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| <b>Form 5500</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security<br>Administration<br><br>Pension Benefit Guaranty Corporation | <b>Annual Return/Report of Employee Benefit Plan</b><br><br>This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).<br><br><p style="text-align: center;">▶ <b>Complete all entries in accordance with the instructions to the Form 5500.</b></p> | OMB Nos. 1210-0110<br>1210-0089<br><br><div style="text-align: center; font-size: 1.2em; font-weight: bold;">2013</div><br><br><div style="text-align: center; font-weight: bold;">This Form is Open to Public Inspection</div> |
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|----------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Part I                                                                                                   | Annual Report Identification Information                                                                                                                                                                                                  |
| For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u> |                                                                                                                                                                                                                                           |
| A This return/report is for:                                                                             | <input type="checkbox"/> a multiemployer plan; <input type="checkbox"/> a multiple-employer plan; or<br><input checked="" type="checkbox"/> a single-employer plan; <input type="checkbox"/> a DFE (specify) ____                         |
| B This return/report is:                                                                                 | <input type="checkbox"/> the first return/report; <input type="checkbox"/> the final return/report;<br><input type="checkbox"/> an amended return/report; <input type="checkbox"/> a short plan year return/report (less than 12 months). |
| C If the plan is a collectively-bargained plan, check here. . . . .                                      | <input checked="" type="checkbox"/>                                                                                                                                                                                                       |
| D Check box if filing under:                                                                             | <input checked="" type="checkbox"/> Form 5558; <input type="checkbox"/> automatic extension; <input type="checkbox"/> the DFVC program;<br><input type="checkbox"/> special extension (enter description)                                 |

|                                                                                                                                                                                                                      |                                                              |     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|-----|
| Part II                                                                                                                                                                                                              | Basic Plan Information—enter all requested information       |     |
| <b>1a</b> Name of plan<br>JOHNS MANVILLE HOURLY RETIREMENT PLAN                                                                                                                                                      | <b>1b</b> Three-digit plan number (PN) ▶                     | 002 |
| <b>2a</b> Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)<br><br>JOHNS MANVILLE CORPORATION ATTN RETIREMENT 11-04<br><br>717 17TH STREET<br>DENVER, CO 80202 | <b>1c</b> Effective date of plan<br>08/01/1966               |     |
|                                                                                                                                                                                                                      | <b>2b</b> Employer Identification Number (EIN)<br>84-0856796 |     |
|                                                                                                                                                                                                                      | <b>2c</b> Sponsor's telephone number<br>303-978-2000         |     |
|                                                                                                                                                                                                                      | <b>2d</b> Business code (see instructions)<br>327900         |     |

**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

|                                                                                                            |                                                   |            |                                                              |
|------------------------------------------------------------------------------------------------------------|---------------------------------------------------|------------|--------------------------------------------------------------|
| SIGN<br>HERE                                                                                               | Filed with authorized/valid electronic signature. | 10/14/2014 | LAURA REAM                                                   |
|                                                                                                            | Signature of plan administrator                   | Date       | Enter name of individual signing as plan administrator       |
| SIGN<br>HERE                                                                                               | Filed with authorized/valid electronic signature. | 10/14/2014 | LAURA REAM                                                   |
|                                                                                                            | Signature of employer/plan sponsor                | Date       | Enter name of individual signing as employer or plan sponsor |
| SIGN<br>HERE                                                                                               |                                                   |            |                                                              |
|                                                                                                            | Signature of DFE                                  | Date       | Enter name of individual signing as DFE                      |
| Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional) |                                                   |            | Preparer's telephone number (optional)                       |

|                                                                                                                                                                                                                                                                                                                                                                                                                                       |           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>3a</b> Plan administrator's name and address <input type="checkbox"/> Same as Plan Sponsor Name <input type="checkbox"/> Same as Plan Sponsor Address<br><br>BENEFITS COMMITTEE OF JOHNS MANVILLE CORPORATION<br><br>717 17 TH STREET<br>P.O. BOX 5108<br>DENVER, CO 80202                                                                                                                                                         |           | <b>3b</b> Administrator's EIN<br>84-0717946                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|                                                                                                                                                                                                                                                                                                                                                                                                                                       |           | <b>3c</b> Administrator's telephone number<br>303-978-2000                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>4</b> If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:<br><b>a</b> Sponsor's name                                                                                                                                                                                                                     |           | <b>4b</b> EIN<br><br><b>4c</b> PN                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>5</b> Total number of participants at the beginning of the plan year                                                                                                                                                                                                                                                                                                                                                               | <b>5</b>  | 7538                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>6</b> Number of participants as of the end of the plan year (welfare plans complete only lines <b>6a</b> , <b>6b</b> , <b>6c</b> , and <b>6d</b> ).                                                                                                                                                                                                                                                                                |           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>a</b> Active participants .....                                                                                                                                                                                                                                                                                                                                                                                                    | <b>6a</b> | 2026                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>b</b> Retired or separated participants receiving benefits .....                                                                                                                                                                                                                                                                                                                                                                   | <b>6b</b> | 3304                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>c</b> Other retired or separated participants entitled to future benefits.....                                                                                                                                                                                                                                                                                                                                                     | <b>6c</b> | 1031                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>d</b> Subtotal. Add lines <b>6a</b> , <b>6b</b> , and <b>6c</b> .....                                                                                                                                                                                                                                                                                                                                                              | <b>6d</b> | 6361                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>e</b> Deceased participants whose beneficiaries are receiving or are entitled to receive benefits .....                                                                                                                                                                                                                                                                                                                            | <b>6e</b> | 936                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>f</b> Total. Add lines <b>6d</b> and <b>6e</b> .....                                                                                                                                                                                                                                                                                                                                                                               | <b>6f</b> | 7297                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>g</b> Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) .....                                                                                                                                                                                                                                                                                       | <b>6g</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>h</b> Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested .....                                                                                                                                                                                                                                                                                           | <b>6h</b> | 6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>7</b> Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....                                                                                                                                                                                                                                                                                                   | <b>7</b>  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>8a</b> If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:<br>1B 3H                                                                                                                                                                                                                                                                 |           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>b</b> If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:                                                                                                                                                                                                                                                                           |           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>9a</b> Plan funding arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                                         |           | <b>9b</b> Plan benefit arrangement (check all that apply)<br>(1) <input type="checkbox"/> Insurance<br>(2) <input type="checkbox"/> Code section 412(e)(3) insurance contracts<br>(3) <input checked="" type="checkbox"/> Trust<br>(4) <input type="checkbox"/> General assets of the sponsor                                                                                                                                                                                                     |
| <b>10</b> Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)                                                                                                                                                                                                                                                                     |           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>a Pension Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>R</b> (Retirement Plan Information)<br><br>(2) <input type="checkbox"/> <b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary<br><br>(3) <input checked="" type="checkbox"/> <b>SB</b> (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary |           | <b>b General Schedules</b><br>(1) <input checked="" type="checkbox"/> <b>H</b> (Financial Information)<br>(2) <input type="checkbox"/> <b>I</b> (Financial Information – Small Plan)<br>(3) <input type="checkbox"/> <b>A</b> (Insurance Information)<br>(4) <input type="checkbox"/> <b>C</b> (Service Provider Information)<br>(5) <input checked="" type="checkbox"/> <b>D</b> (DFE/Participating Plan Information)<br>(6) <input type="checkbox"/> <b>G</b> (Financial Transaction Schedules) |

|                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                    |                                                                                           |
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| <b>SCHEDULE SB</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Single-Employer Defined Benefit Plan</b><br><b>Actuarial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).<br><br>▶ <b>File as an attachment to Form 5500 or 5500-SF.</b> | OMB No. 1210-0110<br><br><b>2013</b><br><br><b>This Form is Open to Public Inspection</b> |
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

|                                                                                                                                           |                                                                                                                                                         |
|-------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>A</b> Name of plan<br><u>JOHNS MANVILLE HOURLY RETIREMENT PLAN</u>                                                                     | <b>B</b> Three-digit plan number (PN) ▶ <u>002</u>                                                                                                      |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF<br><u>JOHNS MANVILLE CORPORATION ATTN RETIREMENT 11-04</u>       | <b>D</b> Employer Identification Number (EIN)<br><u>84-0856796</u>                                                                                      |
| <b>E</b> Type of plan: <input checked="" type="checkbox"/> Single <input type="checkbox"/> Multiple-A <input type="checkbox"/> Multiple-B | <b>F</b> Prior year plan size: <input type="checkbox"/> 100 or fewer <input type="checkbox"/> 101-500 <input checked="" type="checkbox"/> More than 500 |

|                                                                                                                                                                                                                |                                           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|
| <b>Part I</b>                                                                                                                                                                                                  | <b>Basic Information</b>                  |
| <b>1</b> Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2013</u>                                                                                                                              |                                           |
| <b>2</b> Assets:                                                                                                                                                                                               |                                           |
| <b>a</b> Market value .....                                                                                                                                                                                    | <b>2a</b> <u>390259822</u>                |
| <b>b</b> Actuarial value .....                                                                                                                                                                                 | <b>2b</b> <u>354603433</u>                |
| <b>3</b> Funding target/participant count breakdown:                                                                                                                                                           |                                           |
| <b>a</b> For retired participants and beneficiaries receiving payment.....                                                                                                                                     | <b>3a</b> <u>4247</u> <u>196565432</u>    |
| <b>b</b> For terminated vested participants.....                                                                                                                                                               | <b>3b</b> <u>1140</u> <u>22536094</u>     |
| <b>c</b> For active participants:                                                                                                                                                                              |                                           |
| <b>(1)</b> Non-vested benefits .....                                                                                                                                                                           | <b>3c(1)</b> <u>7682816</u>               |
| <b>(2)</b> Vested benefits .....                                                                                                                                                                               | <b>3c(2)</b> <u>112956350</u>             |
| <b>(3)</b> Total active.....                                                                                                                                                                                   | <b>3c(3)</b> <u>2195</u> <u>120639166</u> |
| <b>d</b> Total.....                                                                                                                                                                                            | <b>3d</b> <u>7582</u> <u>339740692</u>    |
| <b>4</b> If the plan is in at-risk status, check the box and complete lines (a) and (b)..... <input type="checkbox"/>                                                                                          |                                           |
| <b>a</b> Funding target disregarding prescribed at-risk assumptions .....                                                                                                                                      | <b>4a</b>                                 |
| <b>b</b> Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor..... | <b>4b</b>                                 |
| <b>5</b> Effective interest rate .....                                                                                                                                                                         | <b>5</b> <u>6.28 %</u>                    |
| <b>6</b> Target normal cost .....                                                                                                                                                                              | <b>6</b> <u>4952063</u>                   |

**Statement by Enrolled Actuary**

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

|                                                                |  |                                        |
|----------------------------------------------------------------|--|----------------------------------------|
| <b>SIGN HERE</b>                                               |  | <u>10/14/2014</u>                      |
| Signature of actuary                                           |  | Date                                   |
| <u>MARLISE S. BRUNO</u>                                        |  | <u>14-06542</u>                        |
| Type or print name of actuary                                  |  | Most recent enrollment number          |
| <u>MERCER</u>                                                  |  | <u>303-376-0800</u>                    |
| Firm name                                                      |  | Telephone number (including area code) |
| <u>1225 17TH STREET, SUITE 1300</u><br><u>DENVER, CO 80202</u> |  |                                        |
| Address of the firm                                            |  |                                        |

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

**For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.**

**Schedule SB (Form 5500) 2013**  
**v. 130118**

| <b>Part II Beginning of Year Carryover and Prefunding Balances</b>                                                                         |                       |                        |
|--------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|------------------------|
|                                                                                                                                            | (a) Carryover balance | (b) Prefunding balance |
| <b>7</b> Balance at beginning of prior year after applicable adjustments (line 13 from prior year) .....                                   | 5695390               | 5590092                |
| <b>8</b> Portion elected for use to offset prior year's funding requirement (line 35 from prior year) .....                                | 0                     | 0                      |
| <b>9</b> Amount remaining (line 7 minus line 8) .....                                                                                      | 5695390               | 5590092                |
| <b>10</b> Interest on line 9 using prior year's actual return of <u>25.59</u> % .....                                                      | 1457450               | 1430505                |
| <b>11</b> Prior year's excess contributions to be added to prefunding balance:                                                             |                       |                        |
| <b>a</b> Present value of excess contributions (line 38a from prior year) .....                                                            |                       | 14750590               |
| <b>b</b> Interest on (a) using prior year's effective interest rate of <u>6.99</u> % except as otherwise provided (see instructions) ..... |                       | 1031066                |
| <b>c</b> Total available at beginning of current plan year to add to prefunding balance .....                                              |                       | 15781656               |
| <b>d</b> Portion of (c) to be added to prefunding balance .....                                                                            |                       | 0                      |
| <b>12</b> Other reductions in balances due to elections or deemed elections .....                                                          | 0                     | 0                      |
| <b>13</b> Balance at beginning of current year (line 9 + line 10 + line 11d – line 12) .....                                               | 7152840               | 7020597                |

| <b>Part III Funding Percentages</b>                                                                                                                                        |           |          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>14</b> Funding target attainment percentage .....                                                                                                                       | <b>14</b> | 100.20 % |
| <b>15</b> Adjusted funding target attainment percentage .....                                                                                                              | <b>15</b> | 104.37 % |
| <b>16</b> Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement ..... | <b>16</b> | 98.09 %  |
| <b>17</b> If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage .....                                        | <b>17</b> | %        |

| <b>Part IV Contributions and Liquidity Shortfalls</b>                                    |                                   |                                 |                          |                                   |                                 |
|------------------------------------------------------------------------------------------|-----------------------------------|---------------------------------|--------------------------|-----------------------------------|---------------------------------|
| <b>18</b> Contributions made to the plan for the plan year by employer(s) and employees: |                                   |                                 |                          |                                   |                                 |
| (a) Date<br>(MM-DD-YYYY)                                                                 | (b) Amount paid by<br>employer(s) | (c) Amount paid by<br>employees | (a) Date<br>(MM-DD-YYYY) | (b) Amount paid by<br>employer(s) | (c) Amount paid by<br>employees |
| 04/12/2013                                                                               | 2800000                           |                                 |                          |                                   |                                 |
| 07/15/2013                                                                               | 2800000                           |                                 |                          |                                   |                                 |
| 10/15/2013                                                                               | 5000000                           |                                 |                          |                                   |                                 |
|                                                                                          |                                   |                                 |                          |                                   |                                 |
|                                                                                          |                                   |                                 |                          |                                   |                                 |
|                                                                                          |                                   |                                 |                          |                                   |                                 |
|                                                                                          |                                   |                                 | <b>Totals ▶</b>          | <b>18(b)</b>                      | 10600000                        |
|                                                                                          |                                   |                                 |                          | <b>18(c)</b>                      | 0                               |

|                                                                                                                                      |                                                                     |          |
|--------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----------|
| <b>19</b> Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year: |                                                                     |          |
| <b>a</b> Contributions allocated toward unpaid minimum required contributions from prior years. ....                                 | <b>19a</b>                                                          |          |
| <b>b</b> Contributions made to avoid restrictions adjusted to valuation date .....                                                   | <b>19b</b>                                                          |          |
| <b>c</b> Contributions allocated toward minimum required contribution for current year adjusted to valuation date .....              | <b>19c</b>                                                          | 10229748 |
| <b>20</b> Quarterly contributions and liquidity shortfalls:                                                                          |                                                                     |          |
| <b>a</b> Did the plan have a "funding shortfall" for the prior year? .....                                                           | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |          |
| <b>b</b> If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? .....              | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |          |
| <b>c</b> If line 20a is "Yes," see instructions and complete the following table as applicable:                                      |                                                                     |          |

| Liquidity shortfall as of end of quarter of this plan year |         |         |         |
|------------------------------------------------------------|---------|---------|---------|
| (1) 1st                                                    | (2) 2nd | (3) 3rd | (4) 4th |
| 0                                                          | 0       | 0       | 0       |

**Part V Assumptions Used to Determine Funding Target and Target Normal Cost**

|                                                                                                                                                                                              |                       |                       |                        |                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------|------------------------|-----------------------------------------------------|
| <b>21</b> Discount rate:                                                                                                                                                                     |                       |                       |                        |                                                     |
| <b>a</b> Segment rates:                                                                                                                                                                      | 1st segment:<br>4.94% | 2nd segment:<br>6.15% | 3rd segment:<br>6.76 % | <input type="checkbox"/> N/A, full yield curve used |
| <b>b</b> Applicable month (enter code).....                                                                                                                                                  |                       |                       |                        | <b>21b</b> 4                                        |
| <b>22</b> Weighted average retirement age .....                                                                                                                                              |                       |                       |                        | <b>22</b> 62                                        |
| <b>23</b> Mortality table(s) (see instructions) <input type="checkbox"/> Prescribed - combined <input checked="" type="checkbox"/> Prescribed - separate <input type="checkbox"/> Substitute |                       |                       |                        |                                                     |

**Part VI Miscellaneous Items**

|                                                                                                                                                                        |                                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| <b>24</b> Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. .... | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| <b>25</b> Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ....                                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| <b>26</b> Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ....                            | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| <b>27</b> If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment. ....                                   | <b>27</b>                                                           |

**Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years**

|                                                                                                                                     |           |   |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------|---|
| <b>28</b> Unpaid minimum required contributions for all prior years .....                                                           | <b>28</b> | 0 |
| <b>29</b> Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)..... | <b>29</b> |   |
| <b>30</b> Remaining amount of unpaid minimum required contributions (line 28 minus line 29) .....                                   | <b>30</b> | 0 |

**Part VIII Minimum Required Contribution For Current Year**

|                                                                                                                                                                                      |                     |                    |               |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|---------------|
| <b>31</b> Target normal cost and excess assets (see instructions):                                                                                                                   |                     |                    |               |
| <b>a</b> Target normal cost (line 6).....                                                                                                                                            | <b>31a</b>          | 4952063            |               |
| <b>b</b> Excess assets, if applicable, but not greater than line 31a .....                                                                                                           | <b>31b</b>          | 689304             |               |
| <b>32</b> Amortization installments:                                                                                                                                                 | Outstanding Balance | Installment        |               |
| <b>a</b> Net shortfall amortization installment.....                                                                                                                                 | 0                   | 0                  |               |
| <b>b</b> Waiver amortization installment .....                                                                                                                                       | 0                   | 0                  |               |
| <b>33</b> If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount ..... | <b>33</b>           |                    |               |
| <b>34</b> Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)...                                                            | <b>34</b>           | 4262759            |               |
|                                                                                                                                                                                      | Carryover balance   | Prefunding balance | Total balance |
| <b>35</b> Balances elected for use to offset funding requirement.....                                                                                                                |                     |                    | 0             |
| <b>36</b> Additional cash requirement (line 34 minus line 35).....                                                                                                                   | <b>36</b>           | 4262759            |               |
| <b>37</b> Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c).....                                                   | <b>37</b>           | 10229748           |               |
| <b>38</b> Present value of excess contributions for current year (see instructions)                                                                                                  |                     |                    |               |
| <b>a</b> Total (excess, if any, of line 37 over line 36) .....                                                                                                                       | <b>38a</b>          | 5966989            |               |
| <b>b</b> Portion included in line 38a attributable to use of prefunding and funding standard carryover balances .....                                                                | <b>38b</b>          | 0                  |               |
| <b>39</b> Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) .....                                                                      | <b>39</b>           | 0                  |               |
| <b>40</b> Unpaid minimum required contributions for all years .....                                                                                                                  | <b>40</b>           | 0                  |               |

**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)**

|                                                                                                |                                                                                                                         |  |  |
|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|--|--|
| <b>41</b> If an election was made to use PRA 2010 funding relief for this plan:                |                                                                                                                         |  |  |
| <b>a</b> Schedule elected .....                                                                | <input type="checkbox"/> 2 plus 7 years <input type="checkbox"/> 15 years                                               |  |  |
| <b>b</b> Eligible plan year(s) for which the election in line 41a was made .....               | <input type="checkbox"/> 2008 <input type="checkbox"/> 2009 <input type="checkbox"/> 2010 <input type="checkbox"/> 2011 |  |  |
| <b>42</b> Amount of acceleration adjustment .....                                              | <b>42</b>                                                                                                               |  |  |
| <b>43</b> Excess installment acceleration amount to be carried over to future plan years ..... | <b>43</b>                                                                                                               |  |  |



**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity  
code**e** Dollar value of interest in MTIA, CCT, PSA, or  
103-12 IE at end of year (see instructions)

**Part II Information on Participating Plans (to be completed by DFEs)**

(Complete as many entries as needed to report all participating plans)

**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN**a** Plan name**b** Name of  
plan sponsor**c** EIN-PN

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                              |                                               |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| <b>SCHEDULE H</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Financial Information</b><br><br>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).<br><br><b>► File as an attachment to Form 5500.</b> | OMB No. 1210-0110                             |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                              | <b>2013</b>                                   |
|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                              | <b>This Form is Open to Public Inspection</b> |

|                                                                                                                          |                                                                        |
|--------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|
| For calendar plan year 2013 or fiscal plan year beginning <b>01/01/2013</b> and ending <b>12/31/2013</b>                 |                                                                        |
| <b>A</b> Name of plan<br><b>JOHNS MANVILLE HOURLY RETIREMENT PLAN</b>                                                    | <b>B</b> Three-digit plan number (PN) <b>►</b> <b>002</b>              |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><b>JOHNS MANVILLE CORPORATION ATTN RETIREMENT 11-04</b> | <b>D</b> Employer Identification Number (EIN)<br><br><b>84-0856796</b> |

| <b>Part I Asset and Liability Statement</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                 |                              |                        |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|------------------------------|------------------------|
| <b>1</b> Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. <b>Round off amounts to the nearest dollar.</b> MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions. |                 |                              |                        |
| <b>Assets</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                 | <b>(a) Beginning of Year</b> | <b>(b) End of Year</b> |
| <b>a</b> Total noninterest-bearing cash .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>1a</b>       |                              |                        |
| <b>b</b> Receivables (less allowance for doubtful accounts):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                 |                              |                        |
| <b>(1)</b> Employer contributions.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>1b(1)</b>    | <b>17546000</b>              | <b>0</b>               |
| <b>(2)</b> Participant contributions.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>1b(2)</b>    |                              |                        |
| <b>(3)</b> Other.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>1b(3)</b>    |                              |                        |
| <b>c</b> General investments:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                 |                              |                        |
| <b>(1)</b> Interest-bearing cash (include money market accounts & certificates of deposit).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>1c(1)</b>    |                              |                        |
| <b>(2)</b> U.S. Government securities .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>1c(2)</b>    |                              |                        |
| <b>(3)</b> Corporate debt instruments (other than employer securities):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                 |                              |                        |
| <b>(A)</b> Preferred .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1c(3)(A)</b> |                              |                        |
| <b>(B)</b> All other.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>1c(3)(B)</b> |                              |                        |
| <b>(4)</b> Corporate stocks (other than employer securities):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                 |                              |                        |
| <b>(A)</b> Preferred .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1c(4)(A)</b> |                              |                        |
| <b>(B)</b> Common.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>1c(4)(B)</b> |                              |                        |
| <b>(5)</b> Partnership/joint venture interests .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>1c(5)</b>    |                              |                        |
| <b>(6)</b> Real estate (other than employer real property).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>1c(6)</b>    |                              |                        |
| <b>(7)</b> Loans (other than to participants) .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>1c(7)</b>    |                              |                        |
| <b>(8)</b> Participant loans.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>1c(8)</b>    |                              |                        |
| <b>(9)</b> Value of interest in common/collective trusts .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>1c(9)</b>    |                              |                        |
| <b>(10)</b> Value of interest in pooled separate accounts .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>1c(10)</b>   |                              |                        |
| <b>(11)</b> Value of interest in master trust investment accounts .....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>1c(11)</b>   | <b>372977924</b>             | <b>498140720</b>       |
| <b>(12)</b> Value of interest in 103-12 investment entities.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>1c(12)</b>   |                              |                        |
| <b>(13)</b> Value of interest in registered investment companies (e.g., mutual funds).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>1c(13)</b>   |                              |                        |
| <b>(14)</b> Value of funds held in insurance company general account (unallocated contracts).....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>1c(14)</b>   |                              |                        |
| <b>(15)</b> Other.....                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>1c(15)</b>   |                              |                        |

| <b>1d</b> Employer-related investments:                              |              | (a) Beginning of Year | (b) End of Year |
|----------------------------------------------------------------------|--------------|-----------------------|-----------------|
| (1) Employer securities .....                                        | <b>1d(1)</b> |                       |                 |
| (2) Employer real property .....                                     | <b>1d(2)</b> |                       |                 |
| <b>e</b> Buildings and other property used in plan operation .....   | <b>1e</b>    |                       |                 |
| <b>f</b> Total assets (add all amounts in lines 1a through 1e) ..... | <b>1f</b>    | 390523924             | 498140720       |

**Liabilities**

|                                                                           |           |        |       |
|---------------------------------------------------------------------------|-----------|--------|-------|
| <b>g</b> Benefit claims payable .....                                     | <b>1g</b> |        |       |
| <b>h</b> Operating payables .....                                         | <b>1h</b> |        |       |
| <b>i</b> Acquisition indebtedness .....                                   | <b>1i</b> |        |       |
| <b>j</b> Other liabilities .....                                          | <b>1j</b> | 171167 | 79535 |
| <b>k</b> Total liabilities (add all amounts in lines 1g through 1j) ..... | <b>1k</b> | 171167 | 79535 |

**Net Assets**

|                                                           |           |           |           |
|-----------------------------------------------------------|-----------|-----------|-----------|
| <b>l</b> Net assets (subtract line 1k from line 1f) ..... | <b>1l</b> | 390352757 | 498061185 |
|-----------------------------------------------------------|-----------|-----------|-----------|

**Part II Income and Expense Statement**

**2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

**Income**

|                                                                                                            |                 | (a) Amount | (b) Total |
|------------------------------------------------------------------------------------------------------------|-----------------|------------|-----------|
| <b>a Contributions:</b>                                                                                    |                 |            |           |
| (1) Received or receivable in cash from: <b>(A)</b> Employers .....                                        | <b>2a(1)(A)</b> | 10600000   |           |
| <b>(B)</b> Participants .....                                                                              | <b>2a(1)(B)</b> |            |           |
| <b>(C)</b> Others (including rollovers) .....                                                              | <b>2a(1)(C)</b> |            |           |
| (2) Noncash contributions .....                                                                            | <b>2a(2)</b>    |            |           |
| (3) Total contributions. Add lines <b>2a(1)(A)</b> , <b>(B)</b> , <b>(C)</b> , and line <b>2a(2)</b> ..... | <b>2a(3)</b>    |            | 10600000  |
| <b>b Earnings on investments:</b>                                                                          |                 |            |           |
| (1) Interest:                                                                                              |                 |            |           |
| <b>(A)</b> Interest-bearing cash (including money market accounts and certificates of deposit) .....       | <b>2b(1)(A)</b> |            |           |
| <b>(B)</b> U.S. Government securities .....                                                                | <b>2b(1)(B)</b> |            |           |
| <b>(C)</b> Corporate debt instruments .....                                                                | <b>2b(1)(C)</b> |            |           |
| <b>(D)</b> Loans (other than to participants) .....                                                        | <b>2b(1)(D)</b> |            |           |
| <b>(E)</b> Participant loans .....                                                                         | <b>2b(1)(E)</b> |            |           |
| <b>(F)</b> Other .....                                                                                     | <b>2b(1)(F)</b> |            |           |
| <b>(G)</b> Total interest. Add lines <b>2b(1)(A)</b> through <b>(F)</b> .....                              | <b>2b(1)(G)</b> |            | 0         |
| (2) Dividends: <b>(A)</b> Preferred stock .....                                                            | <b>2b(2)(A)</b> |            |           |
| <b>(B)</b> Common stock .....                                                                              | <b>2b(2)(B)</b> |            |           |
| <b>(C)</b> Registered investment company shares (e.g. mutual funds) .....                                  | <b>2b(2)(C)</b> |            |           |
| <b>(D)</b> Total dividends. Add lines <b>2b(2)(A)</b> , <b>(B)</b> , and <b>(C)</b> .....                  | <b>2b(2)(D)</b> |            | 0         |
| (3) Rents .....                                                                                            | <b>2b(3)</b>    |            |           |
| (4) Net gain (loss) on sale of assets: <b>(A)</b> Aggregate proceeds .....                                 | <b>2b(4)(A)</b> |            |           |
| <b>(B)</b> Aggregate carrying amount (see instructions) .....                                              | <b>2b(4)(B)</b> |            |           |
| <b>(C)</b> Subtract line <b>2b(4)(B)</b> from line <b>2b(4)(A)</b> and enter result .....                  | <b>2b(4)(C)</b> |            | 0         |
| (5) Unrealized appreciation (depreciation) of assets: <b>(A)</b> Real estate .....                         | <b>2b(5)(A)</b> |            |           |
| <b>(B)</b> Other .....                                                                                     | <b>2b(5)(B)</b> |            |           |
| <b>(C)</b> Total unrealized appreciation of assets. Add lines <b>2b(5)(A)</b> and <b>(B)</b> .....         | <b>2b(5)(C)</b> |            | 0         |

|                                                                                                 |        | (a) Amount | (b) Total |
|-------------------------------------------------------------------------------------------------|--------|------------|-----------|
| (6) Net investment gain (loss) from common/collective trusts .....                              | 2b(6)  |            |           |
| (7) Net investment gain (loss) from pooled separate accounts .....                              | 2b(7)  |            |           |
| (8) Net investment gain (loss) from master trust investment accounts .....                      | 2b(8)  |            | 119788212 |
| (9) Net investment gain (loss) from 103-12 investment entities .....                            | 2b(9)  |            |           |
| (10) Net investment gain (loss) from registered investment companies (e.g., mutual funds) ..... | 2b(10) |            |           |
| c Other income .....                                                                            | 2c     |            |           |
| d Total income. Add all <b>income</b> amounts in column (b) and enter total .....               | 2d     |            | 130388212 |

**Expenses**

|                                                                                      |       |          |          |
|--------------------------------------------------------------------------------------|-------|----------|----------|
| e Benefit payment and payments to provide benefits:                                  |       |          |          |
| (1) Directly to participants or beneficiaries, including direct rollovers .....      | 2e(1) | 22679784 |          |
| (2) To insurance carriers for the provision of benefits .....                        | 2e(2) |          |          |
| (3) Other .....                                                                      | 2e(3) |          |          |
| (4) Total benefit payments. Add lines 2e(1) through (3) .....                        | 2e(4) |          | 22679784 |
| f Corrective distributions (see instructions) .....                                  | 2f    |          |          |
| g Certain deemed distributions of participant loans (see instructions) .....         | 2g    |          |          |
| h Interest expense .....                                                             | 2h    |          |          |
| i Administrative expenses: (1) Professional fees .....                               | 2i(1) |          |          |
| (2) Contract administrator fees .....                                                | 2i(2) |          |          |
| (3) Investment advisory and management fees .....                                    | 2i(3) |          |          |
| (4) Other .....                                                                      | 2i(4) |          |          |
| (5) Total administrative expenses. Add lines 2i(1) through (4) .....                 | 2i(5) |          | 0        |
| j Total expenses. Add all <b>expense</b> amounts in column (b) and enter total ..... | 2j    |          | 22679784 |

**Net Income and Reconciliation**

|                                                          |       |  |           |
|----------------------------------------------------------|-------|--|-----------|
| k Net income (loss). Subtract line 2j from line 2d ..... | 2k    |  | 107708428 |
| l Transfers of assets:                                   |       |  |           |
| (1) To this plan .....                                   | 2l(1) |  |           |
| (2) From this plan .....                                 | 2l(2) |  |           |

**Part III Accountant's Opinion**

**3** Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

**a** The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

**b** Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

**c** Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **DELOITTE & TOUCHE, LLP**

(2) EIN: **13-3891517**

**d** The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

**Part IV Compliance Questions**

**4** CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

- a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) .....
- b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.) .....

|    | Yes | No | Amount |
|----|-----|----|--------|
| 4a |     | X  |        |
| 4b |     | X  |        |

|                                                                                                                                                                                                                               | Yes | No | Amount   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|----------|
| <b>c</b> Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.) .....                                          |     | X  |          |
| <b>d</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.) .....                               |     | X  |          |
| <b>e</b> Was this plan covered by a fidelity bond? .....                                                                                                                                                                      | X   |    | 10000000 |
| <b>f</b> Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? .....                                                                                       |     | X  |          |
| <b>g</b> Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                                    |     | X  |          |
| <b>h</b> Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser? .....                                          |     | X  |          |
| <b>i</b> Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.) .....                                                                |     | X  |          |
| <b>j</b> Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.) ..... |     | X  |          |
| <b>k</b> Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? .....                                                           |     | X  |          |
| <b>l</b> Has the plan failed to provide any benefit when due under the plan? .....                                                                                                                                            |     | X  |          |
| <b>m</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) .....                                                                                                  |     | X  |          |
| <b>n</b> If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3. ....                                      |     | X  |          |

**5a** Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. .... ☐ Yes ☒ No Amount:

**5b** If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

| 5b(1) Name of plan(s) | 5b(2) EIN(s) | 5b(3) PN(s) |
|-----------------------|--------------|-------------|
|                       |              |             |
|                       |              |             |
|                       |              |             |
|                       |              |             |

**5c** If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? .... ☒ Yes ☐ No ☐ Not determined

## Part V Trust Information (optional)

|                         |                       |
|-------------------------|-----------------------|
| <b>6a</b> Name of trust | <b>6b</b> Trust's EIN |
|                         |                       |

|                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                            |                                                                                            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <b>SCHEDULE R</b><br><b>(Form 5500)</b><br><br>Department of the Treasury<br>Internal Revenue Service<br><br>Department of Labor<br>Employee Benefits Security Administration<br><br>Pension Benefit Guaranty Corporation | <b>Retirement Plan Information</b><br><br>This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).<br><br>▶ <b>File as an attachment to Form 5500.</b> | OMB No. 1210-0110<br><br><b>2013</b><br><br><b>This Form is Open to Public Inspection.</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

|                                                                                                                          |                                                                    |
|--------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| <b>A</b> Name of plan<br><u>JOHNS MANVILLE HOURLY RETIREMENT PLAN</u>                                                    | <b>B</b> Three-digit plan number (PN) ▶<br><u>002</u>              |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500<br><u>JOHNS MANVILLE CORPORATION ATTN RETIREMENT 11-04</u> | <b>D</b> Employer Identification Number (EIN)<br><u>84-0856796</u> |

|               |                      |
|---------------|----------------------|
| <b>Part I</b> | <b>Distributions</b> |
|---------------|----------------------|

All references to distributions relate only to payments of benefits during the plan year.

|                                                                                                                                                                                                                                                                    |          |           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------|
| <b>1</b> Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....                                                                                                                              | <b>1</b> | <u>0</u>  |
| <b>2</b> Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):<br><br>EIN(s): <u>13-3981763</u> |          |           |
| <b>Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.</b>                                                                                                                                                                                            |          |           |
| <b>3</b> Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....                                                                                                                                    | <b>3</b> | <u>87</u> |

|                |                                                                                                                                                                              |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part II</b> | <b>Funding Information</b> (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part) |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                                                                                                                                                                                                           |                              |                                        |                                         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|-----------------------------------------|
| <b>4</b> Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No | <input type="checkbox"/> N/A            |
| <b>If the plan is a defined benefit plan, go to line 8.</b>                                                                                                                                                                                                                                                                                                               |                              |                                        |                                         |
| <b>5</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. <b>Date:</b> Month _____ Day _____ Year _____<br><b>If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.</b> |                              |                                        |                                         |
| <b>6 a</b> Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....                                                                                                                                                                                                                             | <b>6a</b>                    |                                        |                                         |
| <b>b</b> Enter the amount contributed by the employer to the plan for this plan year.....                                                                                                                                                                                                                                                                                 | <b>6b</b>                    |                                        |                                         |
| <b>c</b> Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) .....                                                                                                                                                                                                                          | <b>6c</b>                    |                                        |                                         |
| <b>If you completed line 6c, skip lines 8 and 9.</b>                                                                                                                                                                                                                                                                                                                      |                              |                                        |                                         |
| <b>7</b> Will the minimum funding amount reported on line 6c be met by the funding deadline?.....                                                                                                                                                                                                                                                                         | <input type="checkbox"/> Yes | <input type="checkbox"/> No            | <input type="checkbox"/> N/A            |
| <b>8</b> If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....                                                                                                | <input type="checkbox"/> Yes | <input type="checkbox"/> No            | <input checked="" type="checkbox"/> N/A |

|                 |                   |
|-----------------|-------------------|
| <b>Part III</b> | <b>Amendments</b> |
|-----------------|-------------------|

|                                                                                                                                                                                                                            |                                              |                                   |                               |                             |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------------|-------------------------------|-----------------------------|
| <b>9</b> If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box..... | <input checked="" type="checkbox"/> Increase | <input type="checkbox"/> Decrease | <input type="checkbox"/> Both | <input type="checkbox"/> No |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-----------------------------------|-------------------------------|-----------------------------|

|                |                                                                                                                                                   |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part IV</b> | <b>ESOPs</b> (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part. |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                             |                              |                             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------------------|
| <b>10</b> Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? .....                                                     | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>11 a</b> Does the ESOP hold any preferred stock? .....                                                                                                                                   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>b</b> If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)..... | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| <b>12</b> Does the ESOP hold any stock that is not readily tradable on an established securities market? .....                                                                              | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

**Part V Additional Information for Multiemployer Defined Benefit Pension Plans**

**13** Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

**a** Name of contributing employer

**b** EIN

**c** Dollar amount contributed by employer

**d** Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_

**e** Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) \_\_\_\_\_

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): \_\_\_\_\_

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

|                                                                          |            |  |
|--------------------------------------------------------------------------|------------|--|
| <b>a</b> The current year .....                                          | <b>14a</b> |  |
| <b>b</b> The plan year immediately preceding the current plan year ..... | <b>14b</b> |  |
| <b>c</b> The second preceding plan year .....                            | <b>14c</b> |  |

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

|                                                                                                       |            |  |
|-------------------------------------------------------------------------------------------------------|------------|--|
| <b>a</b> The corresponding number for the plan year immediately preceding the current plan year ..... | <b>15a</b> |  |
| <b>b</b> The corresponding number for the second preceding plan year .....                            | <b>15b</b> |  |

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

|                                                                                                                                                                        |            |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|--|
| <b>a</b> Enter the number of employers who withdrew during the preceding plan year .....                                                                               | <b>16a</b> |  |
| <b>b</b> If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers ..... | <b>16b</b> |  |

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. .... ☐

**Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans**

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment. .... ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

- a** Enter the percentage of plan assets held as:

Stock: 85% Investment-Grade Debt: 2% High-Yield Debt: 1% Real Estate: 0% Other: 12%

- b** Provide the average duration of the combined investment-grade and high-yield debt:

☒ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

- c** What duration measure was used to calculate line 19(b)?

☐ Effective duration ☐ Macaulay duration ☒ Modified duration ☐ Other (specify):

# Johns Manville Hourly Retirement Plan

Plan Number 002

Employer Identification Number 84-0856796

Financial Statements as of and for the  
Years Ended December 31, 2013 and 2012, and  
Independent Auditors' Report

# JOHNS MANVILLE HOURLY RETIREMENT PLAN

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## **INDEPENDENT AUDITORS' REPORT**

To the Retirement and Benefits Committee of  
Johns Manville Corporation:

### **Report on the Financial Statements**

We were engaged to audit the accompanying financial statements of the Johns Manville Hourly Retirement Plan (the "Plan"), as of December 31, 2013 and 2012, and for the years then ended, listed in the foregoing table of contents.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditors' Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

### **Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 9, which was certified by The Bank of New York Mellon Trust Company, N.A., the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the Trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained certifications from the trustee as of December 31, 2013 and 2012, and for the years then ended, that the information provided to the Plan administrator by the trustee is complete and accurate.

## **Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

## **Report on Form and Content in Compliance with Department of Labor Rules and Regulations**

The form and content of the information included in the financial statements, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

*Deloitte & Touche LLP*

October 9, 2014

## JOHNS MANVILLE HOURLY RETIREMENT PLAN

### STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2013 AND 2012

---

|                                   | 2013                        | 2012                        |
|-----------------------------------|-----------------------------|-----------------------------|
| ASSETS:                           |                             |                             |
| Investments — at fair value —     |                             |                             |
| Plan's interest in Master Trust   | \$498,140,720               | \$372,977,924               |
| Receivables —                     |                             |                             |
| Employer contributions receivable | <u>-</u>                    | <u>17,546,000</u>           |
| Total assets                      | <u>498,140,720</u>          | <u>390,523,924</u>          |
| LIABILITIES —                     |                             |                             |
| Accrued administrative expenses   | <u>79,535</u>               | <u>171,167</u>              |
| Total liabilities                 | <u>79,535</u>               | <u>171,167</u>              |
| NET ASSETS AVAILABLE FOR BENEFITS | <u><u>\$498,061,185</u></u> | <u><u>\$390,352,757</u></u> |

See notes to financial statements.

## JOHNS MANVILLE HOURLY RETIREMENT PLAN

### STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEARS ENDED DECEMBER 31, 2013 AND 2012

|                                                                                                                                                                       | 2013                        | 2012                        |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------------------|
| ADDITIONS:                                                                                                                                                            |                             |                             |
| Employer contributions                                                                                                                                                | <u>\$10,600,000</u>         | <u>\$28,664,000</u>         |
| Investment income:                                                                                                                                                    |                             |                             |
| Net appreciation in fair value of investments whose fair value<br>was determined based on quoted market prices — investment<br>in Johns Manville Pension Master Trust | 115,809,762                 | 72,066,741                  |
| Dividends                                                                                                                                                             | 3,778,826                   | 4,122,608                   |
| Interest                                                                                                                                                              | <u>1,191,000</u>            | <u>1,848,589</u>            |
| Net investment income                                                                                                                                                 | <u>120,779,588</u>          | <u>78,037,938</u>           |
| DEDUCTIONS:                                                                                                                                                           |                             |                             |
| Benefits paid directly to participants                                                                                                                                | (22,679,784)                | (30,222,050)                |
| Administrative expenses                                                                                                                                               | <u>(991,376)</u>            | <u>(1,193,842)</u>          |
| Total deductions                                                                                                                                                      | <u>(23,671,160)</u>         | <u>(31,415,892)</u>         |
| NET INCREASE                                                                                                                                                          | 107,708,428                 | 75,286,046                  |
| NET ASSETS AVAILABLE FOR BENEFITS:                                                                                                                                    |                             |                             |
| Beginning of year                                                                                                                                                     | <u>390,352,757</u>          | <u>315,066,711</u>          |
| End of year                                                                                                                                                           | <u><u>\$498,061,185</u></u> | <u><u>\$390,352,757</u></u> |

See notes to financial statements.

## JOHNS MANVILLE HOURLY RETIREMENT PLAN

### STATEMENTS OF ACCUMULATED PLAN BENEFITS AS OF JANUARY 1, 2013 AND 2012

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|                                                               | 2013                        | 2012                        |
|---------------------------------------------------------------|-----------------------------|-----------------------------|
| ACTUARIAL PRESENT VALUE OF ACCUMULATED<br>PLAN BENEFITS:      |                             |                             |
| Vested benefits:                                              |                             |                             |
| Participants currently receiving payments                     | \$184,175,985               | \$177,167,339               |
| Other participants                                            | <u>127,605,897</u>          | <u>138,817,895</u>          |
| Total vested benefits                                         | 311,781,882                 | 315,985,234                 |
| Nonvested benefits                                            | <u>6,447,813</u>            | <u>6,965,747</u>            |
| TOTAL ACTUARIAL PRESENT VALUE OF<br>ACCUMULATED PLAN BENEFITS | <u><u>\$318,229,695</u></u> | <u><u>\$322,950,981</u></u> |

See notes to financial statements.

## JOHNS MANVILLE HOURLY RETIREMENT PLAN

### STATEMENTS OF CHANGES IN ACCUMULATED PLAN BENEFITS FOR THE YEARS ENDED JANUARY 1, 2013 AND 2012

---

|                                                                             | 2013                        | 2012                        |
|-----------------------------------------------------------------------------|-----------------------------|-----------------------------|
| ACTUARIAL PRESENT VALUE OF ACCUMULATED<br>PLAN BENEFITS — Beginning of year | <u>\$322,950,981</u>        | <u>\$301,751,686</u>        |
| INCREASE (DECREASE) DURING THE YEAR<br>ATTRIBUTABLE TO:                     |                             |                             |
| Benefits paid                                                               | (30,222,050)                | (18,973,670)                |
| Increase for interest due to the decrease in the discount period            | 20,009,597                  | 20,458,540                  |
| Benefits accumulated and actuarial experience                               | 5,491,167                   | 3,847,193                   |
| Change in actuarial assumptions                                             | <u>-</u>                    | <u>15,867,232</u>           |
| NET INCREASE (DECREASE)                                                     | <u>(4,721,286)</u>          | <u>21,199,295</u>           |
| ACTUARIAL PRESENT VALUE OF ACCUMULATED<br>PLAN BENEFITS — End of year       | <u><u>\$318,229,695</u></u> | <u><u>\$322,950,981</u></u> |

See notes to financial statements.

# JOHNS MANVILLE HOURLY RETIREMENT PLAN

## NOTES TO FINANCIAL STATEMENTS

AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2013 AND 2012

---

### 1. DESCRIPTION OF THE PLAN

The following description of the Johns Manville Hourly Retirement Plan (the “Plan”) is provided for general information purposes only. Participants should refer to the Plan documents for more complete information.

**General** — The Plan is a noncontributory defined benefit pension plan sponsored by Johns Manville Corporation and offered through its wholly owned subsidiary Johns Manville (the “Company”). The Plan is a defined benefit pension plan covering certain union and non-union hourly employees of Johns Manville Corporation who were employed as of December 31, 2006. All non-union employees hired on or after January 1, 2007, and certain union employees hired on or after varying negotiated dates are not eligible to participate in the Plan. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). The Company maintains the Johns Manville Master Pension Trust (the “Master Trust”) fund to provide pension, disability, and pre-retirement death benefits to eligible employees in accordance with the provisions of the Plan.

In October 2012, the Company amended the Plan to offer a voluntary one-time lump sum cash out program. Eligible members were offered an opportunity between October 13, 2012, and December 11, 2012 (the “Election Period”), to elect to receive a distribution of their benefits under the Plan as a single-sum payment on the eligible member’s Window Benefit Commencement Date, or as soon thereafter as practicable, in lieu of any future payments under the plan. A grace period was extended to the Election Period through December 21, 2012. Approximately 490 participants elected the single-sum offer, with approximately 425 paid out in 2012 and approximately 65 paid out in 2013. The total cash lump sum distributions for the Plan were \$2,053,087 in 2013 and \$10,463,286 in 2012.

**Pension Benefits** — Participants who meet the hiring requirements described above were eligible to participate in the Plan after one year of service. If employees terminate before rendering five years of accumulated service or are age 60 with less than one year of service, they forfeit the right to receive pension benefits upon retirement. Employees with five years of accumulated service or who are age 60 with one year of service, as defined by the Plan, are entitled to pension benefits upon retirement based upon the employees’ years of service and the benefit rate in place at the time of the hourly employees’ termination or retirement from their work location.

### 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

**Basis of Accounting** — The accompanying financial statements have been prepared in accordance with the accounting principles, generally accepted in the United States of America (GAAP).

**Use of Estimates** — The preparation of the Plan’s financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, disclosure of contingent assets and liabilities, and the actuarial present value of accumulated Plan benefits and changes therein at the date of the financial statements. Actual results could differ from those estimates.

**Risks and Uncertainties** — Plan contributions and the actuarial present value of accumulated Plan benefits are determined based on certain assumptions pertaining to interest rates, inflation rates, and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

The Plan and Master Trust utilize various investment securities including U.S. government securities, corporate fixed income, other fixed income, short-term investments and common stocks. Investment securities in general are exposed to various risks, such as interest rate risk, credit risk and overall market volatility. Due to the level of risk associated with investment securities and the level of uncertainty related to changes in the value of investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and could materially affect balances in the Master Trust and the amounts reported in the financial statements.

**Investment Valuation of Master Trust Fund and Income Recognition** — Investments are stated at fair value. Fair value of a financial instrument is the amount that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. If available, quoted market prices are used to value investments. The Master Trust is included in a bank-administered Master Trust fund. The Bank of New York Mellon Trust Company, N.A. (the “Trustee”) reports the total net asset value of the Master Trust and the net asset value of each Plan’s related share. The Plan’s investment in the Master Trust is presented at fair value, which has been determined based on the fair value of the underlying investments of the Master Trust. As of December 31, 2013 and 2012, the Plan had a 42% and 41% interest in the Master Trust, respectively. Purchases and sales of securities are reflected as of the trade date. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Any gain or loss on the sale of securities is based on average cost. Net appreciation includes the Plan’s gain and losses on investments bought and sold as well as held during the year.

**Administrative Expenses** — All administrative expenses are paid by the Plan, except for certain administrative expenses that are required to be paid by the Company under ERISA. Plan administrative expenses, paid directly by the Company to the Plan’s Trustee and outside consultants, are not included in the Plan’s financial statements.

**Payment of Benefits** — Benefit payments to participants are recorded upon distribution.

**Subsequent Events** — Subsequent events were evaluated through October 9, 2014, the date the financial statements were available to be issued.

**Accumulated Plan Benefit** — As permitted by the Financial Accounting Standards Board (FASB) Accounting Standards Codification 960-205, *Plan Accounting — Defined Benefit Pension Plans*, all accumulated plan benefit information is presented as of the beginning of the Plan year.

## **New Accounting Standards**

**FASB ASC 235-50-1 (Notes to Financial Statements)** states the disclosure of accounting policies shall identify and describe the accounting principles followed by the Plan and the methods of applying those principles that materially affect the determination of financial position, cash flows, or results of operations.

In December 2011 and January 2013, the Financial Accounting Standards Board (“FASB”) issued guidance requiring expanded disclosures, including both gross and net information, for derivatives,

repurchase and reverse repurchase agreements, and securities borrowing and securities lending transactions that are either offset in the reporting entity's financial statements or those that are subject to an enforceable master netting arrangement or similar agreement. The Plan adopted the new guidance respectively in 2013. The new guidance did not have a material impact on the Plan's financial statements.

### **3. FUNDING POLICY**

The Company's funding policy is to make cash contributions to the Plan in the amounts estimated by the Plan's actuary such that, when combined with the net return on investments, all participants' benefits will be fully provided for by the time they are eligible to receive such benefits. The Company's contributions as determined for the years ended December 31, 2013 and 2012, met or exceeded the minimum funding requirements of ERISA.

### **4. ACTUARIAL PRESENT VALUE OF ACCUMULATED PLAN BENEFITS**

Accumulated plan benefits are those future periodic payments payable under the Plan's provisions that are attributable to the participants based upon service that has been rendered. Accumulated plan benefits include benefits expected to be paid to retired or terminated employees or their beneficiaries, beneficiaries of employees who have died, and present employees or their beneficiaries. Benefits payable under all circumstances (retirement, death, disability, and termination of employment) are included to the extent they are deemed attributable to employee service rendered to the valuation date.

The effect of Plan amendments on accumulated plan benefits is recognized during the year in which such amendments are adopted. There were no amendments to the Plan for the years ended January 1, 2013 and 2012.

The actuarial present value of accumulated plan benefits is determined by an independent actuary and is that amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements, such as death, disability, separation, or retirement) between the valuation date and the expected date of payment.

The significant actuarial assumptions used in the valuations were as follows:

- The interest rate was 6.5% for January 1, 2013 and 2012, which reflects the expected rate of return on Plan assets as of each valuation date.
- The life expectancy of participants was calculated using 2008 Pension Protection Act separate annuitant/nonannuitant static mortality tables. These tables are based on the RP-2000 mortality table with the nonannuitant table projected with mortality improvements to 2023 and the annuitant table projected to 2015.
- The form of payment assumption for active retirements, future vested deferred, future disabilities, and current deferred participants is 70% electing life annuity and 30% electing a 100% joint and survivor for January 1, 2013 and 2012.
- It is assumed that employees will retire between the ages of 45 and 70. The assumed rate of retirement varies depending on the participant's age, with an assumed rate of 5% at age 45 up to 100% at age 70.

The actuary determined the Company's contributions for the Plan using the traditional unit credit method based upon the same assumptions except using mandated interest and mortality based on the plan sponsor's elections under the Pension Protection Act as modified by MAP-21. The increase in the statements of changes in accumulated plan benefits for the year ended January 1, 2012, is attributable to the change in discount rate from 7.0% to 6.5%.

The foregoing actuarial assumptions are based on the presumption that the Plan will continue indefinitely. If the plan were to be terminated, different actuarial assumptions and other factors would be applicable in determining the actuarial present value of accumulated plan benefits. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonable possible that certain changes in these estimates and assumptions could be material to the financial statements.

## 5. FAIR VALUE MEASUREMENTS

ASC 820, *Fair Value Measurements and Disclosures*, provides a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value, as follows: Level 1, which refers to securities valued using unadjusted quoted prices from active markets for identical assets; Level 2, which refers to securities not traded on an active market but for which observable market inputs are readily available; and Level 3, which refers to securities valued based on significant unobservable inputs. Investments are classified in their entirety based on the lowest level of input that is significant to the fair value measurement. The Master Trust's policy is to recognize significant transfers between levels at the end of the reporting period. For the years ended December 31, 2013 and 2012, there were no significant transfers in or out of Levels 1, 2, or 3.

**Asset Valuation Techniques** — Valuation technologies maximize the use of relevant observable inputs and minimize the use of unobservable inputs. The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

*Investments in Collective U.S. Government Short Term Investment Funds (Collective Funds)* — Valued based upon the redemption price of units held by the Plan, which is based on the current fair value of the collective funds underlying assets. Unit values are determined by the financial institution sponsoring such funds by dividing the fund's net assets at fair value by its units outstanding at the valuation dates. Investments in collective funds are categorized as Level 2.

*Common Stocks* — Valued at the closing price reported on the active market on which the individual securities are traded. Investments in common stocks are categorized as Level 1.

*U.S. Government Securities* — Valued using pricing models maximizing the use of observable inputs for similar securities. Investments in U.S. government securities are categorized as Level 2.

*Corporate Fixed Income* — Valued at estimated fair value. Many factors are considered in arriving at estimated value. In general, however, corporate bonds are valued based on yields currently available on comparable securities of issuers with similar credit ratings. Investments in corporate fixed income are categorized as Level 2.

The following tables set forth by level within the fair value hierarchy and the major categorization for debt and equity securities on the basis of the nature and risk of the investments a summary of the Master Trust's investments measured at fair value on a recurring basis as of December 31, 2013 and 2012.

| <b>Master Trust Assets</b>                             |                                                                      |                                                      |                                                              |                 |
|--------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------|-----------------|
| <b>Fair Value Measurements as of December 31, 2013</b> |                                                                      |                                                      |                                                              |                 |
|                                                        | <b>Active<br/>Markets for<br/>Identical<br/>Assets<br/>(Level 1)</b> | <b>Other<br/>Observable<br/>Inputs<br/>(Level 2)</b> | <b>Significant<br/>Unobservable<br/>Inputs<br/>(Level 3)</b> | <b>Total</b>    |
| Collective U.S. government short-term investment funds | \$ -                                                                 | \$112,928,907                                        | \$ -                                                         | \$112,928,907   |
| Common stocks:                                         |                                                                      |                                                      |                                                              |                 |
| Media                                                  | 431,795,242                                                          | -                                                    | -                                                            | 431,795,242     |
| Oil & gas                                              | 221,056,554                                                          | -                                                    | -                                                            | 221,056,554     |
| Health care                                            | 174,267,658                                                          | -                                                    | -                                                            | 174,267,658     |
| Financial services                                     | 149,830,112                                                          | -                                                    | -                                                            | 149,830,112     |
| Auto manufacturers                                     | 50,883,150                                                           | -                                                    | -                                                            | 50,883,150      |
| Miscellaneous manufacturing                            | 27,399,000                                                           | -                                                    | -                                                            | 27,399,000      |
| Total common stocks                                    | 1,055,231,716                                                        | -                                                    | -                                                            | 1,055,231,716   |
| U.S. government securities                             | -                                                                    | 9,629,597                                            | -                                                            | 9,629,597       |
| Corporate fixed income:                                |                                                                      |                                                      |                                                              |                 |
| BBB credit rating                                      | -                                                                    | 5,575,750                                            | -                                                            | 5,575,750       |
| D credit rating                                        | -                                                                    | 1,125,000                                            | -                                                            | 1,125,000       |
| Total corporate fixed income                           | -                                                                    | 6,700,750                                            | -                                                            | 6,700,750       |
| Total Master Trust                                     | \$1,055,231,716                                                      | \$129,259,254                                        | \$ -                                                         | \$1,184,490,970 |

| Master Trust Assets                                       |                                                           |                                            |                                                    |               |
|-----------------------------------------------------------|-----------------------------------------------------------|--------------------------------------------|----------------------------------------------------|---------------|
| Fair Value Measurements as of December 31, 2012           |                                                           |                                            |                                                    |               |
|                                                           | Active<br>Markets for<br>Identical<br>Assets<br>(Level 1) | Other<br>Observable<br>Inputs<br>(Level 2) | Significant<br>Unobservable<br>Inputs<br>(Level 3) | Total         |
| Collective U.S. government<br>short-term investment funds | \$ -                                                      | \$104,909,925                              | \$ -                                               | \$104,909,925 |
| Common stocks:                                            |                                                           |                                            |                                                    |               |
| Media                                                     | 276,700,830                                               | -                                          | -                                                  | 276,700,830   |
| Oil & gas                                                 | 159,390,915                                               | -                                          | -                                                  | 159,390,915   |
| Health care                                               | 150,381,432                                               | -                                          | -                                                  | 150,381,432   |
| Financial services                                        | 130,734,988                                               | -                                          | -                                                  | 130,734,988   |
| Auto manufacturers                                        | 35,893,350                                                | -                                          | -                                                  | 35,893,350    |
| Miscellaneous manufacturing                               | 25,926,000                                                | -                                          | -                                                  | 25,926,000    |
| Total common stocks                                       | 779,027,515                                               | -                                          | -                                                  | 779,027,515   |
| U.S. government securities                                | -                                                         | 13,239,828                                 | -                                                  | 13,239,828    |
| Corporate fixed income:                                   |                                                           |                                            |                                                    |               |
| BBB credit rating                                         | -                                                         | 5,874,100                                  | -                                                  | 5,874,100     |
| D credit rating                                           | -                                                         | 5,040,000                                  | -                                                  | 5,040,000     |
| Total corporate<br>fixed income                           | -                                                         | 10,914,100                                 | -                                                  | 10,914,100    |
| Total Master Trust                                        | \$779,027,515                                             | \$129,063,853                              | \$ -                                               | \$908,091,368 |

The valuation methods as described herein and in Note 2 may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

## 6. PLAN'S INTEREST IN MASTER TRUST

The Plan's investments are held in a trust account at the Trustee and consist of an interest in an investment account of the Master Trust, established by the Company and administered by the Trustee. Use of the Master Trust permits the commingling of trust assets of the Plan and the Johns Manville Employees Retirement Plan for investment and administrative purposes. Although assets of both plans are commingled in the Master Trust, the Trustee maintains supporting records for the purpose of allocating the net gain or loss of the investment account to the participating plans. The net investment income of the investments is allocated by the Trustee to each participating plan based on the relationship of the interest of each plan to the total of the interests of the participating plans.

The net assets and investments of the Master Trust as of December 31, 2013 and 2012, are summarized as follows:

|                                                                                 | 2013                   | 2012                 |
|---------------------------------------------------------------------------------|------------------------|----------------------|
| Investments — whose fair value is determined based on quoted market prices —    |                        |                      |
| Common stocks:                                                                  |                        |                      |
| Davita Healthcare Partners Inc                                                  | \$174,267,500          | \$130,734,884        |
| Phillips 66                                                                     | 169,362,054            | 116,596,980          |
| Viacom Inc                                                                      | 148,783,690            | 89,842,590           |
| DirecTV                                                                         | 139,777,440            | 92,194,080           |
| Liberty Media Corporation                                                       | 119,374,272            | 94,664,160           |
| Bank of New York Mellon Corporation                                             | 97,667,782             | 71,839,210           |
| Wells Fargo & Company                                                           | 52,162,330             | 78,542,222           |
| Others (individually less than 5% of assets)                                    | <u>153,836,648</u>     | <u>104,613,389</u>   |
| Total common stocks                                                             | <u>1,055,231,716</u>   | <u>779,027,515</u>   |
| Investments — at estimated fair value —                                         |                        |                      |
| Short-term investments — Collective U.S. government short-term investment funds | <u>112,928,907</u>     | <u>104,909,925</u>   |
| Corporate fixed income (individually less than 5% of assets)                    | <u>6,700,750</u>       | <u>10,914,100</u>    |
| U.S. government securities:                                                     |                        |                      |
| FHLMC pools (individually less than 5% of assets)                               | 5,876,675              | 8,610,108            |
| GNMA pools (individually less than 5% of assets)                                | <u>3,752,922</u>       | <u>4,629,720</u>     |
| Total U.S. government securities                                                | <u>9,629,597</u>       | <u>13,239,828</u>    |
| Total investments                                                               | <u>1,184,490,970</u>   | <u>908,091,368</u>   |
| Accrued income receivable                                                       | <u>583,787</u>         | <u>585,031</u>       |
| Net assets of the Master Trust                                                  | <u>\$1,185,074,757</u> | <u>\$908,676,399</u> |
| Plan's interest in net assets of the Master Trust                               | <u>\$498,140,720</u>   | <u>\$372,977,924</u> |

The net investment income of the Master Trust for the years ended December 31, 2013 and 2012, are summarized below:

|                                                                                                                                 | 2013                 | 2012                 |
|---------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|
| Net appreciation in the fair value of investments whose fair value was determined based on quoted market prices — common stocks | \$282,993,417        | \$179,410,755        |
| Net appreciation (depreciation) in the fair value of investments whose fair value was estimated:                                |                      |                      |
| U.S. government securities                                                                                                      | (859,038)            | (45,529)             |
| Corporate fixed income                                                                                                          | (4,213,350)          | (2,506,821)          |
| Short-term investments                                                                                                          | <u>-</u>             | <u>1,374</u>         |
| Net appreciation in the fair value of investments                                                                               | 277,921,029          | 176,859,779          |
| Dividends                                                                                                                       | 9,062,604            | 10,142,564           |
| Interest                                                                                                                        | <u>2,858,278</u>     | <u>4,551,454</u>     |
| Investment income of the Master Trust                                                                                           | <u>\$289,841,911</u> | <u>\$191,553,797</u> |

## 7. FEDERAL INCOME TAX STATUS

The Internal Revenue Service (IRS) has determined and informed the Company by a letter dated January 31, 2013, that the Plan and related trust were designed in accordance with the applicable regulations of the Internal Revenue Code. The Company and the Plan Administrator believe the Plan is currently designed and operated in compliance with the applicable requirements of the code and the Plan and related trust continue to be tax exempt. Therefore, no provision for income taxes has been included in the Plan's financial statements.

GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2010.

## 8. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan, subject to the provisions set forth in ERISA. In the event that the Plan is terminated, the net assets of the Plan will be allocated for payment of Plan benefits to the participants in an order of priority determined in accordance with ERISA, applicable regulations thereunder, and the Plan documents.

Certain benefits under the Plan are insured by the Pension Benefit Guaranty Corporation (PBGC) if the Plan terminates. Generally, the PBGC guarantees most vested normal-age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain

limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan termination, subject to a statutory ceiling on the amount of an individual's monthly benefit.

Whether all participants receive their benefits should the Plan be terminated at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits, the priority of those benefits to be paid, and the level and type of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then-existing assets and the PBGC guaranty, while other benefits may not be provided for at all.

## 9. INFORMATION CERTIFIED BY THE TRUSTEE

The following is a summary of the unaudited information regarding the Plan as of December 31, 2013 and 2012, and for the years then ended, included in the Plan's financial statements, which were prepared by or derived from information prepared by the Trustee of the Plan and furnished to the Plan administrator. The Plan administrator has obtained certifications from the Trustee that the following information is complete and accurate.

|                                                              | 2013           | 2012           |
|--------------------------------------------------------------|----------------|----------------|
| Statements of net assets available for benefits —            |                |                |
| Investments at fair value — Plan's interest in Master Trust  | \$ 498,140,720 | \$ 372,977,924 |
| Statements of changes in net assets available for benefits — |                |                |
| Plan's interest in Master Trust investment income:           |                |                |
| Net appreciation in fair value of investments                | 115,809,762    | 72,066,741     |
| Dividends                                                    | 3,778,826      | 4,122,608      |
| Interest                                                     | 1,191,000      | 1,848,589      |

Notes 5 and 6 — All investment balances and investment information, excluding the classification and level of investments in Note 5.

## 10. EXEMPT PARTY-IN-INTEREST TRANSACTIONS

Certain Plan investments are shares of collective trust funds managed by The Bank of New York Mellon Trust Company, N.A. The Bank of New York Mellon Trust Company, N.A., is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. Fees paid by the Plan for the investment management services are included as a reduction of the return on each fund.

\* \* \* \* \*

**Schedule SB, line 22 — Description of Weighted Average Retirement Age**

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. The average retirement age is 62.

| (A)<br><br>Retirement<br>age | (B)<br><br>Retirement<br>Percent | (C)<br><br>Lx | (D)<br>Number of<br>employees<br>expected to<br>retire<br>(B) x (C) | (E)<br><br>(A) x (D) |
|------------------------------|----------------------------------|---------------|---------------------------------------------------------------------|----------------------|
| 55                           | 5.0%                             | 10,000        | 500.00                                                              | 27,500               |
| 56                           | 5.0%                             | 9,500         | 475.00                                                              | 26,600               |
| 57                           | 5.0%                             | 9,025         | 451.00                                                              | 25,707               |
| 58                           | 5.0%                             | 8,574         | 429.00                                                              | 24,882               |
| 59                           | 5.0%                             | 8,145         | 407.00                                                              | 24,013               |
| 60                           | 6.0%                             | 7,738         | 464.00                                                              | 27,840               |
| 61                           | 17.0%                            | 7,274         | 1,236.00                                                            | 75,396               |
| 62                           | 32.0%                            | 6,038         | 1,932.00                                                            | 119,784              |
| 63                           | 23.0%                            | 4,106         | 944.00                                                              | 59,472               |
| 64                           | 27.0%                            | 3,162         | 854.00                                                              | 54,656               |
| 65                           | 49.0%                            | 2,308         | 1,131.00                                                            | 73,515               |
| 66                           | 28.0%                            | 1,177         | 329.00                                                              | 21,714               |
| 67                           | 37.0%                            | 848           | 314.00                                                              | 21,038               |
| 68                           | 28.0%                            | 534           | 149.00                                                              | 10,132               |
| 69                           | 35.0%                            | 385           | 135.00                                                              | 9,315                |
| 70                           | 100.0%                           | 250           | 250.00                                                              | 17,500               |
|                              |                                  |               |                                                                     |                      |
| Total                        |                                  |               | 10,000.00                                                           | 619,064              |
| Average                      |                                  |               |                                                                     | 61.9                 |

**Schedule SB, line 26 — Schedule of Active Participant Data**

| Attained age | Years of credited service |     |     |       |       |       |       |       |       |         |       |
|--------------|---------------------------|-----|-----|-------|-------|-------|-------|-------|-------|---------|-------|
|              | Under 1                   | 1–4 | 5–9 | 10–14 | 15–19 | 20–24 | 25–29 | 30–34 | 35–39 | 40 & up | Total |
| Under 25     | 1                         | 8   | 1   |       |       |       |       |       |       |         | 10    |
| 25–29        | 2                         | 22  | 26  | 1     |       |       |       |       |       |         | 51    |
| 30–34        | 1                         | 21  | 50  | 42    | 4     |       |       |       |       |         | 118   |
| 35–39        | 2                         | 7   | 62  | 82    | 50    | 1     |       |       |       |         | 204   |
| 40–44        | 1                         | 7   | 47  | 102   | 58    | 22    |       |       |       |         | 237   |
| 45–49        | 1                         | 12  | 50  | 97    | 81    | 34    | 47    | 5     |       |         | 327   |
| 50–54        |                           | 7   | 31  | 82    | 59    | 32    | 80    | 115   | 9     |         | 415   |
| 55–59        |                           | 3   | 28  | 72    | 61    | 32    | 56    | 108   | 117   | 31      | 508   |
| 60–64        |                           | 1   | 15  | 18    | 25    | 13    | 29    | 39    | 65    | 57      | 262   |
| 65–69        |                           | 1   | 3   | 6     | 6     | 5     | 11    | 8     | 6     | 14      | 60    |
| 70 & up      |                           |     |     |       |       |       |       |       | 1     | 2       | 3     |
| Total        | 8                         | 89  | 313 | 502   | 344   | 139   | 223   | 275   | 198   | 104     | 2,195 |

In each cell, we have included the count of active participants for each age/service combination. Benefits are based on periods of Benefit Service and location. A summary of the rates used for the current valuation is included in Section 5.

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods****Actuarial Assumptions for January 1, 2013 Funding Valuation****Discount rate sponsor elections**

|                                     |                   |                      |
|-------------------------------------|-------------------|----------------------|
| • Segment rates or full yield curve | Segment           |                      |
| • Look-back months                  | 4                 |                      |
| •                                   | <b>Stabilized</b> | <b>Nonstabilized</b> |
| • First 5 years                     | 4.94%             | 1.75%                |
| • Next 15 years                     | 6.15%             | 4.62%                |
| • Over 20 years                     | 6.76%             | 5.72%                |

**Mortality sponsor elections**

- Healthy and disabled participants Section 430(h)(3) prescribed separate static annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement to the valuation year plus 7 and 15 years based on Scale AA.

**Other economic assumptions**

- Salary increases Not applicable
- Flat-dollar benefit increases None assumed
- Social Security wage base Not applicable
- Inflation Not applicable
- Expected investment return 7.0% per year for 2011 and 6.5% for 2012 and 2013
- Expenses \$1,130,000 added to current year normal cost

**Demographic assumptions**

- Withdrawal See table of sample rates.
- Disability incidence See table of sample rates.
- Retirement age
 

|  | <b>Attained age</b> | <b>Percentage Retiring</b> |
|--|---------------------|----------------------------|
|  | 45-59               | 5.0%                       |
|  | 60                  | 6.0%                       |
|  | 61                  | 17.0%                      |
|  | 62                  | 32.0%                      |
|  | 63                  | 23.0%                      |
|  | 64                  | 27.0%                      |
|  | 65                  | 49.0%                      |
|  | 66                  | 28.0%                      |
|  | 67                  | 37.0%                      |
|  | 68                  | 28.0%                      |
|  | 69                  | 35.0%                      |
|  | 70                  | 100.0%                     |
- Benefit commencement age for
  - Future vested deferred 65
  - Current vested deferred 65

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods**

|                         |                          |                            |
|-------------------------|--------------------------|----------------------------|
| • Spouse assumptions    | <u>Male participants</u> | <u>Female participants</u> |
| — Percentage married    | 75%                      | 50%                        |
| — Spouse age difference | 2 years younger          | 2 years older              |

| <u>Form of payment</u>                            | <u>Single Life</u> | <u>50% J&amp;S</u> | <u>100% J&amp;S</u> |
|---------------------------------------------------|--------------------|--------------------|---------------------|
| • Active retirements                              | 70%                | 0%                 | 30%                 |
| • Future vested deferred                          | 70%                | 0%                 | 30%                 |
| • Future disabilities                             | 70%                | 0%                 | 30%                 |
| • Future deaths                                   | 0%                 | 100%               | 0%                  |
| • Current vested deferred                         | 70%                | 0%                 | 30%                 |
| <b>Unpredictable contingent event assumptions</b> | Not applicable     |                    |                     |

**Table of Sample Rates**

| <u>Attained Age</u> | <u>Disability Incidence</u> |               | <u>Withdrawal</u> |
|---------------------|-----------------------------|---------------|-------------------|
|                     | <u>Male</u>                 | <u>Female</u> |                   |
| 20                  | 0.12%                       | 0.07%         | 23.58%            |
| 25                  | 0.18%                       | 0.12%         | 18.37%            |
| 30                  | 0.25%                       | 0.21%         | 14.65%            |
| 35                  | 0.35%                       | 0.32%         | 11.96%            |
| 40                  | 0.49%                       | 0.45%         | 9.99%             |
| 45                  | 0.68%                       | 0.64%         | 8.93%             |
| 50                  | 1.00%                       | 0.98%         | 8.52%             |
| 55                  | 1.74%                       | 1.61%         | 8.44%             |
| 60                  | 2.66%                       | 1.91%         | 8.44%             |

**Actuarial Methods for Funding****Asset Methods**

The asset valuation method is an average of the adjusted market value for each year during the last two years preceding the valuation date. The adjusted market value is the market value at each determination date adjusted to the valuation date based on actual cash flows and expected interest at the lesser of the expected rate of return and the third segment rate. This amount is adjusted to be no greater than 110% and no less than 90% of the fair market value, as defined in IRC Section 430.

A characteristic of this asset method is that, over time, it is slightly more likely to produce an actuarial value of assets that is less than the market value of assets than an actuarial value that is greater than the market value.

**Schedule SB, Part V — Statement of Actuarial Assumptions/Methods*****Participant Methods***

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** Aon Hewitt provides us with data on all employees as of the valuation date, but only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts:** The plan does not have any insurance contracts.
- **Transferred participants:** The liabilities for employees who have transferred into the Employees Retirement Plan have been included with the liabilities for active participants.

***Minimum Funding Methods***

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target normal cost** is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.
- This plan provides disability benefits that are not a function of participant's accrued benefit or years of service. This benefit is allocated to funding target based on the ratio of the participant's service at the beginning of the plan year to their service at each decrement age and is allocated to target normal cost based on the proportionate benefit attributable to the increase in the participant's service and compensation during the plan year.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

**Schedule SB, line 24 — Change in Actuarial Assumptions**

- The expense component of normal cost decreased from \$1,200,000 to \$1,130,000 to reflect our expectations for the current plan year.

**SCHEDULE SB  
(Form 5500)**Department of the Treasury  
Internal Revenue ServiceDepartment of Labor  
Employee Benefits Security Administration  
Pension Benefit Guaranty Corporation**Single-Employer Defined Benefit Plan  
Actuarial Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

► **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

**2013****This Form is Open to Public  
Inspection**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

► **Round off amounts to nearest dollar.**► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

|                                                                                                                                                                                                                                                                                                   |  |                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------|
| <b>A</b> Name of plan<br><br>JOHNS MANVILLE HOURLY RETIREMENT PLAN                                                                                                                                                                                                                                |  | <b>B</b> Three-digit plan number (PN) ►<br><br>002              |
| <b>C</b> Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF<br><br>JOHNS MANVILLE CORPORATION ATTN RETIREMENT 11-04                                                                                                                                                                  |  | <b>D</b> Employer Identification Number (EIN)<br><br>84-0856796 |
| <b>E</b> Type of plan: <input checked="" type="checkbox"/> Single <input type="checkbox"/> Multiple-A <input type="checkbox"/> Multiple-B <b>F</b> Prior year plan size: <input type="checkbox"/> 100 or fewer <input type="checkbox"/> 101-500 <input checked="" type="checkbox"/> More than 500 |  |                                                                 |

|                                                                                                                                                                                                                |  |                            |                    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------------------------|--------------------|
| <b>Part I Basic Information</b>                                                                                                                                                                                |  |                            |                    |
| <b>1</b> Enter the valuation date: Month <u>1</u> Day <u>1</u> Year <u>2013</u>                                                                                                                                |  |                            |                    |
| <b>2</b> Assets:                                                                                                                                                                                               |  |                            |                    |
| <b>a</b> Market value .....                                                                                                                                                                                    |  | <b>2a</b>                  | 390,259,822        |
| <b>b</b> Actuarial value .....                                                                                                                                                                                 |  | <b>2b</b>                  | 354,603,433        |
| <b>3</b> Funding target/participant count breakdown:                                                                                                                                                           |  |                            |                    |
|                                                                                                                                                                                                                |  | (1) Number of participants | (2) Funding Target |
| <b>a</b> For retired participants and beneficiaries receiving payment.....                                                                                                                                     |  | <b>3a</b>                  | 4,247              |
| <b>b</b> For terminated vested participants.....                                                                                                                                                               |  | <b>3b</b>                  | 1,140              |
| <b>c</b> For active participants:                                                                                                                                                                              |  |                            |                    |
| (1) Non-vested benefits .....                                                                                                                                                                                  |  | <b>3c(1)</b>               | 7,682,816          |
| (2) Vested benefits .....                                                                                                                                                                                      |  | <b>3c(2)</b>               | 112,956,350        |
| (3) Total active.....                                                                                                                                                                                          |  | <b>3c(3)</b>               | 2,195              |
| <b>d</b> Total.....                                                                                                                                                                                            |  | <b>3d</b>                  | 7,582              |
| <b>4</b> If the plan is in at-risk status, check the box and complete lines (a) and (b)..... <input type="checkbox"/>                                                                                          |  |                            |                    |
| <b>a</b> Funding target disregarding prescribed at-risk assumptions .....                                                                                                                                      |  | <b>4a</b>                  |                    |
| <b>b</b> Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor..... |  | <b>4b</b>                  |                    |
| <b>5</b> Effective interest rate .....                                                                                                                                                                         |  | <b>5</b>                   | 6.28 %             |
| <b>6</b> Target normal cost .....                                                                                                                                                                              |  | <b>6</b>                   | 4,952,063          |

**Statement by Enrolled Actuary**

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

|                                                                        |                                                     |                                                          |
|------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------|
| <b>SIGN<br/>HERE</b>                                                   | <u>Marlise S. Bruno MSB</u><br>Signature of actuary | <u>10/14/2014</u><br>Date                                |
| MARLISE S. BRUNO<br>Type or print name of actuary                      |                                                     | 14-06542<br>Most recent enrollment number                |
| MERCER<br>Firm name                                                    |                                                     | (303) 376-0800<br>Telephone number (including area code) |
| 1225 17th STREET, SUITE 1300<br>DENVER CO 80202<br>Address of the firm |                                                     |                                                          |

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2013  
v. 130118

| <b>Part II Beginning of Year Carryover and Prefunding Balances</b>                                                                         |                       |                        |
|--------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|------------------------|
|                                                                                                                                            | (a) Carryover balance | (b) Prefunding balance |
| <b>7</b> Balance at beginning of prior year after applicable adjustments (line 13 from prior year) .....                                   | 5,695,390             | 5,590,092              |
| <b>8</b> Portion elected for use to offset prior year's funding requirement (line 35 from prior year) .....                                | 0                     | 0                      |
| <b>9</b> Amount remaining (line 7 minus line 8) .....                                                                                      | 5,695,390             | 5,590,092              |
| <b>10</b> Interest on line 9 using prior year's actual return of <u>25.59</u> % .....                                                      | 1,457,450             | 1,430,505              |
| <b>11</b> Prior year's excess contributions to be added to prefunding balance:                                                             |                       |                        |
| <b>a</b> Present value of excess contributions (line 38a from prior year) .....                                                            |                       | 14,750,590             |
| <b>b</b> Interest on (a) using prior year's effective interest rate of <u>6.99</u> % except as otherwise provided (see instructions) ..... |                       | 1,031,066              |
| <b>c</b> Total available at beginning of current plan year to add to prefunding balance .....                                              |                       | 15,781,656             |
| <b>d</b> Portion of (c) to be added to prefunding balance .....                                                                            |                       | 0                      |
| <b>12</b> Other reductions in balances due to elections or deemed elections .....                                                          | 0                     | 0                      |
| <b>13</b> Balance at beginning of current year (line 9 + line 10 + line 11d – line 12) .....                                               | 7,152,840             | 7,020,597              |

| <b>Part III Funding Percentages</b>                                                                                                                                        |           |          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>14</b> Funding target attainment percentage .....                                                                                                                       | <b>14</b> | 100.20 % |
| <b>15</b> Adjusted funding target attainment percentage .....                                                                                                              | <b>15</b> | 104.37 % |
| <b>16</b> Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement ..... | <b>16</b> | 98.09 %  |
| <b>17</b> If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage .....                                        | <b>17</b> | %        |

| <b>Part IV Contributions and Liquidity Shortfalls</b>                                    |                                   |                                 |                          |                                   |                                 |
|------------------------------------------------------------------------------------------|-----------------------------------|---------------------------------|--------------------------|-----------------------------------|---------------------------------|
| <b>18 Contributions made to the plan for the plan year by employer(s) and employees:</b> |                                   |                                 |                          |                                   |                                 |
| (a) Date<br>(MM-DD-YYYY)                                                                 | (b) Amount paid by<br>employer(s) | (c) Amount paid by<br>employees | (a) Date<br>(MM-DD-YYYY) | (b) Amount paid by<br>employer(s) | (c) Amount paid by<br>employees |
| 04/12/2013                                                                               | 2,800,000                         |                                 |                          |                                   |                                 |
| 07/15/2013                                                                               | 2,800,000                         |                                 |                          |                                   |                                 |
| 10/15/2013                                                                               | 5,000,000                         |                                 |                          |                                   |                                 |
|                                                                                          |                                   |                                 |                          |                                   |                                 |
|                                                                                          |                                   |                                 |                          |                                   |                                 |
|                                                                                          |                                   |                                 |                          |                                   |                                 |
| <b>Totals ►</b>                                                                          |                                   |                                 | <b>18(b)</b>             | 10,600,000                        | <b>18(c)</b> 0                  |

**19** Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

|                                                                                                                         |            |            |
|-------------------------------------------------------------------------------------------------------------------------|------------|------------|
| <b>a</b> Contributions allocated toward unpaid minimum required contributions from prior years. ....                    | <b>19a</b> |            |
| <b>b</b> Contributions made to avoid restrictions adjusted to valuation date .....                                      | <b>19b</b> |            |
| <b>c</b> Contributions allocated toward minimum required contribution for current year adjusted to valuation date ..... | <b>19c</b> | 10,229,748 |

**20** Quarterly contributions and liquidity shortfalls:

**a** Did the plan have a "funding shortfall" for the prior year? ..... ☒ Yes ☐ No

**b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ..... ☒ Yes ☐ No

**c** If line 20a is "Yes," see instructions and complete the following table as applicable:

| Liquidity shortfall as of end of quarter of this plan year |         |         |         |
|------------------------------------------------------------|---------|---------|---------|
| (1) 1st                                                    | (2) 2nd | (3) 3rd | (4) 4th |
| 0                                                          | 0       | 0       | 0       |

**Part V Assumptions Used to Determine Funding Target and Target Normal Cost****21** Discount rate:**a** Segment rates:1st segment:  
4.94 %2nd segment:  
6.15 %3rd segment:  
6.76 %☐ N/A, full yield curve used**b** Applicable month (enter code)..... **21b** 4**22** Weighted average retirement age ..... **22** 62**23** Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute**Part VI Miscellaneous Items****24** Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. .... ☒ Yes ☐ No**25** Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. .... ☐ Yes ☒ No**26** Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. .... ☒ Yes ☐ No**27** If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment..... **27****Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years****28** Unpaid minimum required contributions for all prior years ..... **28** 0**29** Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)..... **29****30** Remaining amount of unpaid minimum required contributions (line 28 minus line 29) ..... **30** 0**Part VIII Minimum Required Contribution For Current Year****31** Target normal cost and excess assets (see instructions):**a** Target normal cost (line 6)..... **31a** 4,952,063**b** Excess assets, if applicable, but not greater than line 31a ..... **31b** 689,304

| <b>32</b> Amortization installments:                 | Outstanding Balance | Installment |
|------------------------------------------------------|---------------------|-------------|
| <b>a</b> Net shortfall amortization installment..... | 0                   | 0           |
| <b>b</b> Waiver amortization installment .....       | 0                   | 0           |

**33** If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month \_\_\_\_\_ Day \_\_\_\_\_ Year \_\_\_\_\_) and the waived amount ..... **33****34** Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) .. **34** 4,262,759

|                                                                       | Carryover balance | Prefunding balance | Total balance |
|-----------------------------------------------------------------------|-------------------|--------------------|---------------|
| <b>35</b> Balances elected for use to offset funding requirement..... |                   |                    | 0             |

**36** Additional cash requirement (line 34 minus line 35)..... **36** 4,262,759**37** Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)..... **37** 10,229,748**38** Present value of excess contributions for current year (see instructions)**a** Total (excess, if any, of line 37 over line 36) ..... **38a** 5,966,989**b** Portion included in line 38a attributable to use of prefunding and funding standard carryover balances ..... **38b** 0**39** Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) ..... **39** 0**40** Unpaid minimum required contributions for all years ..... **40** 0**Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)****41** If an election was made to use PRA 2010 funding relief for this plan:**a** Schedule elected ..... ☐ 2 plus 7 years ☐ 15 years**b** Eligible plan year(s) for which the election in line 41a was made ..... ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011**42** Amount of acceleration adjustment ..... **42****43** Excess installment acceleration amount to be carried over to future plan years ..... **43**

**Schedule SB, Part V — Summary of Plan Provisions****Summary of Major Plan Provisions**

|                                                  |                                                                                                                                                                                                                                                                                                                                                                                        |
|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Effective date and plan year                     | Original plan: August 1, 1966.<br>Restated plan: January 1, 2011.<br>Plan year: Calendar Year.                                                                                                                                                                                                                                                                                         |
| Status of the plan                               | All locations are closed to new entrants. Locations were closed to new entrants at various dates from 2006 through 2010.                                                                                                                                                                                                                                                               |
| Significant events that occurred during the year | None.                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>Definitions</b>                               |                                                                                                                                                                                                                                                                                                                                                                                        |
| • Participation                                  | One year of service. All locations are closed to new entrants. Locations were closed to new entrants at various dates from 2006 through 2010.                                                                                                                                                                                                                                          |
| • Employee contributions                         | Discontinued August 1, 1966; if not distributed in a lump sum, provide supplemental benefit and minimum cash refund from plan.                                                                                                                                                                                                                                                         |
| • Accumulated service                            | Elapsed time beginning on the date the employee first completes an hour of service and ending on the employee's severance date.                                                                                                                                                                                                                                                        |
| • Benefit service                                | Calendar years after Participation Date in which participant completes 1,800 hours; partial years credited for less than 1,800 hours.                                                                                                                                                                                                                                                  |
| • Transfers between plans                        | Earns Benefit Service as an Employee under this Plan; earns Accumulated Service while covered under the Employees Retirement Plan. After 5 years of Benefit Service under the Employees Retirement Plan, all Service (or Accumulated Service after December 31, 2007) is accounted for under the Employees Retirement Plan but is offset by the benefit accrued under the Hourly Plan. |
| • Accrued benefit                                | Calculated same as normal retirement, but based on Benefit Service at date of calculation.                                                                                                                                                                                                                                                                                             |
| <b>Normal retirement</b>                         |                                                                                                                                                                                                                                                                                                                                                                                        |
| • Eligibility                                    | Age 65.                                                                                                                                                                                                                                                                                                                                                                                |
| • Benefit                                        | Benefit rates are based on periods of Benefit Service and location. A summary of the rates used for the current valuation is included at the end of this section.                                                                                                                                                                                                                      |
| <b>Early retirement</b>                          |                                                                                                                                                                                                                                                                                                                                                                                        |
| • Eligibility                                    | Age 60, age 55 and 15 years of Accumulated Service or 30 years of Accumulated Service.                                                                                                                                                                                                                                                                                                 |
| • Benefit                                        | Accrued benefit reduced 4% per year prior to age 65 (reduced from age 62 if 25 years of Accumulated Service and retire at certain locations).                                                                                                                                                                                                                                          |

**Schedule SB, Part V — Summary of Plan Provisions**

| <b>Deferred vested</b>                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
|------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|------------------|--------------------------|---------------|----|------|----|------|----|------|----|------|----|------|----|------|----|------|----|------|----|------|----|------|
| • Eligibility                                  | Five years of Accumulated Service.                                                                                                                                                                                                                                                                                                                                                                                                                                                            |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Benefit                                      | Accrued benefit payable at age 65 or reduced benefit payable after age 55; reduction factors per the following table:                                                                                                                                                                                                                                                                                                                                                                         |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
|                                                | <table> <tr> <th><b>Age</b></th><th><b>Reduction</b></th></tr> <tr> <th><b>Benefit Commences</b></th><th><b>Factor</b></th></tr> <tr><td>55</td><td>0.40</td></tr> <tr><td>56</td><td>0.44</td></tr> <tr><td>57</td><td>0.48</td></tr> <tr><td>58</td><td>0.52</td></tr> <tr><td>59</td><td>0.56</td></tr> <tr><td>60</td><td>0.61</td></tr> <tr><td>61</td><td>0.67</td></tr> <tr><td>62</td><td>0.74</td></tr> <tr><td>63</td><td>0.82</td></tr> <tr><td>64</td><td>0.90</td></tr> </table> | <b>Age</b> | <b>Reduction</b> | <b>Benefit Commences</b> | <b>Factor</b> | 55 | 0.40 | 56 | 0.44 | 57 | 0.48 | 58 | 0.52 | 59 | 0.56 | 60 | 0.61 | 61 | 0.67 | 62 | 0.74 | 63 | 0.82 | 64 | 0.90 |
| <b>Age</b>                                     | <b>Reduction</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| <b>Benefit Commences</b>                       | <b>Factor</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 55                                             | 0.40                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 56                                             | 0.44                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 57                                             | 0.48                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 58                                             | 0.52                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 59                                             | 0.56                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 60                                             | 0.61                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 61                                             | 0.67                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 62                                             | 0.74                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 63                                             | 0.82                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| 64                                             | 0.90                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| <b>Disability</b>                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Eligibility                                  | 10 years of Accumulated Service, mentally or physically incapable of employment.                                                                                                                                                                                                                                                                                                                                                                                                              |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Benefit                                      | Accrued benefit payable immediately plus a supplemental benefit of \$100 per month payable to earlier of age 65 or eligibility for an unreduced Social Security benefit.                                                                                                                                                                                                                                                                                                                      |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| <b>Pre-retirement death</b>                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Eligibility                                  | Death of a vested participant with a surviving spouse prior to his or her annuity starting date.                                                                                                                                                                                                                                                                                                                                                                                              |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Benefit                                      | Death benefit to spouse is benefit payable had the participant terminated on his date of death, elected benefit commencement with a 50% contingent annuitant option at age 55, or date of death if later, and died on the following day.                                                                                                                                                                                                                                                      |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| <b>Unpredictable contingent event benefits</b> | N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| <b>Form of benefits</b>                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Automatic form for unmarried participants    | Life annuity                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Automatic form for married participants      | Reduced 50% contingent annuitant annuity                                                                                                                                                                                                                                                                                                                                                                                                                                                      |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |
| • Optional forms                               | 100%, 75% or 50% contingent annuitant annuity or life annuity. If the present value of the member's accrued benefit is less than \$5,000, the member can elect a single lump sum payment. During 2012, vested terminated participants were able to elect to receive their benefits under the plan payable as a lump sum.                                                                                                                                                                      |            |                  |                          |               |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |    |      |

**Schedule SB, Part V — Summary of Plan Provisions**

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|                                      |                                                                                                                                                                                                                                                                                                                                                                                               |
|--------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| • Optional form Conversion factors   | Actuarial basis for converting to optional forms based on the 1989 Buck Mortality Table set forward three years blended 95% male and 5% female for the employee and with no set forward blended 5% male and 95% female for the contingent annuitant. The factors are determined with an 8% interest rate. The actuarial basis for the lump sums paid in 2012 used a lookback month of August. |
| • Retroactive annuity starting dates | The plan includes a retroactive annuity starting date provision.                                                                                                                                                                                                                                                                                                                              |

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**Schedule SB, Part V — Summary of Plan Provisions**

| <b>Location Name</b>                      | <b>Number of<br/>Actives</b> | <b>Special Early</b> | <b>Collectively<br/>Bargained</b> | <b>Annual Accrual<br/>Rate for 2013</b> |
|-------------------------------------------|------------------------------|----------------------|-----------------------------------|-----------------------------------------|
| Bremen, Indiana                           | 26                           |                      |                                   | \$ 204                                  |
| CEELCO                                    | 2                            |                      |                                   | 132                                     |
| Cleburne <sup>1</sup>                     | 250                          | 250                  | 250                               | 360                                     |
| Defiance (GMP) <sup>4</sup>               | 461                          | 461                  | 461                               | 468                                     |
| Defiance UAW <sup>4</sup>                 | 50                           | 50                   | 50                                | 468                                     |
| Edison (Zeston) <sup>4</sup>              | 35                           | 35                   | 35                                | 252                                     |
| Etowah                                    | 159                          | 159                  | 159                               | 384                                     |
| Fernley                                   | 12                           |                      |                                   | 216                                     |
| Hazleton                                  | 32                           |                      |                                   | 204                                     |
| Jacksonville                              | 21                           |                      |                                   | 204                                     |
| Lewiston                                  | 9                            |                      |                                   | 252                                     |
| Macon                                     | 35                           |                      |                                   | 216                                     |
| McPherson                                 | 156                          | 156                  |                                   | 342                                     |
| Oklahoma City                             | 12                           |                      |                                   | 240                                     |
| Penbryn <sup>4</sup>                      | 8                            | 8                    | 8                                 | 312                                     |
| Plattsburgh                               | 22                           |                      |                                   | 216                                     |
| Richland                                  | 31                           |                      |                                   | 180                                     |
| Richmond, Indiana <sup>4</sup>            | 55                           | 55                   | 55                                | 324                                     |
| Richmond, Virginia <sup>4</sup>           | 27                           | 27                   | 27                                | 276                                     |
| Rockdale <sup>4</sup>                     | 33                           | 33                   | 33                                | 312                                     |
| Southgate                                 | 21                           |                      |                                   | 216                                     |
| Waterville (plant 1, IBT 20) <sup>4</sup> | 195                          | 195                  | 195                               | 396                                     |
| Waterville (plant 1, UAW) <sup>4</sup>    | 13                           | 13                   | 13                                | 384                                     |
| Waterville (plant 7, IBT 20) <sup>4</sup> | 90                           | 90                   | 90                                | 396                                     |
| Waterville (plant 7, UAW) <sup>4</sup>    | 5                            | 5                    | 5                                 | 384                                     |
| Willows                                   | 174                          | 174                  |                                   | 330                                     |
| Winder <sup>4</sup>                       | 189                          | 189                  | 189                               | 324                                     |
| Total participants                        | 2,123                        | 1,900                | 1,570                             |                                         |
| Average accrual rate                      |                              |                      |                                   | \$ 365                                  |

<sup>1</sup> These locations are offering additional accruals for employees who meet special age and service requirements as detailed on the next page.

**Schedule SB, Part V — Summary of Plan Provisions**

| <b>Location Name</b>                   | <b>Ultimate Supplement Rate</b> | <b>Eligibility End Date</b> |
|----------------------------------------|---------------------------------|-----------------------------|
| Cleburne                               | \$9                             | 2/18/2007                   |
| Defiance (GMP)                         | \$7                             | 8/16/2010                   |
| Defiance UAW                           | \$7                             | 9/30/2010                   |
| Edison (Zeston)                        | \$3                             | 10/15/2011                  |
| Penbryn                                | \$11                            | 1/1/2012                    |
| Richmond, Indiana                      | \$7                             | 11/1/2013                   |
| Richmond, Virginia                     | \$5                             | Ongoing                     |
| Rockdale                               | \$2                             | 10/9/2009                   |
| Waterville (plant 1, IBT 20)           | \$7                             | 4/18/2006                   |
| Waterville (plant 1, UAW) <sup>2</sup> | \$5                             | 7/16/2009                   |
| Waterville (plant 7, IBT 20)           | \$7                             | 4/18/2006                   |
| Waterville (plant 7, UAW) <sup>5</sup> | \$5                             | 7/16/2009                   |
| Winder                                 | \$2                             | 10/15/2011                  |

Accrual supplements are available to employees who meet the 55/25 age and service criteria prior to termination and prior to the Eligibility End Date.

***Benefits Included or Excluded***

Unless noted below, all benefits provided by the plan, as restated effective January 1, 2011 and amended through the First Amendment, are included in this valuation.

- **Most recent plan amendments included:** First Amendment executed on October 5, 2012.
- **Plan amendments excluded:** Second Amendment executed on February 11, 2013 is excluded because it was adopted after the valuation date.
- **Late retirement increases:**
  - *Active participants:* The plan provides benefit suspension notices to participants who work beyond normal retirement; therefore, late retirement actuarial increases only apply to participants who defer retirement beyond age 70½. This valuation includes increases for current participants over age 70.
  - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) and 401(a)(17) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60% of

<sup>2</sup> Eligible Waterville UAW participants who met the 55/25 criteria prior to 7/15/2006 receive a \$7 multiplier supplement.

**Schedule SB, Part V — Summary of Plan Provisions**

the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.

**Plan Provisions Specific to Funding*****Additional Benefits Included or Excluded***

- **IRC Section 436 benefit restrictions:**
  - *Unpredictable contingent event benefits:* The plan does not have any unpredictable contingent event benefits.
  - *Plan amendments:* See above.
  - *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
  - *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.
- **Scheduled benefit increases:** Scheduled benefit increases effective after the end of the current plan year are excluded from minimum funding requirements.

**Plan Provision Changes Since Prior Valuation**

- Maximum benefit amounts under IRS rules were updated from 2012 to 2013.
- The interest and mortality assumptions for some Actuarial Equivalence calculations have been updated, as described in the plan amendment executed October 5, 2012.
- A 2012 window program was implemented for certain participants; during the "election period", these participants were able to elect to receive their benefits under the Plan payable as a lump sum.



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JOHNS MANVILLE CORP TOTAL MGRS 31 DECEMBER 2013  
JOHNS MANVILLE TOTAL MANAGERS  
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| <u>SHARES/<br/>PAR VALUE</u>       | <u>SECURITY DESCRIPTION</u>                          | <u>COST</u>  | <u>PRICE</u> | <u>MARKET<br/>VALUE</u> | <u>UNREALIZED<br/>GAIN/LOSS</u> |
|------------------------------------|------------------------------------------------------|--------------|--------------|-------------------------|---------------------------------|
| <u>U. S. GOVERNMENT SECURITIES</u> |                                                      |              |              |                         |                                 |
| 336,425.6750                       | FHLMC POOL #C6-0928<br>6.500% 12/01/2031 DD 11/01/01 | 382,603.51   | 111.4210     | 374,848.85              | 7,754.66-                       |
| 738,220.9800                       | FHLMC POOL #C6-3675<br>6.500% 02/01/2032 DD 02/01/02 | 856,358.54   | 112.4810     | 830,358.34              | 26,000.20-                      |
| 433,262.2580                       | FHLMC POOL #C6-5797<br>6.500% 04/01/2032 DD 04/01/02 | 500,071.36   | 111.4180     | 482,732.14              | 17,339.22-                      |
| 27,323.6980                        | FHLMC POOL #C0-1106<br>7.000% 12/01/2030 DD 12/01/00 | 32,381.91    | 113.1850     | 30,926.33               | 1,455.58-                       |
| 148,935.3200                       | FHLMC POOL #C0-1199<br>7.000% 07/01/2031 DD 07/01/01 | 175,551.60   | 113.6780     | 169,306.69              | 6,244.91-                       |
| 100,186.6850                       | FHLMC POOL #C0-1343<br>6.500% 04/01/2032 DD 04/01/02 | 115,635.53   | 112.4920     | 112,702.01              | 2,933.52-                       |
| 877,014.6180                       | FHLMC POOL #C0-1364<br>6.500% 06/01/2032 DD 06/01/02 | 1,012,250.32 | 113.1100     | 991,991.23              | 20,259.09-                      |
| 153,572.4660                       | FHLMC POOL #C3-4756<br>7.000% 12/01/2029 DD 12/01/99 | 182,918.67   | 112.1390     | 172,214.63              | 10,704.04-                      |
| 14,215.7190                        | FHLMC POOL #C3-7872<br>7.000% 04/01/2030 DD 04/01/00 | 16,847.39    | 105.1850     | 14,952.80               | 1,894.59-                       |
| 112,108.0690                       | FHLMC POOL #C4-5438<br>7.000% 12/01/2030 DD 12/01/00 | 132,861.57   | 107.1240     | 120,094.65              | 12,766.92-                      |
| 20,729.1510                        | FHLMC POOL #C4-5861<br>7.000% 12/01/2030 DD 12/01/00 | 24,566.58    | 102.0780     | 21,159.90               | 3,406.68-                       |



TRDJMM JMMGTC100000 5500

FINAL 094812

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2013-12-31 CYCLE 2 09:48:13 RUN DATE: 14-APR-14

JMM GTC1000  
JOHNS MANVILLE CORP TOTAL MGRS  
JOHNS MANVILLE TOTAL MANAGERS

REVALUED COST  
31 DECEMBER 2013

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| <u>SHARES/<br/>PAR VALUE</u> | <u>SECURITY DESCRIPTION</u>                          | <u>COST</u> | <u>PRICE</u> | <u>MARKET<br/>VALUE</u> | <u>UNREALIZED<br/>GAIN/LOSS</u> |
|------------------------------|------------------------------------------------------|-------------|--------------|-------------------------|---------------------------------|
| 13,866.7000                  | FHLMC POOL #C4-7105<br>7.000% 01/01/2031 DD 01/01/01 | 16,433.74   | 108.6700     | 15,068.94               | 1,364.80-                       |
| 227,314.1750                 | FHLMC POOL #C4-8189<br>7.000% 03/01/2031 DD 02/01/01 | 267,937.54  | 112.4650     | 255,648.89              | 12,288.65-                      |
| 91,858.7690                  | FHLMC POOL #C4-8706<br>7.000% 03/01/2031 DD 03/01/01 | 108,863.72  | 107.7740     | 98,999.87               | 9,863.85-                       |
| 158,085.3320                 | FHLMC POOL #C4-8916<br>7.000% 03/01/2031 DD 03/01/01 | 186,336.82  | 108.6560     | 171,769.20              | 14,567.62-                      |
| 36,618.4010                  | FHLMC POOL #C4-8986<br>7.000% 03/01/2031 DD 03/01/01 | 43,162.52   | 101.6840     | 37,235.05               | 5,927.47-                       |
| 199,981.0580                 | FHLMC POOL #C4-8995<br>7.000% 03/01/2031 DD 03/01/01 | 235,719.73  | 109.1450     | 218,269.33              | 17,450.40-                      |
| 73,322.8860                  | FHLMC POOL #C4-9015<br>7.000% 03/01/2031 DD 03/01/01 | 86,896.44   | 103.8900     | 76,175.15               | 10,721.29-                      |
| 69,380.5870                  | FHLMC POOL #C4-9579<br>7.000% 03/01/2031 DD 03/01/01 | 81,779.63   | 104.6330     | 72,594.99               | 9,184.64-                       |
| 255,601.5180                 | FHLMC POOL #C4-9585<br>7.000% 04/01/2031 DD 03/01/01 | 301,280.11  | 112.5120     | 287,582.38              | 13,697.73-                      |
| 121,296.4280                 | FHLMC POOL #C4-9613<br>7.000% 04/01/2031 DD 04/01/01 | 142,973.37  | 107.1080     | 129,918.18              | 13,055.19-                      |
| 50,879.4510                  | FHLMC POOL #C5-0544<br>7.000% 04/01/2031 DD 04/01/01 | 59,972.17   | 112.8990     | 57,442.39               | 2,529.78-                       |
| 89,652.2640                  | FHLMC POOL #C5-0623<br>7.000% 04/01/2031 DD 04/01/01 | 105,674.08  | 106.9630     | 95,894.75               | 9,779.33-                       |



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 5500 SCHEDULE OF INVESTMENTS AT END OF PLAN YEAR  
 REVALUED COST  
 31 DECEMBER 2013  
 JMM GTC1000  
 JOHNS MANVILLE CORP TOTAL MGRS  
 JOHNS MANVILLE TOTAL MANAGERS  
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| <u>SHARES/<br/>PAR VALUE</u> | <u>SECURITY DESCRIPTION</u>                            | <u>COST</u> | <u>PRICE</u> | <u>MARKET<br/>VALUE</u> | <u>UNREALIZED<br/>GAIN/LOSS</u> |
|------------------------------|--------------------------------------------------------|-------------|--------------|-------------------------|---------------------------------|
| 47,618.5190                  | FHLMC POOL #C5-0624<br>7.000% 04/01/2031 DD 04/01/01   | 56,128.47   | 103.7270     | 49,393.26               | 6,735.21-                       |
| 43,330.0430                  | FHLMC POOL #C5-0690<br>7.000% 04/01/2031 DD 04/01/01   | 51,073.60   | 108.7710     | 47,130.52               | 3,943.08-                       |
| 63,772.3900                  | FHLMC POOL #C5-5355<br>7.000% 07/01/2031 DD 07/01/01   | 75,169.19   | 112.4760     | 71,728.63               | 3,440.56-                       |
| 232,851.6870                 | FHLMC POOL #C5-7190<br>6.500% 09/01/2031 DD 09/01/01   | 270,115.00  | 111.3320     | 259,238.44              | 10,876.56-                      |
| 373,958.1460                 | FHLMC POOL #C6-0523<br>6.500% 11/01/2031 DD 11/01/01   | 433,802.71  | 111.3990     | 416,585.64              | 17,217.07-                      |
| 174,779.9050                 | FHLMC POOL #C6-0728<br>6.500% 11/01/2031 DD 11/01/01   | 202,749.97  | 111.4040     | 194,711.81              | 8,038.16-                       |
| 272.0160                     | GNMA II POOL #0000544<br>7.000% 12/20/2022 DD 12/01/92 | 314.88      | 109.5550     | 298.01                  | 16.87-                          |
| 512.2630                     | GNMA II POOL #0002394<br>7.000% 03/20/2027 DD 03/01/97 | 610.23      | 116.5480     | 597.03                  | 13.20-                          |
| 4,342.4400                   | GNMA II POOL #0002716<br>7.000% 02/20/2029 DD 02/01/99 | 5,211.81    | 116.7450     | 5,069.58                | 142.23-                         |
| 60,533.6170                  | GNMA II POOL #0002796<br>7.000% 08/20/2029 DD 08/01/99 | 72,651.91   | 117.7940     | 71,304.97               | 1,346.94-                       |
| 202,791.7500                 | GNMA II POOL #0002866<br>7.000% 01/20/2030 DD 01/01/00 | 244,167.39  | 116.1280     | 235,498.00              | 8,669.39-                       |
| 438,867.1690                 | GNMA II POOL #0002895<br>7.000% 03/20/2030 DD 03/01/00 | 528,409.28  | 118.2240     | 518,846.32              | 9,562.96-                       |



TRDJMM JMMGTC100000 5500 FINAL 094812 2013-12-31 CYCLE 2 09:48:13 RUN DATE: 14-APR-14  
5500 SCHEDULE OF INVESTMENTS AT END OF PLAN YEAR  
JMM GTC1000 REVALUED COST  
JOHNS MANVILLE CORP TOTAL MGRS 31 DECEMBER 2013  
JOHNS MANVILLE TOTAL MANAGERS  
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| <u>SHARES/<br/>PAR VALUE</u>      | <u>SECURITY DESCRIPTION</u>                                      | <u>COST</u>   | <u>PRICE</u> | <u>MARKET<br/>VALUE</u> | <u>UNREALIZED<br/>GAIN/LOSS</u> |
|-----------------------------------|------------------------------------------------------------------|---------------|--------------|-------------------------|---------------------------------|
| 7,223.3310                        | GNMA II POOL #0002933<br>7.000% 06/20/2030 DD 06/01/00           | 8,697.16      | 112.7470     | 8,144.09                | 553.07-                         |
| 63,737.3630                       | GNMA II POOL #0002971<br>7.000% 09/20/2030 DD 09/01/00           | 76,741.74     | 120.2180     | 76,623.78               | 117.96-                         |
| 608,505.8860                      | GNMA II POOL #0002986<br>7.000% 10/20/2030 DD 10/01/00           | 732,659.39    | 118.4490     | 720,769.14              | 11,890.25-                      |
| 188,223.2310                      | GNMA II POOL #0002998<br>7.000% 11/20/2030 DD 11/01/00           | 226,626.47    | 114.6780     | 215,850.64              | 10,775.83-                      |
| 284,703.3000                      | GNMA II POOL #0003040<br>7.000% 02/20/2031 DD 02/01/01           | 343,773.60    | 117.1040     | 333,398.95              | 10,374.65-                      |
| 1,329,645.0450                    | GNMA II POOL #0003054<br>7.000% 03/20/2031 DD 03/01/01           | 1,605,519.84  | 117.8150     | 1,566,521.31            | 38,998.53-                      |
| TOTAL U. S. GOVERNMENT SECURITIES |                                                                  | 10,003,499.49 |              | 9,629,596.81            | 373,902.68-                     |
| <u>CORPORATE DEBT INSTRUMENTS</u> |                                                                  |               |              |                         |                                 |
| 18,000,000.0000                   | TEXAS COMPETITIVE ELECTRIC HOL<br>10.250% 11/01/2015 DD 11/01/08 | 5,040,000.00  | 6.2500       | 1,125,000.00            | 3,915,000.00-                   |
| 5,000,000.0000                    | FINIAL HOLDINGS INC<br>7.125% 10/15/2023 DD 10/20/93             | 5,874,100.00  | 111.5150     | 5,575,750.00            | 298,350.00-                     |
| TOTAL CORPORATE DEBT INSTRUMENTS  |                                                                  | 10,914,100.00 |              | 6,700,750.00            | 4,213,350.00-                   |



TRDJMM JMMGTC100000 5500 FINAL 094812 5500 SCHEDULE OF INVESTMENTS AT END OF PLAN YEAR 2013-12-31 CYCLE 2 09:48:13 RUN DATE: 14-APR-14  
 JMM GTC1000 REVALUED COST PAGE: 5  
 JOHNS MANVILLE CORP TOTAL MGRS 31 DECEMBER 2013 M1102E  
 JOHNS MANVILLE TOTAL MANAGERS

| <u>SHARES/<br/>PAR VALUE</u>    | <u>SECURITY DESCRIPTION</u>    | <u>COST</u>    | <u>PRICE</u> | <u>MARKET<br/>VALUE</u> | <u>UNREALIZED<br/>GAIN/LOSS</u> |
|---------------------------------|--------------------------------|----------------|--------------|-------------------------|---------------------------------|
| <u>CORPORATE STOCK - COMMON</u> |                                |                |              |                         |                                 |
| 2,750,000.0000                  | DAVITA HEALTHCARE PARTNERS INC | 152,484,445.38 | 63.3700      | 174,267,500.00          | 21,783,054.62                   |
| 300,000.0000                    | DEERE & CO                     | 25,926,000.00  | 91.3300      | 27,399,000.00           | 1,473,000.00                    |
| 650,000.0000                    | NATIONAL OILWELL VARCO INC     | 44,419,739.67  | 79.5300      | 51,694,500.00           | 7,274,760.33                    |
| 1,148,950.0000                  | WELLS FARGO & CO               | 39,271,111.02  | 45.4000      | 52,162,330.00           | 12,891,218.98                   |
| 2,795,300.0000                  | BANK OF NEW YORK MELLON CORP/T | 71,839,210.00  | 34.9400      | 97,667,782.00           | 25,828,572.00                   |
| 609.0000                        | CAPSTONE THERAPEUTICS CORP     | 103.53         | 0.2600       | 158.34                  | 54.81                           |
| 2,024,000.0000                  | DIRECTV                        | 102,076,824.89 | 69.0600      | 139,777,440.00          | 37,700,615.11                   |
| 1,245,000.0000                  | GENERAL MOTORS CO              | 35,893,350.00  | 40.8700      | 50,883,150.00           | 14,989,800.00                   |
| 816,000.0000                    | LIBERTY MEDIA CORP             | 60,674,403.62  | 146.2920     | 119,374,272.00          | 58,699,868.38                   |
| 2,195,800.0000                  | PHILLIPS 66                    | 116,596,980.00 | 77.1300      | 169,362,054.00          | 52,765,074.00                   |
| 816,000.0000                    | STARZ                          | 33,989,756.38  | 29.2400      | 23,859,840.00           | 10,129,916.38-                  |
| 1,703,500.0000                  | VIACOM INC                     | 89,842,590.00  | 87.3400      | 148,783,690.00          | 58,941,100.00                   |
| TOTAL CORPORATE STOCK - COMMON  |                                | 773,014,514.49 |              | 1,055,231,716.34        | 282,217,201.85                  |



BNY MELLON

TRDJMM JMMGTC100000 5500 FINAL 094812 5500 SCHEDULE OF INVESTMENTS AT END OF PLAN YEAR 2013-12-31 CYCLE 2 09:48:13 RUN DATE: 14-APR-14  
 JMM GTC1000 REVALUED COST PAGE: 6  
 JOHNS MANVILE CORP TOTAL MGRS 31 DECEMBER 2013 M1102E  
 JOHNS MANVILE TOTAL MANAGERS

| <u>SHARES/<br/>PAR VALUE</u>   | <u>SECURITY DESCRIPTION</u>        | <u>COST</u>    | <u>PRICE</u> | <u>MARKET<br/>VALUE</u> | <u>UNREALIZED<br/>GAIN/LOSS</u> |
|--------------------------------|------------------------------------|----------------|--------------|-------------------------|---------------------------------|
| <u>COMMON/COLLECTIVE TRUST</u> |                                    |                |              |                         |                                 |
| 112,928,906.7000               | COLLECTIVE US GOV'T STIF 15<br>BPS | 112,928,906.70 | 1.0000       | 112,928,906.70          | 0.00                            |
| TOTAL COMMON/COLLECTIVE TRUST  |                                    | 112,928,906.70 |              | 112,928,906.70          | 0.00                            |
| GRAND TOTAL                    |                                    | 906,861,020.68 |              | 1,184,490,969.85        | 277,629,949.17                  |

Plan: Johns Manville Master Pension Trust  
EIN/PN: 84-0856796/007  
Schedule H, line 4j – Schedule of Reportable Transactions  
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BNY MELLON

TRDJMM JMMGTC100000 5500 FINAL 094812 2013-12-31 CYCLE 2 09:48:13 RUN DATE: 14-APR-14  
SINGLE TRANSACTIONS IN EXCESS OF FIVE PERCENT  
OF THE CURRENT VALUE OF THE PLAN ASSETS  
FOR THE PERIOD 01 JANUARY 2013 THROUGH 31 DECEMBER 2013  
JMM GTC1000  
JOHNS MANVILE CORP TOTAL MGRS  
JOHNS MANVILE TOTAL MANAGERS  
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T6400

| TRAN<br>CODE | SHARES/<br>PAR VALUE | SECURITY DESCRIPTION                                       | TRANSACTION<br>EXPENSE | COST OF<br>PURCHASES | PROCEEDS<br>FROM SALES | COST OF ASSETS<br>DISPOSED | GAIN/LOSS |
|--------------|----------------------|------------------------------------------------------------|------------------------|----------------------|------------------------|----------------------------|-----------|
|              |                      |                                                            |                        | 5% VALUE:            | 45,433,819.93          |                            |           |
| S            | 113,110,455.37       | COLLECTIVE US GOVT STIF 3<br>VAR RT 12/31/2049 DD 07/24/08 | .00                    | .00                  | 113,110,455.37         | 113,110,455.37             | .00       |
| B            | 114,185,955.37       | COLLECTIVE US GOV'T STIF 15<br>BPS                         | .00                    | 114,185,955.37       | .00                    | .00                        | .00       |
| B            | 114,185,955.37       | COLLECTIVE US GOV'T STIF 15<br>BPS                         | .00                    | 114,185,955.37       | .00                    | .00                        | .00       |
| S            | 114,185,955.37       | COLLECTIVE US GOV'T STIF 15<br>BPS                         | .00                    | .00                  | 114,185,955.37         | 114,185,955.37             | .00       |



BNY MELLON

TRDJMM JMMGTC100000 5500 FINAL 094812 2013-12-31 CYCLE 2 09:48:13 RUN DATE: 14-APR-14  
JMM GTC1000 SERIES OF TRANSACTIONS IN EXCESS OF FIVE PERCENT  
JOHNS MANVILE CORP TOTAL MGRS OF THE CURRENT VALUE OF THE PLAN ASSETS  
JOHNS MANVILE TOTAL MANAGERS FOR THE PERIOD 01 JANUARY 2013 THROUGH 31 DECEMBER 2013  
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T6500

|       |                | 5% VALUE:                     |                | 45,433,819.93  |                |           |  |
|-------|----------------|-------------------------------|----------------|----------------|----------------|-----------|--|
| TRAN  | SHARES/        | SECURITY DESCRIPTION          | COST OF        | PROCEEDS       | COST OF ASSETS | GAIN/LOSS |  |
| COUNT | PAR VALUE      |                               |                |                |                |           |  |
| 115   | 122,256,141.22 | COLLECTIVE US GOVT STIF 3     |                | .00            |                | .00       |  |
|       |                | VAR RT 12/31/2049 DD 07/24/08 | 122,256,141.22 |                | .00            |           |  |
| 62    | 227,166,065.75 | COLLECTIVE US GOVT STIF 3     |                | 227,166,065.75 |                | .00       |  |
|       |                | VAR RT 12/31/2049 DD 07/24/08 | .00            |                | 227,166,065.75 |           |  |
| 22    | 249,677,414.47 | COLLECTIVE US GOV'T STIF 15   |                | .00            |                | .00       |  |
|       |                | BPS                           | 249,677,414.47 |                | .00            |           |  |
| 16    | 136,748,507.77 | COLLECTIVE US GOV'T STIF 15   |                | 136,748,507.77 |                | .00       |  |
|       |                | BPS                           | .00            |                | 136,748,507.77 |           |  |