Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	➤ Complete all entries in accord	dance with the instru	ctions to the Form 550	0-SF.	inspection			
Part I	Annual Report	Identification Information							
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
	urn/report is for:	a single-employer plan	1 · · · · · · · · · · · · · · · · · · ·	lan (not multiemployer)		a one-participant plan			
B This ret	urn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)) <u> </u>			
C Check b	box if filing under:	X Form 5558	automatic extension			DFVC program			
		special extension (enter description	on)						
Part II	Basic Plan Info	ermation—enter all requested inform	nation						
1a Name	of plan				1b	Three-digit			
IRIDIUM GR	OUP INC. 401(K) PLA	AN				plan number			
					4.	(PN) 001			
					10	Effective date of plan 03/01/2003			
2a Plan si	nonsor's name and ad	Idress; include room or suite number (e	employer if for a single	employer plan)	2h	Employer Identification Number			
IRIDIUM GR		diese, molade room of saite namber (e	simple yer, it for a single	employer planty	20	(EIN) 13-3818643			
					2c	Sponsor's telephone number			
276 5TH AV	ENUE, SUITE 803					212-582-6692			
NEW YORK					2d	Business code (see instructions)			
						541800			
3a Plan a	dministrator's name ar	nd address Same as Plan Sponsor N	Name Same as Plar	Sponsor Address	3b	Administrator's EIN			
RIDIUM GRO	UP INC.		NUE, SUITE 803		0 -	13-3818643			
		NEW YORK, N	NY 10001		3C	Administrator's telephone number 212-582-6692			
						2.2 002 0002			
4 If the r	name and/or EIN of the	e plan sponsor has changed since the	last return/report filed for	or this plan, enter the	4b	EIN			
		mber from the last return/report.			_				
a Spons					4c	PN			
5a Total r	number of participants	at the beginning of the plan year			5a	12			
b Total r	number of participants	at the end of the plan year			5b	12			
		account balances as of the end of the		•	5с	11			
6a Were	all of the plan's assets	s during the plan year invested in eligib	ole assets? (See instruc	tions.)		X Yes No			
_	·	f the annual examination and report of	,	,	PA)				
		? (See instructions on waiver eligibility	,			X Yes U No			
-		ither line 6a or line 6b, the plan cann			_				
C If the p	olan is a defined benef	fit plan, is it covered under the PBGC ir	nsurance program (see	ERISA section 4021)?	📙	Yes No Not determined			
Caution: A	penalty for the late	or incomplete filing of this return/rep	port will be assessed	unless reasonable cau	ıse is	established.			
		her penalties set forth in the instruction							
		nd signed by an enrolled actuary, as w	vell as the electronic ver	sion of this return/report	t, and	to the best of my knowledge and			
belief, it is t	true, correct, and com	plete.							
SIGN	Filed with authorized/	/valid electronic signature.	10/14/2014	DWAYNE FLINCHUM	JM				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	name of individual signing as plan administrator				
SIGN					J J				
HERE	Signature of emplo	vver/nlan snonsor	Date	Enter name of individ	Enter name of individual signing as employ				
Preparer's		name, if applicable) and address; include				parer's telephone number (optional)			
	3	., .,,		(- 1	(4)			

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Da	rt III Financial Information								
7	Plan Assets and Liabilities	(a) Destination of Vest					(h) End of Voca		
_ <u>'</u> _a		7a	(a) Beginning of Yea				(b) End of Year 570710		
<u>a</u>	Total plan assets	7b		0			0		
	Net plan assets (subtract line 7b from line 7a)	76 7c	48077				570710		
8	,	76							
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total		
и	(1) Employers	8a(1)	3045	1					
	(2) Participants	8a(2)	1155	4					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	10301	4					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					145019		
d	Benefits paid (including direct rollovers and insurance premiums	0.1	5245	0					
	to provide benefits)	8d		0					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e	262						
<u> </u>	Administrative service providers (salaries, fees, commissions)	8f							
<u>g</u>	Other expenses	. 8g		0			55005		
<u>_</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					55085		
-	Net income (loss) (subtract line 8h from line 8c)						89934		
	Transfers to (from) the plan (see instructions)	8j		0					
	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	es in t	he instructions:		
Par	t V Compliance Questions						T		
10	10 During the plan year:					No	Amount		
a	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X			
				10b	X		500000		
	Did the plan have a loss, whether or not reimbursed by the plan's			10c			300000		
	or dishonesty?	•	•	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth								
	insurance service, or other organization that provides some or all instructions.)			10e	X		3583		
f				10f		Χ			
g	The second secon				X		53210		
<u> </u>	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X	30210		
	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10h					
i	exceptions to providing the notice applied under 29 CFR 2520.10			10i					
Part	Part VI Pension Funding Compliance								
11									
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39								
12	2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Day Year								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
	Enter the minimum required contribution for this plan year					12b			

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			