

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information										
1a Name of plan <u>ASSA ABLOY INC. RETIREMENT SAVINGS PLAN</u>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;"><u>001</u></td> </tr> <tr> <td colspan="2">1c Effective date of plan <u>01/01/1990</u></td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) <u>93-0925319</u></td> </tr> <tr> <td colspan="2">2c Sponsor's telephone number <u>203-624-5225</u></td> </tr> <tr> <td colspan="2">2d Business code (see instructions) <u>332300</u></td> </tr> </table>	1b Three-digit plan number (PN) ▶	<u>001</u>	1c Effective date of plan <u>01/01/1990</u>		2b Employer Identification Number (EIN) <u>93-0925319</u>		2c Sponsor's telephone number <u>203-624-5225</u>		2d Business code (see instructions) <u>332300</u>	
1b Three-digit plan number (PN) ▶	<u>001</u>										
1c Effective date of plan <u>01/01/1990</u>											
2b Employer Identification Number (EIN) <u>93-0925319</u>											
2c Sponsor's telephone number <u>203-624-5225</u>											
2d Business code (see instructions) <u>332300</u>											
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) <u>ASSA ABLOY INC.</u> <u>110 SARGENT DRIVE</u> <u>NEW HAVEN, CT 06511</u>											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature. Signature of plan administrator	10/15/2014 Date	FILED WITH AUTHORIZED SIGNATURE Enter name of individual signing as plan administrator
SIGN HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN	
5 Total number of participants at the beginning of the plan year		5	6854
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).			
a Active participants		6a	6389
b Retired or separated participants receiving benefits		6b	36
c Other retired or separated participants entitled to future benefits		6c	1246
d Subtotal. Add lines 6a , 6b , and 6c		6d	7671
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e	24
f Total. Add lines 6d and 6e		6f	7695
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	6970
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2T 3H			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>ASSA ABLOY INC. RETIREMENT SAVINGS PLAN</u>	B Three-digit plan number (PN) ► <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ASSA ABLOY INC.</u>	D Employer Identification Number (EIN) <u>93-0925319</u>

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC.

04-2654524

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27 50	NONE	95117	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 50 64 65	NONE	8575	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
<p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>US BANCORP FUND SERVICES LLC</p> <p>39-0281260</p>		
<p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>0.25%</p>		
<p>(a) Enter service provider name as it appears on line 2</p> <p>FIDELITY INVESTMENTS INSTITUTIONAL</p>		
<p>(b) Service Codes (see instructions)</p> <p>60</p>		
<p>(c) Enter amount of indirect compensation</p> <p>0</p>		
<p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>BOSTON FINANCIAL DATA SERVICE INC 330 W 9TH STREET KANSAS CITY, MO 64105</p>		
<p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>0.10%</p>		
<p>(a) Enter service provider name as it appears on line 2</p> <p>FIDELITY INVESTMENTS INSTITUTIONAL</p>		
<p>(b) Service Codes (see instructions)</p> <p>60</p>		
<p>(c) Enter amount of indirect compensation</p> <p>0</p>		
<p>(d) Enter name and EIN (address) of source of indirect compensation</p> <p>STATE STREET BANK & TRUST CO</p> <p>04-0025081</p>		
<p>(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.</p> <p>0.40%</p>		

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
FRANKLIN TEMPLETON INV SRVCS LLC 94-3167260	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
US BANCORP FUND SRVCS LLC PO BOX 701 MILWAUKEE, WI 53201	0.25%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BOSTON FINANCIAL DATA SRVCS INC PO BOX 8480 BOSTON, MA 02266	0.15%	

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
T ROWE PRICE SERVICES INC 52-2269240	0.15%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
BOSTON FINANCIAL DATA SERVICES INC PO BOX 8480 BOSTON, MA 02266	0.10%	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013		
A Name of plan ASSA ABLOY INC. RETIREMENT SAVINGS PLAN		B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 ASSA ABLOY INC.		D Employer Identification Number (EIN) 93-0925319

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	17110	5871
(2) Participant contributions.....	1b(2)	20227	3714
(3) Other.....	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	66802887	62930994
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common.....	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)	3837159	3991282
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	287664556	363485120
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other.....	1c(15)		

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	358341939	430416981

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	358341939	430416981
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	13510644	
(B) Participants	2a(1)(B)	20124080	
(C) Others (including rollovers)	2a(1)(C)	1541759	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		35176483
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	8338	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	183019	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		191357
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	17619406	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		17619406
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		55610741
c Other income	2c		
d Total income. Add all income amounts in column (b) and enter total	2d		108597987

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	36642726	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		36642726
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		-1340
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	95117	
(4) Other	2i(4)	13600	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		108717
j Total expenses. Add all expense amounts in column (b) and enter total	2j		36750103

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		71847884
l Transfers of assets:			
(1) To this plan	2l(1)		227158
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: COHNREZNICK

(2) EIN: 22-1478099

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a	X		38122
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
e Was this plan covered by a fidelity bond?	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>ASSA ABLOY INC. RETIREMENT SAVINGS PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ASSA ABLOY INC.</u>	D Employer Identification Number (EIN) <u>93-0925319</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>04-2647786</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	6a		
b Enter the amount contributed by the employer to the plan for this plan year.....	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

ASSA ABLOY Inc.
Retirement Savings Plan
Financial Statements
(With Supplementary Information)
and Independent Auditor's Report
December 31, 2013

ASSA ABLOY Inc. Retirement Savings Plan

Index to Financial Statements and Supplemental Schedules

	Page(s)
Independent Auditor's Report	1-2
 Financial Statements	
Statements of Net Assets Available for Benefits as of December 31, 2013 and 2012.....	3
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2013.....	4
Notes to Financial Statements.....	5-12
 Supplemental Schedules:	
Schedule H, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2013	13-15
Schedule H, Line 4a - Schedule of Delinquent Participant Contributions for the Year Ended December 31, 2013.....	16

Independent Auditor's Report

To the Participants and Administrator
ASSA ABLOY Inc. Retirement Savings Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of ASSA ABLOY Inc. Retirement Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2013 and 2012, and the related statement of changes in net assets available for benefits for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 6, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee as of December 31, 2013 and 2012, and for the year ended December 31, 2013, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules, Schedule H, Line 4i – Schedule of Assets (Held at End of Year) and Schedule H, Line 4a – Schedule of Delinquent Participant Contributions as of or for the year ended December 31, 2013 are required by the Department of Labor’s (“DOL”) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor’s Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

A handwritten signature in black ink that reads "CohnReznick LLP". The signature is written in a cursive, flowing style.

Farmington, Connecticut
October 7, 2014

ASSA ABLOY Inc. Retirement Savings Plan
Statements of Net Assets Available for Benefits
December 31, 2013 and 2012

	2013	2012
Assets		
Investments, at fair value	<u>\$ 426,416,114</u>	<u>\$ 354,467,443</u>
Receivables:		
Employer contributions	5,871	17,110
Participant contributions	3,714	20,227
Notes receivable from participants	<u>3,991,282</u>	<u>3,837,159</u>
Total receivables	<u>4,000,867</u>	<u>3,874,496</u>
Net assets available for benefits	<u>\$ 430,416,981</u>	<u>\$ 358,341,939</u>

See Notes to Financial Statements.

ASSA ABLOY Inc. Retirement Savings Plan
Statement of Changes in Net Assets Available for Benefits
Year Ended December 31, 2013

Additions to net assets attributable to:

Contributions:

Employer	\$ 13,510,644
Participants	20,124,080
Rollovers	<u>1,541,759</u>
Total contributions	35,176,483

Interest and dividend income	17,627,744
Net appreciation in fair value of investments	55,610,741
Interest income on notes receivable from participants	<u>183,019</u>
Total additions	<u>108,597,987</u>

Deductions from net assets attributable to:

Benefits paid to participants	36,641,386
Administrative expenses	<u>108,717</u>
Total deductions	<u>36,750,103</u>

Net increase prior to transfer of plan assets	71,847,884
Transfer in of plan assets	<u>227,158</u>
Net increase	72,075,042

Net assets available for benefits, beginning of year	<u>358,341,939</u>
Net assets available for benefits, end of year	<u>\$ 430,416,981</u>

See Notes to Financial Statements.

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

1. Plan Description

The following description of the ASSA ABLOY Inc. Retirement Savings Plan (the “Plan” or “AARSP”) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan’s provisions.

The following wholly-owned subsidiaries of ASSA ABLOY Inc. (the “Company”) have employees who participate in the Plan: ASSA ABLOY Inc., ASSA ABLOY Sales & Marketing Group, Inc. (formerly Essex Industries), McKinney Products Company, Sargent Manufacturing Company, Emtex Products, Inc., Assa, Inc., Securitron Magnalock Corporation, Medeco Security Locks, Inc., Abloy Security, Inc., Mul-T-Lock USA, Inc., HID Corporation, Hanchett Entry Systems, Inc., ASSA ABLOY Hospitality, Inc. (which was formed in 2002 through a merger of the following wholly-owned subsidiaries: VingCard, Inc., Timelox, Inc. and ElSafe, Inc.), the ASSA ABLOY Door Group, which has employees from the following wholly-owned companies participating in the Plan: ASSA ABLOY Service Centers (formerly Essex Northeast Service Center), Curries Company, Graham Manufacturing Company, Ceco Door Products and Dominion Building Products, Besam Automated Entrance Systems, Inc., Interlock USA, Inc., Electronic Door Security Sales Group, Inc. and Arrow Lock Manufacturing Company, Inc.

On May 20, 2004, the Plan administrator decided to merge the ASSA ABLOY Inc. Retirement Savings Plan for Employees of the U.S. Intruder Group (“IGRSP”), another defined contribution plan sponsored by the Company, into the Plan. The assets of IGRSP were merged into the Plan effective on January 1, 2005. Companies which were previously sponsors of the IGRSP are Yale Security, Inc., Corbin Russwin, Inc., Rixson-Firemark, Inc. and Yale Residential, all wholly-owned subsidiaries of the Company. In addition, those employees of Folger Adam Security, Inc., a former wholly-owned subsidiary of the Company, who elected to continue to participate in the IGRSP, are participants of the Plan.

SA Lock Acquisition, Inc., a wholly-owned subsidiary of ASSA ABLOY Inc., was formed in April 2006. Employees of this subsidiary became participants of the Plan effective September 1, 2006.

During 2007, employees from the following wholly-owned subsidiaries of the Company became participants in the Plan: Adams Rite Manufacturing Co., Fargo Electronics, Pemko Manufacturing Co. and Don La Force Assoc. Inc.

Effective January 1, 2009, Valli & Valli Inc., a wholly-owned subsidiary of ASSA ABLOY Inc., adopted the AARSP for the benefit of its employees.

Effective October 1, 2010, Security Metal Products, a wholly-owned subsidiary of ASSA ABLOY Inc., adopted the AARSP for the benefit of its employees. During 2011, assets in the amount of \$41,320 were transferred into the Plan.

Maiman, a wholly-owned subsidiary of ASSA ABLOY Inc., merged the Maiman Company 401(k) Plan and adopted the AARSP effective December 1, 2010 for the benefit of its employees.

ActivIdentity Corporation, a wholly-owned subsidiary of ASSA ABLOY Inc., merged the ActivIdentity, Inc. 401(k) Plan and adopted the AARSP effective March 31, 2011 for the benefit of its employees. As a result, assets in the amount of \$5,319,339 were transferred into the Plan.

Effective June 1, 2011, employees from LaserCard Corporation, a wholly-owned subsidiary of the Company, merged the LaserCard Corporation 401(k) Plan and adopted the AARSP for the benefit of its employees. As a result, assets in the amount of \$7,905,288 were transferred into the Plan.

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

Rockwood Manufacturing Company, Inc., a wholly-owned subsidiary of ASSA ABLOY Inc., merged the Rockwood Manufacturing Company, Inc. 401(k) Profit Sharing Plan and adopted the AARSP effective June 1, 2011 for the benefit of its employees. As a result, assets in the amount of \$9,185,629 were transferred into the Plan.

Effective June 1, 2011, R. E. Schaub Enterprises, Inc., a wholly-owned subsidiary of ASSA ABLOY Inc., merged the R. E. Schaub Enterprises, Inc. 401(k) Profit Sharing Plan and adopted the AARSP for the benefit of its employees. As a result, assets in the amount of \$886,155 were transferred into the Plan.

Effective January 1, 2012, MegaDoor USA Inc. adopted the AARSP. As a result assets were transferred from Cardo USA 401(k) Plan on March 1, 2012 in the amount of \$4,319,369 which included \$4,226,313 of assets and \$93,056 of loans transferred into the Plan.

Albany Door Group became a participating employer of the AARSP effective January 1, 2012 whose loans were transferred in the amount of \$91,513.

Frameworks Manufacturing Inc. became a participating employer of the AARSP effective June 1, 2012.

FlexiForce became a participating employer of the AARSP effective January 1, 2013.

Code Bench became a participating employer of the AARSP effective January 1, 2013. In conjunction with becoming a participating employer in the Plan, certain employees transferred assets in the amount of \$227,158 into the Plan.

Ameristar Fence Products, Inc. became a participating employer of AARSP effective November 1, 2013.

General

The Plan is a 401(k) defined contribution plan and covers all eligible employees, as defined in the Plan. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA"). Employees become eligible to contribute to the Plan on the first day of the month immediately following completion of 30 days of service, as defined by the Plan, and attainment of age 21. Employees become eligible to receive Company matching contributions and non-elective base contributions on the first day of the month immediately following completion of 12 months of service, as defined by the Plan, and attainment of age 21. The Employees Benefit Committee is responsible for oversight of the Plan and determines the appropriateness of the Plan's investment offerings and monitors investment performance.

The Plan is administered and sponsored by the Company. All funds and investments are held in accounts or invested in mutual funds or other pooled investment funds, under a trust agreement with Fidelity Management Trust Company (the "Trustee"), dated December 31, 1989. The expenses of amending, restating and administering the Plan are paid by the Plan to the extent not paid directly by, or reimbursed by, the Company.

Contributions

Participants may contribute, on a pre-tax basis, up to 100% of their annual eligible compensation, as defined in the Plan document and subject to certain statutory limitations. The Company will also make matching contributions on the participant's behalf equal to 50% of the participant's pre-tax contribution; to the extent that such pre-tax contributions do not exceed 3% of the participant's annual eligible compensation, as defined in the Plan document. The Company makes a base contribution equal to 3% of an eligible participant's eligible compensation for all Plan participants except Rixson-Firemark, as defined by the Plan document. The Company makes base contributions equal to 2.5% of an eligible participant's eligible compensation for Rixson-Firemark. The Plan may receive rollover contributions representing all or part of the entire amount of any distribution from another qualified retirement plan meeting the requirements of Internal Revenue Code ("IRC") Section 401(a).

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

Employer matching contributions that the Company makes on behalf of the participants are directed pro-rata to the same investment options as the participant contributions. Employer base contributions are directed to an investment option as elected by the participant or, if no election is made, to certain default fund options. Contributions are subject to certain limitations, including the Internal Revenue Service (the "IRS") annual compensation limit of \$255,000, for the year ended December 31, 2013. For the year ended December 31, 2013, each participant's contributions were also limited to a maximum of \$17,500. During 2013, all employees eligible to make salary reduction contributions and who attained age 50 before the close of the 2013 Plan year were eligible to make a \$5,500 catch-up contribution.

Participant Accounts

Each participant's account is credited or charged with the participant's contributions and an allocation of (a) the Company's base and matching contributions, (b) Plan earnings, (c) remaining forfeitures of terminated participants' nonvested accounts, if any, and (d) applicable expenses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are always 100% vested in their individual contributions, the Company's base contribution and the Company's matching contribution, plus actual earnings thereon.

Investments

Participants direct the investment of their contributions into various investment options offered by the Plan. The Plan currently offers various mutual funds as investment options for participants.

Participants may change their investment options subject to certain limitations and fees.

The following investments, at fair value, represent 5% or more of net assets available for benefits as of December 31, which were certified by the Trustee:

	2013	2012
	Market	Market
	Value	Value
Fidelity Retirement Money Market Fund	\$ 62,930,994	\$ 66,802,887
Fidelity Intermediate Bond Fund	24,240,791	24,906,486
Fidelity Blue Chip Growth Fund - Class K	36,944,027	25,481,558
Fidelity Contra Fund - Class K	61,792,084	47,934,994
Fidelity Equity Income Fund - Class K	30,562,755	24,669,130
Fidelity Spartan 500 Index Fund - Institutional Class	24,163,937	19,081,957
Fidelity Puritan Fund - Class K	22,179,732	19,473,053

All of the net appreciation in the fair value of investments of \$55,610,741 for the year ended December 31, 2013 is attributable to the Plan's investment in mutual funds.

Payment of Benefits

On termination of service due to death, disability or retirement, a participant will receive a lump sum amount equal to the value of the participant's vested interest in his or her account. With respect to transferred account balances, as defined by the Plan, from the prior Securitron Magnalock Corporation and ASSA ABLOY Hospitality, Inc. plans, a participant may elect to receive a payment in the form of a life annuity, a 50% joint and survivor annuity, or installment payments not extending beyond the life expectancy of the participant and a designated beneficiary. Participants with balances of \$1,000 or less will receive an automatic lump sum distribution upon termination. If the participant balance is between \$1,000 and \$5,000, the Plan administrator will distribute such funds to an individual retirement account as directed by the Plan document.

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

Notes Receivable from Participants

Participants may borrow from their accounts an amount between \$1,000 and \$50,000, but not to exceed one half of a participant's vested balance. In addition, the Plan allows loans outstanding under certain prior plans to be rolled over into the Plan. Loans can only be made for tuition payments for postsecondary education, major medical expenses of the participant's immediate family members or dependents, purchase of a primary residence, to prevent eviction or foreclosure, or for the payment of burial or funeral expenses for the employee's deceased spouse, children or dependents and expenses for the repair of damage to the employee's principal residence that would qualify for the casualty deduction under IRC Section 165. Each loan is collateralized by the vested balance in the borrowing participant's account and bears interest at a specific prime rate plus 1%. The term of the loan cannot exceed five years, unless the loan is to be used for the purchase of a primary residence in which case the term of the loan cannot exceed 20 years. All loans are subject to both an origination fee and an annual maintenance fee. Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Principal and interest is paid ratably through regular payroll deductions. Related fees are recorded as administrative expenses and are expensed when incurred. No allowance for credit losses has been recorded as of December 31, 2013 and 2012. Delinquent notes receivable from participants are recorded as a distribution based upon the terms of the loan. As of December 31, 2013, interest rates on existing loans were between 3.25% and 11.50%, which are commensurate with local prevailing rates as determined by the Plan administrator.

Forfeited Accounts

As of December 31, 2013 and 2012, forfeited non-vested accounts, and credits for other corrected balances, totaled approximately \$382,194 and \$372,340, respectively. These amounts represent forfeitures and other corrections carried forward from prior years. As of January 1, 2002, all contributions are 100% vested; therefore, participant accounts subsequent to that date are not subject to forfeiture. These accounts will be used to reduce future employer contributions. Forfeited amounts not used to reinstate previously forfeited accounts or to reduce future employer contributions, may be allocated to participants. During the years ended December 31, 2013 and 2012, Company cash contributions and administrative expenses were offset by \$0 from forfeitures and credits for other corrected balances.

2. Summary of Significant Accounting Policies

Basis of Accounting

The financial statements of the Plan are prepared under the accrual method of accounting, with the exception of benefit payments.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Shares of mutual funds are valued at quoted market prices which represent the net asset value of shares held by the Plan at year-end. Investment transactions are recorded by the Trustee on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date (see Note 7 – Fair Value Measurements). The Plan's Employee Benefits Committee determines the Plan's valuation policies utilizing information provided by the investment advisers, custodians and insurance company.

The Plan presents in the statement of changes in net assets available for benefits the net appreciation in the fair value of its investments which consists of the realized gains or losses and the unrealized appreciation (depreciation) on those investments.

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

Administrative Expenses

The expenses of amending, restating and administering the Plan and trust shall be paid by the Plan, to the extent not paid by the Company. Administrative expenses include fees and expenses of the fiduciaries of the Plan for the performance of their duties under the Plan, reasonable fees and expenses of any legal counsel, accountant, or agent for authorized services rendered, and all other proper charges and disbursements (including settlements of claims or legal actions approved by legal counsel). All loan fees and expenses directly or indirectly caused or incurred as a result of individual investment direction shall be paid from, and constitute a charge against, the respective account of a participant requesting such individual investment direction. Investment related expenses are included in net appreciation of fair value of investments. Administrative expenses paid by the Plan in 2013 were loan maintenance fees, short-term redemption fees and overnight mail fees of \$108,717, which were deducted from the accounts of the respective participants. All administrative expenses, except for the \$108,717 were paid by the Company in 2013 (see Note 4 - Related Party Transactions).

Payment of Benefits

Benefits are recorded when paid.

Use of Estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Risks and Uncertainties

The Plan provides for various investment options in several mutual funds of which the underlying investments are any combination of stocks, bonds, fixed income securities and other investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term, and that such change could materially affect participants' account balances reported in the statements of net assets available for benefits.

Subsequent Events

Subsequent events were evaluated through October 7, 2014, which is the date the financial statements were available to be issued.

3. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA.

4. Related Party Transactions

Certain Plan investments are managed by Fidelity Investments Group. The Trustee, as defined by the Plan, is also a member of the Fidelity Investments Group and, therefore, these investment transactions qualify as party-in-interest transactions. Fees (excluding investment management fees) incurred by the Plan to Fidelity Investments Group amounted to \$108,717 for the year ended December 31, 2013. In accordance with the terms of the Plan document, the Company has paid certain fees of \$44,000 during 2013 which qualify as party-in-interest transactions. Participant loans in the amount of \$3,991,282 and the related interest of \$183,019, also qualify as party-in-interest transactions.

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

5. Tax Status

The IRS had determined and informed the Company by a letter, dated August 17, 2011, that the Plan is designed in accordance with the applicable sections of the IRC. The Plan has been amended since receiving this determination letter. However, the Plan administrator believes the Plan is designed and is currently being operated in accordance with the applicable requirements of the IRC and that, therefore believe that the Plan is qualified, and the related trust is tax exempt.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more likely than not would be not sustained upon examination by the IRS. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2010.

6. Certified Investments

The Plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Investments and notes receivable from participants held at December 31, 2013 and 2012 and investment income and interest income on notes receivable from participants for the year ended December 31, 2013, that is disclosed in the accompanying financial statements and supplemental schedules, was obtained or derived from information supplied to the Plan administrator and certified as complete and accurate by the trustee of the Plan.

7. Fair Value Measurements

Financial Accounting Standards Board ("FASB") Accounting Standards Codification ("ASC") 820, Fair Value Measurements and Disclosures, provides the framework for measuring fair value.

That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurements) and the lowest priority to unobservable inputs (level 3 measurements). The three levels of the fair value hierarchy under FASB ASC 820 are described below:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodology used for assets measured at fair value. There have been no changes in the methodology used at December 31, 2013 and 2012.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

The method described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation method is appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013 and 2012:

Assets at Fair Value as of December 31, 2013				
	Level 1	Level 2	Level 3	Total
Mutual funds:				
US stocks	\$ 220,119,212			\$ 220,119,212
International stocks	25,592,927			25,592,927
Blended funds	82,426,647			82,426,647
Bond funds	98,277,328			98,277,328
Total mutual funds	426,416,114			426,416,114
Total assets at fair value	\$ 426,416,114	-	-	\$ 426,416,114

ASSA ABLOY Inc. Retirement Savings Plan

Notes to Financial Statements

Assets at Fair Value as of December 31, 2012				
	Level 1	Level 2	Level 3	Total
Mutual funds:				
US stocks	\$ 162,701,896			\$ 162,701,896
International stocks	20,658,204			20,658,204
Blended funds	65,741,874			65,741,874
Bond funds	105,365,469			105,365,469
Total mutual funds	354,467,443			354,467,443
Total assets at fair value	\$ 354,467,443	-	-	\$ 354,467,443

8. Nonexempt Transactions

The Company has determined that nonexempt transactions occurred during 2013. The nonexempt transactions involved the submission of employee contributions and loan repayments to the Plan later than the earliest day on which such contributions could reasonably be segregated from the employer's general assets. During 2013, the Company took corrective action by making a contribution to the Plan for lost earnings and applicable excise tax in the amount of \$5,727, for the year ended December 31, 2013. During 2014, the Company took corrective action by making contributions to the Plan for lost earnings and applicable excise tax in the amount of \$32, for the year ended December 31, 2013. Management believes that these transactions should not affect the tax-qualified status of the Plan; therefore, no provision for income taxes has been included in the Plan's financial statements.

ASSA ABLOY Inc. Retirement Savings Plan
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
December 31, 2013

Schedule H, line 4i - Schedule of Assets (Held At End of Year)
ASSA ABLOY Inc. Retirement Savings Plan— 001
EIN — 93-0925319
December 31, 2013

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current value
*	Fidelity Retirement Money Market Fund	A Fidelity Management Trust Company mutual fund, 62,930,994 shares	**	\$ 62,930,994
*	Fidelity Contra Fund - Class K	A Fidelity Management Trust Company mutual fund, 643,199 shares	**	61,792,084
*	Fidelity Blue Chip Growth Fund - Class K	A Fidelity Management Trust Company mutual fund, 582,530 shares	**	36,944,027
*	Fidelity Equity Income Fund - Class K	A Fidelity Management Trust Company mutual fund, 520,838 shares	**	30,562,755
*	Fidelity Intermediate Bond Fund	A Fidelity Management Trust Company mutual fund, 2,238,300 shares	**	24,240,791
*	Fidelity Spartan 500 Index Fund - Institutional Class	A Fidelity Management Trust Company mutual fund, 368,971 shares		24,163,937
*	Fidelity Puritan Fund - Class K	A Fidelity Management Trust Company mutual fund, 1,045,278 shares	**	22,179,732
	Franklin Small-Mid Cap Growth Fund - Class Advisor	A Franklin Templeton Investments mutual fund, 371,285 shares	**	15,965,246
	Artisan Mid Cap Fund - Investor Class	An Artisan Partners Funds, Inc. mutual fund 289,078 shares	**	13,765,876
	Artisan International Fund	An Artisan Partners Funds, Inc. mutual fund 448,138 shares		13,739,925
*	Fidelity Spartan International Fund - Institutional Class	A Fidelity Management Trust Company mutual fund, 291,443 shares	**	11,853,002
*	Fidelity Freedom 2030 Fund - Class K	A Fidelity Management Trust Company mutual fund, 692,865 shares	**	10,988,845
*	Fidelity Freedom 2025 Fund - Class K	A Fidelity Management Trust Company mutual fund, 701,571 shares	**	10,881,360
	West Asset Core Pl US Bond - Institutional Class	A Western Asset Core bond fund 968,356 shares	**	10,835,909
	Allianz NFJ Small Cap Value Fund-Administrative Class	An Allianz Small Cap mutual fund, 302,151 shares	**	10,626,653

* Denotes a party-in-interest

** All investments are participant or beneficiary directed, therefore cost information is not required.

Employer Identification Number: 93-0925319

Plan Number: 001

ASSA ABLOY Inc. Retirement Savings Plan
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
December 31, 2013

Schedule H, line 4i - Schedule of Assets (Held At End of Year)
ASSA ABLOY Inc. Retirement Savings Plan— 001
EIN — 93-0925319
December 31, 2013

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current value
	Hotchkiss & Wiley Mid Cap Value - Class I	A Hotchkiss and Wiley Capital Management , LLC mutual fund, 254,234 shares	**	\$ 10,324,446
*	Fidelity Freedom 2020 Fund - Class K	A Fidelity Management Trust Company mutual fund, 685,794 shares	**	10,204,614
*	Fidelity Freedom 2035 Fund - Class K	A Fidelity Management Trust Company mutual fund, 470,345 shares	**	7,704,259
*	Fidelity Freedom 2040 Fund - Class K	A Fidelity Management Trust Company mutual fund, 462,359 shares	**	7,615,046
	T Rowe Price Dividend Fund	A T Rowe Price Dividend Growth Fund, Inc. 179,755 shares	**	6,048,752
*	Fidelity Freedom 2015 Fund - Class K	A Fidelity Management Trust Company mutual fund, 301,197 shares	**	4,289,039
	Loomis Sayles Small Cap Growth Fund	A Loomis Sayles Funds II 158,490 shares	**	4,120,744
*	Fidelity Capital Appreciation - Class K	A Fidelity Management Trust Company mutual fund, 93,685 shares	**	3,393,276
*	Fidelity Freedom 2010 Fund - Class K	A Fidelity Management Trust Company mutual fund, 198,755 shares	**	2,788,535
*	Spartan Extended Market Index Fund - Advisor Class	A Fidelity Management Trust Company mutual fund, 45,141 shares	**	2,411,410
*	Fidelity Freedom 2050 Fund - Class K	A Fidelity Management Trust Company mutual fund, 99,080 shares	**	1,671,485
*	Fidelity Freedom 2045 Fund - Class K	A Fidelity Management Trust Company mutual fund, 92,454 shares	**	1,553,219
*	Fidelity Freedom K Income Fund	A Fidelity Management Trust Company mutual fund, 127,253 shares	**	1,521,944
*	Fidelity Freedom 2000 Fund - Class K	A Fidelity Management Trust Company mutual fund, 42,050 shares	**	513,850

* Denotes a party-in-interest

** All investments are participant or beneficiary directed, therefore cost information is not required.

Employer Identification Number: 93-0925319

Plan Number: 001

ASSA ABLOY Inc. Retirement Savings Plan
Schedule H, Line 4i – Schedule of Assets (Held at End of Year)
December 31, 2013

Schedule H, line 4i - Schedule of Assets (Held At End of Year)
ASSA ABLOY Inc. Retirement Savings Plan— 001
EIN — 93-0925319
December 31, 2013

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investments including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current value
*	Fidelity Freedom 2005 Fund - Class K	A Fidelity Management Trust Company mutual fund, 29,480 shares	**	\$ 396,216
*	Fidelity Spartan Short-Term Treasury Bond Index Fund - Advisor Class	A Fidelity Management Trust Company mutual fund, 25,852 shares	**	269,634
*	Fidelity Freedom 2055 Fund - Class K	A Fidelity Management Trust Company mutual fund, 9,818 shares	**	118,501
*	Fidelity Spartan 500 Index Fund - Advisor Class	A Fidelity Management Trust Company mutual fund, 1 share		8
*	Notes receivable from participants	Participant loans with interest rates between 3.25% and 11.50% with maturities of 1 month-20 years; collateralized by participant account balances	-	3,991,282
				<u>\$ 430,407,396</u>

* Denotes a party-in-interest

** All investments are participant or beneficiary directed, therefore cost information is not required.

Employer Identification Number: 93-0925319

Plan Number: 001

ASSA ABLOY Inc. Retirement Savings Plan

Schedule H, Line 4a – Schedule of Delinquent Participant Contributions

Year Ended December 31, 2013

(a) Participant Contributions Transferred Late to Plan	(b) Total that Constitute Nonexempt Prohibited Transactions	(c) Total Fully Corrected Under VFCP and PTE 2002-51	(d) Check Here if Late Participant Loan Repayments are Included	(e) Contributions not Corrected	(f) Contributions Corrected Outside VFCP	(g) Contributions Pending Correction in VFCP
\$ 25,897	\$ 25,897 *	-	✓	-	\$ 25,897	-
\$ 12,225	\$ 12,225 **		✓	-	\$ 12,225	-

* All late contributions and loan repayments are related to 2013. Management has taken corrective action during 2013.

** All late contributions and loan repayments are related to 2013. Management has taken corrective action during 2014.

Employer Identification Number: 93-0925319

Plan Number: 001

Form 5500Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security
Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

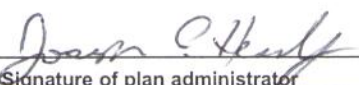
This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

► **Complete all entries in accordance with the instructions to the Form 5500.**OMB Nos. 1210-0110
1210-0089**2013****This Form is Open to Public Inspection****Part I Annual Report Identification Information**For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

- A** This return/report is for: ☐ a multiemployer plan; ☐ a multiple-employer plan; or
☒ a single-employer plan; ☐ a DFE (specify) _____
- B** This return/report is: ☐ the first return/report; ☐ the final return/report;
☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
- C** If the plan is a collectively-bargained plan, check here. ☒
- D** Check box if filing under: ☒ Form 5558; ☐ automatic extension; ☐ the DFVC program;
☐ special extension (enter description) _____

Part II Basic Plan Information—enter all requested information**1a** Name of plan ASSA Abloy Inc. Retirement Savings Plan**1b** Three-digit plan number (PN) ► 001**1c** Effective date of plan 01/01/1990**2a** Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)
ASSA Abloy Inc.**2b** Employer Identification Number (EIN)
93-0925319**2c** Sponsor's telephone number
(203) 624-5225**2d** Business code (see instructions)
332300110 Sargent DriveNew HavenCT 06511**Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.**

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE		<u>10/14/15</u>	<u>Joseph P. Hurley</u>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Form 5500 (2013)
v. 130118

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5	6, 854
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).		
a Active participants.....	6a	6, 389
b Retired or separated participants receiving benefits	6b	36
c Other retired or separated participants entitled to future benefits.....	6c	1, 246
d Subtotal. Add lines 6a , 6b , and 6c	6d	7, 671
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	6e	24
f Total. Add lines 6d and 6e	6f	7, 695
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g	6, 970
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2T 3H		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:		
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)		
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)