

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information—enter all requested information										
1a Name of plan LORD CORPORATION EXEMPT EMPLOYEES' SAVINGS PLAN	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;">005</td> </tr> <tr> <td colspan="2">1c Effective date of plan 01/01/1982</td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) 25-0626921</td> </tr> <tr> <td colspan="2">2c Sponsor's telephone number 919-468-5979</td> </tr> <tr> <td colspan="2">2d Business code (see instructions) 325500</td> </tr> </table>	1b Three-digit plan number (PN) ▶	005	1c Effective date of plan 01/01/1982		2b Employer Identification Number (EIN) 25-0626921		2c Sponsor's telephone number 919-468-5979		2d Business code (see instructions) 325500	
1b Three-digit plan number (PN) ▶	005										
1c Effective date of plan 01/01/1982											
2b Employer Identification Number (EIN) 25-0626921											
2c Sponsor's telephone number 919-468-5979											
2d Business code (see instructions) 325500											
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) LORD CORPORATION P.O. BOX 8012 CARY, NC 27512-8012											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/14/2014	SHAWN HYLTON
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional) KAREN BENSON MCGILL, POWER, BELL & ASSOCIATES, L 1920 W. 8TH STREET ERIE, PA 16505-4935			Preparer's telephone number (optional) 814-453-6594

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN	
5 Total number of participants at the beginning of the plan year		5	1181
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).			
a Active participants		6a	909
b Retired or separated participants receiving benefits		6b	20
c Other retired or separated participants entitled to future benefits		6c	304
d Subtotal. Add lines 6a , 6b , and 6c		6d	1233
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e	
f Total. Add lines 6d and 6e		6f	1233
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	1229
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	23
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2A 2F 2H 2J 2K 2R 2S 2T 3I			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013		
A Name of plan LORD CORPORATION EXEMPT EMPLOYEES' SAVINGS PLAN	B Three-digit plan number (PN) ▶	005
C Plan sponsor's name as shown on line 2a of Form 5500 LORD CORPORATION		
		D Employer Identification Number (EIN) 25-0626921

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier NEW YORK LIFE INSURANCE COMAPNY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-5582869	66915	GA31563	401	01/01/2013	12/31/2013

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).
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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	
5 Current value of plan's interest under this contract in separate accounts at year end	5	23773213

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ☐**7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☐ other ▶

b Balance at the end of the previous year	7b	
c Additions: (1) Contributions deposited during the year	7c(1)	
(2) Dividends and credits	7c(2)	
(3) Interest credited during the year	7c(3)	
(4) Transferred from separate account	7c(4)	
(5) Other (specify below)	7c(5)	
▶		
(6) Total additions	7c(6)	0
d Total of balance and additions (add lines 7b and 7c(6))	7d	
e Deductions:		
(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	
(2) Administration charge made by carrier	7e(2)	
(3) Transferred to separate account	7e(3)	
(4) Other (specify below)	7e(4)	
▶		
(5) Total deductions	7e(5)	0
f Balance at the end of the current year (subtract line 7e(5) from line 7d)	7f	

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.....	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>LORD CORPORATION EXEMPT EMPLOYEES' SAVINGS PLAN</u>	B Three-digit plan number (PN) <u>005</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>LORD CORPORATION</u>	D Employer Identification Number (EIN) <u>25-0626921</u>

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
<u>PNC BANK</u> <u>620 LIBERTY AVENUE</u> <u>PITTSBURGH, PA 15222</u>
<u>25-1211909</u>

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation
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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CAPTRUST FINANCIAL ADVISORS

4208 SIX FORKS ROAD, SUITE 1700
RALEIGH, NC 27609

26-0058143

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 27	ADVISOR	48015	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FID INV INST OPS CO (FIOC)

82 DEVONSHIRE STREET
BOSTON, MA 02109-3614

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
64 37 65 71	RECORDKEEPER	-59658	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013		
A Name of plan LORD CORPORATION EXEMPT EMPLOYEES' SAVINGS PLAN	B Three-digit plan number (PN) ►	005
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 LORD CORPORATION	D Employer Identification Number (EIN) 25-0626921	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)		
a Name of MTIA, CCT, PSA, or 103-12 IE: LORD SALARIED, EXEMPT & HOURLY MT			
b Name of sponsor of entity listed in (a): LORD CORPORATION			
c EIN-PN 25-0626921-020	d Entity code M	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 63085825	
a Name of MTIA, CCT, PSA, or 103-12 IE: PSA NO. 25 (STABLE VALUE ACCOUNT)			
b Name of sponsor of entity listed in (a): NEW YORK LIFE INSURANCE COMPANY			
c EIN-PN 13-5582869-125	d Entity code P	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 23887323	
a Name of MTIA, CCT, PSA, or 103-12 IE:			
b Name of sponsor of entity listed in (a):			
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	
a Name of MTIA, CCT, PSA, or 103-12 IE:			
b Name of sponsor of entity listed in (a):			
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	
a Name of MTIA, CCT, PSA, or 103-12 IE:			
b Name of sponsor of entity listed in (a):			
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	
a Name of MTIA, CCT, PSA, or 103-12 IE:			
b Name of sponsor of entity listed in (a):			
c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>		
A Name of plan <u>LORD CORPORATION EXEMPT EMPLOYEES' SAVINGS PLAN</u>	B Three-digit plan number (PN) ►	<u>005</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>LORD CORPORATION</u>	D Employer Identification Number (EIN) <u>25-0626921</u>	

Part I	Asset and Liability Statement		(a) Beginning of Year	(b) End of Year
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.				
	Assets			
a	Total noninterest-bearing cash	1a		3000
b	Receivables (less allowance for doubtful accounts):			
	(1) Employer contributions.....	1b(1)	1889883	1870837
	(2) Participant contributions.....	1b(2)	205830	
	(3) Other.....	1b(3)		
c	General investments:			
	(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	17116	56847
	(2) U.S. Government securities	1c(2)		
	(3) Corporate debt instruments (other than employer securities):			
	(A) Preferred	1c(3)(A)		
	(B) All other.....	1c(3)(B)		
	(4) Corporate stocks (other than employer securities):			
	(A) Preferred	1c(4)(A)		
	(B) Common.....	1c(4)(B)		
	(5) Partnership/joint venture interests	1c(5)		
	(6) Real estate (other than employer real property).....	1c(6)		
	(7) Loans (other than to participants)	1c(7)		
	(8) Participant loans.....	1c(8)	1710109	1655707
	(9) Value of interest in common/collective trusts	1c(9)		
	(10) Value of interest in pooled separate accounts	1c(10)	23174524	23887323
	(11) Value of interest in master trust investment accounts	1c(11)	47309524	63085825
	(12) Value of interest in 103-12 investment entities.....	1c(12)		
	(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	153252970	186595840
	(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
	(15) Other.....	1c(15)	16950096	17745209

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	244510052	294900588

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k		

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	244510052	294900588
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	5897829	
(B) Participants	2a(1)(B)	8515359	
(C) Others (including rollovers)	2a(1)(C)	991059	
(2) Noncash contributions	2a(2)	2896500	
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		18300747
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	16	
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)	102932	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		102948
(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	7174896	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		7174896
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	2301942	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		2301942

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		165684
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		18074520
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		26832942
c Other income.....	2c		87725
d Total income. Add all income amounts in column (b) and enter total.....	2d		73041404

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	21140373	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		21140373
f Corrective distributions (see instructions).....	2f		13001
g Certain deemed distributions of participant loans (see instructions).....	2g		-96
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)	28067	
(3) Investment advisory and management fees.....	2i(3)	48015	
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		76082
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		21229360

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d.....	2k		51812044
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		1421508

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unqualified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MCGILL POWER BELL & ASSOCIATES, LLP

(2) EIN: 25-1031405

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

- a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....
- b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
e Was this plan covered by a fidelity bond?	X		5000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)
LORD CORP SALARIED EMPLOYEES' SAVINGS PLAN	25-0626921	003

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>LORD CORPORATION EXEMPT EMPLOYEES' SAVINGS PLAN</u>	B Three-digit plan number (PN) ▶ <u>005</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>LORD CORPORATION</u>	D Employer Identification Number (EIN) <u>25-0626921</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>04-6568107</u>	
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.	
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☒ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	6a		
b Enter the amount contributed by the employer to the plan for this plan year.....	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☒ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____



**SALARIED EXEMPT EMPLOYEES'
SAVINGS AND RETIREMENT PLAN**

**AUDITED FINANCIAL STATEMENTS
AND SUPPLEMENTAL INFORMATION**

DECEMBER 31, 2013 AND 2012

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
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SUPPLEMENTAL INFORMATION

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AUDITED FINANCIAL STATEMENTS



1920 West 8th Street
Erie, PA 16505
814.453.6594
Fax: 814.455.3642

INDEPENDENT AUDITOR'S REPORT

The Investment & Benefits Committee of LORD Corporation

Report on the Financial Statements

We have audited the accompanying financial statements of LORD Corporation Salaried Exempt Employees' Savings and Retirement Plan, which comprise the Statements of Net Assets Available for Benefits as of December 31, 2013 and 2012, and the related Statements of Changes in Net Assets Available for Benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2013 and 2012, and the changes in net assets available for benefits for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental Schedule H, line 4i-Schedule of Assets (Held at End of Year) as of December 31, 2013 is presented for the purpose of additional analysis and is not a required part of the financial statements, but is supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

McGill, Power, Bell & Associates, LLP

McGill, Power, Bell & Associates, LLP
Erie, Pennsylvania
October 6, 2014

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2013 AND 2012

	<u>2013</u>	<u>2012</u>
ASSETS		
INVESTMENTS - AT FAIR VALUE (Notes C and D)		
Registered Investment Company Funds	\$ 192,118,626	\$ 158,674,331
Plan Interest in LORD Corporation Master Savings Trust (Note E)	63,085,825	47,309,524
Pooled Separate Account (Note F)	23,887,323	23,174,524
Common and Preferred Stocks	8,819,439	6,168,020
Corporate Bonds	137,862	209,136
U. S. Government Securities	48,743	49,851
Other Investments	218,319	129,430
Cash Equivalents	<u>3,054,907</u>	<u>4,989,414</u>
Total Investments	291,371,044	240,704,230
RECEIVABLES		
Notes Receivable from Participants	1,655,707	1,770,905
Employee Contribution	-	205,830
Employer Contribution	<u>1,870,837</u>	<u>1,889,883</u>
Total Receivables	3,526,544	3,866,618
CASH	<u>3,000</u>	<u>-</u>
NET ASSETS AVAILABLE FOR BENEFITS	294,900,588	244,570,848
Adjustment from Fair Value to Contract Value for Interest in Pooled Separate Account relating to Fully Benefit-Responsive Investment Contracts (Note F)	<u>(114,110)</u>	<u>(323,268)</u>
TOTAL NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 294,786,478</u></u>	<u><u>\$ 244,247,580</u></u>

See Accompanying Notes.

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEARS ENDED DECEMBER 31, 2013 AND 2012

	<u>2013</u>	<u>2012</u>
ADDITIONS TO NET ASSETS ATTRIBUTED TO:		
INVESTMENT INCOME		
Net Appreciation in Fair Value of Investments <i>(Note C)</i>	\$ 28,689,291	\$ 15,835,526
Plan Interest in LORD Corporation Master Savings Trust	18,074,520	9,887,693
Interest and Dividends	8,100,586	4,296,129
Other Investment Income - Net <i>(Note B)</i>	<u>39,710</u>	<u>6,555</u>
	54,904,107	30,025,903
CONTRIBUTIONS		
Participant	9,506,418	8,174,717
Employer	<u>8,794,329</u>	<u>7,607,836</u>
	18,300,747	15,782,553
 Total Additions	 73,204,854	 45,808,456
DEDUCTIONS FROM NET ASSETS ATTRIBUTED TO:		
Benefits Paid to Participants	21,216,381	10,395,548
Administrative Expenses	<u>28,067</u>	<u>16,692</u>
 Total Deductions	 <u>21,244,448</u>	 <u>10,412,240</u>
 NET INCREASE PRIOR TO TRANSFERS	 51,960,406	 35,396,216
 Transfers - Between LORD Corporation Plans	 <u>(1,421,508)</u>	 <u>433,445</u>
 NET INCREASE	 50,538,898	 35,829,661
 NET ASSETS AVAILABLE FOR BENEFITS		
 BEGINNING OF YEAR	 <u>244,247,580</u>	 <u>208,417,919</u>
 END OF YEAR	 <u>\$ 294,786,478</u>	 <u>\$ 244,247,580</u>

See Accompanying Notes.

LORD CORPORATION

SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

NOTE A DESCRIPTION OF THE PLAN AND BENEFITS

The Plan is a defined contribution plan that is designed to provide an incentive for eligible employees to save for retirement by deferring a basic employee contribution, and acquiring matching contributions from LORD Corporation under the conditions and limitations set forth in the Plan. Effective January 1, 2005 an employer defined contribution component was added. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). Participants should refer to the Plan agreement for a more comprehensive description of the Plan's provisions.

Employee Contributions

Annually, participants may contribute up to 25% of pretax annual compensation, as defined in the Plan. Participants who are at least 50 years of age are permitted to make "catch-up" contributions. During both 2013 and 2012, the maximum allowed catch-up contributions per participant were \$5,500. Additional after-tax voluntary employee contributions are no longer permitted by the Plan. The Plan allows for pre-tax rollovers from other plans. The Plan includes an auto-enrollment provision whereby all newly eligible employees are automatically enrolled in the Plan unless they affirmatively elect not to participate in the Plan. Automatically enrolled participants have their deferral rate set at 5% of eligible compensation and their contributions invested in a designated balanced fund until changed by the participant.

Investment of Employee Contributions

Employee contributions are invested in one or more investment funds administered by a qualified investment manager (Fidelity Institutional Retirement Services Company, Boston, Massachusetts). Additionally, participants can choose to invest outside of these selected funds through the Fidelity BrokerageLink® Account, which is a brokerage account for retirement portfolios. The employee determines the percentage allocation of his or her contribution between funds and may change his or her selection on a daily basis. The investment selection of a participant applies uniformly to all of his/her accounts.

Company Matching Contributions

Effective January 1, 2009, company match contributions are made solely at the discretion of the Plan Sponsor. Unless a change in the contribution level is communicated in writing to each eligible participant, as soon as administratively practicable after the determination has been made, the Company shall make matching contributions, which aggregated with the Employer's share of forfeitures, shall equal 40% of the first 5% of compensation contributed to the Plan in the form of basic employee contributions for each participant, determined on the basis of each pay period, for the relevant six month period. Matching contributions, other than deemed made from Employer securities held in trust from terminated members, are made in Employer securities.

Employer Defined Contributions

Effective January 1, 2005, an employer defined contribution component, consisting of employer contributions for those employees who were covered under the LORD Corporation Salaried Employees' Pension Plan which was generally frozen effective December 31, 2004 (or who would have been covered under such pension plan if participation therein had not been frozen), was added to this Plan. Following each payroll period, the Company contributes this Retirement Investment Contribution to eligible employees based on the participant's age at the beginning of the payroll period.

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE A DESCRIPTION OF THE PLAN AND BENEFITS (CONTINUED)

Employer Defined Contributions (Continued)

The Retirement Investment Contribution is determined for those hired prior to January 1, 2010 as follows:

<u>Age as of Beginning of Payroll Period</u>	<u>Percentage of Eligible Earnings</u>
Under age 30	3.0%
30 - 39 years	4.0%
40 - 49 years	5.5%
Age 50 and over	8.5%

The Retirement Investment Contribution is determined for those hired after January 1, 2010 as follows:

<u>Age as of Beginning of Payroll Period</u>	<u>Percentage of Eligible Earnings</u>
20 - 29 years	3.0%
30 - 39 years	4.0%
Age 40 and over	5.5%

Conversion Out of LORD Corporation Stock

Fully vested employees can cash out a portion of their LORD stock and invest in any of the investment options offered by the Plan. This conversion option is permitted semi-annually. Stock may be converted in amounts up to 20% of the total shares owned. Whenever the shares of Employer stock held are ten shares or less, only 100% of such shares can be liquidated. Effective October 1, 2005, LORD stock held in an account of a terminated employee follows mandatory liquidation procedures not to extend beyond a five year period.

Participant Accounts

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contribution and (b) Plan earnings, and charged with an allocation of administrative expenses, if any. Forfeited balances of terminated participants' non-vested accounts are used to reduce future Company contributions. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are always 100% vested in their basic, rollover and voluntary contribution accounts, plus earnings thereon; and become 100% vested in the value of their Company matching contribution and retirement investment contribution accounts in the event of death, disability, or upon attaining age 65 or the early retirement date. Otherwise, vesting in their Company matching contribution account and their retirement investment contribution account are determined on the basis of 20% for each full year of employment.

Automatic Rollovers

Effective March 1, 2005, if a participant's vested accrued benefit exceeds \$1,000 but does not exceed \$5,000, this benefit is payable to the participant prior to his/her normal retirement date without his/her consent. If the participant does not elect to have this benefit rolled over to an eligible retirement plan or paid directly to themselves, the Plan administrator will direct an automatic rollover to an individual retirement plan designated by the Plan administrator in accordance with IRC §7701(a)(37).

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE A DESCRIPTION OF THE PLAN AND BENEFITS (CONTINUED)

Notes Receivable from Participants

Participants may borrow from their basic contribution and rollover accounts a minimum of \$1,000 up to a maximum equal to the lesser of \$50,000 or 50% of their account balance. Loan transactions are treated as transfers between the investment funds and the participant's Loan Fund. Loan terms may not exceed five years. The loans are secured by the balance in the participant's account and bear interest at rates that range from 6.25% to 7.75%, which are commensurate with local prevailing rates in effect on the loan origination date. Principal and interest are paid ratably through payroll deductions or direct payments.

Withdrawals

Employee basic and rollover contributions may be withdrawn under specified conditions only for hardships, as defined in the Plan. Any participant who ceases to be an employee is not eligible for hardship withdrawals. At any time after the employee attains age 59½, he or she may make a partial or full withdrawal of vested amounts in Plan accounts, other than that portion of his/her vested accrued benefit attributable to Retirement Investment Contributions.

Payment of Benefits

Upon retirement, disability, or death, the participant's accrued benefit may be rolled over to an eligible retirement plan or paid to the employee or his/her beneficiary in cash installments, in a lump sum or as a partial payout amount with the remainder payable in cash installments. If the employee terminates employment for any reason other than retirement, disability, or death, he or she may receive a rollover to an eligible retirement plan or lump sum payment or cash installment distributions of 100% of his/her basic and voluntary contributions, including investment earnings, plus the vested percentage of the Company matching and retirement investment contributions accounts.

Stock Rights

Any Employer stock received as a stock dividend, a stock split, or as a result of a reorganization of the Employer shall be allocated to the Employer securities to which they are attributable. Any cash dividends received on Employer stock are allocated to the participant's Employer Securities Dividend Account and invested pursuant to their direction.

Forfeited Accounts

At December 31, 2013 and 2012, forfeited non-vested accounts totaled \$109,775 and \$44,021, respectively. These accounts will be used to reduce future employer matching contributions and retirement investment contributions first, with remaining forfeitures, if any, used to reduce Plan expenses. In 2013 and 2012, employer contributions were reduced by \$80,959 and \$193,960, respectively, from forfeited non-vested accounts. If a participant terminates his/her employment and is rehired by the Employer prior to the date he/she would incur his/her 5th consecutive break in service, any amounts previously forfeited are restored by the Company, if the participant repays to the Plan the entire amount which was distributed following his/her termination.

NOTE B SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan are prepared under the accrual basis of accounting.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined-contribution plan attributable to fully benefit-responsive investment contracts because

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE B SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Basis of Accounting (Continued)

contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The Statements of Net Assets Available for Benefits present the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The Statements of Changes in Net Assets Available for Benefits are prepared using a contract value basis for fully benefit-responsive investment contracts.

Use of Estimates

The preparation of financial statements in conformity with United States of America generally accepted accounting principles ("U.S. GAAP") requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan's Investment & Benefits Committee determines the Plan's valuation policies utilizing information provided by the investment advisers, custodians and insurance company. See **Note D** for a discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are recorded as administrative expenses and are expensed when they are incurred. No allowance for credit losses has been recorded as of December 31, 2013 or 2012. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be in default, for Department of Labor 5500 form reporting purposes, the participant loan balance is reduced and a benefit payment is recorded.

Payment of Benefits

Benefits are recorded when paid.

Investment and Administrative Expenses

Certain expenses of maintaining the Plan are paid directly by the Company and are excluded from these financial statements. Direct participant administrative fees are paid from the Plan. The Plan participates in a Revenue Credit Program ("RCP") where revenue sharing credits are held in an unallocated account to be used to pay Plan expenses. At December 31, 2013 and 2012, the RCP account balances were \$46,270 and \$6,556, respectively. The total revenue sharing credits earned during the years ended December 31, 2013 and 2012 were \$87,725 and \$35,175, respectively. Investment advisory fees paid from this RCP account in 2013 and 2012 were \$48,015 and \$28,620, respectively. Other investment related expenses are included in net appreciation of fair value of investments.

Subsequent Events

The Plan has evaluated subsequent events through October 6, 2014, the date the financial statements were available to be issued.

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE B SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Reclassification

Certain 2012 amounts have been reclassified in the financial statements in order for them to provide comparable presentation between years.

NOTE C INVESTMENTS

The following presents investments that represent 5% or more of the Plan's net assets in either year.

	2013	2012
<i>Participant - Directed Funds-</i>		
NYL Anchor Account (at contract value)	\$ 23,773,213	\$ 22,851,256
Vanguard Institutional Index Fund	15,881,164	*
MainStay Large Cap Growth Fund	16,711,826	12,868,508
American Funds Fundamental Investors Fund	18,086,653	14,257,163
MFS Value Fund R4	15,465,460	*
Fidelity Freedom 2020 Fund	14,891,721	12,797,760
<i>Nonparticipant - Directed Funds-</i>		
Plan Interest in LORD Corporation Master Savings Trust	63,085,825	47,309,524

* Not over 5% of investments in respective year

During the years ended December 31, 2013 and 2012, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the years) appreciated in value by \$28,689,291 and \$15,835,526, respectively, as follows:

	2013	2012
Registered Investment Company Funds	\$ 27,393,044	\$ 15,122,915
Common Stock	1,325,742	672,353
Warrants	(1,552)	(1,215)
Preferred Stock	2,823	10,336
Limited Partnerships & Real Estate Investment Trusts	(13,034)	13,878
Certificates of Deposit – Traded	(12,894)	4,660
Corporate Bonds	(3,730)	12,104
U. S. Government Securities	(1,108)	495
Total Appreciation	<u>\$ 28,689,291</u>	<u>\$ 15,835,526</u>

NOTE D FAIR VALUE MEASUREMENTS

The framework for measuring fair value provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1) and the lowest priority to unobservable inputs (level 3). The three levels of the fair value hierarchy are described as follows:

Level 1

Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.

Level 2

Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE D FAIR VALUE MEASUREMENTS (CONTINUED)

Level 2 (Continued)

- inputs other than quoted prices that are observable for the asset or liability; and
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3

Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

Registered Investment Company Funds (Mutual Funds): Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Pooled Separate Account: Valued at estimated fair market value of the assets in the New York Life's Pooled Separate Account No. 25 as of the valuation date, multiplied by the ratio of the Plan's Stable Value Account balance to the total Stable Value Account balances of all plans participating in New York Life's Pooled Separate Account No. 25 as of the valuation date. This is not a unitized separate account and accordingly, New York Life Insurance Company does not maintain plan level records reflecting a plan's interest in Pooled Separate Account No. 25, nor is this amount available for withdrawal by the Plan. (*Note F*).

Plan Interest in LORD Corporation Master Savings Trust: A description of the valuation methodology is in *Note E*.

Additional investments purchased through the BrokerageLink® investment option include the following additional types of investments:

Common Stocks: Valued at the closing price reported on the active market on which the individual securities are traded.

Preferred Stocks and Warrants: Valued at the quoted market price of the issuer's unrestricted common stock less an appropriate discount.

U.S. Government Securities: Valued using pricing models maximizing the use of observable inputs for similar securities.

Corporate Bonds: Valued using pricing models maximizing the use of observable inputs for similar securities. This includes basing value on yields currently available on comparable securities of issuers with similar credit ratings. When quoted prices are not available for identical or similar bonds, the bond is valued under a discounted cash flows approach that maximizes observable inputs, such as current yields of similar instruments, but includes adjustments for certain risks that may not be observable, such as credit and liquidity risks or a broker quote, if available.

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE D FAIR VALUE MEASUREMENTS (CONTINUED)

Certificates of Deposit – Traded: Valued at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the credit-worthiness of the issuer.

Warrants and Options: Valued at the quoted market price of the issuer's unrestricted common stock less an appropriate discount.

Real Estate Investment Trusts and Publicly Traded Limited Partnership Interests: Valued at the NAV traded closest to year-end for units held, adjusted for activity between the trading date and the valuation date, if deemed appropriate.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013 and 2012:

Assets at Fair Value as of December 31, 2013

	Level 1	Level 2	Level 3	Total
Registered Investment Company Funds:				
Blend Equity Funds	\$ 33,967,817	\$ -	\$ -	\$ 33,967,817
Growth Equity Funds	20,852,210	-	-	20,852,210
Value Equity Funds	24,026,704	-	-	24,026,704
Index Funds	18,584,756	-	-	18,584,756
Target Date Funds	69,700,260	-	-	69,700,260
International Funds	12,682,176	-	-	12,682,176
Income Funds	6,781,917	-	-	6,781,917
Money Market Fund	56,847	-	-	56,847
Brokerage Account Holdings	5,522,786	-	-	5,522,786
Cash Equivalents	2,558,803	439,257	-	2,998,060
Pooled Separate Account	-	23,887,323	-	23,887,323
Plan Interest in LORD Corporation				
Master Savings Trust	-	-	63,085,825	63,085,825
Common Stocks	8,729,744	-	-	8,729,744
Preferred Stocks	89,695	-	-	89,695
Warrants	526	-	-	526
Corporate Bonds	-	137,862	-	137,862
U.S. Government Securities	-	48,743	-	48,743
Real Estate Investment Trusts	133,920	-	-	133,920
Limited Partnerships-Publicly				
Traded	-	83,873	-	83,873
Totals	<u>\$ 203,688,161</u>	<u>\$ 24,597,058</u>	<u>\$ 63,085,825</u>	<u>\$ 291,371,044</u>

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE D FAIR VALUE MEASUREMENTS (CONTINUED)

Assets at Fair Value as of December 31, 2012

	Level 1	Level 2	Level 3	Total
Registered Investment Company Funds:				
Blend Equity Funds	\$ 25,697,697	\$ -	\$ -	\$ 25,697,697
Growth Equity Funds	16,335,569	-	-	16,335,569
Value Equity Funds	18,467,964	-	-	18,467,964
Index Funds	16,128,475	-	-	16,128,475
Target Date Funds	57,224,876	-	-	57,224,876
International Funds	11,036,081	-	-	11,036,081
Income Funds	8,362,308	-	-	8,362,308
Money Market Fund	17,116	-	-	17,116
Brokerage Account Holdings	5,421,361	-	-	5,421,361
Cash Equivalents	4,348,370	623,928	-	4,972,298
Pooled Separate Account	-	23,174,524	-	23,174,524
Plan Interest in LORD Corporation				
Master Savings Trust	-	-	47,309,524	47,309,524
Common Stocks	6,081,149	-	-	6,081,149
Preferred Stocks	86,871	-	-	86,871
Warrants	2,079	-	-	2,079
Corporate Bonds	-	209,136	-	209,136
U.S. Government Securities	-	49,851	-	49,851
Real Estate Investment Trusts	95,140	-	-	95,140
Limited Partnerships-Publicly				
Traded	-	32,211	-	32,211
Totals	\$ 169,305,056	\$ 24,089,650	\$ 47,309,524	\$ 240,704,230

Changes in Fair Value of Level 3 Assets and Related Gains and Losses

The following table sets forth a summary of changes in the fair value of the Plan's level 3 assets for the years ended December 31, 2013 and 2012.

	Level 3 Assets	
	2013	2012
Plan Interest in LORD Corporation Master Savings Trust,		
Beginning of Year	\$ 47,309,524	\$ 38,740,528
Realized Gains/Losses	148,796	46,313
Unrealized Gains/Losses - Plan Interest still held at the reporting date	16,628,090	8,643,983
Sales	(5,027,535)	(3,250,096)
Purchases	4,026,950	3,128,796
Balance, End of Year	\$ 63,085,825	\$ 47,309,524

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE D FAIR VALUE MEASUREMENTS (CONTINUED)

Level 3 Gains and Losses (Continued)

	<i>Level 3 Assets</i>	
	<u>2013</u>	<u>2012</u>
The amount of total gains or losses for the period attributable to the change in unrealized gains or losses relating to assets still held at the reporting date	\$ <u>16,628,090</u>	\$ <u>8,643,983</u>

Changes in Fair Value Levels

The availability of observable market data is monitored to assess the appropriate classification of financial instruments within the fair value hierarchy. Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period.

We evaluated the significance of transfers between levels based upon the nature of the financial instrument and size of the transfer relative to total net assets available for benefits. For the years ended December 31, 2013 and 2012, there were no significant transfers in or out of levels 1, 2 or 3.

NOTE E INVESTMENT IN LORD CORPORATION MASTER SAVINGS TRUST

The Plan is a participant in the LORD Corporation Master Savings Trust. The Trust was created for the purpose of receiving, holding, investing, and disbursing the assets of the employee savings plans sponsored by LORD Corporation. The assets of the Master Savings Trust are held by PNC Bank (Trustee).

The sole investment in the LORD Corporation Master Savings Trust is shares of LORD Corporation Paired Class B Common Stock and Class C Preferred Stock which is valued semi-annually by an independent valuation specialist. Although the income, cost and market valuation approaches are considered, emphasis is placed on the market approach (guideline company methodology) with an adjustment for a discount for lack of marketability. The Plan's interest in the Master Trust is based on shares held at the beginning of the year plus shares for current year contributions and earnings less distributions. At December 31, 2013 and 2012, the Plan's interest in the net assets of the Master Savings Trust was 58.80% and 58.06%, respectively.

The Master Savings Trust has the following investments,
at estimated fair market value at December 31,

	<u>2013</u>	<u>2012</u>
LORD Corporation Paired Class B Common Stock - Class C Preferred Stock	\$ <u>107,293,897</u>	\$ <u>81,484,179</u>
Investment income for the Master Savings Trust for the years ended December 31,		
Net Appreciation in Fair Value of Investments	\$ 28,559,923	\$ 15,024,538
LORD Stock Dividend	<u>2,210,008</u>	<u>2,070,024</u>
Total Investment Income	\$ <u>30,769,931</u>	\$ <u>17,094,562</u>

Dividends on LORD Corporation stock are deposited in cash to each participant's account in the same allocation mix as employee contributions.

LORD CORPORATION

SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE E INVESTMENT IN LORD CORPORATION MASTER SAVINGS TRUST (CONTINUED)

Investment income relating to the Master Savings Trust is allocated to the individual plans based upon shares of LORD Paired Class B Common Stock - Class C Preferred Stock allocated to each plan. The Master Savings Trust is reported on a cash basis. Accrued investment income is reflected on the financial statements for each plan. All other changes in net assets available for benefits are specifically identified and are allocated to each plan. Accordingly, contributions, benefits paid to participants and transfers are all reflected on the financial statements for each plan.

NOTE F POOLED SEPARATE ACCOUNT

The Plan invests in a fully benefit-responsive pooled separate account, titled the NYL Anchor Account (PSA No. 25 Stable Value Account), which invests in a pool of fixed income securities which are owned by New York Life Insurance Company ("New York Life"). However, the assets in this account are maintained solely for the benefit of the contract holders participating in the separate account and are not chargeable with any other liabilities arising out of any other business of New York Life. The fair value of the pooled separate account at December 31, 2013 and 2012 was \$23,887,323 and \$23,174,524, respectively. The collective trust, Fidelity Managed Income Portfolio II, was transferred to the NYL Anchor Account on December 3, 2012.

As described in *Note B*, because the pooled separate account is fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the pooled separate account. The account is presented on the face of the Statements of Net Assets Available for Benefits at fair value with an adjustment to contract value in arriving at net assets available for benefits. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

The key factors that impact the crediting rate under the group annuity contract are the timing and magnitude of the cash flows in and out of the separate account, as well as prevailing market rates on fixed income assets available for investment by the separate account. The interest crediting rate may be reset not more frequently than daily and not less frequently than quarterly. The interest crediting rate reflects the book yield on the separate account, adjusted to reflect amortization of any realized gains and losses. Interest is accrued daily and credited monthly to the Stable Value Account. The crediting interest rate for 2013 and 2012 was 1.45% and 1.95%, respectively, net of an expense charge of .45% and .5%, respectively, and is guaranteed by New York Life to not drop below 0%, before any deduction for expenses. The average yield earned by the Plan was 1.44% and 1.92% in 2013 and 2012, respectively.

Certain events limit the ability of the Plan to transact at contract value with the issuer. Such events include the following: (1) amendments to the plan documents (including complete or partial plan termination or merger with another plan), (2) changes to the plan's prohibition on competing investment options or deletion of equity wash provisions, (3) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the plan, or (4) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. The Plan administrator believes that any events which would limit the Plan's ability to transact at contract value with participants are probable of not occurring.

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE G COLLECTIVE INVESTMENT TRUST

The Plan held units in a fully benefit-responsive collective investment trust, titled the Fidelity Managed Income Portfolio II, which invested in and retained ownership of a pool of fixed income securities which were transferred to the NYL Anchor Account during 2012. The interest rate credited to participants (contract value spot yield) for 2012 was 1.28%, which was adjusted annually and was guaranteed to not drop below zero. The average return on the underlying investments (market value spot yield) was 1.73% in 2012.

NOTE H NONPARTICIPANT - DIRECTED INVESTMENTS

Information about the net assets and the significant components of the changes in net assets relating to the nonparticipant-directed investments is as follows:

	<u>2013</u>	<u>2012</u>
Net Assets, at December 31:		
Plan Interest in LORD Corporation Master Savings Trust	\$ 63,085,825	\$ 47,309,524
Employer Contribution Receivable, (non-cash)	<u>1,870,837</u>	<u>1,703,653</u>
	<u>\$ 64,956,662</u>	<u>\$ 49,013,177</u>
Changes in Net Assets, for the Years Ended December 31:		
Contributions, (non-cash)	\$ 2,896,500	\$ 2,511,095
Plan Interest in LORD Corporation Master Savings Trust	18,074,520	9,887,693
Benefits Paid to Participants	(1,093,422)	(607,088)
Transfers to (from) Other Plans	(352,204)	99,470
Transfers to Participant-Directed Investments	<u>(3,581,909)</u>	<u>(2,742,478)</u>
	<u>\$ 15,943,485</u>	<u>\$ 9,148,692</u>

NOTE I TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated October 31, 2007, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). Although the Plan has been restated to incorporate amendments since receiving the determination letter, the Plan administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

U.S. GAAP require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the organization has taken an uncertain position that more likely than not would not be sustained upon examination by various taxing authorities. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2010.

NOTE J RELATED-PARTY TRANSACTIONS

Certain Plan investments are shares of mutual funds managed by Fidelity Management Trust Company, which is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. Direct fees paid by the Plan for administrative services amounted to \$28,067 and \$16,692 for the years ended December 31, 2013 and 2012, respectively. Indirect fees paid for investment management and other eligible services are netted in the return on investments.

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

NOTE K PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in their employer contributions.

NOTE L RISKS AND UNCERTAINTIES

The Plan invests in various investments that are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investments, it is at least reasonably possible that changes in the values of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

NOTE M RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements at December 31, 2013 and 2012 to Form 5500:

	<u>2013</u>	<u>2012</u>
Net Assets Available for Benefits –		
(Per the Financial Statements)	\$ 294,786,478	\$ 244,247,580
Less – Cumulative Deemed Distributions		
(Removed from Notes Receivable from Participants)	-	(60,796)
Adjustment from Contract Value to Fair Value for		
Interest in Pooled Separate Account relating to		
Fully Benefit-Responsive Investment Contracts	<u>114,110</u>	<u>323,268</u>
Per Form 5500	<u>\$ 294,900,588</u>	<u>\$ 244,510,052</u>

The following is a reconciliation of additions to and deductions from net assets per the financial statements for the year ended December 31, 2013 to income reported on Form 5500:

	<u>2013</u>
Total Additions – Per the Financial Statements	\$ 73,204,854
Post Default Interest Accrued on Notes Receivable from Participants	(2,307)
Investment Advisory Fees – Deduction on Form 5500	48,015
Adjustment from Contract Value to Fair Value for Interests in	
Pooled Separate Account relating to Fully Benefit-Responsive	
Investment Contracts	<u>(209,158)</u>
Total Income per Form 5500	<u>\$ 73,041,404</u>
Deductions from Net Assets – Per Financial Statements	\$ 21,244,448
Line 2g – Deemed Distributions – Current Year	(96)
Prior Deemed Distributions – Now Distributable	(63,007)
Investment Expenses – Netted in Other Investment Income	<u>48,015</u>
Expenses per Form 5500	<u>\$ 21,229,360</u>

SUPPLEMENTAL INFORMATION

LORD CORPORATION

SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN

EIN #25-0626921 PLAN # 005

SCHEDULE OF ASSETS (HELD AT END OF YEAR), SCHEDULE H, LINE 4i

DECEMBER 31, 2013

(a)	(b) Identity	(c) Description	Shares/Units	(e) Current Value
<i>Registered Investment Company Funds</i>				
	PIMCO	Total Return Institutional Fund	539,830.708	\$ 5,770,790
	Morgan Stanley	Mid Cap Growth Fund I	60,166.179	2,726,130
	The Vanguard Group, Inc.	Institutional Index Fund	93,815.949	15,881,164
	The Vanguard Group, Inc.	Small Cap Index Signal Fund	56,061.758	2,662,373
	The Vanguard Group, Inc.	Total Bond Market Index Institutional Fund	706,525.770	7,460,912
	Prudential Mutual Fund Services, LLC	Small Company Fund Z	49,242.825	1,414,254
	Harbor Services Group, Inc.	International Fund Institutional	147,654.885	10,484,973
	Ridgeworth Funds	Mid Cap Value Fund I	383,839.777	5,231,736
*	Fidelity Management Trust Co.	Spartan International Index Fund	20,913.745	850,562
*	Fidelity Management Trust Co.	Diversified International Fund K	22,486.432	828,400
	The MainStay Funds	Large Cap Growth Fund	1,605,362.751	16,711,826
	OppenheimerFunds	Developing Markets Fund	13,797.673	518,241
	MFS	Value Fund R4	465,827.116	15,465,460
	American Funds Fundamental Investors	Fundamental Investors Fund Class R4	348,624.775	18,086,653
*	Fidelity Management Trust Co.	Spartan Extended Market Index Fund	158,395.198	8,461,471
*	Fidelity Management Trust Co.	Freedom Income Fund	86,126.619	1,011,127
*	Fidelity Management Trust Co.	Freedom 2005 Fund	139,155.503	1,648,993
*	Fidelity Management Trust Co.	Freedom 2010 Fund	187,984.408	2,878,041
*	Fidelity Management Trust Co.	Freedom 2015 Fund	874,685.300	11,152,238
*	Fidelity Management Trust Co.	Freedom 2020 Fund	953,985.983	14,891,721
*	Fidelity Management Trust Co.	Freedom 2025 Fund	966,715.122	12,876,645
*	Fidelity Management Trust Co.	Freedom 2030 Fund	657,141.109	10,711,400
*	Fidelity Management Trust Co.	Freedom 2035 Fund	445,091.796	5,999,837
*	Fidelity Management Trust Co.	Freedom 2040 Fund	830,910.299	7,910,266
*	Fidelity Management Trust Co.	Freedom 2045 Fund	35,613.209	390,677
*	Fidelity Management Trust Co.	Freedom 2050 Fund	58,991.027	650,671
*	Fidelity Management Trust Co.	Freedom 2055 Fund	50,798.518	589,771
	Dreyfus-MBSC Securities	Small Cap Value Fund	112,331.587	3,329,508
	BrokerageLink® Accounts **	Mutual Funds	N/A	5,522,786
<i>Total Registered Investment Company Funds</i>				192,118,626
<i>Pooled Separate Account</i>				
*	New York Life Insurance Company	NYL Anchor Account ***	23,773,212.52	23,887,323
	BrokerageLink® Accounts **	Common Stocks	N/A	8,729,744
	BrokerageLink® Accounts **	Corporate Bonds	N/A	137,862
	BrokerageLink® Accounts **	U. S. Government Securities	N/A	48,743
	BrokerageLink® Accounts **	Preferred Stock	N/A	89,695
	BrokerageLink® Accounts **	Real Estate Investment Trusts	N/A	133,920
	BrokerageLink® Accounts **	Limited Partnerships-Publically Traded	N/A	83,873
	BrokerageLink® Accounts **	Warrants	N/A	526
<i>Cash Equivalents</i>				
*	PNC Advisors	Blackrock Money Market Fund	N/A	10,576
*	Fidelity Management Trust Co.	Retirement Money Market Account	N/A	46,271
	BrokerageLink® Accounts **	Certificates of Deposit	N/A	439,257
*	BrokerageLink® Accounts **	Fidelity Cash Reserves	N/A	2,558,803
<i>Total Cash Equivalents</i>				3,054,907
*	PNC Bank	Plan Interest in LORD Corporation Master Trust		63,085,825
*	Notes Receivable from Participants	Interest Rate - 6.25% to 7.75%	N/A	1,655,707
TOTAL INVESTMENTS				\$ 293,026,751
* Party-in-interest				
** Total BrokerageLink® Accounts				\$ 17,745,209
*** Contract Value is \$23,773,213				

(d) Cost column is not shown since investments are participant-directed.

LORD CORPORATION
SALARIED EXEMPT EMPLOYEES' SAVINGS AND RETIREMENT PLAN
EIN #25-0626921 PLAN # 005
SCHEDULE OF ASSETS (HELD AT END OF YEAR), SCHEDULE H, LINE 4i
DECEMBER 31, 2013

<u>(a)</u>	<u>(b) Identity</u>	<u>(c) Description</u>	<u>Shares/Units</u>	<u>(e) Current Value</u>
<i>Registered Investment Company Funds</i>				
	PIMCO	Total Return Institutional Fund	539,830.708	\$ 5,770,790
	Morgan Stanley	Mid Cap Growth Fund I	60,166.179	2,726,130
	The Vanguard Group, Inc.	Institutional Index Fund	93,815.949	15,881,164
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	The Vanguard Group, Inc.	Total Bond Market Index Institutional Fund	706,525.770	7,460,912
	Prudential Mutual Fund Services, LLC	Small Company Fund Z	49,242.825	1,414,254
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*	Fidelity Management Trust Co.	Spartan International Index Fund	20,913.745	850,562
*	Fidelity Management Trust Co.	Diversified International Fund K	22,486.432	828,400
	The MainStay Funds	Large Cap Growth Fund	1,605,362.751	16,711,826
	OppenheimerFunds	Developing Markets Fund	13,797.673	518,241
	MFS	Value Fund R4	465,827.116	15,465,460
	American Funds Fundamental Investors	Fundamental Investors Fund Class R4	348,624.775	18,086,653
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*	Fidelity Management Trust Co.	Freedom Income Fund	86,126.619	1,011,127
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*	Fidelity Management Trust Co.	Freedom 2010 Fund	187,984.408	2,878,041
*	Fidelity Management Trust Co.	Freedom 2015 Fund	874,685.300	11,152,238
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*	Fidelity Management Trust Co.	Freedom 2025 Fund	966,715.122	12,876,645
*	Fidelity Management Trust Co.	Freedom 2030 Fund	657,141.109	10,711,400
*	Fidelity Management Trust Co.	Freedom 2035 Fund	445,091.796	5,999,837
*	Fidelity Management Trust Co.	Freedom 2040 Fund	830,910.299	7,910,266
*	Fidelity Management Trust Co.	Freedom 2045 Fund	35,613.209	390,677
*	Fidelity Management Trust Co.	Freedom 2050 Fund	58,991.027	650,671
*	Fidelity Management Trust Co.	Freedom 2055 Fund	50,798.518	589,771
	Dreyfus-MBSC Securities	Small Cap Value Fund	112,331.587	3,329,508
	BrokerageLink® Accounts **	Mutual Funds	N/A	5,522,786
<i>Total Registered Investment Company Funds</i>				192,118,626
<i>Pooled Separate Account</i>				
*	New York Life Insurance Company	NYL Anchor Account ***	23,773,212.52	23,887,323
	BrokerageLink® Accounts **	Common Stocks	N/A	8,729,744
	BrokerageLink® Accounts **	Corporate Bonds	N/A	137,862
	BrokerageLink® Accounts **	U. S. Government Securities	N/A	48,743
	BrokerageLink® Accounts **	Preferred Stock	N/A	89,695
	BrokerageLink® Accounts **	Real Estate Investment Trusts	N/A	133,920
	BrokerageLink® Accounts **	Limited Partnerships-Publically Traded	N/A	83,873
	BrokerageLink® Accounts **	Warrants	N/A	526
<i>Cash Equivalents</i>				
*	PNC Advisors	Blackrock Money Market Fund	N/A	10,576
*	Fidelity Management Trust Co.	Retirement Money Market Account	N/A	46,271
	BrokerageLink® Accounts **	Certificates of Deposit	N/A	439,257
*	BrokerageLink® Accounts **	Fidelity Cash Reserves	N/A	2,558,803
<i>Total Cash Equivalents</i>				3,054,907
*	PNC Bank	Plan Interest in LORD Corporation Master Trust		63,085,825
*	Notes Receivable from Participants	Interest Rate - 6.25% to 7.75%	N/A	1,655,707
TOTAL INVESTMENTS				\$ 293,026,751
* Party-in-interest				
** Total BrokerageLink® Accounts				\$ 17,745,209
*** Contract Value is \$23,773,213				

(d) Cost column is not shown since investments are participant-directed.

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
	CASH				
316067107	FIDELITY CASH RESERVES CERT. OF DEP.	2,558,803.040	\$1.00	\$2,558,803.04	\$2,558,803.04
060624F73	BANK OF BARODA CD	10,000.000	\$1.00	\$9,993.00	\$10,000.00
06279LCW2	BANK INTERNET USA SAN DIEGO CA	20,000.000	\$0.98	\$19,618.00	\$20,000.00
06414TLG6	BANK NORTHN MICH PETOSKEY MICH	20,000.000	\$1.06	\$21,138.00	\$20,000.00
06424GAJ8	BANK OF ZUMBROTA MN CD	9,000.000	\$1.02	\$9,203.40	\$9,166.52
20143PBY5	COMMERCIAL BK HARROGATE TENN	15,000.000	\$1.02	\$15,316.50	\$15,000.00
20404MAJ0	COMMUNITY ST BK SPENCER IOWA	35,000.000	\$1.05	\$36,886.50	\$35,000.00
254671C24	DISCOVER BK CD	20,000.000	\$1.00	\$19,990.00	\$20,000.00
27002YAV8	EAGLEBANK BETHESDA MD	5,000.000	\$1.02	\$5,075.00	\$5,000.00
29976DMD9	EVERBANK JACKSONVILLE FSB	35,000.000	\$1.06	\$37,142.00	\$35,000.00
32110YDQ5	FIRST NATL BK AMER E LANSING	18,000.000	\$1.02	\$18,399.60	\$18,000.00
350518BW5	FOUNDERS BK & TR MI CD	30,000.000	\$1.05	\$31,392.00	\$30,000.00
36157QJQ1	GE CAP RETAIL BK DRAPER UTAH	15,000.000	\$0.96	\$14,374.50	\$14,968.83
36159SY39	GE CAP RETAIL BK DRAPER UTAH	15,000.000	\$0.98	\$14,761.50	\$15,307.47
36159UHJ8	GE MONEY BK CD	2,000.000	\$1.06	\$2,124.40	\$2,000.00
36160KHQ1	GE CAP RETAIL BK DRAPER UTAH	30,000.000	\$0.97	\$29,241.00	\$30,332.28
36160KJR7	GE CAP RETAIL BK DRAPER UTAH	15,000.000	\$0.97	\$14,610.00	\$15,000.00
36160XZG5	GE CAP BK INC CD	15,000.000	\$0.99	\$14,793.00	\$14,978.04
36160YD28	GE CAP BK INC RETAIL CD	4,000.000	\$1.03	\$4,126.80	\$4,304.47
38143ACJ2	GOLDMAN SACHS BK USA NY	10,000.000	\$1.01	\$10,123.00	\$10,055.27
38143ATP0	GOLDMAN SACHS BK USA NY	1,000.000	\$0.99	\$987.90	\$1,013.68
38143AZA6	GOLDMAN SACHS BK USA NY	2,000.000	\$0.98	\$1,955.60	\$1,985.07
44042WAR8	HORIZON BK NATL ASSN IND	10,000.000	\$1.02	\$10,162.00	\$10,000.00
55266CJP2	MB FINL BK NA CHIC. IL	20,000.000	\$1.03	\$20,646.00	\$20,889.07
55266CJS6	MB FINL BK NA CHIC. IL	20,000.000	\$1.04	\$20,840.00	\$20,000.00
619165ES8	MORTON COMMUNITY BK ILL	10,000.000	\$1.06	\$10,573.00	\$10,000.00
651023CS0	NEWBURYPORT FIVE CENT SVGS MA	15,000.000	\$1.06	\$15,946.50	\$15,000.00
74731SBP3	QUAD CITY BK TR IOWA CD	11,000.000	\$1.01	\$11,125.40	\$11,000.00
89170PGQ8	TOWER BK & TR IND CD	8,000.000	\$1.09	\$8,711.20	\$8,000.00
02005QP23	ALLY BK MIDVALE UTAH CD	10,000.000	\$1.00	\$10,001.00	\$10,000.00
	COMMON STOCK				
00206R102	AT&T INC COM	3,795.196	\$35.16	\$133,439.09	\$103,494.11
002824100	ABBOTT LABORATORIES NFS IS A SPECIALIST	550.000	\$38.33	\$21,081.50	\$26,215.70
00287Y109	ABBVIE INC COM USD0.01	550.000	\$52.81	\$29,045.50	\$0.00
00301T102	ABERDEEN EMERGING MKTS	112.102	\$13.80	\$1,547.01	\$2,465.19
003031101	ABERDEEN GREATER CHINA FD INC COM	616.844	\$10.19	\$6,285.64	\$10,844.05
003244100	ABERDEEN SINGAPORE FD INC COM	335.559	\$12.77	\$4,285.09	\$3,298.48
00507V109	ACTIVISION BLIZZARD INC COM	270.000	\$17.83	\$4,814.10	\$2,878.38
00752K105	ADVANCED CELL TECHNOLOGY INC	405,150.000	\$0.06	\$25,119.30	\$46,453.61
007903107	ADVANCED MICRO DEVICES INC	500.000	\$3.87	\$1,935.00	\$1,921.45
00846U101	AGILENT TECH INC NFS IS A SPECIALIST	100.000	\$57.19	\$5,719.00	\$3,068.76
009158106	AIR PRODUCTS & CHEM	100.000	\$111.78	\$11,178.00	\$4,861.29
013817101	ALCOA INC NFS IS A SPECIALIST	3,300.000	\$10.63	\$35,079.00	\$33,165.39
013904305	ALCATEL SA SPON ADR (1 ADR TO 1	52.000	\$4.40	\$228.80	\$2,652.30
01642W101	ALKANE RESOURCES SPON ADR EA REPR 10	500.000	\$3.04	\$1,521.00	\$11,772.95
02209S103	ALTRIA GROUP INC NFS LLC IS A	1,100.000	\$38.39	\$42,229.00	\$25,180.16
02255Q100	ALVOPETRO ENERGY LTD COM NPV	154.749	\$1.16	\$179.14	\$0.00
023135106	AMAZON.COM INC NFS LLC IS A MARKET	50.000	\$398.79	\$19,939.50	\$4,381.95
02376R102	AMERICAN AIRLINES GROUP INC COM USD1	205.000	\$25.25	\$5,176.25	\$4,989.45
025537101	AMERICAN ELEC PWR CO	122.099	\$46.74	\$5,706.91	\$5,269.75
025816109	AMERICAN EXPRESS CO NFS IS A SPECIALIST	45.000	\$90.73	\$4,082.85	\$1,326.58
03076C106	AMERIPRISE FINL INC COM	20.000	\$115.05	\$2,301.00	\$0.00
031652100	AMKOR TECHS INC	10,000.000	\$6.13	\$61,300.00	\$47,507.95
037833100	APPLE COMPUTER INC NFS LLC IS A MARKET	945.488	\$561.02	\$530,437.68	\$421,392.94
038222105	APPLIED MATERIALS INC	100.000	\$17.68	\$1,768.00	\$3,150.66
04010L103	ARES CAP CORP COM	310.366	\$17.77	\$5,515.20	\$5,370.58
04300W108	ARTEMIS ENERGY HLDGS INC COM USD0.001	1.000	\$0.01	\$0.01	\$0.00
052526100	AUSTRAL PACIFIC ENERGY LTD	1,000.000	\$0.00	\$0.00	\$2,203.94

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
053728101	AVINCI MEDIA CORP COM	12,500.000	\$0.00	\$0.00	\$28,700.92
054303102	AVON PRODUCTS INC	200.000	\$17.22	\$3,444.00	\$7,510.95
054937107	BB & T CORP	2,125.164	\$37.32	\$79,311.12	\$48,587.60
055384200	BFC FINL CORP CL A	1,000.000	\$2.89	\$2,890.00	\$2,077.95
05541T101	BGC PARTNERS INC CL A	1,128.746	\$6.05	\$6,828.91	\$7,125.05
055622104	BP PLC SPON ADR REPSNTG	1,468.000	\$48.61	\$71,359.48	\$65,376.12
060505104	BANK OF AMERICA CORP	4,699.298	\$15.57	\$73,168.07	\$63,108.92
06739H644	BARCLAYS BK PLC IPATH DOW JONES AIG	171.000	\$2.52	\$430.92	\$2,278.55
06742E711	BARCLAYS BK PLC IPATH S&P 500 VIX	193.000	\$42.55	\$8,212.15	\$10,005.45
071813109	BAXTER INTL INC NFS IS A SPECIALIST	250.000	\$69.55	\$17,387.50	\$11,499.35
075887109	BECTON DICKINSON CO NFS LLC IS A	146.645	\$110.49	\$16,202.81	\$10,864.95
084423102	BERKLEY W R CORP	4.622	\$43.39	\$200.55	\$171.64
084670702	BERKSHIRE HATHAWAY INC DEL CL B NEW	528.000	\$118.56	\$62,599.68	\$43,799.62
09062X103	BIOGEN IDEC INC	57.000	\$279.57	\$15,935.60	\$3,674.48
09180C106	BJ'S RESTAURANTS INC	100.000	\$31.06	\$3,106.00	\$4,899.95
09228F103	BLACKBERRY LTD NPV ISIN #CA09228F1036	1,400.000	\$7.44	\$10,416.00	\$8,771.95
097023105	BOEING CO NFS IS A SPECIALIST	397.965	\$136.49	\$54,318.24	\$20,790.81
098546104	BONTERRA ENERGY CORP NPV	24.266	\$50.96	\$1,236.71	\$63.19
099502106	BOOZ ALLEN HAMILTON HLDG CORP CL A	150.000	\$19.15	\$2,872.50	\$2,632.94
10807M105	BRIDGEPOINT ED INC COM	300.000	\$17.71	\$5,313.00	\$3,869.58
110122108	BRISTOL MYERS SQUIBB NFS LLC IS A	50.000	\$53.15	\$2,657.50	\$3,132.22
125269100	CF INDS HLDGS INC COM	100.467	\$233.04	\$23,412.83	\$21,177.88
126408103	C S X CORP NFS LLC IS A	104.367	\$28.77	\$3,002.64	\$2,252.64
12646R105	CST BRANDS INC COM	12.025	\$36.72	\$441.56	\$0.75
126601103	CVD EQUIP CORP	3,000.000	\$14.54	\$43,620.00	\$33,926.37
12662P108	CVR ENERGY INC COM	249.588	\$43.43	\$10,839.61	\$13,291.50
129584108	CALFRAC WELL SVCS LTD	500.000	\$29.18	\$14,588.20	\$14,606.03
132061201	CAMBRIA ETF TR SHAREHOLDER YIELD	569.574	\$29.37	\$16,728.39	\$14,553.92
136375102	CANADIAN NATL RY CO	153.876	\$57.02	\$8,774.01	\$7,486.57
138006309	CANON INC ADR NEW REPRESENTS 1 SHS	200.000	\$32.00	\$6,400.00	\$7,607.95
140781105	CARBO CERAMICS INC	100.000	\$116.53	\$11,653.00	\$9,807.75
149123101	CATERPILLAR INC NFS IS A SPECIALIST	393.273	\$90.81	\$35,713.12	\$35,297.59
150870103	CELANESE CORP DEL COM SER A	509.214	\$55.31	\$28,164.63	\$23,429.60
153436100	CENTRAL EUROPEAN EQUITY FUND INC	321.552	\$30.55	\$9,823.41	\$10,045.93
156700106	CENTURYTEL INC	1,496.898	\$31.85	\$47,676.20	\$54,459.12
165167107	CHESAPEAKE ENERGY CORPORATION	643.986	\$27.14	\$17,477.78	\$14,490.77
166764100	CHEVRONTXACO CORP	45.825	\$124.91	\$5,724.00	\$5,240.68
167250109	CHICAGO BRIDGE & IRON CO NY SHR	296.184	\$83.14	\$24,624.74	\$10,503.14
168910206	CHINA NAT GAS INC COM NEW	3,000.000	\$0.33	\$990.00	\$1,057.95
169373107	CHINA FD INC	150.000	\$20.81	\$3,121.50	\$5,091.65
171779309	CIENA CORP COM NEW	14.000	\$23.93	\$335.02	\$1,897.95
171798101	CIMAREX ENERGY CO	209.188	\$104.91	\$21,945.91	\$9,990.15
17253V109	CIRCA PICTURES & PRODTN CO INTL INC	100.000	\$0.00	\$0.00	\$1,260.00
17275R102	CISCO SYS INC NFS LLC IS A MARKET	2,202.584	\$22.43	\$49,403.96	\$53,570.06
172967424	CITIGROUP INC COM NEW	700.000	\$52.11	\$36,477.00	\$33,363.79
18383M100	CLAYMORE EXCHANGE TRADED FD TR BNY	70.000	\$34.65	\$2,425.50	\$3,199.91
18383M415	CLAYMORE EXCHANGE TRADED FD TRUST	466.914	\$27.02	\$12,616.02	\$12,382.80
18383M563	CLAYMORE EXCHANGE TRADED FD TR CORP BD	556.785	\$21.88	\$12,182.46	\$12,167.61
184499101	CLEAN ENERGY FUELS CORP	1,200.000	\$12.88	\$15,456.00	\$15,687.90
185064102	CLEARSIGN COMBUSTION CORP COM	520.000	\$11.45	\$5,954.00	\$4,261.74
189054109	CLOROX CO DEL	50.811	\$92.76	\$4,713.23	\$4,215.24
189754104	COACH INC	65.000	\$56.13	\$3,648.45	\$3,192.30
191216100	COCA COLA CO NFS IS A SPECIALIST	712.666	\$41.31	\$29,440.23	\$26,664.84
20030N101	COMCAST CORP NEW CL A	24.000	\$51.96	\$1,247.16	\$0.00
20825C104	CONOCOPHILLIPS NFS LLC IS A	2,803.501	\$70.65	\$198,067.35	\$156,365.92
209115104	CONSOLIDATED EDISON HLDG CO INC	100.000	\$55.28	\$5,528.00	\$5,499.55
219350105	CORNING INC NFS IS A SPECIALIST	1,304.831	\$17.82	\$23,252.09	\$18,918.01
22160K105	COSTCO WHOLESALE CORP	1,030.000	\$119.02	\$122,590.60	\$101,865.80
225447101	CREE INC NFS LLC IS A MARKET	242.000	\$62.52	\$15,129.84	\$12,400.77

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
23325P104	DNP SELECT INCOME FD INC	11,844.562	\$9.42	\$111,575.77	\$109,630.77
244199105	DEERE & COMPANY NFS IS A SPECIALIST	406.956	\$91.33	\$37,167.29	\$34,770.50
247361702	DELTA AIR LINES INC DEL COM NEW	100.215	\$27.47	\$2,752.91	\$2,317.95
247916208	DENBURY RES INC	195.000	\$16.43	\$3,203.85	\$3,051.00
25179M103	DEVON ENERGY CORP NEW	325.000	\$61.87	\$20,107.75	\$21,254.98
254687106	DISNEY WALT CO DEL (HOLDING COMPANY)	538.065	\$76.40	\$41,108.17	\$19,200.27
25470F104	DISCOVERY COMMUNICATIONS INC	5.000	\$90.42	\$452.10	\$0.00
25470F302	DISCOVERY COMMUNICATIONS INC	5.000	\$83.86	\$419.30	\$0.00
260543103	DOW CHEMICAL CO NFS LLC IS A	683.155	\$44.40	\$30,332.08	\$21,211.09
263534109	DU PONT E I DE NEMOURS & CO	200.000	\$64.97	\$12,994.00	\$7,155.93
26441C204	DUKE ENERGY CORP COM NPV (POST REV SPLIT)	1,833.000	\$69.01	\$126,495.33	\$102,100.30
267888105	DYNAMIC MATERIALS CORP	130.000	\$21.74	\$2,826.20	\$1,879.76
26922W109	ETFS PRECIOUS METALS BASKET TR ETFS	98.000	\$66.05	\$6,472.90	\$8,588.67
26922X107	ETFS SILVER TR SILVER SHS	657.000	\$19.20	\$12,614.40	\$20,089.02
29082A107	EMBRAER S A SPONSORED ADR REPSTG	750.000	\$32.18	\$24,135.00	\$23,257.95
29269A102	ENDOCYTE INC COM USD0.001	1,000.000	\$10.68	\$10,680.00	\$13,281.90
293561106	ENRON CORP NFS LLC IS A	58.000	\$0.00	\$0.00	\$3,823.43
30033R306	EVERGREEN SOLAR INC COM NEW	91.000	\$0.00	\$0.00	\$7,029.47
30050F201	EVOLUTION SOLAR CORP COM NEW	4.000	\$0.02	\$0.08	\$791.90
30161Q104	EXELIXIS INC	850.000	\$6.13	\$5,210.50	\$2,973.69
30231G102	EXXON MOBIL CORP NFS IS A SPECIALIST	506.952	\$101.20	\$51,303.54	\$40,723.20
30303M102	FACEBOOK INC COM USD0.000006 CL A	182.000	\$54.65	\$9,946.12	\$7,016.77
313549107	FEDERAL MOGUL CORP	17,000.000	\$0.00	\$0.00	\$29,355.29
313586109	FANNIE MAE NFS IS A SPECIALIST	3,000.000	\$3.01	\$9,030.00	\$2,753.90
315616102	F5 NETWORKS INC NFS LLC IS A MARKET	400.000	\$90.86	\$36,344.00	\$29,407.40
31811Q106	FIRE RIVER GOLD CORP COM NPV	34,993.000	\$0.00	\$0.00	\$20,176.66
33734J102	FIRST TR ISE REVERE NAT GAS	1,000.000	\$19.50	\$19,500.00	\$17,317.95
33734K109	FIRST TR LARGE CAP CORE ALPHADEX FD	2,439.395	\$41.20	\$100,503.07	\$57,760.76
33734X143	FIRST TR EXCHANGE TRADED FD II	100.453	\$48.07	\$4,828.78	\$3,007.56
33734X192	FIRST TR EXCHANGE TRADED FD II ISE	100.016	\$26.55	\$2,655.42	\$1,969.27
33735G107	FIRST TR VALUE LINE 100 EXCHANGE TRADED	204.399	\$18.45	\$3,771.16	\$2,963.09
33766Y100	FIRSTHAND TECHNOLOGY VALUE FD INC COM	2.155	\$23.17	\$49.93	\$220.67
337738108	FISERV INC NFS LLC IS A MARKET	300.000	\$59.05	\$17,715.00	\$6,459.40
337932107	FIRSTENERGY CORP NFS IS A SPECIALIST	200.000	\$32.98	\$6,596.00	\$7,525.95
345370860	FORD MTR CO DEL COM	1,834.770	\$15.43	\$28,310.50	\$22,266.16
35671D857	FREEPORT MCMORAN COPPER & GOLD CL B	803.499	\$37.74	\$30,324.05	\$27,994.77
35906A108	FRONTIER COMMUNICATIONS CORP	6,012.000	\$4.65	\$27,955.80	\$34,374.60
36159R103	GEO GROUP INC	50.000	\$32.22	\$1,611.00	\$1,643.45
363256108	GALENA BIOPHARMA COM	1,140.000	\$4.96	\$5,654.40	\$5,001.04
364760108	GAP INC DEL NFS IS A SPECIALIST	136.000	\$39.08	\$5,314.88	\$4,134.35
367220100	GASECO ENERGY INC	7,650.000	\$0.00	\$38.25	\$7,047.58
368287207	OAQ GAZPROM SPONS	3,000.000	\$8.59	\$25,770.00	\$23,767.95
369604103	GENERAL ELECTRIC CO NFS IS A SPECIALIST	3,763.588	\$28.03	\$105,493.37	\$93,646.65
370334104	GENERAL MILLS INC NFS LLC IS A	975.000	\$49.91	\$48,662.25	\$40,966.10
37045V100	GENERAL MOTORS CO COM USD0.01	480.000	\$40.87	\$19,617.60	\$16,634.70
371901109	GENTEX CORP	90.000	\$32.98	\$2,968.20	\$2,673.10
374163103	GERON CORP NFS LLC IS A MARKET	35,150.000	\$4.74	\$166,611.00	\$183,911.15
375558103	GILEAD SCIENCES INC	300.000	\$75.10	\$22,530.00	\$11,564.88
376535100	GLADSTONE CAP CORP	1,134.184	\$9.57	\$10,854.14	\$10,168.90
37950E788	GLOBAL X FDS GLOBAL X BRAZIL MID CAP ETF	340.000	\$12.78	\$4,345.20	\$6,433.95
379577208	GLOBUS MED INC CL A NEW	100.000	\$20.18	\$2,018.00	\$1,445.45
38141G104	GOLDMAN SACHS GROUP INC	10.000	\$177.26	\$1,772.60	\$1,961.55
38259P508	GOOGLE INC CL A	506.000	\$1,120.71	\$567,079.26	\$528,205.97
395258106	GREENHAVEN CONTINUOUS COMMODITY	250.000	\$25.70	\$6,425.00	\$6,726.35
40251W309	GULF RES INC COM PAR \$0.0005	80,000.000	\$2.35	\$188,000.00	\$150,262.03
406216101	HALLIBURTON CO HOLDING CO FRMLY	600.000	\$50.75	\$30,450.00	\$27,588.45
410345102	HANESBRANDS INC COM	50.142	\$70.27	\$3,523.48	\$2,953.45
412822108	HARLEY DAVIDSON INC WISC FMLY HARLEY	100.000	\$69.24	\$6,924.00	\$4,030.95
417660404	HARVEY ELECTRONICS INC COM NEW	2,500.000	\$0.01	\$25.00	\$5,745.95

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TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
420121105	HAWK PROTN SYS INC	2,500.000	\$0.00	\$0.00	\$1,283.45
427096508	HERCULES TECH GROWTH CAP INC COM	100.000	\$16.40	\$1,640.00	\$1,676.95
427866108	HERSHEY FOODS CORP	100.000	\$97.23	\$9,723.00	\$4,983.95
43112C108	HIGHLINE TECHNICAL INNOVATIONS INC COM	650,000.000	\$0.00	\$650.00	\$11,188.32
431571108	HILLENBRAND INC COM	100.000	\$29.42	\$2,942.00	\$2,208.95
43300A104	HILTON WORLDWIDE HLDGS INC COM	689.000	\$22.25	\$15,330.25	\$14,997.16
436106108	HOLLYFRONTIER CORP COM USD0.01	609.003	\$49.69	\$30,261.36	\$29,551.35
439338203	HOP ON INC COM NEW	5.000	\$0.00	\$0.00	\$4,702.90
44045A102	HORIZON TECHNOLOGY FIN CORP COM	100.000	\$14.21	\$1,421.00	\$1,431.95
440543106	HORNBECK OFFSHORE SVCS INC NEW	184.000	\$49.23	\$9,058.32	\$10,112.04
441060100	HOSPIRA INC	5.000	\$41.28	\$206.40	\$0.00
446150104	HUNTINGTON BANCSHARES INC COM	2,000.000	\$9.65	\$19,300.00	\$11,302.63
447011107	HUNTSMAN CORP	221.139	\$24.60	\$5,440.02	\$4,985.45
44980X109	IPG PHOTONICS CORP COM	88.000	\$77.61	\$6,829.68	\$1,210.59
449998178	HLS WORLDWIDE INC DELAWARE	800.000	\$0.00	\$0.00	\$0.00
451663108	IDEARC INC COM	2.000	\$0.00	\$0.00	\$0.00
452536105	IMMUNOCELLULAR THERAPEUTICS LTD	10,000.000	\$0.92	\$9,250.00	\$9,506.48
45409B826	INDEXIQ ETF TR IQ AUSTRALIA SMALL CAP	114.589	\$18.12	\$2,076.35	\$3,317.73
45667G103	INFINERA CORP	785.000	\$9.78	\$7,677.30	\$7,032.53
457187102	INGREDION INC COM USD0.01	101.694	\$68.46	\$6,961.97	\$6,819.02
457660108	INSITE VISION	61,200.000	\$0.30	\$18,360.00	\$68,832.14
45814P105	INTEGRA BK CORP	500.000	\$0.00	\$1.00	\$760.95
458140100	INTEL CORP NFS LLC IS A MARKET	4,087.474	\$25.96	\$106,090.39	\$85,050.12
45866F104	INTERCONTINENTAL EXCHANGE GRP INC COM	17.000	\$224.92	\$3,823.64	\$0.00
459200101	INTL BUSINESS MACH NFS IS A SPECIALIST	817.776	\$187.57	\$153,390.24	\$152,483.70
460146103	INTL PAPER CO NFS IS A SPECIALIST	500.753	\$49.03	\$24,551.92	\$22,026.90
46123D205	INVENSENSE INC COM USD0.001	305.000	\$20.78	\$6,337.90	\$3,657.94
46426P103	ISCO INTL INC	110.000	\$0.00	\$0.00	\$286.51
46428Q109	ISHARES SILVER TR ISHARES	2,051.000	\$18.71	\$38,374.21	\$44,452.44
464285105	ISHARES COMEX GOLD TRUST ISHARES ETF	1,300.000	\$11.68	\$15,184.00	\$19,895.57
464286103	ISHARES INC MSCI AUSTRALIA INDEX FUND	952.248	\$24.37	\$23,206.28	\$21,224.75
464286327	ISHARES INC MSCI GLOBAL SILVER	938.868	\$10.51	\$9,867.50	\$19,646.83
464286400	ISHARES INC MSCI BRAZIL FREE INDEX FU	600.000	\$44.68	\$26,808.00	\$30,870.25
464286640	ISHARES INC MCSI CHILE INDEX FD	331.964	\$47.51	\$15,771.61	\$20,517.61
464286657	ISHARES INC MCSI BRIC INDEX FD	450.000	\$37.69	\$16,960.50	\$20,562.15
464286673	ISHARES INC MSCI SINGAPORE INDEX FD	2,066.736	\$13.17	\$27,218.91	\$25,356.33
464286731	ISHARES INC MSCI TAIWAN INDEX FD	400.000	\$14.42	\$5,768.00	\$4,866.95
464286749	ISHARES INC MSCI SWITZERLAND INDEX FD	399.221	\$32.99	\$13,170.30	\$10,250.50
464286772	ISHARES INC MSCI SOUTH KOREA INDEX FD	1,300.000	\$64.67	\$84,071.00	\$59,699.84
464286871	ISHARES INC MSCI HONG KONG INDEX FD	3,277.336	\$20.60	\$67,513.12	\$47,743.47
464287176	ISHARES TR U S TREAS INFLATION	129.022	\$109.90	\$14,179.52	\$15,219.59
464287606	ISHARES TR S&P MICAP 400/BARRA	167.656	\$150.19	\$25,180.25	\$19,887.74
464287713	ISHARES TR DOW JONES U S	75.000	\$29.73	\$2,229.75	\$2,155.19
464287754	ISHARES TR DOW JONES U S INDL	10.000	\$101.38	\$1,013.80	\$1,015.55
464287796	ISHARES TR DOW JONES U S ENERGY SECTOR	1,446.645	\$50.49	\$73,041.11	\$57,217.26
464287846	ISHARES TR DOW JONES U S TOTAL MKT INDEX	2,200.000	\$93.34	\$205,348.00	\$181,370.13
465562106	ITAU UNIBANCO BANCO MULTIPLO SA	3.722	\$13.57	\$50.51	\$57.41
466090206	JA SOLAR HOLDINGS CO LTD SPON ADR EA REPR	20.000	\$9.17	\$183.40	\$840.95
46612J507	JDS UNIPHASE CORP COM PAR \$0.001	125.000	\$12.98	\$1,623.13	\$53,826.58
46625H100	J P MORGAN CHASE & CO	304.210	\$58.48	\$17,790.20	\$16,181.15
478160104	JOHNSON & JOHNSON NFS IS A SPECIALIST	615.071	\$91.59	\$56,334.35	\$39,089.85
48023P106	JONES SODA CO	100.000	\$0.48	\$48.00	\$1,484.73
492051305	KEPPEL LTD SPONSORED ADR	150.000	\$17.72	\$2,658.75	\$2,750.30
49456B101	KINDER MORGAN INC DELAWARE COM USD0.01	4,605.000	\$36.00	\$165,780.00	\$149,992.80
50015Q100	KODIAK OIL & GAS CORP	1,475.000	\$11.21	\$16,534.75	\$12,271.44
50076Q106	KRAFT FOODS GROUP INC COM NPV	159.427	\$53.91	\$8,594.71	\$2,638.09
502161102	LSI LOGIC CORP NFS IS A SPECIALIST	15.000	\$11.04	\$165.53	\$0.00
505861401	LAFARGE SPONS ADR NEW	311.068	\$18.76	\$5,836.88	\$6,762.58
52186N106	LEAPFROG ENTERPRISES INC CL A	300.000	\$7.94	\$2,382.00	\$3,196.95

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CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
524901105	LEGG MASON NFS IS A SPECIALIST	75.000	\$43.48	\$3,261.00	\$2,382.45
53227K101	LIGHTSTREAM RESOURCES LTD COM	500.000	\$5.53	\$2,767.05	\$2,707.95
532457108	LILLY ELI & CO NFS IS A SPECIALIST	50.000	\$51.00	\$2,550.00	\$3,454.45
532782109	LINC ENERGY LTD SPONSORED ADR	4,500.000	\$12.12	\$54,540.00	\$65,226.53
53578A108	LINKEDIN CORP COM USD0.0001	35.000	\$216.83	\$7,589.05	\$7,969.25
535782106	LINN CO LLC COM SHS REPSTG LTD LIABILITY	100.000	\$30.81	\$3,081.00	\$3,802.95
53635B107	LIQUIDITY SVCS INC COM	1,000.000	\$22.66	\$22,660.00	\$21,607.95
539830109	LOCKHEED MARTIN CORP NFS LLC IS A SPECIAL	41.745	\$148.66	\$6,205.81	\$5,090.39
55003T107	LUMBER LIQUIDATORS HLDGS INC COM	60.000	\$102.89	\$6,173.40	\$1,530.87
550819106	LYDALL INC DEL	200.000	\$17.62	\$3,524.00	\$2,459.95
570535104	MARKEL CORP HLDG CO	35.000	\$580.35	\$20,312.25	\$13,896.10
57060U100	MARKET VECTORS ETF TR GOLD MINERS ETF	403.618	\$21.13	\$8,528.45	\$14,960.35
57060U159	MARKET VECTORS ETF UNCONVENTIONAL OIL &	1,950.000	\$28.42	\$55,419.00	\$48,109.97
57060U605	MARKET VECTORS ETF TR AGRIBUSINESS ETF	378.441	\$54.49	\$20,621.25	\$19,742.87
580135101	MCDONALDS CORP NFS IS A SPECIALIST	65.197	\$97.03	\$6,326.06	\$6,058.93
58933Y105	MERCK & CO INC NEW COM	536.180	\$50.05	\$26,835.81	\$20,394.33
59151K108	METHANEX CORP NFS LLC IS A MARKET	331.074	\$59.24	\$19,612.82	\$7,536.49
594918104	MICROSOFT CORP NFS LLC IS A MARKET	1,643.575	\$37.41	\$61,486.14	\$49,260.43
594960304	MICROVISION INC DEL COM NEW	2,594.000	\$1.32	\$3,424.08	\$22,585.45
59804T100	MIDSTATES PETE CO INC COM USD0.01	300.000	\$6.62	\$1,986.00	\$2,470.95
60871R209	MOLSON COORS BREWING CO CL B	14.173	\$56.15	\$795.81	\$780.83
608753109	MOLYCORP INC DELAWARE COM	250.000	\$5.62	\$1,405.00	\$10,812.95
609207105	MONDELEZ INTL INC COM	308.328	\$35.30	\$10,883.98	\$10,330.12
61166W101	MONSANTO CO NEW	100.000	\$116.55	\$11,655.00	\$5,107.95
61744U106	MORGAN STANLEY ASIA PACIFIC FD INC	296.106	\$16.56	\$4,903.52	\$4,093.08
62474M108	MTN GROUP LTD SPONSORED ADR	200.000	\$20.72	\$4,144.00	\$1,357.30
631512100	NASPERS LTD SPONS ADR REPSTG CL	303.230	\$104.64	\$31,731.20	\$5,280.86
637071101	NATIONAL OILWELL INC	79.601	\$79.53	\$6,330.67	\$2,845.84
639050103	NATUS MED INC DEL	388.000	\$22.50	\$8,730.00	\$4,483.08
63937X103	NAVIDEA BIOPHARMACEUTICALS	51,800.000	\$2.07	\$107,226.00	\$140,550.48
649445103	NEW YORK COMMUNITY BANCORP	1,500.000	\$16.85	\$25,275.00	\$20,216.42
65249B109	NEWS CORP NEW COM USD0.01 CL A	152.000	\$18.02	\$2,739.04	\$0.00
65339F101	NEXTERA ENERGY INC COM	100.000	\$85.62	\$8,562.00	\$5,024.95
654902204	NOKIA CORP ADR NFS IS A SPECIALIST	200.000	\$8.11	\$1,622.00	\$7,540.82
655844108	NORFOLK SOUTHERN CRP NFS LLC IS A	257.336	\$92.83	\$23,888.50	\$17,737.81
656531605	NORSK HYDRO A S SPONSORED ADR	100.000	\$4.46	\$446.20	\$1,230.95
667340103	NORTHWEST BANCSHARES INC COM	1,000.000	\$14.78	\$14,780.00	\$12,033.44
66987E206	NOVAGOLD RESOURCES	5,820.000	\$2.54	\$14,782.80	\$12,975.44
66987V109	NOVARTIS AG ADR ISIN #US66987V1098	50.000	\$80.38	\$4,019.00	\$2,004.99
670002104	NOVAVAX INC	500.000	\$5.12	\$2,560.00	\$2,387.98
67072C105	NUVEEN QUALITY PFD INCOME FD 2	1,479.587	\$8.14	\$12,043.84	\$12,014.45
674599105	OCCIDENTAL PETROLEUM CORP	200.000	\$95.10	\$19,020.00	\$19,305.14
677862104	LUKOIL OIL CO SPONS ADR	50.000	\$62.07	\$3,103.60	\$2,258.45
68389X105	ORACLE CORPORATION NFS LLC IS A MARKET	150.000	\$38.26	\$5,739.00	\$4,341.03
68558C106	ORBITE ALUMINAE INC COM NPV CLASS A	700.000	\$0.33	\$233.87	\$2,450.95
69329Y104	PDL BIOPHARMA INC COM	3,047.001	\$8.44	\$25,716.69	\$22,621.40
693475105	PNC FINL SVCS GROUP	144.823	\$77.58	\$11,235.37	\$10,772.73
693506107	PPG INDUSTRIES	105.297	\$189.66	\$19,970.63	\$8,513.40
69840W108	PANERA BREAD COMPANY CL A	30.000	\$176.69	\$5,300.70	\$5,027.25
708160106	PENNEY J C INC NFS IS A SPECIALIST	413.000	\$9.15	\$3,778.95	\$3,972.75
717081103	PFIZER INC NFS IS A SPECIALIST	372.289	\$30.63	\$11,403.21	\$10,376.51
718172109	PHILIP MORRIS INTL INC COM	600.000	\$87.13	\$52,278.00	\$51,824.15
718546104	PHILLIPS 66 COM	251.288	\$77.13	\$19,381.84	\$18.32
72201R817	PIMCO ETF TR INVT GRADE CORP BD	200.000	\$99.00	\$19,800.00	\$21,114.90
723787107	PIONEER NATURAL RESOURCES CO	18.000	\$184.07	\$3,313.26	\$3,386.30
73755L107	POTASH CORP SASK INC	410.000	\$32.96	\$13,513.60	\$16,431.83
73935A104	POWERSHARES QQQ TR UNIT SER 1	2,600.000	\$87.96	\$228,696.00	\$186,140.86
73935S105	POWERSHARES DB COMMODITY INDEX	1,500.000	\$25.66	\$38,490.00	\$42,628.34
73935X286	DYNAMIC	819.636	\$43.08	\$35,309.92	\$30,698.32

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
742718109	PROCTER & GAMBLE CO NFS IS A SPECIALIST	390.000	\$81.41	\$31,749.90	\$15,098.23
74347B201	PROSHARES TR PROSHARES ULTRASHORT	470.000	\$79.20	\$37,224.00	\$36,416.70
74347R503	PROSHARES TR SHORT S&P 500 PROSHARES	100.000	\$25.23	\$2,523.00	\$2,613.94
74348T102	PROSPECT ENERGY CORP	4,516.294	\$11.22	\$50,672.82	\$47,958.60
744573106	PUBLIC SERVICE ENTERPRISE GROUP INC	100.000	\$32.04	\$3,204.00	\$3,207.75
746144302	PURCHASEPRO COM INC NEW	12.000	\$0.00	\$0.00	\$0.00
747525103	QUALCOMM INC NFS LLC IS A MARKET	315.000	\$74.25	\$23,388.75	\$12,311.72
74835Y101	QUESTCOR PHAR INC	100.988	\$54.45	\$5,498.80	\$3,290.60
74836T101	QUEST RARE MINERALS LTD COM	2,000.000	\$0.47	\$940.00	\$11,927.95
750758104	RAILPOWER TECHNOLOGIES CORP	15,700.000	\$0.00	\$0.00	\$73,532.80
75381M102	RARE ELEMENT RES LTD ISIN #CA75381M1023	1,000.000	\$1.55	\$1,550.00	\$10,947.95
754730109	RAYMOND JAMES FINL INC	421.000	\$52.19	\$21,971.99	\$19,247.65
76131N101	RETAIL OPPORTUNITY INVTS CORP COM	200.000	\$14.72	\$2,944.00	\$2,257.95
767204100	RIO TINTO PLC SPON ADR	354.268	\$56.43	\$19,991.34	\$18,365.95
779268101	ROUNDYS INC COM USD0.01	3,000.000	\$9.86	\$29,580.00	\$27,300.95
783549108	RYDER SYSTEM INC NFS LLC IS A	119.880	\$73.78	\$8,844.75	\$4,631.33
78462F103	S & P 500 DEPOSITORY RECEIPT	342.000	\$184.69	\$63,163.98	\$42,109.08
78463V107	SPDR GOLD TR GOLD SHS	1,767.000	\$116.12	\$205,184.04	\$186,261.61
78463X541	SPDR INDEX SHS FDS S&P GLOBAL NAT RES	318.447	\$50.24	\$15,998.78	\$16,331.56
78464A516	SPDR SER TR LEHMAN INTL TREAS BD ETF	301.712	\$57.74	\$17,420.85	\$17,585.47
78464A607	SPDR SER TR DJ WILSHIRE REIT ETF	150.000	\$71.27	\$10,690.50	\$11,684.05
78467X109	SPDR DOW JONES INDL AVERAGE ETF TR UNIT	125.000	\$165.47	\$20,683.75	\$14,563.88
80007P307	SANDRIDGE ENERGY INC COM	455.000	\$6.07	\$2,761.85	\$0.00
801056102	SANMINA CORP COM	33.000	\$16.70	\$551.10	\$2,882.95
803521103	SARATOGA RES INC TEX	300.000	\$1.14	\$342.00	\$2,137.95
80862K104	SCICLONE PHARMACEUTC INC	2,000.000	\$5.04	\$10,080.00	\$11,031.60
808649305	SCIENT INC COM NEW	4.000	\$0.00	\$0.00	\$0.00
81369Y100	SECTOR SPDR TR SHS BEN INT BASIC	50.000	\$46.22	\$2,311.00	\$2,205.45
81369Y209	SECTOR SPDR TR SHS BEN INT CONSUMER	250.000	\$55.44	\$13,860.00	\$9,612.85
81369Y308	SECTOR SPDR TR SHS BEN INT CONSUMER	50.000	\$42.98	\$2,149.00	\$2,150.95
81369Y407	SECTOR SPDR TR SHS BEN INT CYCLICALS /	40.000	\$66.83	\$2,673.20	\$2,638.35
81369Y506	SECTOR SPDR TR SHS BEN INT ENERGY	25.000	\$88.51	\$2,212.75	\$2,182.45
81369Y704	SECTOR SPDR TR SHS BEN INT INDUSTRIAL	40.000	\$52.26	\$2,090.40	\$2,003.95
81369Y803	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	325.000	\$35.74	\$11,615.50	\$9,802.65
81369Y886	SECTOR SPDR TR SHS BEN INT UTILITIES	50.000	\$37.97	\$1,898.50	\$1,921.45
82735Q102	SILVER BAY REALTY TR CORP COM USD0.001	25.031	\$15.99	\$400.25	\$0.50
828336107	SILVER WHEATON CORP COM	4.498	\$20.19	\$90.81	\$144.76
82968B103	SIRIUS XM HLDGS INC COM	11,404.798	\$3.49	\$39,802.75	\$24,836.89
83169Q105	SMARTDISK CORP	49,000.000	\$0.00	\$0.00	\$23,885.61
831756101	SMITH & WESSON HLDG CORP	435.000	\$13.49	\$5,868.15	\$5,010.45
832696405	SMUCKER J M CO NEW	3.000	\$103.62	\$310.86	\$0.00
83546Q109	SONICBLUE INC COM NFS LLC IS A MARKET	42,000.000	\$0.00	\$0.00	\$69,871.74
842587107	SOUTHERN CO NFS IS A SPECIALIST	1,000.000	\$41.11	\$41,110.00	\$42,063.75
855244109	STARBUCKS CORP NFS LLC IS A MARKET	200.000	\$78.39	\$15,678.00	\$5,810.95
85590A401	STARWOOD HOTELS & RESORTS WORLDWIDE	200.000	\$79.45	\$15,890.00	\$10,010.95
85771P102	STATOIL ASA SPON ADR	402.365	\$24.13	\$9,709.07	\$8,592.91
859152100	STERIS CORP	176.000	\$48.05	\$8,456.80	\$1,998.15
861642106	STONE ENERGY CORP	300.000	\$34.59	\$10,377.00	\$1,876.92
864159108	STURM RUGER & CO INC	111.000	\$73.09	\$8,112.99	\$5,536.18
86664A202	SUN AMERN BANCORP COM NEW	520.000	\$0.00	\$2.60	\$5,300.85
871829107	SYSCO CORP NFS LLC IS A	460.934	\$36.10	\$16,639.72	\$13,447.98
87304R100	TTC TECHNOLOGY CORP COM	5,000.000	\$0.00	\$0.00	\$9,579.95
87356M108	TACTICAL AIR DEFENSE SVCS INC COM	100,000.000	\$0.00	\$0.00	\$167.95
87612E106	TARGET CORP NFS IS A SPECIALIST	161.754	\$63.27	\$10,234.18	\$10,338.75
87652B103	TASMAN METALS LTD COM NPV	2,000.000	\$1.01	\$2,020.00	\$9,749.65
87724P106	TAYLOR MORRISON HOME CORP COM	200.000	\$22.45	\$4,490.00	\$4,855.95
878546209	TECHNIP SPON ADR	200.000	\$24.07	\$4,813.20	\$3,335.95
880198106	TEMPLETON GLOBAL INCOME FD INC	7,582.657	\$7.95	\$60,282.12	\$70,909.11
88103B106	TERRA INVENTIONS CORP COM	108.000	\$0.05	\$5.40	\$134,126.90

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
88160R101	TESLA MOTORS INC COM USD0.001	15.000	\$150.43	\$2,256.44	\$1,811.55
88166A201	TEUCRIUM COMMODITY TR NATURAL GAS FD	1,000.000	\$12.00	\$12,000.00	\$10,807.95
882508104	TEXAS INSTRUMENTS INC	50.000	\$43.91	\$2,195.50	\$3,700.79
882904105	THAI FD INC	412.503	\$18.15	\$7,486.93	\$4,147.40
88360H101	THERMO TECH TECHNLS INC COM	276,500.000	\$0.00	\$0.00	\$4,566.24
88579Y101	3M COMPANY NFS IS A SPECIALIST	350.246	\$140.25	\$49,122.00	\$26,034.10
88706M103	TIM HORTONS INC COM	276.163	\$58.38	\$16,122.40	\$15,678.69
887317303	TIME WARNER INC NEW COM NEW	25.000	\$69.72	\$1,743.00	\$4,017.74
88732J207	TIME WARNER CABLE INC COM	6.000	\$135.50	\$813.00	\$0.00
891160509	TORONTO DOMINION BK ONT COM NEW	264.192	\$94.24	\$24,897.45	\$21,355.89
892331307	TOYOTA MTRS CORP ADR REPSTG 2 SHRS	100.000	\$121.92	\$12,192.00	\$7,507.95
900111204	TURKCELL ILETISIM HIZMETLERI A.S.	100.000	\$13.35	\$1,335.00	\$1,470.95
90130A101	TWENTY-FIRST CENTY FOX INC CL A	612.590	\$35.17	\$21,544.79	\$9,464.01
902973304	US BANCORP DEL COM NEW	1,600.000	\$40.40	\$64,640.00	\$37,522.82
90384S303	ULTA SALON COSMETICS &	15.000	\$96.52	\$1,447.80	\$1,425.10
903914109	ULTRA PETROLEUM CORP	750.000	\$21.65	\$16,237.50	\$13,867.95
904572203	UNI-PIXEL INC COM NEW	255.000	\$10.01	\$2,552.55	\$4,871.15
912318201	UNITED STATES NATURAL GAS FUND LP	12.000	\$20.69	\$248.28	\$1,152.95
917264103	URIGEN PHARMACEUTICALS INC	1,000.000	\$0.00	\$0.00	\$3,300.94
91913Y100	VALERO ENERGY CORP NFS LLC IS A SPECIAL	113.323	\$50.40	\$5,711.48	\$7,835.02
921937827	VANGUARD BD INDEX FD INC SHORT TERM BD	250.000	\$79.93	\$19,982.50	\$20,135.45
92204A876	VANGUARD SECTOR INDEX FDS VANGUARD	250.000	\$83.28	\$20,819.25	\$21,375.45
922042775	VANGUARD INTL EQUITY INDEX FD INC FTSE	1,342.905	\$50.73	\$68,125.57	\$57,265.70
922042858	VANGUARD INTL EQUITY INDEX FD INC	2,081.538	\$41.14	\$85,634.47	\$81,998.52
922042874	VANGUARD INTL EQUITY INDEX FD INC	300.000	\$58.80	\$17,640.00	\$13,345.95
92240M108	VECTOR GROUP LTD	514.284	\$16.37	\$8,418.83	\$6,522.50
922908553	VANGUARD INDEX FDS FORMERLY VANGUARD	526.600	\$64.56	\$33,997.30	\$35,383.68
92343V104	VERIZON COMMUNICATIONS	199.471	\$49.14	\$9,802.00	\$7,308.09
92826C839	VISA INC COM CL A	30.115	\$222.68	\$6,706.01	\$5,489.27
92851M108	VITROTECH CORP	1,000.000	\$0.00	\$0.00	\$2,100.67
92857W209	VODAFONE GROUP PLC NEW SPONSORED ADR	103.403	\$39.31	\$4,064.77	\$2,919.91
92927K102	WABCO HLDGS INC COM	50.000	\$93.41	\$4,670.50	\$3,769.45
931142103	WAL-MART STORES INC NFS IS A SPECIALIST	210.000	\$78.69	\$16,524.90	\$10,045.50
93317Q105	WALTER INDS INC	66.029	\$16.63	\$1,098.06	\$2,860.69
93317W102	WALTER INVT MGMT CORP COM	49.142	\$35.36	\$1,737.66	\$162.32
94106L109	WASTE MANAGEMENT INC NFS LLC IS A	800.000	\$44.87	\$35,896.00	\$26,317.37
949746101	WELLS FARGO & CO NEW	1,925.000	\$45.40	\$87,395.00	\$50,094.30
959319104	WESTERN REFG INC COM	127.050	\$42.41	\$5,388.19	\$4,474.10
960413102	WESTLAKE CHEM CORP	200.395	\$122.07	\$24,462.22	\$21,600.50
960908309	WESTPORT INNOVATIONS INC COM NEW	800.000	\$19.61	\$15,688.00	\$19,815.95
966837106	WHOLE FOODS MKT INC	220.000	\$57.83	\$12,722.60	\$12,591.95
97382A101	WINDSTREAM HLDGS INC USD0.0001	601.371	\$7.98	\$4,798.94	\$6,951.20
97717W109	WISDOMTREE TR TOTAL DIVID FD	40.000	\$67.12	\$2,684.88	\$2,638.35
97717W281	WISDOMTREE TR EMERGING MKTS	1,000.000	\$46.09	\$46,090.00	\$51,007.95
97717W505	WISDOMTREE TR MIDCAP DIVID FD	332.753	\$74.61	\$24,827.70	\$20,068.41
97717W851	WISDOMTREE TR JAPAN TOTAL DIVID FD	338.317	\$50.84	\$17,200.04	\$13,550.49
984149104	XYBERNAUT CORP NFS LLC IS A MARKET	1,500.000	\$0.00	\$0.00	\$2,127.45
98416J118	XERIUM TECHNOLOGIES INC COM USD0.001	1,573.000	\$16.49	\$25,938.77	\$20,158.08
984245100	YPF SOCIEDAD ANONIMA SPONS ADR REPTSG CL	800.000	\$32.96	\$26,368.00	\$16,763.95
984846105	YANZHOU COAL MINING SP ADR	500.000	\$9.23	\$4,615.00	\$10,934.84
98956P102	ZIMMER HLDGS INC	5.000	\$93.19	\$465.95	\$0.00
G0457F107	ARCOS DORADOS HOLDINGS INC COM NPV	415.000	\$12.12	\$5,029.80	\$4,946.45
G2519Y108	CREDICORP LTD	125.310	\$132.73	\$16,632.40	\$3,511.68
G2554F113	COVIDIEN PLC SHS	200.000	\$68.10	\$13,620.00	\$9,193.39
G3030S109	EASYJET ORD GBP0.27285714(POST	275.000	\$25.44	\$6,995.97	\$1,359.95
G46648104	HUNTING PLC FMRLY HUNTING GIBSON PLC	600.000	\$12.92	\$7,751.22	\$3,420.95
G49188116	INVESTEC PLC SHS ISIN #GB00B17BBQ50	324.841	\$7.25	\$2,354.35	\$1,611.35
G5480U104	LIBERTY GLOBAL PLC SHS CL A	6.000	\$89.00	\$534.00	\$4.17
G5480U120	LIBERTY GLOBAL PLC SHS CL C	6.000	\$84.32	\$505.92	\$0.00

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TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
G5876H105	MARVELL TECHNOLOGY GROUP LTD	600.000	\$14.38	\$8,628.00	\$10,126.85
G65431101	NOBLE CORP PLC COM SHS	126.625	\$37.47	\$4,744.64	\$5,121.90
G7945M107	SEAGATE TECHNOLOGY PLC COM USD0.00001	224.954	\$56.16	\$12,633.42	\$4,297.93
G9319H102	VALIDUS HOLDINGS LTD COM SHS	44.129	\$40.29	\$1,777.96	\$130.73
H8817H100	TRANSOCEAN LTD ZUG NAMEN -AKT	300.000	\$49.42	\$14,826.00	\$14,257.95
M6717V108	LAXAI PHARMA LTD COM USD0.01	10,000.000	\$0.00	\$30.00	\$10,574.79
M85548101	STRATASYS LTD COM USD0.01	150.000	\$134.70	\$20,205.00	\$17,527.95
N07831105	AVG TECHNOLOGIES N V EUR0.01	200.000	\$17.21	\$3,442.00	\$2,965.95
P16994132	BANCO LATINO- AMERICANO DE EXPORT	283.454	\$28.02	\$7,942.38	\$5,039.39
Q0137X113	ADMIRALTY RESOURCES NL SHS	10,000.000	\$0.01	\$134.00	\$9,803.00
R0180X100	AKER SOLUTIONS ASA SHS	230.733	\$18.00	\$4,153.19	\$1,693.94
R38879244	KVAERNER ASA SHS	214.000	\$1.86	\$398.04	\$0.00
V21534157	CHUAN HUP HOLDINGS LTD SHS	3,000.000	\$0.21	\$617.70	\$1,756.90
Y2109Q101	DRYSHIPS INC ISIN #MHY2109Q1017	100.000	\$4.70	\$470.00	\$713.88
Y2573F102	FLEXTRONICS INTL INC ISIN #SG9999000020	14.000	\$7.77	\$108.78	\$607.56
Y83461107	CSE GLOBAL LTD NPV ISIN #SG1G47869290	2,000.000	\$0.61	\$1,211.60	\$2,177.95
Y9728A102	YANGZIJANG SHIPBUILDING HLDNGS	610.623	\$0.94	\$573.07	\$586.33
001084102	AGCO CORP	100.000	\$59.19	\$5,919.00	\$5,436.94
00165C104	AMC ENTERTAINMENT HOLDINGS INC COM	100.000	\$20.55	\$2,055.00	\$1,958.95
00184X105	AOL INC COM USD0.01	2.000	\$46.62	\$93.24	\$0.00
	CORPORATE BOND				
025816AY5	AMERICAN EXPRESS CO SR NT	20,000.000	\$1.19	\$23,896.86	\$17,316.80
02687QBC1	AMERICAN INTL GROUP INC MTN BE	6,000.000	\$1.11	\$6,684.89	\$5,229.90
06050WCP8	BANK AMER CORP SR IN FR	35,000.000	\$1.09	\$38,308.20	\$32,882.98
90261XBY7	UBS AG BRH MTN SR DEP NT BE	30,000.000	\$1.11	\$33,357.99	\$23,762.63
172967CK5	CITIGROUP INC GLBL SR NT	4,000.000	\$1.01	\$4,058.44	\$3,415.77
74432QAD7	PRUDENTIAL FINL INC MTNS BOOK	10,000.000	\$1.01	\$10,105.90	\$7,978.54
165167CG0	CHESAPEAKE ENERGY CORP NOTE	20,000.000	\$1.07	\$21,450.00	\$19,909.05
	EXTERNAL FUND				
72201W501	PIMCO HIGH YIELD SPECTRUM FD CL D	2,287.283	\$10.93	\$25,000.00	\$25,000.00
742935117	AKRE FOCUS FUND RETAIL	8.294	\$20.83	\$172.76	\$127.14
742935299	HODGES SMALL CAP FUND	594.196	\$18.67	\$11,093.64	\$10,300.91
74676P169	PUTNAM EQUITY SPECTRUM FUND CL A	771.273	\$42.19	\$32,540.01	\$29,844.64
749255121	BOSTON PARTNERS ALL CAP VAL FD-INVEST SH	4,243.379	\$20.95	\$88,898.79	\$84,449.08
74972H200	RS VALUE PLUS AND GROWTH	485.668	\$19.33	\$9,387.96	\$9,350.88
76628R615	RIDGEWORTH MID CAP VALUE EQUITY CL I	380.590	\$13.63	\$5,187.44	\$5,589.38
779547108	T ROWE PRICE EQUITY INCOME	272.686	\$32.84	\$8,955.01	\$7,258.69
77956H815	T ROWE PRICE EMERG EURO & MEDITERRANEAN	345.215	\$19.85	\$6,852.52	\$7,636.75
804096501	SEXTANT INTRNATIONAL FUND	1,511.062	\$16.06	\$24,267.66	\$23,216.31
808530604	AVE MARIA RISING DIVIDEND FUND	292.219	\$17.56	\$5,131.37	\$5,105.24
81063U206	SCOUT MID CAP	1,182.780	\$17.81	\$21,065.31	\$15,900.91
85235B780	STADION MARKET OPPORTUNITY FUND I	1,584.700	\$10.11	\$16,021.32	\$17,407.48
861729101	STONERIDGE REINSURAC RISK PREM INTERVAL	1,677.500	\$9.99	\$16,758.23	\$16,800.00
87234N518	TCW GALILEO INCOME + GROWTH CL N	970.868	\$16.14	\$15,669.81	\$15,040.19
87234N641	TCW GALILEO TOTAL RETURN MBS CLASS N	3,848.100	\$10.34	\$39,789.35	\$40,363.36
880208301	TEMPLETON GLOBAL BOND CLASS C	16,446.310	\$13.16	\$216,433.44	\$203,805.97
884116500	THIRD AVENUE INTL VALUE	1,169.986	\$20.28	\$23,727.32	\$22,513.04
89155H793	TOUCHSTONE MID CAP FD INSTL CLASS	847.317	\$23.04	\$19,522.18	\$18,117.38
90330L402	US GLOBAL REGION EAST EUROPEAN	750.252	\$8.82	\$6,617.22	\$9,020.12
921935201	VANGUARD WELLESLEY INCOME ADMIRAL	4,683.150	\$65.53	\$306,886.82	\$252,532.96
921937108	VANGUARD BOND INDEX TOTAL MARKET	5,039.790	\$10.56	\$53,220.18	\$54,240.03
921937306	VANGUARD INTER-TERM BOND INDEX PORT	4,019.887	\$11.09	\$44,580.55	\$41,919.30
921938106	VANGUARD/WELLESLEY INCOME	23,538.722	\$24.85	\$584,937.24	\$498,369.76
92202E870	VANGAURD TARGET RETIREMENT 2040 FD	8,168.927	\$28.32	\$231,344.01	\$169,328.77
922031307	VANGUARD GNMA	1.240	\$10.42	\$12.92	\$13.68
922031703	VANGUARD SHORT TERM TREASURY	3,603.229	\$10.68	\$38,482.49	\$38,760.86
922031794	VANGUARD GNMA ADMIRAL	2,987.131	\$10.42	\$31,125.91	\$32,810.53
922031869	VANGUARD INFLATION PROTECTED SECS	3,614.016	\$12.98	\$46,909.93	\$46,556.74
922038203	VANGUARD GLOBAL EQUITY	590.398	\$23.47	\$13,856.64	\$12,740.92
922908843	VANGUARD MID CAP INDEX	1,503.732	\$30.02	\$45,142.03	\$23,136.53

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
933310104	WALTHAUSEN SMALL CAP VALUE FD	820.344	\$24.40	\$20,016.39	\$16,243.68
936793868	WASATCH GLOBAL OPPORTUNITIES RETAIL	6,580.449	\$4.37	\$28,756.56	\$28,882.75
00141T155	AIM CHINA FD CLASS A	1,976.953	\$21.47	\$42,445.18	\$46,740.98
00688P103	ADIRONDACK SMALL CAP FUND	1,579.224	\$22.37	\$35,327.24	\$23,957.67
00758M261	CAMBIAR OPPORTUNITY INSTL	694.407	\$23.36	\$16,221.35	\$15,154.40
008882748	AIM EUROPEAN GROWTH INVESTORS	648.956	\$39.34	\$25,529.93	\$35,163.30
018918748	ALLIANZ NFJ SMALL CAP VALUE CLASS D	825.607	\$34.22	\$28,252.27	\$20,540.49
020940409	ALPINE INTERNATIONAL REAL ESTATE EQUITY Y	350.869	\$23.14	\$8,119.11	\$10,046.56
022865208	AMANA MUTUAL FUND TRUST GROWTH	3,362.080	\$32.00	\$107,586.56	\$77,652.39
040337206	ARIEL APPRECIATION	196.517	\$55.72	\$10,949.93	\$8,318.35
04314H204	ARTISAN INTERNAT'L	609.985	\$30.48	\$18,592.34	\$13,781.87
04314H303	ARTISAN MID CAP	169.807	\$47.62	\$8,086.21	\$5,162.15
04314H881	ARTISAN INTL VALUE FUND	562.675	\$36.77	\$20,689.56	\$14,897.35
06828M108	BARON PARTNERS FUND	163.525	\$33.32	\$5,448.65	\$2,887.53
115233793	BROWN ADVISORY SMALL CAP FNDMTL VAL INVS	652.541	\$23.48	\$15,321.66	\$14,533.72
203042205	COMMONWEALTH NEW ZEALAND	1,995.261	\$12.19	\$24,322.23	\$20,883.17
233203348	DFA INTL REAL ESTATE SEC PORTFOLIO	3,982.647	\$5.02	\$19,992.89	\$21,280.23
233203355	DFA INFLATION PROTECTED SEC PORT	3,159.205	\$11.46	\$36,204.49	\$37,829.98
233203371	DFA INTERNATIONAL CORE EQUITY	4,460.961	\$12.81	\$57,144.91	\$48,825.36
233203389	DIMENSIONAL US VECTOR EQUITY PORT	8,128.476	\$16.38	\$133,144.44	\$91,986.69
233203397	DFA US CORE EQUITY II	3,183.761	\$16.37	\$52,118.17	\$37,286.50
233203421	DFA EMERGING MRKTS CORE EQU PORTF	2,162.249	\$19.46	\$42,077.37	\$43,811.14
233203736	DFA INT'L SMALL CAP VALUE	3,013.569	\$20.35	\$61,326.13	\$50,392.56
233203827	DIMENSIONAL ADVISOR US LARGE CAPITAL VAL	1,635.857	\$31.62	\$51,725.80	\$35,221.78
233203835	DIMENSIONAL ADVISOR REAL ESTATE SECS	769.851	\$25.93	\$19,962.24	\$19,014.49
233203876	DIMENSIONAL ADVISOR INTER GOV'T FIXED	5,178.278	\$12.26	\$63,485.69	\$64,919.89
25434D203	DIMENSIONAL INV'T GRP INC INT'L VALUE	3,217.231	\$19.83	\$63,797.69	\$56,269.13
256206103	DODGE & COX INTERNATL STOCK FUND	1,652.011	\$43.04	\$71,102.55	\$53,129.51
26200Q204	DREYFUS SMALL CAP STOCK INDEX	1,128.002	\$29.63	\$33,422.70	\$24,202.53
262028301	DRIEHAUS EMERGING MARKETS	881.147	\$32.53	\$28,663.71	\$24,067.27
302933304	FMI INTERNATIONAL	1,252.445	\$27.51	\$34,454.76	\$33,925.78
304871106	FAIRHOLME FUND	3,145.925	\$39.20	\$123,320.26	\$102,490.58
36239T103	GABELLI SMALL CAP GROWTH	1,724.678	\$48.30	\$83,301.95	\$54,818.85
362395105	GABELLI ASSET SHARES	462.297	\$65.31	\$30,192.62	\$23,191.10
38142Y500	GOLDMAN SACHS GRWTH OPPORT FD CL SVC	1,433.746	\$27.30	\$39,141.27	\$25,222.26
411511645	HARBOR INTERNATIONAL INVESTOR SHARES	419.631	\$70.33	\$29,512.65	\$28,046.57
413838202	OAKMARK INTERNAT'L	653.791	\$26.32	\$17,207.78	\$11,741.87
413838608	OAKMARK SELECT	239.244	\$40.06	\$9,584.11	\$7,754.30
42588P700	HENNESSY FOCUS FUND INVESTOR CLASS	311.531	\$65.99	\$20,557.93	\$16,277.92
42588P874	HENNESSY SMALL CAP FINANCIAL INVSTR CL	273.065	\$25.48	\$6,957.70	\$5,491.98
437769508	HOMESTEAD SMALL COMPANY STOCK FD	287.191	\$36.86	\$10,585.86	\$10,049.95
44929K507	ICON ENERGY	2,144.166	\$23.24	\$49,830.42	\$48,032.60
44929K879	ICON TELECOMM AND UTILITIES	663.766	\$7.39	\$4,905.23	\$5,237.71
46141P677	EUROPAC GOLD FUND CLASS A	546.205	\$8.50	\$4,642.74	\$5,784.79
471023200	JANUS GROWTH AND INCOME	156.897	\$44.47	\$6,977.21	\$6,382.08
471023549	JANUS TRITON FUND	871.459	\$23.63	\$20,592.58	\$15,276.93
471023648	JANUS ORION FUND	663.421	\$12.66	\$8,398.91	\$10,654.99
471023671	JANUS GLOBAL LIFE SCIENCES	474.925	\$43.01	\$20,426.52	\$11,628.95
52106N319	LAZARD US REALTY EQUITY PORT OPEN SHS	623.890	\$16.14	\$10,069.58	\$11,415.64
52106N764	LAZARD EMERGING MKTS OPEN CLASS	1,059.342	\$19.14	\$20,275.81	\$18,575.97
543495832	LOOMIS SAYLES BOND RETAIL SHARES	10,439.881	\$15.09	\$157,537.80	\$147,237.37
56064V304	MAIRS & POWER BALANCED FUND	60.938	\$82.31	\$5,015.81	\$5,088.05
561709478	YACKTMAN FUND SERVICE CLASS	5,296.907	\$23.54	\$124,689.19	\$92,178.64
577130107	MATTHEWS PACIFIC TIGER FUND	715.078	\$24.99	\$17,869.80	\$12,802.73
577130206	MATTHEWS ASIAN GROWTH & INCOME FUND	9,394.313	\$18.91	\$177,646.46	\$138,922.12
577130883	MATTHEWS ASIAN TECHNOLOGY FUND	3,324.779	\$12.59	\$41,858.97	\$26,594.59
61760X836	MS FRONTIER EMERGING MARKETS FUND CL I	209.333	\$18.86	\$3,948.02	\$3,220.14
619815202	MOTLEY FOOL GREAT AMERICAN FUND	711.626	\$17.67	\$12,574.43	\$9,194.27
628380875	MUTUAL SERIES MUTUAL BEACON CLASS A	463.713	\$16.80	\$7,790.38	\$7,505.74

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
674375407	OBERWEIS CHINA OPPORTUNITIES FUND	572.045	\$16.83	\$9,627.52	\$9,686.18
693391674	PIMCO TOTAL RETURN CLASS D	525.953	\$10.69	\$5,622.44	\$5,685.49
712223106	DREYFUS MIDCAP INDEX FUND	917.942	\$36.75	\$33,734.37	\$25,153.69
714199106	PERMANENT PORTFOLIO FD INC	333.865	\$43.06	\$14,376.23	\$16,089.15
72201F383	PIMCO EMERGING LOCAL BOND FUND CL D	9,416.983	\$9.33	\$87,860.45	\$103,370.48
72201F458	PIMCO INCOME FD CL D	17,425.644	\$12.26	\$213,638.40	\$213,759.37
72201F862	PIMCO FUNDAMENTAL INDEXPLUS TR FD CL D	2,352.868	\$6.60	\$15,528.93	\$16,439.85
	FIDELITY FUND				
315910182	FID EMERGING EURO, MID EAST, AFRICA FND	577.440	\$9.19	\$5,306.67	\$5,133.69
315805283	FIDELITY STOCK SELECTOR MID CAP FD	1,159.300	\$30.45	\$35,300.69	\$31,412.27
315910836	FIDELITY NEW MARKETS INCOME	858.588	\$15.59	\$13,385.39	\$14,724.42
315910851	FIDELITY SOUTHEAST ASIA	1,199.361	\$30.64	\$36,748.42	\$43,501.23
315911701	SPARTAN US EQTY INDX FID ADVANTAGE CLASS	523.252	\$65.49	\$34,267.77	\$22,566.01
315911875	SPARTAN INTL INDEX FID ADVANTAGE CLASS	260.005	\$40.67	\$10,574.40	\$11,673.53
315911883	SPARTAN EXT MKT INDX FID ADVANTAGE CLASS	794.825	\$53.42	\$42,459.55	\$39,013.12
315912600	FIDELITY SMALL CAP RETIREMENT	920.138	\$31.26	\$28,763.51	\$24,799.52
31606X605	FIDELITY MID CAP ENHANCED INDEX FUND	1,166.407	\$13.28	\$15,489.88	\$15,000.00
316062108	FIDELITY CAPITAL & INCOME	10,167.525	\$9.86	\$100,251.80	\$94,074.61
316069707	FIDELITY ASSET MANAGER:AGGRESSIVE	214.681	\$17.11	\$3,673.19	\$2,552.49
316071109	FIDELITY CONTRAFUND	1,149.000	\$96.14	\$110,464.86	\$79,437.79
316145200	FIDELITY CONVERTIBLE SECURITIES	1,576.037	\$31.11	\$49,030.51	\$32,402.62
316146240	SPARTAN REAL ESTATE INDEX FID ADV CLASS	3,216.414	\$11.58	\$37,246.07	\$38,857.44
31634R109	FIDELITY FOUR-IN-ONE INDEX	298.495	\$35.64	\$10,638.36	\$10,210.35
316345107	FIDELITY PURITAN	896.670	\$21.23	\$19,036.30	\$17,187.63
316345305	FIDELITY LOW PRICED STOCK	377.510	\$49.46	\$18,671.64	\$15,307.94
31638R790	FIDELITY INTERNATIONAL BOND	1,547.194	\$9.63	\$14,899.48	\$15,387.46
31638R881	FIDELITY GLOBAL BOND FUND	1,364.325	\$9.56	\$13,042.95	\$13,530.37
316389766	FIDELITY SMALL CAP GROWTH	809.498	\$19.27	\$15,599.03	\$15,000.00
316389832	FIDELITY SMALL CAP VALUE	940.925	\$20.04	\$18,856.14	\$15,847.89
316389865	FIDELITY REAL ESTATE INCOME	2,898.924	\$11.08	\$32,120.08	\$31,243.87
316390202	FIDELITY SELECT TECHNOLOGY	404.494	\$123.04	\$49,768.94	\$49,356.42
316390459	FIDELITY SELECT WIRELESS PORTFOLIOS	1,026.399	\$10.53	\$10,807.98	\$5,412.70
316390517	FIDELITY SELECT CYCLICAL INDUSTRIES	690.285	\$33.67	\$23,241.90	\$17,038.29
316390541	FIDELITY SELECT INSURANCE	156.086	\$68.58	\$10,704.38	\$10,570.22
316390582	FIDELITY SELECT TRANSPORT	147.242	\$73.85	\$10,873.82	\$10,262.43
316390681	FIDELITY SELECT MULTIMEDIA	30.002	\$80.97	\$2,429.26	\$1,489.10
316390772	FIDELITY SELECT BIOTECHNOLOGY	424.805	\$181.73	\$77,199.81	\$60,569.81
316390822	FIDELITY SELECT SOFTWARE & COMPUTER	148.073	\$118.66	\$17,570.34	\$15,791.78
316390889	FIDELITY SELECT CHEMICALS	70.993	\$145.87	\$10,355.75	\$10,415.98
315910778	FIDELITY CHINA REGION	720.631	\$33.76	\$24,328.50	\$25,043.20
	GOVERNMENT BOND				
912828BW9	UNITED STATES TREAS NTS TIPS	5,000.000	\$1.26	\$6,315.88	\$5,433.81
912828MY3	UNITED STATES TREAS NTS	10,000.000	\$1.10	\$11,014.27	\$9,978.81
912828ET3	UNITED STATES TREAS NTS	15,000.000	\$1.25	\$18,811.58	\$14,960.09
912828DH0	UNITED STATES TREAS NTS	10,000.000	\$1.26	\$12,601.02	\$10,238.97
	PREFERRED STOCK				
744320409	PRUDENTIAL FINL INC INFLATION LKD RETAIL	1,896.000	\$26.85	\$50,907.60	\$46,244.20
493267405	KEYCORP NEW NON CUMULATIVE PERP CONV RIGHTS/WARRANTS	300.000	\$129.29	\$38,787.00	\$25,285.95
55973B896	MAGNUM HUNTER RESOURCES	164.000	\$0.00	\$0.00	\$0.00
026874156	AMERICAN INTL GROUP INC WT EXP UNIT	26.000	\$20.25	\$526.50	\$0.00
G16252101	BROOKFIELD INFRASTRUCTURE	150.000	\$39.22	\$5,883.00	\$1,687.71
90187B101	TWO HARBORS INVESMENT CORP COM	2,713.906	\$9.28	\$25,185.05	\$25,309.33
02503X105	AMERICAN CAP AGY CORP COM	125.695	\$19.29	\$2,424.66	\$3,751.99
02917T104	AMERICAN RLTY CAP PPTYS INC COM	1,050.395	\$12.85	\$13,498.63	\$14,665.60
035710409	ANNALY MORTGAGE MANAGEMENT INC	1,850.000	\$9.97	\$18,444.50	\$28,480.22
03762U105	APOLLO COML REAL ESTATE FIN INC COM	336.645	\$16.25	\$5,470.48	\$5,516.39
042315101	ARMOUR RESIDENTIAL REIT INC COM	3,700.000	\$4.01	\$14,837.00	\$22,860.10
09253U108	BLACKSTONE GROUP L P COM UNIT REPSTG	50.000	\$31.50	\$1,575.00	\$1,186.45

SUMMARY OF NET TRUST ASSETS

TOTAL PLAN ASSETS AS OF 12/31/2013

CUSIP #	SECURITY DESCRIPTION	SHARE BALANCE	PRICE	TOTAL MARKET VALUE	HISTORICAL COST
118230101	BUCKEYE PARTNERS L P UNIT LTD PARTNERSHIP	25.000	\$71.01	\$1,775.25	\$1,841.33
20451Q104	COMPASS DIVERSIFIED TR SH BEN INT	617.728	\$19.63	\$12,126.00	\$8,939.79
29273R109	ENERGY TRANSFER PARTNERS LP UT LTD	510.000	\$57.25	\$29,197.50	\$23,512.58
293792107	ENTERPRISE PRODUCTS PPTNS LP	100.000	\$66.30	\$6,630.00	\$3,286.20
315293100	FERRELLGAS PARTNERS L P UNIT LTD PART	75.000	\$22.95	\$1,721.25	\$1,735.20
38376A103	GOVERNMENT PPTYS INCOME TR COM SHS	416.000	\$24.85	\$10,337.60	\$9,975.31
457461200	INLAND REAL ESTATE CORP COM NEW	150.000	\$10.52	\$1,578.00	\$1,584.45
48248A306	KKR FINL HLDGS LLC	259.036	\$12.19	\$3,157.65	\$2,728.06
58463J304	MEDICAL PPTYS TR INC	325.000	\$12.22	\$3,971.50	\$4,028.52
609720107	MONMOUTH REAL ESTATE INVT CORP CL A	2,930.663	\$9.09	\$26,639.73	\$25,064.53
63900P103	NATURAL RESOURCE PARTNERS LP	150.000	\$19.94	\$2,991.00	\$2,998.94
65105M108	NEWCASTLE INVT CORP	500.000	\$5.74	\$2,870.00	\$2,732.95
681936100	OMEGA HEALTHCARE INVS INC	100.000	\$29.80	\$2,980.00	\$3,121.40
760113100	RENTECH NITROGEN PARTNERS L P COM	100.000	\$17.60	\$1,760.00	\$4,624.95
76120W302	RESOURCE CAP CORP COM	425.800	\$5.93	\$2,524.99	\$2,670.41
80007A102	SANDRIDGE PERMIAN TRUST COM USD0.001	273.406	\$11.85	\$3,239.86	\$5,137.51
00434L109	ACCESS MIDSTREAM PARTNERS L P	300.000	\$56.58	\$16,974.00	\$13,518.44

NET ASSETS : \$17,745,209.01