the date the financial statements were available to be issued.

NOTE 2. - FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, provides a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 2. - FAIR VALUE MEASUREMENTS (continued)

Level 2: Inputs to the valuation methodology include

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in active markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

Mutual funds: The mutual funds are stated at fair value as quoted on a recognized securities exchange and are valued at the last reported sales price on the last business day of the Plan year and are classified as Level 1 investments.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 2. - FAIR VALUE MEASUREMENTS (continued)

The following table presents the Plan's fair value hierarchy for those assets measured at fair value as of December 31, 2013.

<u>December 31, 2013</u>	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Mutual Funds:				
Money Market	\$ 1,074,501	\$ 1,074,501	-	-
Fixed Income Funds	694,560	694,560	-	-
Equity Funds	4,057,129	4,057,129	-	-
Blended Funds	4,915,260	4,915,260	-	-
Total Mutual Funds	<u>10,741,450</u>	<u>10,741,450</u>	<u>-</u>	<u>-</u>
Total	<u>\$ 10,741,450</u>	<u>\$ 10,741,450</u>	<u>\$ -</u>	<u>\$ -</u>

The following table presents the Plan's fair value hierarchy for those assets measured at fair value as of December 31, 2012.

<u>December 31, 2012</u>	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
Mutual Funds:				
Money Market	\$ 417,579	\$ 417,579	-	-
Fixed Income Funds	604,477	604,477	-	-
Equity Funds	2,252,380	2,252,380	-	-
Blended Funds	4,871,825	4,871,825	-	-
Total Mutual Funds	<u>8,146,261</u>	<u>8,146,261</u>	<u>-</u>	<u>-</u>
Total	<u>\$ 8,146,261</u>	<u>\$ 8,146,261</u>	<u>\$ -</u>	<u>\$ -</u>

NOTE 3. INFORMATION CERTIFIED BY THE PLAN TRUSTEE

The plan administrator has elected the method of compliance as permitted by 29 CFR 2520.103-8 of the United States Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Accordingly, as permitted under such election, the Plan Administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the following information certified by Reliance Trust Company as of December 31, 2013 and 2012, except for comparing such information certified by the trustee to information included in the Plan's financial statements and supplemental schedule, including investments and notes receivable from participants held at December 31, 2013 and 2012, and net appreciation in fair value of investments, interest and dividends for year ended December 31, 2013.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 3. INFORMATION CERTIFIED BY THE PLAN TRUSTEE (continued)

The following is a summary of the Plan's investment information which has been certified by Reliance Trust Company and also includes investments representing 5% or more of the Plan's net assets at December 31, 2013:

Investments at Fair Value as Determined by Quoted Market Price:	2013
Ready Assets Prime Money	\$ 1,074,501
Blackrock Global Allocation Fund Inc.	3,616,229
Blackrock Equity Dividend Fund A	481,998
JP Morgan Large Cap Growth Fund	533,183
JP Morgan Smart Retirement 2050 Fund	478,996
The Oakmark Equity & Inc Fund CL II	609,490
	<u>6,794,397</u>
Total Investments in Excess of 5% of Net Assets	<u>6,794,397</u>
Investments Less Than 5% of Net Assets	<u>3,947,053</u>
Total Investments Held by Custodian	<u>\$ 10,741,450</u>
Notes receivable from participants	<u>\$ 72,271</u>
Interest and dividend income	<u>\$ 471,626</u>
Net appreciation in fair value of investments:	
Measured at Quoted Fair Value:	
Mutual funds	<u>\$ 1,032,838</u>

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

The following is a summary of the Plan's investment information which has been certified by Reliance Trust Company and also includes investments representing 5% or more of the Plan's net assets at December 31, 2012:

Investments at Fair Value as Determined by Quoted Market Price:	2012
Ready Assets Prime Money	\$ 417,579
Blackrock Global Allocation Fund Inc.	3,804,605
Blackrock Equity Dividend Fund A	395,975
Dreyfus Opportunistic Midcap Value Fund	283,355
JP Morgan Large Cap Growth Fund	413,347
The Oakmark Equity & Inc Fund CL II	508,806
	<hr/> 5,823,667
Total Investments in Excess of 5% of Net Assets	<hr/> 5,823,667
Investments Less Than 5% of Net Assets	<hr/> 2,322,594
Total Investments Held by Custodian	<hr/> \$ 8,146,261
Notes receivable from participants	<hr/> \$ 66,782
Interest and dividend income	<hr/> \$ 142,899
Net appreciation in fair value of investments:	
Measured at Quoted Fair Value:	
Mutual funds	<hr/> \$ 728,813

NOTE 4. - PRIORITIES UPON TERMINATION

It is the intent of the trustees to continue the Plan in full force and effect. However, in the event of termination and in order to safeguard against any unforeseen contingencies, the right to discontinue the Plan is reserved by the trustees. In the event of termination, the trustees shall first satisfy or make provisions to satisfy the obligations of the Plan. Any remaining assets will be distributed in such manner as will, in the opinion of the trustees, bring about the purpose of the Plan.

ASSOCIATED UROLOGICAL 401(K)
PROFIT SHARING PLAN AND TRUST
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2013 AND 2012

NOTE 5. - PARTY-IN-INTEREST TRANSACTIONS

Parties-in-interest are defined under Department of Labor (DOL) regulations as any fiduciary of the Plan, any party rendering service to the Plan, the employer, and certain others. Indirect fees were paid to Merrill Lynch during the year ended December 31, 2013 and 2012. Merrill Lynch is the investment advisor and, therefore, these transactions qualify as party-in-interest.

NOTE 6. - RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to the Form 5500 at December 31, 2013:

	<u>2013</u>
Net assets available for benefits per the financial statements	\$ 10,813,721
Deemed loans	<u>(3,568)</u>
Net assets available for benefits per Form 5500	<u>\$ 10,810,153</u>

The following is a reconciliation of the total increase in net assets per the financial statements to the Form 5500 for the year ended December 31, 2013:

	<u>2013</u>
Net increase in net assets available for benefits per the financial statements	\$ 2,600,678
Interest on deemed distributions	<u>(145)</u>
Total income per Form 5500	<u>\$ 2,600,533</u>

NOTE 7 - ADMINISTRATIVE EXPENSES

Associated Urological Specialists, LLC, the Plan Sponsor, directly absorbs a majority of all costs related to the administration of the Plan.

SUPPLEMENTARY INFORMATION
AS REQUIRED BY ERISA AND
THE DEPARTMENT OF LABOR

ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING SHARING PLAN
 FOR THE PLAN YEAR ENDING DECEMBER 31, 2013
 EIN: 20-2136282
 PLAN # : 001

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)

<u>(a)</u>	<u>(b)</u>	<u>(c)</u>	<u>(d)</u>	<u>(e)</u>
	Identity of Issue, borrower, lessor, lessor or similar party	Description of investment including maturity date, rate of interest, collateral, par of maturity value	Cost	Current Value
	Ready Assets Prime Money	Registered Investment Company	\$	1,074,501
	Alliance Bernstein Discovery Value Fund A	Registered Investment Company		227,220
	American Capital World Growth and Income FD	Registered Investment Company		62,228
	Blackrock HL SC OPP A	Registered Investment Company		206,001
	Blackrock Inflation Protected BD Port CL A	Registered Investment Company		74,608
	Blackrock Global Allocation FD Inc A	Registered Investment Company		3,616,229
	Blackrock Equity Dividend FD A	Registered Investment Company		481,998
	Blackrock S&P 500 Index Fund Instl	Registered Investment Company		207,892
	Dreyfus Opportunistic Mid Cap Value Fund CL A	Registered Investment Company		353,349
	Goldman Sachs Small Mid Cap Growth Fund CL A	Registered Investment Company		220,344
	Goldman Sachs Small Cap Value Fund CL A	Registered Investment Company		101,655
	Investco Charter Fund CL A	Registered Investment Company		144,444
	Janus Overseas FD CL A	Registered Investment Company		135,884
	JP Morgan Large Cap Growth Fund CL A	Registered Investment Company		533,183
	JP Morgan Smart Retirement 2010 FD CL A	Registered Investment Company		44,385
	JP Morgan Smart Retirement 2015 FD CL A	Registered Investment Company		19,363
	JP Morgan Smart Retirement 2020 FD CL A	Registered Investment Company		26,437
	JP Morgan Smart Retirement 2030 FD CL A	Registered Investment Company		122,237
	JP Morgan Smart Retirement 2040 FD CL A	Registered Investment Company		141,792
	JP Morgan Smart Retirement 2025 FD A	Registered Investment Company		168,553
	JP Morgan Smart Retirement 2035 FD A	Registered Investment Company		96,949
	JP Morgan Smart Retirement 2045 FD A	Registered Investment Company		69,825
	JP Morgan Smart Retirement 2050 FD A	Registered Investment Company		478,996
	Loomis Sayles Strategic Income FD Class A	Registered Investment Company		340,384
	Lord Abbot Short Duration Income FD A	Registered Investment Company		15,224
	MFS International FD CL A	Registered Investment Company		62,074
	MFS New Discovery	Registered Investment Company		85,700
	Oppenheimer Developing Markets FD CL A	Registered Investment Company		273,871
	Pimco Total Return FD CL A	Registered Investment Company		177,723
	Pimco High Yield FD CL A	Registered Investment Company		86,621
	Prudential Jennison Utility Fund CL A	Registered Investment Company		56,318
	The Oakmark Equity & Inc Fund CL II	Registered Investment Company		609,490
	The Oakmark International FD CL II	Registered Investment Company		368,121
	Virtus Real Estate Securities FD CL A	Registered Investment Company		57,851
				<u>10,741,450</u>
	Participant loans	Interest rates - 4.25%		<u>72,271</u>
			\$	<u><u>10,813,721</u></u>

* Represents a party-in-interest to the plan as defined by ERISA

Note: Cost information has not been included because all investments are participant-directed.

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST **EIN:** 20-2136282
Plan Sponsor's Name ASSOCIATED UROLOGICAL **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	BLACKROCK S & P 500 STOCK INSTL	REGISTERED INVESTMENT COMPANY		207,892
	GS SMALL CAP VALUE FUND - A	REGISTERED INVESTMENT COMPANY		101,655
	THE OAKMARK INTL FUND - II	REGISTERED INVESTMENT COMPANY		368,121
	VIRTUS REAL ESTATE SEC - A	REGISTERED INVESTMENT COMPANY		57,851
	PIMCO HIGH YIELD FUND - A	REGISTERED INVESTMENT COMPANY		86,621
	GOLDMAN SMALL/MID CAP GRO - A	REGISTERED INVESTMENT COMPANY		220,344
	BLACKROCK GLOBAL ALLOCATION A	REGISTERED INVESTMENT COMPANY		3,616,229

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST **EIN:** 20-2136282
Plan Sponsor's Name ASSOCIATED UROLOGICAL **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	JANUS OVERSEAS FUND - A	REGISTERED INVESTMENT COMPANY		135,884
	JPMORGAN SMARTRET 2040 -A	REGISTERED INVESTMENT COMPANY		141,792
	THE OAKMARK EQ AND INC FND -II	REGISTERED INVESTMENT COMPANY		609,490
	AM FND CAP WORLD GR AND INC-R3	REGISTERED INVESTMENT COMPANY		62,229
	JPMORGAN SMARTRETIRE 2050 - A	REGISTERED INVESTMENT COMPANY		478,996
	BLACKROCK INFL PROT BD PORT-A	REGISTERED INVESTMENT COMPANY		74,608
	BR HEALTH SCI OPPORT PORT - A	REGISTERED INVESTMENT COMPANY		206,001

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST **EIN:** 20-2136282
Plan Sponsor's Name ASSOCIATED UROLOGICAL **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	AB DISCOVERY VALUE A	REGISTERED INVESTMENT COMPANY		227,221
	DREYFUS OPPORTUNISTIC MC VAL A	REGISTERED INVESTMENT COMPANY		353,349
	MFS NEW DISCOVERY - A	REGISTERED INVESTMENT COMPANY		85,700
	JPMORGAN SMARTRETIRE 2045 - A	REGISTERED INVESTMENT COMPANY		69,825
	JPMORGAN SMARTRETIRE 2035 - A	REGISTERED INVESTMENT COMPANY		96,949
	JPMORGAN LARGE CAP GROWTH - A	REGISTERED INVESTMENT COMPANY		533,183
	PIMCO TOTAL RETURN FUND - A	REGISTERED INVESTMENT COMPANY		177,723

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST **EIN:** 20-2136282
Plan Sponsor's Name ASSOCIATED UROLOGICAL **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	BLACKROCK EQUITY DIVIDEND - A	REGISTERED INVESTMENT COMPANY		481,998
	OPPENHEIMER DEVELOP MARKETS-A	REGISTERED INVESTMENT COMPANY		273,871
	READY ASSETS PRIME MONEY	REGISTERED INVESTMENT COMPANY		1,074,501
	JPMORGAN SMARTRETIRE 2025 - A	REGISTERED INVESTMENT COMPANY		168,553
	JPMORGAN SMARTRET 2010 -A	REGISTERED INVESTMENT COMPANY		44,385
	PRUDENTIAL JENNISON UTILITY A	REGISTERED INVESTMENT COMPANY		56,318
	LOOMIS SAYLES STRATEGIC INC-A	REGISTERED INVESTMENT COMPANY		340,384

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name ASSOCIATED UROLOGICAL 401(K) PROFIT SHARING PLAN AND TRUST **EIN:** 20-2136282
Plan Sponsor's Name ASSOCIATED UROLOGICAL **PN:** 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	JPMORGAN SMARTRET 2020 -A	REGISTERED INVESTMENT COMPANY		26,437
	JPMORGAN SMARTRET 2030 -A	REGISTERED INVESTMENT COMPANY		122,237
	LA SHORT DURATION INC - A	REGISTERED INVESTMENT COMPANY		15,224
	INVESCO CHARTER - A	REGISTERED INVESTMENT COMPANY		144,444
	MFS INTERNATIONAL GROWTH - A	REGISTERED INVESTMENT COMPANY		62,074
	JPMORGAN SMARTRET 2015 -A	REGISTERED INVESTMENT COMPANY		19,363
	PARTICIPANT LOANS	INTEREST RATES (4.25% TO 4.25%)		68,703