Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

		Complete all entries in accompany	raarioo miir illo liloti a					
Part I	_	Identification Information						
For calend	dar plan year 2013 or fis	scal plan year beginning 01/01/20)13	and ending	12/31/	2013		
A This re	eturn/report is for:	X a single-employer plan ☐	a multiple-employer p	an (not multiemployer)	oyer) a one-participant plan			
B This re	eturn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)		
C Check	box if filing under:	X Form 5558	automatic extension			DFVC progra	ım	
		special extension (enter descript	tion)					
Part II	Basic Plan Infor	rmation—enter all requested inform	mation					
1a Name					1b	Three-digit		
R.C.I. PLBG	G INC. 401(K) PLAN					plan number	001	
					10	(PN) Effective date or		
					.0	01/01/	•	
	2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) .C.I. PLBG INC.			employer plan)	2b Employer Identification Number (EIN) 45-2239899			
					2c	Sponsor's telep	hone number	
545 MIDLA	ND AVE.					718-980		
	SLAND, NY 10306				2d	Business code (see instructions)	
						23822		
3a Plan a	administrator's name and	d address XSame as Plan Sponsor	Name Same as Plar	Sponsor Address	3b	Administrator's I	EIN	
					3с	Administrator's t	telephone number	
4 If the	name and/or FIN of the	plan sponsor has changed since the	a last return/report filed for	or this plan, enter the	4h	EIN		
		nber from the last return/report.	e iast return/report illed it	or this plan, enter the	4b EIN			
	sor's name	·			4c	PN		
5a Total	number of participants	at the beginning of the plan year			5a		33	
b Total	number of participants	at the end of the plan year			5b		33	
	· · ·	account balances as of the end of the		•	5c		4	
6a Were	e all of the plan's assets	during the plan year invested in elig	ible assets? (See instruc	tions.)			X Yes No	
	•	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						
under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
		(See instructions on waiver eligibility	y and conditions.)				X Yes No	
If you	u answered "No" to eit	(See instructions on waiver eligibility ther line 6a or line 6b, the plan car	y and conditions.)not use Form 5500-SF	and must instead use	Form	5500.	, LJ LJ	
If you	u answered "No" to eit	(See instructions on waiver eligibility	y and conditions.)not use Form 5500-SF	and must instead use	Form	5500.	Yes No Not determined	
If you	u answered "No" to eit plan is a defined benefit	(See instructions on waiver eligibility ther line 6a or line 6b, the plan car	y and conditions.)nnot use Form 5500-SF insurance program (see	and must instead use ERISA section 4021)?	Form	1 5500. Yes No	, LJ LJ	
C If the Caution:	u answered "No" to eit plan is a defined benefit A penalty for the late on the late of perjury and other.	ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representations set forth in the instruction	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re	Form	n 5500. Yes No established. ncluding, if applic	Not determined able, a Schedule	
C If the Caution: A Under per SB or Sch	u answered "No" to eit plan is a defined benefit A penalty for the late on the late of perjury and other.	ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representations set forth in the instruction and signed by an enrolled actuary, as	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re	Form	n 5500. Yes No established. ncluding, if applic	Not determined able, a Schedule	
If you C If the Caution: A Under per SB or Sch belief, it is	u answered "No" to eit plan is a defined benefit A penalty for the late o nalties of perjury and oth ledule MB completed an true, correct, and comp	ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representations set forth in the instruction and signed by an enrolled actuary, as	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re	Form	n 5500. Yes No established. ncluding, if applic	Not determined able, a Schedule	
C If the Caution: A Under per SB or Sch belief, it is	u answered "No" to eit plan is a defined benefit A penalty for the late o nalties of perjury and oth ledule MB completed an true, correct, and comp	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as it is a collete.	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, ii t, and	Yes No stablished. ncluding, if applic to the best of my	Not determined able, a Schedule knowledge and	
If you C If the Caution: A Under per SB or Sch belief, it is	u answered "No" to eit plan is a defined benefit A penalty for the late of palties of perjury and othe dule MB completed and true, correct, and comp Filed with authorized/v	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as it is a collete.	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, ii t, and	Yes No stablished. ncluding, if applic to the best of my	Not determined able, a Schedule knowledge and	
If you C If the Caution: A Under per SB or Sch belief, it is SIGN HERE	u answered "No" to eit plan is a defined benefit A penalty for the late of palties of perjury and oth dedule MB completed and true, correct, and comp Filed with authorized/v Signature of plan ac	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as volete. valid electronic signature.	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, ii t, and	stablished. ncluding, if applic to the best of my	Not determined able, a Schedule knowledge and	
Caution: A Under per SB or Sch belief, it is SIGN HERE SIGN HERE	u answered "No" to eit plan is a defined benefit A penalty for the late of palties of perjury and oth redule MB completed and true, correct, and comp Filed with authorized/v Signature of plan act	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as volete. valid electronic signature.	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, in t, and	stablished. ncluding, if applic to the best of my gning as plan adm	Not determined able, a Schedule knowledge and	
Caution: A Under per SB or Sch belief, it is SIGN HERE SIGN HERE	u answered "No" to eit plan is a defined benefit A penalty for the late of palties of perjury and oth redule MB completed and true, correct, and comp Filed with authorized/v Signature of plan act	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as volete. valid electronic signature. dministrator yer/plan sponsor	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, in t, and	stablished. ncluding, if applic to the best of my gning as plan adm	Not determined able, a Schedule knowledge and ninistrator	
Caution: A Under per SB or Sch belief, it is SIGN HERE SIGN HERE	u answered "No" to eit plan is a defined benefit A penalty for the late of palties of perjury and oth redule MB completed and true, correct, and comp Filed with authorized/v Signature of plan act	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as volete. valid electronic signature. dministrator yer/plan sponsor	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, in t, and	stablished. ncluding, if applic to the best of my gning as plan adm	Not determined able, a Schedule knowledge and ninistrator	
Caution: A Under per SB or Sch belief, it is SIGN HERE SIGN HERE	u answered "No" to eit plan is a defined benefit A penalty for the late of palties of perjury and oth redule MB completed and true, correct, and comp Filed with authorized/v Signature of plan act	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as volete. valid electronic signature. dministrator yer/plan sponsor	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, in t, and	restablished. ncluding, if applic to the best of my gning as plan adm	Not determined able, a Schedule knowledge and ninistrator	
Caution: A Under per SB or Sch belief, it is SIGN HERE SIGN HERE	u answered "No" to eit plan is a defined benefit A penalty for the late of palties of perjury and oth redule MB completed and true, correct, and comp Filed with authorized/v Signature of plan act	c (See instructions on waiver eligibility ther line 6a or line 6b, the plan car it plan, is it covered under the PBGC or incomplete filing of this return/representation of the penalties set forth in the instruction of signed by an enrolled actuary, as volete. valid electronic signature. dministrator yer/plan sponsor	y and conditions.)nnot use Form 5500-SF insurance program (see eport will be assessed ons, I declare that I have well as the electronic ver	and must instead use ERISA section 4021)? unless reasonable ca examined this return/re sion of this return/repor	Form use is port, in t, and	restablished. ncluding, if applic to the best of my gning as plan adm	Not determined able, a Schedule knowledge and ninistrator	

Form 5500-SF 2013 Page **2**

Pai	t III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	(a) Beginning of Year			(b) End of Year				
a	Total plan assets	7a	/			31031			31		
b	Total plan liabilities	7b									
С	Net plan assets (subtract line 7b from line 7a)	7c							3103	81	
8	· · · · · · · · · · · · · · · · · · ·		(a) Amount			(b) Total					
а	a Contributions received or receivable from:										
-	(1) Employers		8456								
	(2) Participants	8a(2)	2206	04							
	(3) Others (including rollovers)	8a(3)	F4	4							
	Other income (loss)	8b	51						0.4.00		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							3103	1	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
	Certain deemed and/or corrective distributions (see instructions)	8e									
	Administrative service providers (salaries, fees, commissions)	8f									
	Other expenses	8g									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							0400		
-	Net income (loss) (subtract line 8h from line 8c)	8i							3103	31	
	Transfers to (from) the plan (see instructions)	8j									
Par 9a	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Plan Char	acteris	tic Co	des in	the inst	uction	s:		
	2E 2F 2G 2J 2K 2T 3D								·.		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cteristi	c Cod	es in t	he instru	ctions	:		
Par	t V Compliance Questions						1				
10	During the plan year:				Yes	No		Am	ount		
a Was there a failure to transmit to the plan any participant contributions within the time period described 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			ection Program)	10a		X					
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c		X					
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth	ner person	s by an insurance carrier,								
	insurance service, or other organization that provides some or all instructions.)			10e		X					
f						X					
				10f		X					
<u>g</u>			<u>'</u>	10g		^					
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	d notice or one of the	10i							
Dart		. •		, , , , ,	l						
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
112	5500) and line 11a below)										
12											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,	-		2.00				<u>· </u>			
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year Year										
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule										_
	Enter the minimum required contribution for this plan year	•	· · · · · · · · · · · · · · · · · · ·			12b					

Page	3 -	1
------	-----	---

С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			