Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information										
For ca	For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
A Th	nis ret	urn/report is for:	X a single-employer plan	a multiple-employer pl	an (not multiemployer)	yer) a one-participant plan				
B Th	nis ret	urn/report is:	the first return/report	the final return/report						
			an amended return/report	a short plan year return	n/report (less than 12 m	onths)			
C Ch	neck l	oox if filing under:	Form 5558	automatic extension			DFVC progra	am		
		· ·	special extension (enter descrip	otion)						
Par	t II	Basic Plan Inf	ormation—enter all requested info	rmation						
1a N	lame	of plan	·			1b	Three-digit			
PAUL JANSON, MD, PSC 401(K) PROFIT SHARING PLAN							plan number			
						10	(PN)	001		
						10	Effective date o	•		
2a ₽	lan sı	oonsor's name and a	ddress; include room or suite number	(employer, if for a single-	emplover plan)	2h		lentification Number		
		NSON, M.D., PSC		(*	- 1 - 7 - 1 - 7	(EIN) 30-0027711				
						2c	2c Sponsor's telephone number			
7370 T	URFV	WAY ROAD, SUITE	280			859-212-4567				
FLORE	NCE	, KY 41042				2d		(see instructions)		
			🗖	., По в		26	62111			
3a ₽	'lan a	dministrator's name	and address XSame as Plan Sponso	or Name Same as Plar	Sponsor Address	3D	Administrator's	EIN		
						3с	Administrator's	telephone number		
4 If	f the r	name and/or FIN of t	he plan sponsor has changed since the	ne last return/report filed fo	or this plan enter the	4h	FIN			
			umber from the last return/report.	ie last retarrireport mea re	or this plan, enter the	4b EIN				
a s	pons	or's name				4c	PN			
5a ⊺	Total r	number of participant	s at the beginning of the plan year			5a		7		
b 1	「otal r	number of participant	s at the end of the plan year			5b		6		
			n account balances as of the end of th		•	5 0		•		
		,				5c				
			ets during the plan year invested in eli of the annual examination and report					X Yes No		
			6? (See instructions on waiver eligibili					X Yes No		
I	f you	answered "No" to	either line 6a or line 6b, the plan ca	nnot use Form 5500-SF	and must instead use	Form	1 5500.			
C If	f the p	olan is a defined ben	efit plan, is it covered under the PBG0	C insurance program (see	ERISA section 4021)?		Yes No	Not determined		
Cauti	on: A	penalty for the late	or incomplete filing of this return/	report will be assessed	unless reasonable cau	ıse is	established.			
			other penalties set forth in the instruct					able, a Schedule		
		edule MB completed true, correct, and cor	and signed by an enrolled actuary, as	well as the electronic ver	sion of this return/report	t, and	to the best of my	knowledge and		
Dellei,	, 11 15 1	rue, correct, and cor	npiete.	,	1					
SIGN		Filed with authorize	d/valid electronic signature.	10/15/2014	PAUL JANSON					
HERE		Signature of plan	administrator	Date	Enter name of individ	ual signing as plan administrator				
SIGN		Filed with authorize	d/valid electronic signature.	10/15/2014	PAUL JANSON					
HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer					gning as employe	er or plan sponsor				
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) Preparer's telephone number (

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Day	Dant III Financial Information									
7 Ta	Part III Financial Information									
	Plan Assets and Liabilities Total plan assets	70	(a) Beginning of Yea		(b) End of Year 401322)	
<u>а</u> b	Total plan assets Total plan liabilities	7a 7b		0					(
	Net plan assets (subtract line 7b from line 7a)	76 7c	26215	_					101322)
8			(a) Amount				(b)	Total		•
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:			(a) Amount				(D)	TOLAI		
	Employers			4						
	(2) Participants	Participants								
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	2672	9						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1	41129	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	10	6						
е_	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	185	7						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							1963	3
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					139166			
j	Transfers to (from) the plan (see instructions)	8j		0						
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2J 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instr	uctions	S:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instru	ctions:		
Part V Compliance Questions										
10	During the plan year:				Yes	No		Am	ount	
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	Was the plan covered by a fidelity bond?			10c	X					25000
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd, that was caused by fraud	10d		X				20000
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			100						
·	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part VI Pension Funding Compliance										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12										
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
	Enter the minimum required contribution for this plan year	•				12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	rt VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a	Name of trust	14b Trust's EIN					