

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>J.M HUBER CORPORATION 401(K) SAVINGS PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>12/09/1947</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>13-0860350</u></td> </tr> <tr> <td colspan="2">2c Sponsor's telephone number <u>732-549-8600</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>325900</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>12/09/1947</u>		2b Employer Identification Number (EIN) <u>13-0860350</u>		2c Sponsor's telephone number <u>732-549-8600</u>		2d Business code (see instructions) <u>325900</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
1c Effective date of plan <u>12/09/1947</u>											
2b Employer Identification Number (EIN) <u>13-0860350</u>											
2c Sponsor's telephone number <u>732-549-8600</u>											
2d Business code (see instructions) <u>325900</u>											
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>J.M. HUBER CORPORATION</u> <u>499 THORNALL STREET</u> <u>EDISON, NJ 08837</u>	<u>499 THORNALL STREET</u> <u>EDISON, NJ 08837</u>										

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2014	JEFF MURRAY
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2014	JEFF MURRAY
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN
5 Total number of participants at the beginning of the plan year		5 2748
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants		6a 1923
b Retired or separated participants receiving benefits		6b 234
c Other retired or separated participants entitled to future benefits.....		6c 653
d Subtotal. Add lines 6a , 6b , and 6c		6d 2810
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e 56
f Total. Add lines 6d and 6e		6f 2866
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g 2660
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h 34
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan J.M HUBER CORPORATION 401(K) SAVINGS PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 J.M. HUBER CORPORATION	D Employer Identification Number (EIN) 13-0860350	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
ING INSTITUTIONAL PLAN SERVICES LLC
04-3516284

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
WELLINGTON MANAGEMENT
04-2683227

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
STATE STREET BANK & TRUST COMPANY
04-1867445

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ING INSTITUTIONAL PLAN SERVICES LLC

04-3516284

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49 57	RECORD KEEPER	31031	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

STATE STREET BANK & TRUST COMPANY

04-1867445

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
25	TRUSTEE	185141	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS

04-1867446

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVEST MANAGER	10704	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLINGTON MANAGEMENT

04-2683227

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
51	INVEST MANAGER	323512	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

KPMG

13-5565207

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	AUDITOR	45520	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TOWERS WATSON

52-1868818

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	INVSET ADVISOR	111501	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013		
A Name of plan J.M HUBER CORPORATION 401(K) SAVINGS PLAN		B Three-digit plan number (PN) ▶ 001
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 J.M. HUBER CORPORATION		D Employer Identification Number (EIN) 13-0860350
Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)	
a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA S & P INDEX		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS		
c EIN-PN 04-0025081-097	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 60578928
a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA GLOBAL EQUITY EX US INDEX FUND		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS		
c EIN-PN 90-0337987-242	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 17526057
a Name of MTIA, CCT, PSA, or 103-12 IE: WTC CIFI GROWTH SERIES 1		
b Name of sponsor of entity listed in (a): WELLINGTON MANAGEMENT CO LLP		
c EIN-PN 04-2755549-000	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 29812832
a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2015 NL SF CL C		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS		
c EIN-PN 90-0337987-190	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 5143445
a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2020 NL SF CL C		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS		
c EIN-PN 90-0337987-192	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3163875
a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2025 NL SF CL C		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS		
c EIN-PN 90-0337987-194	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3179242
a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2030 NL SF CL C		
b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS		
c EIN-PN 90-0337987-196	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3002511

a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2035 NL SF CL C**b** Name of sponsor of entity listed in (a): TARGET RETIREMENT

c EIN-PN 90-0337987-198	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 3112820
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a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2040 NL SF CL C**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 90-0337987-200	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 961544
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a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2045 NL SF CL C**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 90-0337987-202	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 225895
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a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2050 NL SF CL C**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 90-0337987-204	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 214430
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a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT 2055 NL SF CL C**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 90-0337987-322	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 160124
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a Name of MTIA, CCT, PSA, or 103-12 IE: TARGET RETIREMENT INCOME NL SF**b** Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN 90-0337987-186	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2170035
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>		
A Name of plan <u>J.M HUBER CORPORATION 401(K) SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>J.M. HUBER CORPORATION</u>	D Employer Identification Number (EIN) <u>13-0860350</u>	

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	6777419	7847710
(2) Participant contributions.....	1b(2)		
(3) Other.....	1b(3)	164789	520018
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	15372000	15246361
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)	30942744	39930617
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)	8417827	8905044
(9) Value of interest in common/collective trusts	1c(9)	93822488	128699492
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	141259902	166111124
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)	177105082	162539606

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	473862251	529799972

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	438724	372715
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	438724	372715

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	473423527	529427257
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	13886687	
(B) Participants	2a(1)(B)	10156993	
(C) Others (including rollovers)	2a(1)(C)	1745315	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		25788995
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	449369	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		449369
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		0
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		26614175
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		47500668
c Other income	2c		-6603879
d Total income. Add all income amounts in column (b) and enter total	2d		93749328

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	37161814	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		37161814
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	583784	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		583784
j Total expenses. Add all expense amounts in column (b) and enter total	2j		37745598

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		56003730
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unqualified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: KPMG

(2) EIN: 13-5565207

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a	X		937544
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
4d		X	
e Was this plan covered by a fidelity bond?	X		25000000
4e	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X	
4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
4k		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			
4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>J.M HUBER CORPORATION 401(K) SAVINGS PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>J.M. HUBER CORPORATION</u>	D Employer Identification Number (EIN) <u>13-0860350</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>04-3581074</u>	
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.	
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	6a		
b Enter the amount contributed by the employer to the plan for this plan year.....	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____



KPMG LLP
New Jersey Headquarters
51 John F. Kennedy Parkway
Short Hills, NJ 07078-2702

Independent Auditors' Report

The Administrative Committee
J.M. Huber Corporation 401(k) Savings Plan:

Report on the Financial Statements

We have audited the accompanying financial statements of the J.M. Huber Corporation 401(k) Savings Plan (the Plan), which comprise the statements of net assets available for plan benefits as of December 31, 2013 and 2012, and the related statement of changes in net assets available for plan benefits for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for plan benefits of the Plan as of December 31, 2013 and 2012, and the changes in net



assets available for plan benefits for the year ended December 31, 2013, in accordance with accounting principles generally accepted in the United States of America.

Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year) as of December 31, 2013 and Schedule H, Line 4(a) – Schedule of Delinquent Participant Contributions for the year ended December 31, 2013, are presented for the purpose of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

KPMG LLP

September 30, 2014

**J.M. HUBER CORPORATION
401(K) SAVINGS PLAN**

Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)

December 31, 2013

(a)	(b) Description	(c) Description of investment, including maturity date, rate of interest, collateral, par, or maturity value	(d) Number of shares	(e) Current value
	Cash and cash equivalents			
*	State Street Bank & Trust	Short-term securities	847,072	\$ 847,072
	Wells Fargo	Short-term securities	14,399,289	14,399,289
				<u>15,246,361</u>
	Common/collective trust fund:			
*	State Street Global Equity ex U.S. Index Fund	Common/collective trust fund	873,452	17,527,571
*	State Street S&P 500 Index Fund	Common/collective trust fund	1,817,906	60,399,927
	Wellington Large Cap Growth Fund	Common/collective trust fund	1,344,404	29,805,431
	SSGA Retirement Income Fund	Common/collective trust fund	149,812	2,169,574
	SSGA 2015 Fund	Common/collective trust fund	301,402	5,146,437
	SSGA 2020 Fund	Common/collective trust fund	175,231	3,163,450
	SSGA 2025 Fund	Common/collective trust fund	150,405	2,808,507
	SSGA 2030 Fund	Common/collective trust fund	157,172	3,003,093
	SSGA 2035 Fund	Common/collective trust fund	161,729	3,112,958
	SSGA 2040 Fund	Common/collective trust fund	49,661	961,877
	SSGA 2045 Fund	Common/collective trust fund	11,667	226,019
	SSGA 2050 Fund	Common/collective trust fund	11,080	214,589
	SSGA 2055 Fund	Common/collective trust fund	12,054	160,059
				<u>128,699,492</u>
	Separate account – common stock:			
	Small Cap Value Fund managed by Wellington:			
	Acco Brands Corp	Common stock	69,200	465,024
	Albany Intl Corp Cl A	Common stock	21,800	783,274
	Allscripts Healthcare Soluti	Common stock	29,600	457,616
	Amerisafe Inc	Common stock	8,600	363,264
	Amsurg Corp	Common stock	19,200	881,664
	Ascena Retail Group Inc	Common stock	38,800	821,008
	Assured Guaranty Ltd	Common stock	17,700	417,543
	Atlas Air Worldwide Holdings	Common stock	13,400	551,410
	Atmos Energy Corp	Common stock	9,900	449,658
	Belden Inc	Common stock	21,300	1,500,585
	Campus Crest Communities Inc	Common stock	42,000	395,220
	Casey S General Stores Inc	Common stock	7,900	554,975
	Cato Corp Class A	Common stock	26,110	830,298
	Cec Entertainment Inc	Common stock	18,050	799,254
	Charles River Laboratories	Common stock	20,700	1,097,928
	Choice Hotels Intl Inc	Common stock	2,580	126,704
	Coherent Inc	Common stock	6,400	476,096
	Corvel Corp	Common stock	8,900	415,630
	Cubic Corp	Common stock	10,800	568,728
	Deltic Timber Corp	Common stock	8,000	543,520
	Diamondback Energy Inc	Common stock	8,400	444,024
	Diamondrock Hospitality Co	Common stock	32,690	377,570
	Diebold Inc	Common stock	19,200	633,792
	Education Realty Trust Inc	Common stock	39,700	350,154
	Era Group Inc	Common stock	13,750	424,325
	Esco Technologies Inc	Common stock	14,300	489,918
	First Busey Corp	Common stock	51,499	298,694
	First Midwest Bancorp Inc/II	Common stock	39,500	692,435
	First Niagara Financial Grp	Common stock	40,520	430,322
	Flushing Financial Corp	Common stock	17,994	372,476
	Forrester Research Inc	Common stock	16,286	623,102
	Fred S Inc Class A	Common stock	37,800	700,056
	G + K Services Inc Cl A	Common stock	15,000	933,450
	Gatx Corp	Common stock	13,500	704,295
	Greif Inc Cl A	Common stock	6,700	351,080
	Haemonetics Corp/Mass	Common stock	1,900	80,047
	Hancock Holding Co	Common stock	11,100	407,148
	Helen Of Troy Ltd	Common stock	18,000	891,180

(Continued)

**J.M. HUBER CORPORATION
401(K) SAVINGS PLAN**

Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)

December 31, 2013

(a)	(b) Description	(c) Description of investment, including maturity date, rate of interest, collateral, par, or maturity value	(d) Number of shares	(e) Current value
	Icon Plc	Common stock	13,500	545,535
	Icu Medical Inc	Common stock	9,200	586,132
	Innospec Inc	Common stock	11,700	540,774
	International Bancshares Crp	Common stock	28,430	750,268
	Koppers Holdings Inc	Common stock	9,534	436,181
	Laclede Group Inc/The	Common stock	6,900	314,226
	Luxfer Holdings Plc Adr	Common stock	3,800	79,268
	Matthews Intl Corp Class A	Common stock	16,500	703,065
	Maximus Inc	Common stock	8,800	387,112
	Mb Financial Inc	Common stock	15,300	490,977
	Micrel Inc	Common stock	16,400	161,868
	Mts Systems Corp	Common stock	5,200	370,500
	Mueller Industries Inc	Common stock	16,200	1,020,762
	New Jersey Resources Corp	Common stock	3,800	175,712
	Northwest Bancshares Inc	Common stock	43,600	644,408
	Platinum Underwriters Hldgs	Common stock	6,800	416,704
	Post Holdings Inc	Common stock	7,300	359,671
	Primerica Inc	Common stock	15,800	677,978
	Scansource Inc	Common stock	13,400	568,562
	Scorpio Tankers Inc	Common stock	81,800	964,422
	Seacor Holdings Inc	Common stock	6,250	570,000
	Sensient Technologies Corp	Common stock	8,700	422,124
	Solar Capital Ltd	Common stock	11,800	266,090
	Sp Plus Corp	Common stock	27,085	705,293
	Stage Stores Inc	Common stock	23,300	517,726
	Steris Corp	Common stock	10,700	514,135
	Summit Hotel Properties Inc	Common stock	37,300	335,700
	United Stationers Inc	Common stock	20,570	943,957
	Uns Energy Corp	Common stock	7,900	472,815
	Uti Worldwide Inc	Common stock	24,600	431,976
	Verint Systems Inc	Common stock	10,400	446,576
	Webster Financial Corp	Common stock	24,900	776,382
	Westamerica Bancorporation	Common stock	3,610	203,821
	Wgl Holdings Inc	Common stock	7,900	316,474
	Zebra Technologies Corp Cl A	Common stock	10,400	562,432
	Zep Inc	Common stock	30,150	547,524
				<u>39,930,617</u>
	PIMCO Global Bond Fund	Mutual Fund	1,960,590	20,958,713
	Vanguard Balanced Fund	Mutual Fund	2,781,257	76,540,189
	Vanguard Large Cap Value Fund	Mutual Fund	447,160	29,172,705
	Morgan Stanley Small Cap Growth Fund	Mutual Fund	1,919,198	39,439,517
				<u>166,111,124</u>

(Continued)

J.M. HUBER CORPORATION
401(K) SAVINGS PLAN

Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)
December 31, 2013

	Credit rating	Investment at cost	Investment at fair value	Contract value	Adjustment from fair value to contract value
Stable Value Fund:					
Ally Auto Receivables Trust 2011-3, 0.97%, \$140,863 par, due 8/17/2015		140,858	140,967		
Ally Auto Receivables Trust 2012-5, 0.62%, \$875,000 par, due 3/15/2017		874,862	875,464		
Ally Auto Receivables Trust 2013-2, 0.79%, \$700,000 par, due 1/15/2018		699,973	699,363		
Ally Auto Receivables Trust 2013-SN1, 0.72%, \$850,000 par, due 5/20/2016		849,966	850,425		
Amal Ltd/Cayman Islands, 3.46%, \$517,457 par, due 8/21/2021		517,457	545,565		
American Express Credit Acct Mstr Tr, 0.68%, \$900,000 par, due 3/15/2018		899,999	901,620		
AmeriCredit Auto Receivables Trust, 0.51%, \$291,550 par, due 1/8/2016		291,545	291,442		
AmeriCredit Automobile Receivables Trust 2012-3, 0.71%, \$46,111 par, due 3/9/2014		46,110	46,122		
Arizona School Facilities Board, 1.75%, \$525,000 par, due 7/1/2019		525,000	499,842		
Banc of America Commercial Mortgage Trust 2006-3, 5.89%, \$994,424 par, due 7/10/2044		1,004,970	1,081,794		
Banc of America Merrill Lynch Commercial Mortgage Inc, 4.86%, \$800,000 par, due 7/10/2043		875,966	832,280		
Banc of America Merrill Lynch Commercial Mortgage Inc, 5.18%, \$825,000 par, due 9/10/2047		890,677	878,246		
Bank of Montreal, 1.95%, \$325,000 par, due 1/30/2017		333,529	333,184		
Bank of Nova Scotia, 1.65%, \$150,000 par, due 10/29/2015		150,460	152,940		
Bank of Nova Scotia, 1.75%, \$1,075,000 par, due 3/22/2017		1,093,733	1,095,167		
Barclays Bank PLC, 2.5%, \$650,000 par, due 9/21/2015		649,728	670,111		
Bear Stearns Commercial Mortgage Securities Trust 2006-PWR13, 5.53%, \$680,706 par, due 9/11/2041		750,857	745,087		
Board of Trustees of The Leland Stanford Junior University, 3.63%, \$750,000 par, due 5/1/2014		749,944	757,515		
Brazos Higher Education Authority, 0.33%, \$54,500 par, due 12/26/2018		54,236	54,480		
Caisse Centrale Desjardins, 1.6%, \$1,000,000 par, due 3/6/2017		1,013,708	1,012,500		
Capital Auto Receivables Asset Trust 2013-2, 0.92%, \$275,000 par, due 9/20/2016		274,966	275,542		
Capital Auto Receivables Asset Trust, 0.62%, \$1,000,000 par, due 7/20/2016		999,941	999,820		
Capital Auto Receivables Asset Trust, 0.85%, \$815,000 par, due 2/21/2017		814,904	814,071		
CarMax Auto Owner Trust 2013-4, 0.8%, \$500,000 par, due 7/16/2018		499,958	499,250		
CenterPoint Energy Transition, 2.16%, \$550,000 par, due 10/15/2021		550,009	547,014		
Chase Issuance Trust, 0.22%, \$650,000 par, due 4/15/2019		643,901	644,573		
Citigroup Commercial Mortgage Trust 2013-GC11, 2.69%, \$1,000,000 par, due 12/10/2022		1,028,948	970,990		
City of Bloomington MN, 1.25%, \$600,000 par, due 2/1/2014		600,000	600,324		
City of West University Place TX, 1.45%, \$500,000 par, due 2/1/2019		499,995	473,915		
CNH Equipment Trust 2012-B, 0.86%, \$900,000 par, due 12/15/2015		899,982	902,583		
COMM 2006-C8 Mortgage Trust, 5.29%, \$503,079 par, due 12/10/2046		557,455	551,631		
Cook County Township High School District No 225 Northfield, 5.24%, \$75,000 par, due 12/1/2014		75,475	75,683		
County of Baltimore MD, 1.61%, \$800,000 par, due 8/1/2019		802,645	770,128		
County of Collin TX, 2.16%, \$520,000 par, due 2/15/2020		520,000	493,605		
County of Travis TX, 1.07%, \$450,000 par, due 3/1/2017		450,000	444,281		
County of Williamson TX, 1.82%, \$550,000 par, due 2/15/2020		550,000	509,911		
Credit Suisse First Boston Mortgage Securities Corp, 5.1%, \$800,000 par, due 8/15/2038		859,062	839,816		
Credit Suisse First Boston Mortgage Securities Corp, 5.11%, \$400,483 par, due 7/15/2036		428,047	405,189		
DBRR 2012 - EZ1 A, 0.95%, \$356,315 par, due 9/25/2045		356,699	356,333		
Discover Card Execution Note Trust, 0.81%, \$800,000 par, due 8/15/2017		799,882	802,800		
DNB Boligkredit AS, 2.1%, \$650,000 par, due 10/14/2015		649,784	666,575		
Dragon 2012 LLC, 1.97%, \$663,985 par, due 3/12/2024		663,985	644,138		
El Paso Independent School District, 4%, \$500,000 par, due 8/15/2019		556,955	538,290		
Fannie Mae Grantor Trust 2003-T3, 4.63%, \$484,462 par, due 5/25/2033		489,253	483,318		
Fannie Mae Pool, 1.76%, \$557,877 par, due 6/1/2036		570,347	584,659		
Fannie Mae Pool, 1.93%, \$262,620 par, due 10/1/2033		266,102	278,512		
Fannie Mae Pool, 1.93%, \$400,946 par, due 11/1/2033		406,291	424,409		
Fannie Mae Pool, 1.93%, \$529,874 par, due 9/1/2033		536,874	563,742		
Fannie Mae Pool, 2.12%, \$587,261 par, due 6/1/2042		602,590	603,745		
Fannie Mae Pool, 2.31%, \$703,818 par, due 9/1/2042		724,940	713,622		
Fannie Mae Pool, 2.34%, \$110,535 par, due 4/1/2033		112,282	117,544		
Fannie Mae Pool, 2.38%, \$73,477 par, due 5/1/2033		74,454	75,077		
Fannie Mae Pool, 2.48%, \$196,614 par, due 1/1/2037		198,414	210,352		
Fannie Mae Pool, 2.48%, \$547,661 par, due 3/1/2042		560,356	567,968		
Fannie Mae Pool, 2.5%, \$370,684 par, due 8/1/2022		385,328	378,438		
Fannie Mae Pool, 2.5%, \$384,287 par, due 8/1/2023		390,094	392,330		
Fannie Mae Pool, 2.5%, \$387,652 par, due 9/1/2023		392,342	395,770		
Fannie Mae Pool, 2.5%, \$634,309 par, due 5/1/2022		649,366	647,566		
Fannie Mae Pool, 2.5%, \$643,480 par, due 7/1/2022		669,657	656,935		
Fannie Mae Pool, 2.5%, \$647,049 par, due 8/1/2022		667,665	660,578		
Fannie Mae Pool, 2.5%, \$795,691 par, due 8/1/2023		818,411	812,345		
Fannie Mae Pool, 2.5%, \$851,411 par, due 1/1/2028		888,084	843,612		
Fannie Mae Pool, 2.5%, \$992,778 par, due 12/1/2022		1,025,951	1,013,567		
Fannie Mae Pool, 2.54%, \$717,825 par, due 3/1/2037		726,384	761,821		
Fannie Mae Pool, 2.67%, \$477,131 par, due 11/1/2041		490,162	496,827		
Fannie Mae Pool, 2.97%, \$1,142,524 par, due 11/1/2041		1,170,090	1,202,655		
Fannie Mae Pool, 3%, \$1,305,483 par, due 9/1/2027		1,386,275	1,321,318		
Fannie Mae Pool, 3%, \$485,757 par, due 11/1/2021		501,673	503,725		
Fannie Mae Pool, 3%, \$529,061 par, due 12/1/2021		539,036	548,731		
Fannie Mae Pool, 3%, \$903,098 par, due 10/1/2021		915,667	936,612		
Fannie Mae Pool, 3.12%, \$1,246,326 par, due 2/1/2041		1,275,571	1,316,046		
Fannie Mae Pool, 3.5%, \$1,093,299 par, due 9/1/2021		1,122,270	1,149,123		
Fannie Mae Pool, 3.5%, \$2,202,535 par, due 12/1/2020		2,256,328	2,315,085		
Fannie Mae Pool, 3.5%, \$411,075 par, due 3/1/2021		421,384	432,081		
Fannie Mae Pool, 3.5%, \$801,490 par, due 7/1/2021		818,487	842,350		
Fannie Mae Pool, 3.5%, \$858,834 par, due 9/1/2032		923,510	874,542		
Fannie Mae Pool, 4.76%, \$3,100,107 par, due 4/1/2016		3,151,423	3,322,261		
Fannie Mae Pool, 5.25%, \$191,646 par, due 9/1/2014		192,039	191,679		
Fannie Mae Pool, 5.25%, \$2,630,626 par, due 5/1/2015		2,637,653	2,694,313		
Fannie Mae REMIC Trust 2003-W10, 3.09%, \$188,104 par, due 6/25/2043		186,575	197,501		
Fannie Mae REMICS, 4.5%, \$195,144 par, due 2/25/2035		193,631	200,494		
Fannie Mae Trust 2004-W1, 7%, \$451,423 par, due 12/25/2033		473,834	513,119		
Federal National Mortgage Association, 0.88%, \$1,775,000 par, due 8/28/2017		1,774,614	1,752,493		
FH 7/1 HYB 2.91 FEB, 2.91%, \$825,000 par, due 2/1/2044		844,207	835,921		
FHLMC K710 A2 Multifam, 1.88%, \$2,575,000 par, due 5/25/2019		2,614,791	2,520,359		
FHLMC Multifamily Structured Pass Through Certificates, 2.32%, \$600,000 par, due 11/25/2044		608,466	606,078		
FN 7/1 HYB 2.85 FEB, 2.85%, \$825,000 par, due 2/1/2044		840,727	835,234		
Ford Credit Auto Lease Trust 2013-A, 0.6%, \$425,000 par, due 3/15/2016		424,969	424,987		
Ford Credit Auto Owner Trust 2012-B, 0.72%, \$770,901 par, due 12/15/2016		770,802	772,150		
Ford Credit Auto Owner Trust 2013-C, 0.82%, \$900,000 par, due 12/15/2017		899,863	903,267		
Freddie 15-Yr 3.0 GIANT, 3%, \$1,290,532 par, due 9/1/2027		1,370,387	1,317,156		
Freddie Mac Gold Pool, 2.5%, \$853,185 par, due 1/1/2028		889,839	846,505		
Freddie Mac Gold Pool, 3%, \$1,033,494 par, due 5/1/2027		1,080,676	1,054,442		
Freddie Mac Gold Pool, 3%, \$1,530,014 par, due 6/1/2027		1,598,651	1,545,559		
Freddie Mac Gold Pool, 3%, \$411,357 par, due 4/1/2027		428,185	419,395		
Freddie Mac Gold Pool, 3%, \$865,197 par, due 6/1/2027		903,756	873,892		
Freddie Mac Gold Pool, 3.5%, \$381,785 par, due 10/1/2020		390,147	400,988		

(Continued)

**J.M. HUBER CORPORATION
401(K) SAVINGS PLAN**

Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)
December 31, 2013

Name of issuer	Credit rating	Investment at cost	Investment at fair value	Contract value	Adjustment from fair value to contract value
Freddie Mac Non Gold Pool, 2.21%, \$752,633 par, due 1/1/2043		775,898	754,041		
Freddie Mac Non Gold Pool, 2.38%, \$549,854 par, due 7/1/2042		566,605	568,389		
Freddie Mac Non Gold Pool, 2.42%, \$1,309,082 par, due 7/1/2042		1,345,789	1,330,590		
Freddie Mac Non Gold Pool, 2.48%, \$1,103,029 par, due 9/1/2042		1,136,409	1,120,755		
Freddie Mac Non Gold Pool, 2.49%, \$536,906 par, due 2/1/2042		548,768	557,185		
Freddie Mac Non Gold Pool, 2.53%, \$5,064 par, due 9/1/2031		5,075	5,137		
Freddie Mac Non Gold Pool, 2.53%, \$6,303 par, due 8/1/2031		6,318	6,374		
Freddie Mac Non Gold Pool, 2.59%, \$594,217 par, due 8/1/2042		613,716	606,280		
Freddie Mac Non Gold Pool, 2.77%, \$475,633 par, due 11/1/2041		486,188	490,705		
Freddie Mac Non Gold Pool, 3.65%, \$543,163 par, due 9/1/2040		555,197	569,387		
Freddie Mac REMICS, 4%, \$1,014,491 par, due 8/15/2018		1,025,075	1,065,419		
GE Capital Credit Card Master Note Tr, 0.95%, \$775,000 par, due 6/15/2018		774,850	777,899		
GE Dealer Floorplan Master Note Trust, 0.66%, \$900,000 par, due 6/22/2015		900,000	902,412		
GE Dealer Floorplan Master Note Trust, 0.77%, \$175,000 par, due 7/20/2016		175,000	175,236		
GE Equipment Midticket LLC Series 2013-1, 0.95%, \$805,000 par, due 4/22/2016		804,984	806,843		
GE Equipment Transportation LLC Series 2012-1, 0.99%, \$379,953 par, due 11/23/2015		379,931	380,785		
GE Equipment Transportation LLC Series 2012-2, 0.62%, \$750,000 par, due 7/25/2016		749,910	749,940		
GE Equipment Transportation LLC Series 2013-2, 0.92%, \$760,000 par, due 9/25/2017		759,964	759,650		
Ginnie Mae II pool, 1.5%, \$1,577,481 par, due 6/20/2058		1,538,400	1,604,015		
Ginnie Mae II pool, 1.63%, \$353,098 par, due 5/20/2034		354,087	365,665		
Ginnie Mae II pool, 2.5%, \$1,241,070 par, due 11/20/2041		1,271,739	1,302,603		
Ginnie Mae II pool, 2.5%, \$355,911 par, due 12/20/2041		365,691	367,649		
Ginnie Mae II pool, 4.3%, \$928,005 par, due 12/20/2060		974,835	995,962		
Ginnie Mae II pool, 4.81%, \$929,643 par, due 11/20/2060		1,003,595	1,009,500		
Ginnie Mae II pool, 5.5%, \$1,331,741 par, due 5/20/2060		1,440,554	1,477,327		
Ginnie Mae II pool, 5.74%, \$1,153,131 par, due 5/20/2058		1,166,649	1,213,024		
Ginnie Mae II pool, 5.85%, \$720,800 par, due 7/20/2058		728,204	758,087		
Ginnie Mae II pool, 6.14%, \$1,942,756 par, due 10/20/2058		1,978,884	2,096,642		
GS Mortgage Securities Corp II Series 2005-GG4, 4.75%, \$863,690 par, due 7/10/2039		907,101	894,731		
Hashemite Kingdom of Jordan Government AID, 2.5%, \$675,000 par, due 10/30/2020		675,000	661,122		
Helios Leasing I LLC, 1.73%, \$407,756 par, due 7/24/2024		407,756	389,390		
Honda Auto Receivables 2013-4 Owner Trust, 0.69%, \$700,000 par, due 9/18/2017		699,886	700,546		
HSBC Bank PLC, 1.63%, \$850,000 par, due 7/7/2014		849,791	855,253		
Huntington Auto Trust 2012-1, 0.81%, \$816,841 par, due 9/15/2016		816,746	818,850		
Hyundai Auto Receivables Trust 2013-C, 1.01%, \$800,000 par, due 2/15/2018		799,868	804,656		
Indiana Housing & Community Development Authority, 5.69%, \$95,000 par, due 7/1/2037		94,873	95,180		
John Deere Owner Trust 2012, 0.75%, \$579,385 par, due 3/15/2016		579,353	580,202		
Johnson & Johnson, 5.15%, \$400,000 par, due 7/15/2018		455,706	455,160		
JP Morgan Chase Commercial Mortgage Securities Trust 2006-LDP7, 5.86%, \$626,020 par, due 4/15/2045		692,763	687,507		
JP Morgan Chase Commercial Mortgage Securities Trust 2012-C8, 2.38%, \$825,000 par, due 4/15/2022		839,308	794,756		
JP Morgan Chase Commercial Mortgage Securities Trust 2013-LC11, 2.55%, \$825,000 par, due 4/15/2046		849,287	793,477		
Kentucky Higher Education Student Loan Corp, 0.79%, \$700,000 par, due 9/1/2028		695,376	700,196		
King International Leasing LLC, 2.75%, \$719,344 par, due 10/15/2022		719,344	734,048		
LB-UBS Commercial Mortgage Trust 2006-C6, 5.37%, \$725,000 par, due 9/15/2039		798,917	790,830		
M&T Bank Auto Receivables Trust 2013-1, 1.06%, \$800,000 par, due 11/15/2017		799,990	804,688		
Massachusetts Health & Educational Facilities Authority, 5.26%, \$1,000,000 par, due 10/1/2018		1,000,000	1,138,530		
Mercedes-Benz Auto Receivables Trust 2013-1, 0.78%, \$225,000 par, due 8/15/2017		224,993	225,430		
MMAF Equipment Finance LLC 2012-A, 0.94%, \$975,000 par, due 4/10/2015		974,917	976,433		
Morgan Stanley Capital I Trust 2005-HQ5, 5.17%, \$769,526 par, due 1/14/2042		835,693	788,403		
Morgan Stanley Capital I Trust 2005-IQ10, 5.23%, \$741,302 par, due 9/15/2042		799,844	780,043		
Morgan Stanley Capital I Trust 2007-IQ13, 5.36%, \$625,000 par, due 3/15/2044		691,911	688,188		
National Australia Bank Ltd, 2%, \$600,000 par, due 6/20/2017		599,362	611,160		
National Bank of Canada, 2.2%, \$250,000 par, due 10/19/2016		249,926	258,025		
NCUA Guaranteed Notes, 1.4%, \$650,000 par, due 6/12/2015		649,667	660,030		
New York Life Global Funding, 1.65%, \$500,000 par, due 5/15/2017		499,429	500,550		
New York State Urban Development Corp, 1.33%, \$500,000 par, due 3/15/2016		497,065	504,235		
Nissan Auto Receivables 2013-B Owner Trust, 0.84%, \$550,000 par, due 11/15/2017		549,892	552,453		
Overseas Private Investment Corp, 3.37%, \$848,453 par, due 5/15/2021		848,453	886,023		
Pearland Independent School District, 2%, \$500,000 par, due 2/15/2021		500,650	467,055		
Petroleos Mexicanos, 1.95%, \$315,000 par, due 12/20/2022		315,000	309,617		
Porsche Innovative Lease Owner Trust, 0.7%, \$400,000 par, due 8/22/2016		399,938	399,808		
Princeton University, 4.95%, \$480,000 par, due 3/1/2019		552,688	542,803		
Royal Bank of Canada, 1.13%, \$350,000 par, due 7/22/2016		349,850	349,955		
Royal Bank of Canada, 3.13%, \$550,000 par, due 4/14/2015		555,912	568,535		
Santander Drive Auto Receivables Tr., 1.11%, \$400,000 par, due 12/15/2017		399,963	401,984		
SBAP 2012-20F, 2.42%, \$793,380 par, due 6/13/2032		793,380	753,719		
SLC Student Loan Trust 2008-2, 0.69%, \$211,498 par, due 6/15/2017		211,498	211,596		
SLC Student Loan Trust 2010-1, 1.11%, \$871,978 par, due 11/25/2042		871,978	885,598		
SLM Student Loan Trust 2005-8, 0.99%, \$2,700,000 par, due 1/25/2028		2,705,014	2,698,974		
SLM Student Loan Trust 2012-7, 0.32%, \$495,863 par, due 2/27/2017		495,707	495,426		
Small Business Administration Participation Certificates, 5.17%, \$2,288,868 par, due 1/1/2028		2,216,852	2,481,064		
Societe de Financement de l'Economie Francaise, 2.88%, \$475,000 par, due 9/22/2014		474,610	483,645		
Societe de Financement de l'Economie Francaise, 3.38%, \$105,000 par, due 5/5/2014		104,970	106,071		
State of Georgia, 1.65%, \$500,000 par, due 7/1/2018		501,295	492,860		
State of Maryland, 1.4%, \$550,000 par, due 8/1/2017		548,464	548,851		
State of Tennessee, 2.33%, \$400,000 par, due 8/1/2017		419,636	410,944		
State Street Short Term Investment Fund, \$2,610,612 par		2,610,612	2,610,612		
Student Loan Corp, 0.86%, \$773,722 par, due 7/25/2036		776,899	770,443		
Swarthmore Borough Authority, 1.53%, \$420,000 par, due 9/15/2015		420,000	423,515		
Toronto-Dominion Bank/The, 1.5%, \$1,050,000 par, due 3/13/2017		1,062,025	1,061,235		
Toyota Auto Receivables 2013-B Owner Trust, 0.89%, \$800,000 par, due 7/17/2017		799,965	803,720		
United States Small Business Administration, 4.73%, \$1,864,816 par, due 2/10/2019		889,019	1,005,831		
United States Small Business Administration, 5.46%, \$851,035 par, due 2/10/2017		853,348	921,033		
United States Small Business Administration, 5.94%, \$1,595,009 par, due 8/10/2018		1,595,009	1,756,424		
United States Treasury Inflation Indexed Bonds, 0.13%, \$3,258,000 par, due 1/15/2022		3,391,283	3,230,992		
United States Treasury Note/Bond, 0.63%, \$1,625,000 par, due 11/15/2016		1,625,815	1,620,044		
United States Treasury Note/Bond, 0.63%, \$1,625,000 par, due 12/15/2016		1,617,846	1,618,403		
United States Treasury Note/Bond, 1.25%, \$1,625,000 par, due 11/30/2018		1,609,848	1,590,209		
United States Treasury Note/Bond, 1.5%, \$1,625,000 par, due 8/31/2018		1,630,604	1,616,745		
United States Treasury Note/Bond, 1.75%, \$3,300,000 par, due 10/31/2020		3,236,380	3,165,954		
United States Treasury Note/Bond, 2.13%, \$1,625,000 par, due 8/31/2020		1,602,079	1,604,184		
United States Treasury Note/Bond, 2.25%, \$3,400,000 par, due 7/31/2018		3,490,007	3,504,924		
United States Treasury Note/Bond, 8.88%, \$475,000 par, due 2/15/2019		580,248	642,143		
University of Texas System/The, 3.81%, \$350,000 par, due 8/15/2018		383,461	373,779		
US Department of Housing and Urban Development, 2.2%, \$1,850,000 par, due 8/1/2015		1,850,000	1,903,613		
US Department of Housing and Urban Development, 4.48%, \$2,000,000 par, due 8/1/2016		2,000,000	2,194,320		
Virginia Housing Development Authority, 6%, \$576,996 par, due 3/25/2038		575,748	597,208		

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J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Financial Statements and Supplemental Schedules

December 31, 2013 and 2012

(With Independent Auditors' Report Thereon)

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

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KPMG LLP
New Jersey Headquarters
51 John F. Kennedy Parkway
Short Hills, NJ 07078-2702

Independent Auditors' Report

The Administrative Committee
J.M. Huber Corporation 401(k) Savings Plan:

Report on the Financial Statements

We have audited the accompanying financial statements of the J.M. Huber Corporation 401(k) Savings Plan (the Plan), which comprise the statements of net assets available for plan benefits as of December 31, 2013 and 2012, and the related statement of changes in net assets available for plan benefits for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for plan benefits of the Plan as of December 31, 2013 and 2012, and the changes in net



assets available for plan benefits for the year ended December 31, 2013, in accordance with accounting principles generally accepted in the United States of America.

Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental Schedule H, Line 4(i) – Schedule of Assets (Held at End of Year) as of December 31, 2013 and Schedule H, Line 4(a) – Schedule of Delinquent Participant Contributions for the year ended December 31, 2013, are presented for the purpose of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

KPMG LLP

September 30, 2014

J.M. HUBER CORPORATION
401(K) SAVINGS PLAN

Statements of Net Assets Available for Plan Benefits

December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
Assets:		
Investment, at fair value:		
Short-term investments	\$ 15,246,361	15,372,000
Separate account-common stock	39,930,617	30,942,744
Mutual funds	166,111,124	141,259,902
Common/collective trust fund	128,699,492	93,822,488
Stable value fund		
Investments	162,517,794	177,084,010
Wrap contracts	<u>21,823</u>	<u>21,072</u>
Total investments, at fair value	<u>512,527,211</u>	<u>458,502,216</u>
Receivables:		
Receivable for investments sold	436,689	18,033
Dividends and interest receivable	83,329	146,756
Employer contribution receivable	7,847,710	6,777,419
Notes Receivable from participants	<u>8,905,044</u>	<u>8,417,827</u>
Total receivables	<u>17,272,772</u>	<u>15,360,035</u>
Total assets	529,799,983	473,862,251
Liabilities:		
Accrued investment fees	<u>372,715</u>	<u>438,724</u>
Total liabilities	<u>372,715</u>	<u>438,724</u>
Net assets available for plan benefits before adjustment	<u>529,427,269</u>	<u>473,423,527</u>
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	<u>(3,810,465)</u>	<u>(10,414,345)</u>
Net assets available for plan benefits	<u>\$ 525,616,804</u>	<u>463,009,182</u>

See accompanying notes to financial statements.

J.M. HUBER CORPORATION
401(K) SAVINGS PLAN

Statement of Changes in Net Assets Available for Plan Benefits

Year Ended December 31, 2013

Additions:

Additions to net assets attributed to:

Investment income:

Net appreciation in fair value of investments	\$ 61,648,944
Interest	4,552,284
Dividends	<u>7,883,580</u>
Total Investment Income	<u>74,084,808</u>

Less investment expenses	<u>(335,099)</u>
Net Investment Income	73,749,709

Interest income on notes receivable from participants	814,514
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Contributions:

Participants	10,156,993
Employer	13,886,687
Rollovers	<u>1,745,315</u>
Total contributions	<u>25,788,996</u>

Total additions	<u>100,353,219</u>
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Deductions:

Deductions from net assets attributed to:

Benefits paid to participants	37,161,814
Administrative expenses	<u>583,784</u>
Total deductions	<u>37,745,598</u>

Net increase	62,607,621
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Net assets available for plan benefits:

Beginning of year	<u>463,009,182</u>
End of year	\$ <u><u>525,616,804</u></u>

See accompanying notes to financial statements.

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

(1) Description of the Plan

The following brief description of the J.M. Huber Corporation 401(k) Plan (the Plan) is provided for general information purposes only. Participants should refer to the plan document for more complete information.

(a) General

The Plan is a defined contribution plan sponsored by J.M. Huber Corporation (the Company) covering substantially all employees of the Company and its U.S. affiliates. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). The Plan generally defines an employee as any person employed by the Company or any affiliated or participating company.

Eligible employees may enroll in the Plan at any time after beginning employment. Participation by employees in the nonelective contribution feature of the Plan begins on the January 1 that coincides with or the next January 1 following his or her date of employment.

(b) Administration

The Administrative Committee is responsible for administering plan operations and monitoring plan investments and third-party service providers. The Administrative Committee is appointed by the board of directors of the Company.

(c) Contributions

Under the Plan, eligible employees may elect to contribute from 1% to 75% of their eligible compensation on a before-tax basis up to Internal Revenue Service (IRS) limits.

The Company will match 100% of a participant's elective contributions up to the first 5% of eligible compensation (Company matching contributions). Additionally, the Company will annually contribute 5% of an employee's eligible compensation for the year to the employee's nonelective contribution account (Nonelective contribution). For 2013, eligible compensation consisted of all salaries, wages, and bonuses up to a maximum aggregate compensation by law of \$255,000. Contributions due from the Company were \$7,847,710 and \$6,777,419 at December 31, 2013 and 2012, respectively.

Employees have the option of rolling over their accumulated plan benefits from their predecessor employers' tax qualified pension, profit sharing, and 401(k) plans into the Plan.

As dictated by the IRS, the maximum combined participant and employer contributions to a participant's account for a plan year are limited to the lesser of \$51,000 for 2013 or 100% of the participant's annual compensation. The IRS has limited a participant's annual tax-deferred contribution to \$17,500 for 2013.

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

Participants who are age 50 or over at the end of the plan year may make annual catch-up contributions. Catch-up contributions up to \$5,500 in 2013 are permitted as defined by the IRS. A participant can make catch-up contributions for a year up to the lesser of the catch-up contribution limits or the excess of participant's compensation over the elective deferral contributions that are not catch-up contributions.

(d) *Investment Options*

Each participant may direct his or her contributions to the funds within the Plan as discussed in note 6.

(e) *Participant Accounts*

Each participant's account is credited with the participant's contributions, allocations of Company contributions, and the Plan's earnings or losses (net of plan expenses). The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account balance.

The Plan allows participants to change their investment elections prospectively and to transfer their funds between investment accounts on any business day. The Plan also allows participants to change their contribution percentages daily. Participants may begin or cease their contributions at any time.

(f) *Vesting*

Participants' contributions and earnings thereon are fully vested at all times.

Participants hired prior to January 1, 2000 are 100% vested in the Company's contributions.

For employees hired after December 31, 1999, the number of years of vesting service that a participant completes will determine their vested percentage of the Company contributions made to the Plan, and the earnings on this money. Vesting service is based on years of service. The vested percentage of Company contributions will be determined according to the following schedule.

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

If employment is terminated with the Company, the portion of Company contributions that is vested is determined according to the following schedule:

Full years of vesting service	Vested portion of Company contributions	
	Terminating on or after December 31, 2007	Terminating before December 31, 2007
Less than 2	—%	—%
2	20	—
3	50	50
4	60	50
5 or more	100	100

In addition to the above vesting criteria, participants who while employed by the Company reach age 65 or die or are disabled are automatically 100% vested. Any forfeitures that occur shall be applied to reduce future contributions, if any, by the Company. Participants will be 100% vested at all times in their elective and rollover contributions and Company matching contributions.

Under the plan document, a participant who terminates employment is entitled to have his or her entire vested account balance paid in a lump-sum payment. A participant who has attained at least age 62 and has 30 years of vesting service (as defined in the Plan), or has 10 years of vesting service and is at least 55, also may elect installment payments on termination of employment. Participants who elect installment payments must meet the minimum distribution requirements of the Internal Revenue Code of 1986, as amended (the Code or IRC). Upon termination of employment, a participant may continue to have his or her plan accounts participate in the Plan's investment performance until the accounts are completely distributed. The beneficiary or beneficiaries of a deceased participant receive the full benefits of the participant.

The Plan is subject to change. No change, however, may be made to the Plan that, when applied retroactively, shall negatively affect the interest of any participant in the Plan.

(g) Forfeitures

Employees who terminate their employment with the Company or its affiliates forfeit their nonvested portion of Company's contributions and earnings thereon. At December 31, 2013 and 2012, forfeited nonvested accounts totaled \$109,186 and \$53,535, respectively, for the Plan. Such forfeitures are applied to reduce future employer profit sharing contributions. During 2013 and 2012, employer contributions were reduced by \$58,587 and \$348,000, respectively, from forfeited nonvested accounts.

(h) Notes Receivable from Participants

Participants may borrow from their plan accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000, or 50%, of their account balances. Personal loans can be repaid in 1 to 5 years,

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

while primary residence loans can only be repaid over 6-, 10-, or 15-year terms. The balances in the participants' accounts secure loans and bear interest at a fixed-interest rate determined at the loan date based on the prime rate as published in the *Wall Street Journal* on the last business day of such month plus 2%. Principal and interest are repaid through periodic payroll deductions.

The outstanding balance of any active participant loan that is deemed to be in default because of missed payments will automatically become taxable income to the participants and must be reported to the IRS at the end of the tax year. The amount taxable will include both the remaining outstanding principal and any accrued interest that is due when the loan defaults.

The interest rates on notes receivables from participants ranged from 4% to 11.5% at December 31, 2013 and 2012, respectively, and mature through May 2027. Receivables from participants amounted to \$8,905,044 and \$8,417,827 at December 31, 2013 and 2012, respectively.

(i) Payment of Benefits

The Plan disburses funds for participant in-service withdrawals and terminations of participation as a result of the following: retirement, death, long-term disability, or termination of employment with the Company. The payment can take one of the three forms: lump-sum payments, rollovers, or periodic distributions. Vested benefits of \$1,000 or less at the time of termination will automatically be paid out as a lump sum.

A participant may elect to receive a hardship distribution of his or her vested account balance. A distribution will be made on account of hardship if it is necessary in light of a participant's immediate and heavy financial need when there are no other resources reasonably available to the participant. A distribution based upon financial hardship cannot exceed the amount required to meet the immediate financial need.

(2) Summary of Significant Accounting Policies

(a) Basis of Accounting

The accompanying financial statements of the Plan have been prepared on the accrual basis of accounting.

Investment contracts held by a defined contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The statements of net assets available for plan benefits present the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts for fair value to contract value. The statement of changes in net assets available for plan benefits is prepared on a contract-value basis.

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

(b) *Use of Estimates*

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Administrative Committee of the Plan to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates and assumptions.

(c) *Valuation of Investments and Income Recognition*

The assets of the Plan are held with the State Street Bank & Trust Company (State Street). The investment fund options are valued in unit values that represent participation in the funds and include earnings from realized and unrealized appreciation and depreciation of the funds' investments, accruals for asset transfers, less investment-related fees, and expenses charged to the funds.

The following describes the valuation for the assets held as of December 31, 2013 and 2012. Investments in common stocks and mutual funds are stated at fair value. The fair values of marketable common stocks and mutual funds are based on quotations obtained from national securities exchanges. Investments in common/collective funds are valued at their aggregate unit value as established by the fund trustee using quoted market prices from national exchanges and reported to the Plan.

Investment contracts held by a defined contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

The Plan's Stable Value Fund (also referred to as the Guaranteed Investment Contracts) holds investment contracts that are all deemed to be fully benefit responsive as of December 31, 2013 and 2012. The statements of net assets available for plan benefits present the fair value of the Stable Value Fund as well as the amount necessary to adjust this fair value to contract value. The statement of changes in net assets available for plan benefits is prepared on the contract-value basis.

Purchases and sales of investments are recorded on the trade-date basis. Interest income is accrued when earned. Dividends are recorded on the ex-dividend date. Capital gain distributions are included in dividend income. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

(d) *Notes Receivable from Participants*

Participant loans are classified as notes receivable from participants, and measured at their unpaid principal balance plus any accrued but unpaid interest. The Form 5500 presents notes receivable from participants as an investment.

(e) *Payment of Benefits*

Benefits are recorded when paid.

J.M. HUBER CORPORATION
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Notes to Financial Statements

December 31, 2013 and 2012

(f) Administrative Expenses

For the 2013 and 2012 plan years, the trustee and record-keeping expenses incurred were paid for by the Plan. The Plan sponsor retains the option to pay the trustee and record-keeping expenses.

(3) Parties in Interest

Members of the Administrative Committee are members of the Plan. Certain plan investments are shares of a commingled fund managed by State Street Global Advisors, an affiliate of State Street. State Street is the trustee as defined by the Plan. The transactions with these parties qualify as party-in-interest transactions. Fees paid by the Plan for the investment management and trustee services amounted to \$197,052 for the year ended December 31, 2013.

(4) Fair Value Measurements

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, *Fair Value Measurement*, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs to the valuation methodology include the following:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability; and
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

Money market funds and equity securities – Valued at the closing price reported on the active market on which the individual securities are traded.

Mutual funds – Valued at the net asset value (NAV) of shares held by the Plan at year-end.

Common/collective trust fund – Common collective trust funds are valued at the net asset value (NAV) of shares held by the Plan at year end as determined by the issuer. The NAV is principally derived using the market approach as the assets and liabilities are valued at fair market value. The trustee uses third-party pricing services to determine valuations, using methods based on market transactions and prices for comparable securities. A variety of third party pricing vendor services as well as certain broker quotations are used to assist in valuing portfolio securities.

Guaranteed investment contract: Valued at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the credit worthiness of the issuer.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan's management believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date. The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Short-term investments	\$ 15,246,361	—	—	15,246,361
Separate account – common stock	39,930,617	—	—	39,930,617
Mutual funds:				
Balanced	76,540,189	—	—	76,540,189
Fixed	20,958,713	—	—	20,958,713
Equities	68,612,222	—	—	68,612,222
Common/collective trust fund	—	128,699,492	—	128,699,492
Stable value fund:				
Investments	—	162,517,794	—	162,517,794
Wrap contracts	—	—	21,823	21,823
	<u>\$ 221,288,102</u>	<u>291,217,286</u>	<u>21,823</u>	<u>512,527,211</u>

J.M. HUBER CORPORATION
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Notes to Financial Statements

December 31, 2013 and 2012

There were no transfers among fair value levels during the year.

The following is a reconciliation of Level 3 assets for which unobservable inputs were used to determine fair value:

Wrap Contracts

Balance as of 12/31/12	21,072
Unrealized appreciation	<u>751</u>
Balance as of 12/31/13	<u>\$21,823</u>

The Level 3 fair value was based on discounted cash flows. Unobservable inputs included replacement contract fees estimated to be 23 basis points.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2012:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
Short-term investments	\$ 15,372,000	—	—	15,372,000
Separate account – common stock	30,942,744	—	—	30,942,744
Mutual funds:				
Balanced	63,443,769	—	—	63,443,769
Fixed	33,955,275	—	—	33,955,275
Equities	43,860,858	—	—	43,860,858
Common/collective trust fund	—	93,822,488	—	93,822,488
Stable value fund:				
Investments	—	177,084,010	—	177,084,010
Wrap contracts	—	—	21,072	21,072
	<u>\$ 187,574,646</u>	<u>270,906,498</u>	<u>21,072</u>	<u>458,502,216</u>

There are no restrictions to the Plan to redeem its investment in relation to the common and collective trust.

(5) Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for plan benefits.

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

The Plan invests in securities with contractual cash flows, such as asset-backed securities, collateralized mortgage obligations, and commercial mortgage-backed securities, including securities backed by subprime mortgage loans. The value, liquidity, and related income of these securities are sensitive to changes in economic conditions, including real estate value, delinquencies or defaults, or both, and may be adversely affected by shifts in the market's perception of the issuers and changes in interest rates.

(6) Investments

The following description of the Plan's investments relates to the investment options that are available to the Plan's participants. The Plan has ten investment fund options as follows:

PIMCO Global Bond Fund – Invests in U.S. government, corporate, and mortgage bonds, as well as asset-backed securities. Funds also are invested in bonds of select foreign governments and corporations. The assets of the Global Bond Fund are managed by Pacific Investment Management Company in a mutual fund.

Vanguard Balanced Fund – Invests in a mixture of stocks, bonds, and cash consisting of an approximate 60%/40% split between stocks and bonds. The assets of the Balanced Fund are managed by Vanguard Investment Advisors (Vanguard) in a mutual fund.

State Street S&P 500 Index Fund, a Common/Collective Trust Fund – Invests in 500 large companies representing a broad range of sectors and industries that comprise the S&P 500 Index. The assets of the S&P 500 Index Fund are managed by State Street Global Advisors in a common/collective trust fund.

Vanguard Large-Cap Value Fund – Invests in the stocks of large companies primarily based in the United States that have above-average income yields and below-average price/earnings ratios relative to the overall stock market. The assets of the Large-Cap Value Fund are managed by Vanguard in a mutual fund.

Wellington Large-Cap Growth Fund, a Common/Collective Trust Fund – Invests in the stocks of large companies primarily based in the United States that have higher projected earnings growth rates than the market overall and that trade at reasonable valuations. The assets of the Large-Cap Growth Fund are managed by Wellington Management Company.

State Street Global Equity ex. U.S. Index Fund, a Common/Collective Trust Fund – The fund is managed using a passive or indexing investment approach, by which State Street attempts to match, before expenses, the performance of the Index, which consists of the stocks of companies in developed and emerging countries excluding the United States, in funds are invested in stocks of companies based outside of the United States, in the same proportions as they are represented in the Index.

Small Cap Value Fund, a Separately Managed Common Stock Portfolio – Invests in the stocks of small-sized companies based primarily in the United States whose share price is perceived to be undervalued compared with the market. The assets of the Small Cap Value Fund are managed by Wellington Management Company, LLC.

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Notes to Financial Statements

December 31, 2013 and 2012

Morgan Stanley Small Cap Growth Fund – Invests in the stocks of small-sized companies that have strong fundamentals and the potential to maintain their high growth rates. The assets of the Small Cap Growth Fund are managed by Morgan Stanley Investment Management Inc.

State Street Global Advisors Target Retirement Funds – Represent Class C units of ownership in the State Street Global Advisors (SSgA) Target Retirement Non-Lending Series Funds. Each Fund seeks to achieve its objective by investing in a set of underlying collective investment funds representing various asset classes. Each Fund (other than the Target Retirement Income Fund) is managed to a specific retirement year (target date) included in its name. These Funds are designed for the participant to choose the single Fund with the year closest to when he or she expects to retire. The investment mix and risk exposure in each Target Retirement Fund will shift to become more conservative as the participant ages. Once a Fund reaches its target retirement date, it will begin a five-year transition period to the SSgA Target Retirement Income Fund resulting at the end of that five-year period in an allocation to stocks and real estate that will remain fixed at approximately 35% of assets. The remainder of the fund will be invested in fixed-income securities.

Galliard Stable Value Fund (the Fund) – The Fund is stated at fair value and adjusted to contract value as reported to the Plan by State Street. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals, and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value. The interest rate credited to the participants' accounts each calendar year was determined through weighted average yield of all investments in the fund less management fees. The crediting interest rate may be fixed or reset semiannually, quarterly, or monthly.

	<u>2013</u>	<u>2012</u>
Average yields:		
Based on actual earnings	1.52%	1.12%
Based on interest rated credited to participants	2.34	3.14

The existence of certain conditions can limit the Fund's ability to transact at contract value with the issuers of its investment contracts. Specifically, any event outside the normal operation of the Fund that causes a withdrawal from an investment contract may result in a negative market value adjustment with respect to such withdrawal.

J.M. HUBER CORPORATION
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December 31, 2013 and 2012

The following table presents investments that represent 5% or greater of net assets in the Plan:

	December 31	
	2013	2012
Investments, at fair value:		
Guaranteed investment contract:		
Stable value fund:		
Pacific Life Inc.	\$ 60,505,290	67,092,121
Prudential Insurance Co.	60,885,404	67,475,828
Monumental Insurance Co	41,148,923	42,537,133
Mutual funds:		
Vanguard Balanced Fund	76,540,189	63,443,769
Vanguard Large Cap Value Fund	29,172,705	23,138,777
PIMCO Global Bond Fund	20,958,713	33,955,275
Morgan Stanley Small Cap Growth Fund*	39,439,517	20,722,081
Common/collective trust fund:		
State Street S&P 500 Index Fund	60,399,927	45,195,961
Wellington Large Cap Growth*	29,805,431	21,744,004
Separate account:		
Small Cap Value Fund	39,930,617	30,942,744

* Less than 5% for 2013 and/or 2012

Investments in the Plan appreciated in value by \$61,648,944 during 2013 were as follows:

Net appreciation of investments at fair value:	
Common stocks	\$ 10,340,472
Mutual funds	24,656,755
Common/collective trusts	26,651,717
Net appreciation of investments at fair value	\$ 61,648,944

(7) Federal Income Taxes

The IRS has determined and informed the Company by a letter dated July 17, 2012 that the Plan and related trust are designed in accordance with applicable sections of IRC. Although the Plan has been amended since receiving the determination letter, the plan administrator and the Plan's general counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and, therefore, believe that the Plan is qualified and the related trust is tax-exempt.

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December 31, 2013 and 2012

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by IRS. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2013, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The plan administrator believes it is no longer subject to income tax examinations for years prior to 2008.

In 2013, participant's contributions of \$937,544 were remitted a few days after the expected date required by the Department of Labor (DOL). The total lost earnings of \$246 were remitted to the Plan on July 31, 2014. The Plan does not believe these remittances have any impact on tax status of the plan.

(8) Plan Terminations

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants would become 100% vested in their employer contributions.

(9) Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of net assets available for plan benefits recorded in the financial statements to Form 5500 as of December 31, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Net assets available for plan benefits per financial statements	\$ 525,616,804	463,009,182
Adjustment from contract value to fair value for fully benefit-responsive investment contracts	<u>3,810,465</u>	<u>10,414,345</u>
Net assets available for plan benefits per Form 5500	<u>\$ 529,427,269</u>	<u>473,423,527</u>

J.M. HUBER CORPORATION
401(k) SAVINGS PLAN

Notes to Financial Statements

December 31, 2013 and 2012

The following is a reconciliation of investment income per the financial statements to Form 5500 for the year ended December 31, 2013:

Total investment income per the financial statements	\$ 73,749,709
Adjustment from contract value to fair value for fully benefit-responsive investment contracts, current year	3,810,465
Adjustment from contract value to fair value for fully benefit-responsive investment contract, prior year	<u>(10,414,345)</u>
Total investment income per Form 5500	<u>\$ 67,145,829</u>

(10) Subsequent Events

The Plan's management has evaluated subsequent events for potential recognition and/or disclosure through September 30, 2014, the date the financial statements were approved by the Plan's management.

**J.M. HUBER CORPORATION
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Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)

December 31, 2013

(a)	(b) Description	(c) Description of investment, including maturity date, rate of interest, collateral, par, or maturity value	(d) Number of shares	(e) Current value
	Cash and cash equivalents			
*	State Street Bank & Trust	Short-term securities	847,072	\$ 847,072
	Wells Fargo	Short-term securities	14,399,289	14,399,289
				<u>15,246,361</u>
	Common/collective trust fund:			
*	State Street Global Equity ex U.S. Index Fund	Common/collective trust fund	873,452	17,527,571
*	State Street S&P 500 Index Fund	Common/collective trust fund	1,817,906	60,399,927
	Wellington Large Cap Growth Fund	Common/collective trust fund	1,344,404	29,805,431
	SSGA Retirement Income Fund	Common/collective trust fund	149,812	2,169,574
	SSGA 2015 Fund	Common/collective trust fund	301,402	5,146,437
	SSGA 2020 Fund	Common/collective trust fund	175,231	3,163,450
	SSGA 2025 Fund	Common/collective trust fund	150,405	2,808,507
	SSGA 2030 Fund	Common/collective trust fund	157,172	3,003,093
	SSGA 2035 Fund	Common/collective trust fund	161,729	3,112,958
	SSGA 2040 Fund	Common/collective trust fund	49,661	961,877
	SSGA 2045 Fund	Common/collective trust fund	11,667	226,019
	SSGA 2050 Fund	Common/collective trust fund	11,080	214,589
	SSGA 2055 Fund	Common/collective trust fund	12,054	160,059
				<u>128,699,492</u>
	Separate account – common stock:			
	Small Cap Value Fund managed by Wellington:			
	Acco Brands Corp	Common stock	69,200	465,024
	Albany Intl Corp Cl A	Common stock	21,800	783,274
	Allscripts Healthcare Soluti	Common stock	29,600	457,616
	Amerisafe Inc	Common stock	8,600	363,264
	Amsurg Corp	Common stock	19,200	881,664
	Ascena Retail Group Inc	Common stock	38,800	821,008
	Assured Guaranty Ltd	Common stock	17,700	417,543
	Atlas Air Worldwide Holdings	Common stock	13,400	551,410
	Atmos Energy Corp	Common stock	9,900	449,658
	Belden Inc	Common stock	21,300	1,500,585
	Campus Crest Communities Inc	Common stock	42,000	395,220
	Casey S General Stores Inc	Common stock	7,900	554,975
	Cato Corp Class A	Common stock	26,110	830,298
	Cec Entertainment Inc	Common stock	18,050	799,254
	Charles River Laboratories	Common stock	20,700	1,097,928
	Choice Hotels Intl Inc	Common stock	2,580	126,704
	Coherent Inc	Common stock	6,400	476,096
	Corvel Corp	Common stock	8,900	415,630
	Cubic Corp	Common stock	10,800	568,728
	Deltic Timber Corp	Common stock	8,000	543,520
	Diamondback Energy Inc	Common stock	8,400	444,024
	Diamondrock Hospitality Co	Common stock	32,690	377,570
	Diebold Inc	Common stock	19,200	633,792
	Education Realty Trust Inc	Common stock	39,700	350,154
	Era Group Inc	Common stock	13,750	424,325
	Esco Technologies Inc	Common stock	14,300	489,918
	First Busey Corp	Common stock	51,499	298,694
	First Midwest Bancorp Inc/II	Common stock	39,500	692,435
	First Niagara Financial Grp	Common stock	40,520	430,322
	Flushing Financial Corp	Common stock	17,994	372,476
	Forrester Research Inc	Common stock	16,286	623,102
	Fred S Inc Class A	Common stock	37,800	700,056
	G + K Services Inc Cl A	Common stock	15,000	933,450
	Gatx Corp	Common stock	13,500	704,295
	Greif Inc Cl A	Common stock	6,700	351,080
	Haemonetics Corp/Mass	Common stock	1,900	80,047
	Hancock Holding Co	Common stock	11,100	407,148
	Helen Of Troy Ltd	Common stock	18,000	891,180

(Continued)

**J.M. HUBER CORPORATION
401(K) SAVINGS PLAN**

Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)

December 31, 2013

(a)	(b) Description	(c) Description of investment, including maturity date, rate of interest, collateral, par, or maturity value	(d) Number of shares	(e) Current value
	Icon Plc	Common stock	13,500	545,535
	Icu Medical Inc	Common stock	9,200	586,132
	Innospec Inc	Common stock	11,700	540,774
	International Bancshares Crp	Common stock	28,430	750,268
	Koppers Holdings Inc	Common stock	9,534	436,181
	Laclede Group Inc/The	Common stock	6,900	314,226
	Luxfer Holdings Plc Adr	Common stock	3,800	79,268
	Matthews Intl Corp Class A	Common stock	16,500	703,065
	Maximus Inc	Common stock	8,800	387,112
	Mb Financial Inc	Common stock	15,300	490,977
	Micrel Inc	Common stock	16,400	161,868
	Mts Systems Corp	Common stock	5,200	370,500
	Mueller Industries Inc	Common stock	16,200	1,020,762
	New Jersey Resources Corp	Common stock	3,800	175,712
	Northwest Bancshares Inc	Common stock	43,600	644,408
	Platinum Underwriters Hldgs	Common stock	6,800	416,704
	Post Holdings Inc	Common stock	7,300	359,671
	Primerica Inc	Common stock	15,800	677,978
	Scansource Inc	Common stock	13,400	568,562
	Scorpio Tankers Inc	Common stock	81,800	964,422
	Seacor Holdings Inc	Common stock	6,250	570,000
	Sensient Technologies Corp	Common stock	8,700	422,124
	Solar Capital Ltd	Common stock	11,800	266,090
	Sp Plus Corp	Common stock	27,085	705,293
	Stage Stores Inc	Common stock	23,300	517,726
	Steris Corp	Common stock	10,700	514,135
	Summit Hotel Properties Inc	Common stock	37,300	335,700
	United Stationers Inc	Common stock	20,570	943,957
	Uns Energy Corp	Common stock	7,900	472,815
	Uti Worldwide Inc	Common stock	24,600	431,976
	Verint Systems Inc	Common stock	10,400	446,576
	Webster Financial Corp	Common stock	24,900	776,382
	Westamerica Bancorporation	Common stock	3,610	203,821
	Wgl Holdings Inc	Common stock	7,900	316,474
	Zebra Technologies Corp Cl A	Common stock	10,400	562,432
	Zep Inc	Common stock	30,150	547,524
				<u>39,930,617</u>
	PIMCO Global Bond Fund	Mutual Fund	1,960,590	20,958,713
	Vanguard Balanced Fund	Mutual Fund	2,781,257	76,540,189
	Vanguard Large Cap Value Fund	Mutual Fund	447,160	29,172,705
	Morgan Stanley Small Cap Growth Fund	Mutual Fund	1,919,198	39,439,517
				<u>166,111,124</u>

(Continued)

**J.M. HUBER CORPORATION
401(K) SAVINGS PLAN**

Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)
December 31, 2013

	Credit rating	Investment at cost	Investment at fair value	Contract value	Adjustment from fair value to contract value
Stable Value Fund:					
Ally Auto Receivables Trust 2011-3, 0.97%, \$140,863 par, due 8/17/2015		140,858	140,967		
Ally Auto Receivables Trust 2012-5, 0.62%, \$875,000 par, due 3/15/2017		874,862	875,464		
Ally Auto Receivables Trust 2013-2, 0.79%, \$700,000 par, due 1/15/2018		699,973	699,363		
Ally Auto Receivables Trust 2013-SN1, 0.72%, \$850,000 par, due 5/20/2016		849,966	850,425		
Amal Ltd/Cayman Islands, 3.46%, \$517,457 par, due 8/21/2021		517,457	545,565		
American Express Credit Acct Mstr Tr, 0.68%, \$900,000 par, due 3/15/2018		899,999	901,620		
AmeriCredit Auto Receivables Trust, 0.51%, \$291,550 par, due 1/8/2016		291,545	291,442		
AmeriCredit Automobile Receivables Trust 2012-3, 0.71%, \$46,111 par, due 3/9/2014		46,110	46,122		
Arizona School Facilities Board, 1.75%, \$525,000 par, due 7/1/2019		525,000	499,842		
Banc of America Commercial Mortgage Trust 2006-3, 5.89%, \$994,424 par, due 7/10/2044		1,004,970	1,081,794		
Banc of America Merrill Lynch Commercial Mortgage Inc, 4.86%, \$800,000 par, due 7/10/2043		875,966	832,280		
Banc of America Merrill Lynch Commercial Mortgage Inc, 5.18%, \$825,000 par, due 9/10/2047		890,677	878,246		
Bank of Montreal, 1.95%, \$325,000 par, due 1/30/2017		333,529	333,184		
Bank of Nova Scotia, 1.65%, \$150,000 par, due 10/29/2015		150,460	152,940		
Bank of Nova Scotia, 1.75%, \$1,075,000 par, due 3/22/2017		1,093,733	1,095,167		
Barclays Bank PLC, 2.5%, \$650,000 par, due 9/21/2015		649,728	670,111		
Bear Stearns Commercial Mortgage Securities Trust 2006-PWR13, 5.53%, \$680,706 par, due 9/11/2041		750,857	745,087		
Board of Trustees of The Leland Stanford Junior University, 3.63%, \$750,000 par, due 5/1/2014		749,944	757,515		
Brazos Higher Education Authority, 0.33%, \$54,500 par, due 12/26/2018		54,236	54,480		
Caisse Centrale Desjardins, 1.6%, \$1,000,000 par, due 3/6/2017		1,013,708	1,012,500		
Capital Auto Receivables Asset Trust 2013-2, 0.92%, \$275,000 par, due 9/20/2016		274,966	275,542		
Capital Auto Receivables Asset Trust, 0.62%, \$1,000,000 par, due 7/20/2016		999,941	999,820		
Capital Auto Receivables Asset Trust, 0.85%, \$815,000 par, due 2/21/2017		814,904	814,071		
CarMax Auto Owner Trust 2013-4, 0.8%, \$500,000 par, due 7/16/2018		499,958	499,250		
CenterPoint Energy Transition, 2.16%, \$550,000 par, due 10/15/2021		550,009	547,014		
Chase Issuance Trust, 0.22%, \$650,000 par, due 4/15/2019		643,901	644,573		
Citigroup Commercial Mortgage Trust 2013-GC11, 2.69%, \$1,000,000 par, due 12/10/2022		1,028,948	970,990		
City of Bloomington MN, 1.25%, \$600,000 par, due 2/1/2014		600,000	600,324		
City of West University Place TX, 1.45%, \$500,000 par, due 2/1/2019		499,995	473,915		
CNH Equipment Trust 2012-B, 0.86%, \$900,000 par, due 12/15/2015		899,982	902,583		
COMM 2006-C8 Mortgage Trust, 5.29%, \$503,079 par, due 12/10/2046		557,455	551,631		
Cook County Township High School District No 225 Northfield, 5.24%, \$75,000 par, due 12/1/2014		75,475	75,683		
County of Baltimore MD, 1.61%, \$800,000 par, due 8/1/2019		802,645	770,128		
County of Collin TX, 2.16%, \$520,000 par, due 2/15/2020		520,000	493,605		
County of Travis TX, 1.07%, \$450,000 par, due 3/1/2017		450,000	444,281		
County of Williamson TX, 1.82%, \$550,000 par, due 2/15/2020		550,000	509,911		
Credit Suisse First Boston Mortgage Securities Corp, 5.1%, \$800,000 par, due 8/15/2038		859,062	839,816		
Credit Suisse First Boston Mortgage Securities Corp, 5.11%, \$400,483 par, due 7/15/2036		428,047	405,189		
DBRR 2012 - EZ1 A, 0.95%, \$356,315 par, due 9/25/2045		356,699	356,333		
Discover Card Execution Note Trust, 0.81%, \$800,000 par, due 8/15/2017		799,882	802,800		
DNB Boligkredit AS, 2.1%, \$650,000 par, due 10/14/2015		649,784	666,575		
Dragon 2012 LLC, 1.97%, \$663,985 par, due 3/12/2024		663,985	644,138		
El Paso Independent School District, 4%, \$500,000 par, due 8/15/2019		556,955	538,290		
Fannie Mae Grantor Trust 2003-T3, 4.63%, \$484,462 par, due 5/25/2033		489,253	483,318		
Fannie Mae Pool, 1.76%, \$557,877 par, due 6/1/2036		570,347	584,659		
Fannie Mae Pool, 1.93%, \$262,620 par, due 10/1/2033		266,102	278,512		
Fannie Mae Pool, 1.93%, \$400,946 par, due 11/1/2033		406,291	424,409		
Fannie Mae Pool, 1.93%, \$529,874 par, due 9/1/2033		536,874	563,742		
Fannie Mae Pool, 2.12%, \$587,261 par, due 6/1/2042		602,590	603,745		
Fannie Mae Pool, 2.31%, \$703,818 par, due 9/1/2042		724,940	713,622		
Fannie Mae Pool, 2.34%, \$110,535 par, due 4/1/2033		112,282	117,544		
Fannie Mae Pool, 2.38%, \$73,477 par, due 5/1/2033		74,454	75,077		
Fannie Mae Pool, 2.48%, \$196,614 par, due 1/1/2037		198,414	210,352		
Fannie Mae Pool, 2.48%, \$547,661 par, due 3/1/2042		560,356	567,968		
Fannie Mae Pool, 2.5%, \$370,684 par, due 8/1/2022		385,328	378,438		
Fannie Mae Pool, 2.5%, \$384,287 par, due 8/1/2023		390,094	392,330		
Fannie Mae Pool, 2.5%, \$387,652 par, due 9/1/2023		392,342	395,770		
Fannie Mae Pool, 2.5%, \$634,309 par, due 5/1/2022		649,366	647,566		
Fannie Mae Pool, 2.5%, \$643,480 par, due 7/1/2022		669,657	656,935		
Fannie Mae Pool, 2.5%, \$647,049 par, due 8/1/2022		667,665	660,578		
Fannie Mae Pool, 2.5%, \$795,691 par, due 8/1/2023		818,411	812,345		
Fannie Mae Pool, 2.5%, \$851,411 par, due 1/1/2028		888,084	843,612		
Fannie Mae Pool, 2.5%, \$992,778 par, due 12/1/2022		1,025,951	1,013,567		
Fannie Mae Pool, 2.54%, \$717,825 par, due 3/1/2037		726,384	761,821		
Fannie Mae Pool, 2.67%, \$477,131 par, due 11/1/2041		490,162	496,827		
Fannie Mae Pool, 2.97%, \$1,142,524 par, due 11/1/2041		1,170,090	1,202,655		
Fannie Mae Pool, 3%, \$1,305,483 par, due 9/1/2027		1,386,275	1,321,318		
Fannie Mae Pool, 3%, \$485,757 par, due 11/1/2021		501,673	503,725		
Fannie Mae Pool, 3%, \$529,061 par, due 12/1/2021		539,036	548,731		
Fannie Mae Pool, 3%, \$903,098 par, due 10/1/2021		915,667	936,612		
Fannie Mae Pool, 3.12%, \$1,246,326 par, due 2/1/2041		1,275,571	1,316,046		
Fannie Mae Pool, 3.5%, \$1,093,299 par, due 9/1/2021		1,122,270	1,149,123		
Fannie Mae Pool, 3.5%, \$2,202,535 par, due 12/1/2020		2,256,328	2,315,085		
Fannie Mae Pool, 3.5%, \$411,075 par, due 3/1/2021		421,384	432,081		
Fannie Mae Pool, 3.5%, \$801,490 par, due 7/1/2021		818,487	842,350		
Fannie Mae Pool, 3.5%, \$858,834 par, due 9/1/2032		923,510	874,542		
Fannie Mae Pool, 4.76%, \$3,100,107 par, due 4/1/2016		3,151,423	3,322,261		
Fannie Mae Pool, 5.25%, \$191,646 par, due 9/1/2014		192,039	191,679		
Fannie Mae Pool, 5.25%, \$2,630,626 par, due 5/1/2015		2,637,653	2,694,313		
Fannie Mae REMIC Trust 2003-W10, 3.09%, \$188,104 par, due 6/25/2043		186,575	197,501		
Fannie Mae REMICS, 4.5%, \$195,144 par, due 2/25/2035		193,631	200,494		
Fannie Mae Trust 2004-W1, 7%, \$451,423 par, due 12/25/2033		473,834	513,119		
Federal National Mortgage Association, 0.88%, \$1,775,000 par, due 8/28/2017		1,774,614	1,752,493		
FH 7/1 HYB 2.91 FEB, 2.91%, \$825,000 par, due 2/1/2044		844,207	835,921		
FHLMC K710 A2 Multifam, 1.88%, \$2,575,000 par, due 5/25/2019		2,614,791	2,520,359		
FHLMC Multifamily Structured Pass Through Certificates, 2.32%, \$600,000 par, due 11/25/2044		608,466	606,078		
FN 7/1 HYB 2.85 FEB, 2.85%, \$825,000 par, due 2/1/2044		840,727	835,234		
Ford Credit Auto Lease Trust 2013-A, 0.6%, \$425,000 par, due 3/15/2016		424,969	424,987		
Ford Credit Auto Owner Trust 2012-B, 0.72%, \$770,901 par, due 12/15/2016		770,802	772,150		
Ford Credit Auto Owner Trust 2013-C, 0.82%, \$900,000 par, due 12/15/2017		899,863	903,267		
Freddie 15-Yr 3.0 GIANT, 3%, \$1,290,532 par, due 9/1/2027		1,370,387	1,317,156		
Freddie Mac Gold Pool, 2.5%, \$853,185 par, due 1/1/2028		889,839	846,505		
Freddie Mac Gold Pool, 3%, \$1,033,494 par, due 5/1/2027		1,080,676	1,054,442		
Freddie Mac Gold Pool, 3%, \$1,530,014 par, due 6/1/2027		1,598,651	1,545,559		
Freddie Mac Gold Pool, 3%, \$411,357 par, due 4/1/2027		428,185	419,395		
Freddie Mac Gold Pool, 3%, \$865,197 par, due 6/1/2027		903,756	873,892		
Freddie Mac Gold Pool, 3.5%, \$381,785 par, due 10/1/2020		390,147	400,988		

(Continued)

**J.M. HUBER CORPORATION
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Schedule 1

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)
December 31, 2013

Name of issuer	Credit rating	Investment at cost	Investment at fair value	Contract value	Adjustment from fair value to contract value
Freddie Mac Non Gold Pool, 2.21%, \$752,633 par, due 1/1/2043		775,898	754,041		
Freddie Mac Non Gold Pool, 2.38%, \$549,854 par, due 7/1/2042		566,605	568,389		
Freddie Mac Non Gold Pool, 2.42%, \$1,309,082 par, due 7/1/2042		1,345,789	1,330,590		
Freddie Mac Non Gold Pool, 2.48%, \$1,103,029 par, due 9/1/2042		1,136,409	1,120,755		
Freddie Mac Non Gold Pool, 2.49%, \$536,906 par, due 2/1/2042		548,768	557,185		
Freddie Mac Non Gold Pool, 2.53%, \$5,064 par, due 9/1/2031		5,075	5,137		
Freddie Mac Non Gold Pool, 2.53%, \$6,303 par, due 8/1/2031		6,318	6,374		
Freddie Mac Non Gold Pool, 2.59%, \$594,217 par, due 8/1/2042		613,716	606,280		
Freddie Mac Non Gold Pool, 2.77%, \$475,633 par, due 11/1/2041		486,188	490,705		
Freddie Mac Non Gold Pool, 3.65%, \$543,163 par, due 9/1/2040		555,197	569,387		
Freddie Mac REMICS, 4%, \$1,014,491 par, due 8/15/2018		1,025,075	1,065,419		
GE Capital Credit Card Master Note Tr, 0.95%, \$775,000 par, due 6/15/2018		774,850	777,899		
GE Dealer Floorplan Master Note Trust, 0.66%, \$900,000 par, due 6/22/2015		900,000	902,412		
GE Dealer Floorplan Master Note Trust, 0.77%, \$175,000 par, due 7/20/2016		175,000	175,236		
GE Equipment Midticket LLC Series 2013-1, 0.95%, \$805,000 par, due 4/22/2016		804,984	806,843		
GE Equipment Transportation LLC Series 2012-1, 0.99%, \$379,953 par, due 11/23/2015		379,931	380,785		
GE Equipment Transportation LLC Series 2012-2, 0.62%, \$750,000 par, due 7/25/2016		749,910	749,940		
GE Equipment Transportation LLC Series 2013-2, 0.92%, \$760,000 par, due 9/25/2017		759,964	759,650		
Ginnie Mae II pool, 1.5%, \$1,577,481 par, due 6/20/2058		1,538,400	1,604,015		
Ginnie Mae II pool, 1.63%, \$353,098 par, due 5/20/2034		354,087	365,665		
Ginnie Mae II pool, 2.5%, \$1,241,070 par, due 11/20/2041		1,271,739	1,302,603		
Ginnie Mae II pool, 2.5%, \$355,911 par, due 12/20/2041		365,691	367,649		
Ginnie Mae II pool, 4.3%, \$928,005 par, due 12/20/2060		974,835	995,962		
Ginnie Mae II pool, 4.81%, \$929,643 par, due 11/20/2060		1,003,595	1,009,500		
Ginnie Mae II pool, 5.5%, \$1,331,741 par, due 5/20/2060		1,440,554	1,477,327		
Ginnie Mae II pool, 5.74%, \$1,153,131 par, due 5/20/2058		1,166,649	1,213,024		
Ginnie Mae II pool, 5.85%, \$720,800 par, due 7/20/2058		728,204	758,087		
Ginnie Mae II pool, 6.14%, \$1,942,756 par, due 10/20/2058		1,978,884	2,096,642		
GS Mortgage Securities Corp II Series 2005-GG4, 4.75%, \$863,690 par, due 7/10/2039		907,101	894,731		
Hashemite Kingdom of Jordan Government AID, 2.5%, \$675,000 par, due 10/30/2020		675,000	661,122		
Helios Leasing I LLC, 1.73%, \$407,756 par, due 7/24/2024		407,756	389,390		
Honda Auto Receivables 2013-4 Owner Trust, 0.69%, \$700,000 par, due 9/18/2017		699,886	700,546		
HSBC Bank PLC, 1.63%, \$850,000 par, due 7/7/2014		849,791	855,253		
Huntington Auto Trust 2012-1, 0.81%, \$816,841 par, due 9/15/2016		816,746	818,850		
Hyundai Auto Receivables Trust 2013-C, 1.01%, \$800,000 par, due 2/15/2018		799,868	804,656		
Indiana Housing & Community Development Authority, 5.69%, \$95,000 par, due 7/1/2037		94,873	95,180		
John Deere Owner Trust 2012, 0.75%, \$579,385 par, due 3/15/2016		579,353	580,202		
Johnson & Johnson, 5.15%, \$400,000 par, due 7/15/2018		455,706	455,160		
JP Morgan Chase Commercial Mortgage Securities Trust 2006-LDP7, 5.86%, \$626,020 par, due 4/15/2045		692,763	687,507		
JP Morgan Chase Commercial Mortgage Securities Trust 2012-C8, 2.38%, \$825,000 par, due 4/15/2022		839,308	794,756		
JP Morgan Chase Commercial Mortgage Securities Trust 2013-LC11, 2.55%, \$825,000 par, due 4/15/2046		849,287	793,477		
Kentucky Higher Education Student Loan Corp, 0.79%, \$700,000 par, due 9/1/2028		695,376	700,196		
King International Leasing LLC, 2.75%, \$719,344 par, due 10/15/2022		719,344	734,048		
LB-UBS Commercial Mortgage Trust 2006-C6, 5.37%, \$725,000 par, due 9/15/2039		798,917	790,830		
M&T Bank Auto Receivables Trust 2013-1, 1.06%, \$800,000 par, due 11/15/2017		799,990	804,688		
Massachusetts Health & Educational Facilities Authority, 5.26%, \$1,000,000 par, due 10/1/2018		1,000,000	1,138,530		
Mercedes-Benz Auto Receivables Trust 2013-1, 0.78%, \$225,000 par, due 8/15/2017		224,993	225,430		
MMAF Equipment Finance LLC 2012-A, 0.94%, \$975,000 par, due 4/10/2015		974,917	976,433		
Morgan Stanley Capital I Trust 2005-HQ5, 5.17%, \$769,526 par, due 1/14/2042		835,693	788,403		
Morgan Stanley Capital I Trust 2005-IQ10, 5.23%, \$741,302 par, due 9/15/2042		799,844	780,043		
Morgan Stanley Capital I Trust 2007-IQ13, 5.36%, \$625,000 par, due 3/15/2044		691,911	688,188		
National Australia Bank Ltd, 2%, \$600,000 par, due 6/20/2017		599,362	611,160		
National Bank of Canada, 2.2%, \$250,000 par, due 10/19/2016		249,926	258,025		
NCUA Guaranteed Notes, 1.4%, \$650,000 par, due 6/12/2015		649,667	660,030		
New York Life Global Funding, 1.65%, \$500,000 par, due 5/15/2017		499,429	500,550		
New York State Urban Development Corp, 1.33%, \$500,000 par, due 3/15/2016		497,065	504,235		
Nissan Auto Receivables 2013-B Owner Trust, 0.84%, \$550,000 par, due 11/15/2017		549,892	552,453		
Overseas Private Investment Corp, 3.37%, \$848,453 par, due 5/15/2021		848,453	886,023		
Pearland Independent School District, 2%, \$500,000 par, due 2/15/2021		500,650	467,055		
Petroleos Mexicanos, 1.95%, \$315,000 par, due 12/20/2022		315,000	309,617		
Porsche Innovative Lease Owner Trust, 0.7%, \$400,000 par, due 8/22/2016		399,938	399,808		
Princeton University, 4.95%, \$480,000 par, due 3/1/2019		552,688	542,803		
Royal Bank of Canada, 1.13%, \$350,000 par, due 7/22/2016		349,850	349,955		
Royal Bank of Canada, 3.13%, \$550,000 par, due 4/14/2015		555,912	568,535		
Santander Drive Auto Receivables Tr., 1.11%, \$400,000 par, due 12/15/2017		399,963	401,984		
SBAP 2012-20F, 2.42%, \$793,380 par, due 6/13/2032		793,380	753,719		
SLC Student Loan Trust 2008-2, 0.69%, \$211,498 par, due 6/15/2017		211,498	211,596		
SLC Student Loan Trust 2010-1, 1.11%, \$871,978 par, due 11/25/2042		871,978	885,598		
SLM Student Loan Trust 2005-8, 0.99%, \$2,700,000 par, due 1/25/2028		2,705,014	2,698,974		
SLM Student Loan Trust 2012-7, 0.32%, \$495,863 par, due 2/27/2017		495,707	495,426		
Small Business Administration Participation Certificates, 5.17%, \$2,288,868 par, due 1/1/2028		2,216,852	2,481,064		
Societe de Financement de l'Economie Francaise, 2.88%, \$475,000 par, due 9/22/2014		474,610	483,645		
Societe de Financement de l'Economie Francaise, 3.38%, \$105,000 par, due 5/5/2014		104,970	106,071		
State of Georgia, 1.65%, \$500,000 par, due 7/1/2018		501,295	492,860		
State of Maryland, 1.4%, \$550,000 par, due 8/1/2017		548,464	548,851		
State of Tennessee, 2.33%, \$400,000 par, due 8/1/2017		419,636	410,944		
State Street Short Term Investment Fund, \$2,610,612 par		2,610,612	2,610,612		
Student Loan Corp, 0.86%, \$773,722 par, due 7/25/2036		776,899	770,443		
Swarthmore Borough Authority, 1.53%, \$420,000 par, due 9/15/2015		420,000	423,515		
Toronto-Dominion Bank/The, 1.5%, \$1,050,000 par, due 3/13/2017		1,062,025	1,061,235		
Toyota Auto Receivables 2013-B Owner Trust, 0.89%, \$800,000 par, due 7/17/2017		799,965	803,720		
United States Small Business Administration, 4.73%, \$1,864,816 par, due 2/10/2019		889,019	1,005,831		
United States Small Business Administration, 5.46%, \$851,035 par, due 2/10/2017		853,348	921,033		
United States Small Business Administration, 5.94%, \$1,595,009 par, due 8/10/2018		1,595,009	1,756,424		
United States Treasury Inflation Indexed Bonds, 0.13%, \$3,258,000 par, due 1/15/2022		3,391,283	3,230,992		
United States Treasury Note/Bond, 0.63%, \$1,625,000 par, due 11/15/2016		1,625,815	1,620,044		
United States Treasury Note/Bond, 0.63%, \$1,625,000 par, due 12/15/2016		1,617,846	1,618,403		
United States Treasury Note/Bond, 1.25%, \$1,625,000 par, due 11/30/2018		1,609,848	1,590,209		
United States Treasury Note/Bond, 1.5%, \$1,625,000 par, due 8/31/2018		1,630,604	1,616,745		
United States Treasury Note/Bond, 1.75%, \$3,300,000 par, due 10/31/2020		3,236,380	3,165,954		
United States Treasury Note/Bond, 2.13%, \$1,625,000 par, due 8/31/2020		1,602,079	1,604,184		
United States Treasury Note/Bond, 2.25%, \$3,400,000 par, due 7/31/2018		3,490,007	3,504,924		
United States Treasury Note/Bond, 8.88%, \$475,000 par, due 2/15/2019		580,248	642,143		
University of Texas System/The, 3.81%, \$350,000 par, due 8/15/2018		383,461	373,779		
US Department of Housing and Urban Development, 2.2%, \$1,850,000 par, due 8/1/2015		1,850,000	1,903,613		
US Department of Housing and Urban Development, 4.48%, \$2,000,000 par, due 8/1/2016		2,000,000	2,194,320		
Virginia Housing Development Authority, 6%, \$576,996 par, due 3/25/2038		575,748	597,208		

(Continued)

J.M. HUBER CORPORATION

Schedule 1

401(K) SAVINGS PLAN

Schedule H, Line 4i – Schedule of Assets (Held at End of the Year)

December 31, 2013

Name of issuer	Credit rating	Investment at cost	Investment at fair value	Contract value	Adjustment from fair value to contract value
Volkswagen Auto Loan Enhanced Trust 2012-1, 0.85%, \$530,701 par, due 6/22/2015		530,698	532,187		
World Omni Auto Lease Sec Trust 2012-A, 0.71%, \$10,459 par, due 1/15/2015		10,458	10,461		
World Omni Auto Receivables Trust 2012-B, 0.61%, \$550,000 par, due 6/15/2017		549,998	550,699		
World Omni Auto Receivables Trust 2013-B, 0.83%, \$700,000 par, due 8/15/2018		699,908	699,776		
Yale University, 2.9%, \$500,000 par, due 10/15/2014		499,827	509,865		
		161,590,066	162,517,794		
Wrap contracts:					
Pacific Life Ins. Co., (2.67%, 1/31/2014)	A1/A+		—	59,201,752	(1,307,812)
Prudential Insurance Company of America, (3.66%, 12/31/2014)	A1/AA-		—	59,215,369	(1,674,705)
Monumental Life Ins. Co., (2.59%, 12/31/2014)	A1/AA-		21,823	40,323,800	(827,948)
Total Stable Value Fund			162,539,617	158,740,921	(3,810,465)
*Participant loans			8,905,044		
1002 loans outstanding with interest rates ranging from 4.0% to 11.5%			521,432,255		
	Total				

* Represents a party in interest to the Plan as defined by ERISA

See accompanying independent auditor's report.

**J.M. HUBER CORPORATION
401(K) SAVINGS PLAN**

Schedule 2

Schedule H, Line 4(a) – Schedule of Delinquent Participant Contributions
For the year ended December 31, 2013

Amount Withheld	Date Withheld	Date Remitted	Check here if late participant loan repayments are included	Contributions not corrected	Contributions corrected outside VFCP	Contributions pending correction in VFCP	Total fully corrected under VFCP and PTE 2002-51
\$ 7,861	4/29/2013	5/2/2013	*	-	\$ 7,861	-	\$ 7,861
\$ 2,144	6/19/2013	6/25/2013	*	-	\$ 2,144	-	\$ 2,144
\$ 466,263	9/13/2013	9/18/2013	*	-	\$ 466,263	-	\$ 466,263
\$ 4,356	10/11/2013	10/16/2013	*	-	\$ 4,356	-	\$ 4,356
\$ 456,921	11/15/2013	11/20/2013	*	-	\$ 456,921	-	\$ 456,921

Note:

In 2013, participant's contributions of \$937,544 were remitted a few days after the expected date required by the DOL. The total lost earnings of \$246 were remitted to the Plan on July 31, 2014.

See accompanying independent auditor's report.