Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2013 or fiscal plan year beginning 12/01/2013 and ending 12/31/2013

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [X] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [X] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
SCHLAGE LOCK COMPANY LLC PENSION PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 12/01/2013

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

SCHLAGE LOCK COMPANY LLC

11819 NORTH PENNSYLVANIA STREET
CARMEL, IN 46032

2b Employer Identification Number (EIN)
54-2139412

2c Sponsor's telephone number
317-810-3700

2d Business code (see instructions)
332510

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2014	PEGGY MIFFLIN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2014	PEGGY MIFFLIN
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address ☐ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address

SCHLAGE LOCK COMPANY LLC

11819 NORTH PENNSYLVANIA STREET
CARMEL, IN 46032

3b Administrator's EIN
54-2139412

3c Administrator's telephone number
317-810-3700

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	6126
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	2471
b	Retired or separated participants receiving benefits	**6b**	1389
c	Other retired or separated participants entitled to future benefits	**6c**	2002
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	5862
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	211
f	Total. Add lines **6d** and **6e**.	**6f**	6073
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
1A 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	☐	Insurance
(2)	☐	Code section 412(e)(3) insurance contracts
(3)	☒	Trust
(4)	☐	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	☐	Insurance
(2)	☐	Code section 412(e)(3) insurance contracts
(3)	☒	Trust
(4)	☐	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	☒	**R** (Retirement Plan Information)
(2)	☐	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	☒	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	☒	**H** (Financial Information)
(2)	☐	**I** (Financial Information – Small Plan)
(3)	☐	**A** (Insurance Information)
(4)	☐	**C** (Service Provider Information)
(5)	☒	**D** (DFE/Participating Plan Information)
(6)	☐	**G** (Financial Transaction Schedules)

SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 12/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan
SCHLAGE LOCK COMPANY LLC PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
SCHLAGE LOCK COMPANY LLC

D Employer Identification Number (EIN)
54-2139412

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [X] 100 or fewer [ ] 101-500 [ ] More than 500

Part I Basic Information

1	Enter the valuation date: Month _____ Day _____ Year _____			
2	Assets:			
	a Market value	2a		212387026
	b Actuarial value	2b		212387026
3	Funding target/participant count breakdown:		(1) Number of participants	(2) Funding Target
	a For retired participants and beneficiaries receiving payment	3a	1520	69894613
	b For terminated vested participants	3b	2000	44121763
	c For active participants:			
	(1) Non-vested benefits	3c(1)		243645
	(2) Vested benefits	3c(2)		63381572
	(3) Total active	3c(3)	2606	63625217
	d Total	3d	6126	177641593
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]			
	a Funding target disregarding prescribed at-risk assumptions	4a		
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5	Effective interest rate	5		6.26 %
6	Target normal cost	6		350973

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

Signature of actuary	10/10/2014 Date
MICHAEL J. GAGLIARDI Type or print name of actuary	14-06815 Most recent enrollment number
TOWERS WATSON DELAWARE, INC. Firm name	617-638-3700 Telephone number (including area code)
800 BOYLSTON ST. BOSTON, MA 02199-8103 Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)		
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9	Amount remaining (line 7 minus line 8)	0	0
10	Interest on line 9 using prior year's actual return of _____%		
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		
	b Interest on (a) using prior year's effective interest rate of _____% except as otherwise provided (see instructions)		
	c Total available at beginning of current plan year to add to prefunding balance		0
	d Portion of (c) to be added to prefunding balance		
12	Other reductions in balances due to elections or deemed elections		
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	32901955	0

Part III Funding Percentages

14	Funding target attainment percentage	14	101.04 %
15	Adjusted funding target attainment percentage	15	119.56 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
			Totals ► 18(b)	0	18(c) 0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
	b Contributions made to avoid restrictions adjusted to valuation date	19b	0
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

1st segment:	2nd segment:	3rd segment:	
4.94%	6.15%	6.76 %	☐ N/A, full yield curve used

b Applicable month (enter code)	**21b**	4
22 Weighted average retirement age	**22**	63

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes	☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6)	**31a**	350973
b Excess assets, if applicable, but not greater than line 31a	**31b**	350973

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment		
b Waiver amortization installment		

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement			0

36 Additional cash requirement (line 34 minus line 35)	**36**	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	0

38 Present value of excess contributions for current year (see instructions)

a Total (excess, if any, of line 37 over line 36)	**38a**	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☐ 2 plus 7 years ☐ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

42 Amount of acceleration adjustment	**42**	
43 Excess installment acceleration amount to be carried over to future plan years	**43**	

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 12/01/2013 and ending 12/31/2013

A Name of plan
SCHLAGE LOCK COMPANY LLC PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
SCHLAGE LOCK COMPANY LLC

D Employer Identification Number (EIN)
54-2139412

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA DAILY MSCI WORLD INDEX NL FUND

b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
90-0337987-382	P	35834887

a Name of MTIA, CCT, PSA, or 103-12 IE: SSGA DAILY MSCI EMGMKT INDX NL FUND

b Name of sponsor of entity listed in (a): STATE STREET GLOBAL ADVISORS

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
04-0025081-192	P	4239119

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 12/01/2013 and ending 12/31/2013

A Name of plan
SCHLAGE LOCK COMPANY LLC PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
SCHLAGE LOCK COMPANY LLC

D Employer Identification Number (EIN)
54-2139412

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		23600610
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		1494446
(2) U.S. Government securities	1c(2)		74945104
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		68195865
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)		42085263
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	0	210321288
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		1817175
k Total liabilities (add all amounts in lines 1g through1j)	1k	0	1817175
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	0	208504113

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)		
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)	528157	
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		528157
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	40678	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		40678
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	366774	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	462675	
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		-95901
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	156715	
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		156715

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		629649
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	988875	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		988875
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)	145	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		145
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		989020
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		-359371
l Transfers of assets:			
(1) To this plan	2l(1)		208863484
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified (2) [ ] Qualified (3) [X] Disclaimer (4) [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: CLIFTONLARSONALLEN LLP (2) EIN: 41-0746749

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. (2) [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		20000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n		X	

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☒ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 12/01/2013 and ending 12/31/2013

A Name of plan
SCHLAGE LOCK COMPANY LLC PENSION PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
SCHLAGE LOCK COMPANY LLC

D Employer Identification Number (EIN)
54-2139412

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): _____ _____

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3** 8

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☒ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☒ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: 21 % Investment-Grade Debt: 79 % High-Yield Debt: 0 % Real Estate: 0 % Other: 0 %

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☒ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

CliftonLarsonAllen LLP
9339 Priority Way West Drive, Suite 200
Indianapolis, IN 46240
317-574-9100 | fax 317-574-9707
www.CLAconnect.com

INDEPENDENT AUDITORS' REPORT

Plan Administrator
Schlage Lock Company LLC Pension Plan
Carmel, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Schlage Lock Company LLC Pension Plan (the Plan), which comprise the statement of net assets available for benefits as of December 31, 2013, and the related statement of changes in net assets available for benefits for the period of December 1, 2013 through December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by State Street Bank and Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of December 31, 2013 and for the period of December 1, 2013 through December 31, 2013, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules, schedule of assets held at end of year as of December 31, 2013 and schedule of reportable transactions for the period of December 1, 2013 to December 31, 2013, are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Clifton Larson Allen LLP

Indianapolis Indiana
October 13, 2014

Schedule SB – Statement by Enrolled Actuary

Plan Sponsor	Schlage Lock Company LLC
EIN/PN	54-2139412/001
Plan Name	Schlage Lock Company LLC Pension Plan
Valuation Date	January 1, 2013
Enrolled Actuary	Michael J. Gagliardi
Enrollment Number	14-06815

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 12/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan: Schlage Lock Company LLC Pension Plan

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF: Schlage Lock Company LLC

D Employer Identification Number (EIN): 54-2139412

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 12 Day 1 Year 2013

2 Assets:

a Market value	2a	212,387,026
b Actuarial value	2b	212,387,026

3 Funding target/participant count breakdown:

		(1) Number of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment	3a	1,520	69,894,613
b For terminated vested participants	3b	2,000	44,121,763
c For active participants:			
(1) Non-vested benefits	3c(1)		243,645
(2) Vested benefits	3c(2)		63,381,572
(3) Total active	3c(3)	2,606	63,625,217
d Total	3d	6,126	177,641,593

4 If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]

a Funding target disregarding prescribed at-risk assumptions	4a	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	

5 Effective interest rate	5	6.26 %
6 Target normal cost	6	350,973

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE Michael J. Gagliardi MJG — Signature of actuary

10/10/2014 — Date

Michael J. Gagliardi — Type or print name of actuary

14-06815 — Most recent enrollment number

Towers Watson Delaware, Inc. — Firm name

(617) 638-3700 — Telephone number (including area code)

800 Boylston St.

Boston MA 02199-8103 — Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)		
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9	Amount remaining (line 7 minus line 8)	0	0
10	Interest on line 9 using prior year's actual return of _____%		
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		
	b Interest on (a) using prior year's effective interest rate of _____% except as otherwise provided (see instructions)		
	c Total available at beginning of current plan year to add to prefunding balance		0
	d Portion of (c) to be added to prefunding balance		
12	Other reductions in balances due to elections or deemed elections		
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	32,901,955	0

Part III Funding Percentages

14	Funding target attainment percentage	14	101.04 %
15	Adjusted funding target attainment percentage	15	119.56 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)		(b) Amount paid by employer(s)	(c) Amount paid by employees	
			Totals ▶	18(b)	0	18(c)	0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
	b Contributions made to avoid restrictions adjusted to valuation date	19b	0
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

1st segment:	2nd segment:	3rd segment:	☐ N/A, full yield curve used
4.94 %	6.15 %	6.76 %	

b Applicable month (enter code)	**21b**	4
22 Weighted average retirement age	**22**	63

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment		☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment		☒ Yes ☐ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment		☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6)	**31a**	350,973
b Excess assets, if applicable, but not greater than line 31a	**31b**	350,973

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment		
b Waiver amortization installment		

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement			0

36 Additional cash requirement (line 34 minus line 35)	**36**	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	0
38 Present value of excess contributions for current year (see instructions)		
a Total (excess, if any, of line 37 over line 36)	**38a**	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☐ 2 plus 7 years ☐ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

42 Amount of acceleration adjustment	**42**	
43 Excess installment acceleration amount to be carried over to future plan years	**43**	

Schedule SB – Statement by Enrolled Actuary

Plan Sponsor	Schlage Lock Company LLC
EIN/PN	54-2139412/001
Plan Name	Schlage Lock Company LLC Pension Plan
Valuation Date	January 1, 2013
Enrolled Actuary	Michael J. Gagliardi
Enrollment Number	14-06815

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

Schedule SB Attachment

Valuation Date – Line 1

Portions of the following three plans were spunoff on December 1, 2013 and merged to form the new Schlage Lock Compay LLC Pension Plan (Schlage):

- Ingersoll Rand Pension Plan Number One (Plan One)
- Trane Merged Hourly Pension Plan (TMH)
- Retirement Plan for Former Employees of Ingersoll Rand Company (Plan 50)

While line item 1 is listed as December 1, 2013, this was done to avoid error messages in Relius. The Schlage Plan did not establish a new valuation date as of December 1, 2013, but rather split results from the prior plans as of January 1, 2013.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB Attachment

Carryover balance – Line 13

The Schlage Lock Company LLC Pension Plan was effective December 1, 2013 after the spinoff and merger of portions of three plans from Ingersoll Rand. A portion of one of the Ingersoll Rand plan's carryover balance was spunoff to the Schlage plan.

As a result, there were no values in the prior year. The carryover balance shown in line 13 is as of January 1, 2013.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Line 22
Description of Weighted Average Retirement Age
as of January 1, 2013

Employees are assumed to retire in accordance with the following probabilities:

(a) Salaried employees:

Age	Probability
55-59	2%
60-61	0%
62	40%
63-64	5%
65	40%

(b) Bargaining employees:

Age	Probability
55-59	3%
60-61	5%
62	20%
63-64	5%
65	45%

The average expected retirement age for active participants, to the nearest integer, is age 63. This average age was determined by summing the following product for assumed retirement ages 55 through 65: (i) the number of expected employees at the applicable age times (ii) the assumed rate of retirement at the applicable age times (iii) the applicable age, where the employee is assumed to exist at age 55 and are then assumed to retire in accordance with the probabilities shown above. This result is then weighted by the relative number of employees in each group.

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Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412/001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB Attachment

Change in Method – Line 25

The method for development of the 2013 plan year minimum required contribution changed as a result of the merger of spinoffs from three plans. Portions of the following three plans were spunoff on December 1, 2013 and merged to form the new Schlage Lock Compay LLC Pension Plan (Schlage):

- Ingersoll Rand Pension Plan Number One (Plan One)
- Trane Merged Hourly Pension Plan (TMH)
- Retirement Plan for Former Employees of Ingersoll Rand Company (Plan 50)

Plan One was a non de minimis spinoff while TMH and Plan 50 were both deminimis spinoffs.

The 2013 minimum required contribution for Plan One was zero prior to the spinoff since the plan was over 100% funded after subtracting credit balance and the excess assets more than offset the normal cost of the plan. There were no SAI's.

The 2013 minimum required contribution for the Schlage Plan for the short plan year December 1, 2013 to December 31, 2013 was based on splitting assets and liabilities as of January 1, 2013. The Schlage Plan did not establish a new valuation date as of December 1, 2013, but rather used the split results as of January 1, 2013.

Since there was no 2013 minimum required contribution in Plan One and the other two plans were de minimis spinoffs, there was no 2013 minimum funding requirement for the Schalge Plan.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Line 26 attachment

Schedule of Active Participant Data as of January 1, 2013

Age	0	1	2	3	4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40 & up
Under 25		13	8	4		3							
25 - 29		17	19	15	13	62	4						
						$54,231							
30 - 34		13	15	11	3	72	50	9					
						$61,726	$59,437						
35 - 39		10	22	16	8	66	64	42	1				
			$90,330			$73,127	$77,800	$58,240					
40 - 44		16	21	13	6	74	94	66	36	6			
			$90,878			$75,831	$82,830	$63,336	$66,572				
45 - 49		19	16	13	8	87	95	75	75	31	9		
						$92,212	$86,471	$70,478	$65,369	$63,723			
50 - 54		10	22	16	6	88	137	68	75	57	30	14	
			$96,872			$70,273	$84,158	$67,219	$58,938	$68,374	$71,860		
55 - 59		4	13	6	2	59	106	62	111	38	15	19	1
						$67,835	$75,054	$72,049	$54,913	$70,655			
60 - 64	1		5	3	2	32	59	33	67	14	10	16	13
						$78,356	$78,382	$73,314	$51,743				
65 - 69		2	1			11	13	7	19	3	2	5	2
70 & up							2		4		1		

Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412 / 001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB Attachment

Target Normal Cost – Line 31a

The normal cost reflects one month (December) related to the short plan year from December 1, 2013 to December 31, 2013. The normal cost was measured as of January 1, 2013 resulting from the split up of the predecessor plans during a spinoff.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Economic Assumptions

Interest rate basis:

• Applicable month	**September**
• Interest rate basis	**3-Segment Rates**

Interest rates:

	Reflecting Corridors (without regard to HATFA)	Not Reflecting Corridors
• First segment rate	**4.94%**	**1.75%**
• Second segment rate	**6.15%**	**4.62%**
• Third segment rate	**6.76%**	**5.72%**
• Effective interest rate	**6.26%**	**4.89%**

Annual rates of increase

• Compensation:	**5.25%**
• Future Social Security wage bases	**4.50%**
• Statutory limits on compensation	**N/A**

Assumed Cost of Living Adjustments

0.00%

Inclusion Date

The valuation date coincident with or next following the date on which the employee becomes a participant.

Mortality

For non-disabled participants: The prescribed mortality assumption under Section 430(h)(3)(A) of the Internal Revenue Code using static tables with separate mortality rates for annuitants and non-annuitants.

For disabled participants: Mortality in accordance with Revenue Ruling 96-7.

Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412 / 001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Retirement

Employees are assumed to retire in accordance with the following probabilities:

Salaried employees:

Percentage retiring during the year	
Age	**Probability**
55-59	2%
60-61	0%
62	40%
63-64	5%
65	40%

Bargaining employees:

Percentage retiring during the year	
Age	**Probability**
55-59	3%
60-61	5%
62	20%
63-64	5%
65	45%

Terminated Vested participants:
Assumed to retire at age 65 for former Plan One and former Plan 50 participants, and age 62 for former Trane Merged Hourly participants.

Plan-related Expenses
It is assumed that $0 in administrative expenses will be paid out of the trust in December 2013. This amount is added to the target normal cost.

Disability

The 1985 Wyatt Pension Disability Study Unisex Class Three rates of disablement are used for bargaining employees. The 1985 Wyatt Pension Disability Study Unisex Class One rates of disablement are used for non-bargaining employees.

Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412 / 001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Withdrawal

The rates of withdrawal used for the first three years of service are 30%, 25% and 20%, respectively. Thereafter, the rates vary by age as shown below for the following illustrative ages:

Percentage leaving during the year

Age	Unisex Rate of Withdrawal
25	9.9%
30	6.9%
35	4.9%
40	2.8%
45	1.7%
50	0.4%
55	0.0%

Form of Payment

(a) All Salaried and Non-Bargaining Participants

33% of all participants who retire directly from active service after age 55 are assumed to elect the single life annuity or, if married, the actuarial equivalent 50% joint and survivor annuity. The single life annuity includes a ten year guarantee; the joint and survivor annuity is assumed to be equivalent to this form of payment.

67% of all participants who retire directly from active service after age 55 and 100% of vested employees who terminate employment prior to age 55 are assumed to elect the lump sum payment option. The lump sum payable is assumed to be the greater of:

i. the immediate life annuity multiplied by 10; and

ii. the actuarially equivalent lump sum of the deferred life annuity, determined using the interest rates based on the yield curve used for the determination of the Funding Target and the applicable mortality under Revenue Ruling 2007-67.

(b) Former Plan One Employees with Deferred Vested Benefits

All participants are assumed to elect a single life annuity, with 120 payments guaranteed for participants who terminated on or after January 1, 2003.

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Valuation date

First day of plan year

Funding target

Present value of accrued benefits

Target normal cost

Present value of benefits expected to accrue during plan year plus plan-related expenses expected to be paid from plan assets during plan year.

Marriage

Actual data is used for retired participants. For all other former Plan One participants, 85% of males and 55% of females are assumed to be married. For all other former Trane Merged Hourly participants, 80% of males and females are assumed to be married. Females are assumed to be 3 years younger than their husbands.

Employees

It was assumed that there will be no new or rehired employees.

Asset Method

Average of the fair market value of assets on the valuation date and the two immediately preceding valuation dates, adjusted for contributions, benefits, administrative expenses and expected earnings (with such expected earnings limited as described in IRS Notice 2009-22). The average asset value must be within 10% of market value, including discounted contributions receivable (discounted using the effective interest rate for the 2013 plan year).

The method of computing the actuarial value of assets complies with rules governing the calculation of such values under the Pension Protection Act of 2006 (PPA). These rules produce smoothed values that reflect the underlying market value of plan assets but fluctuate less than the market value. As a result, the actuarial value of assets will be lower than the market value in some years and greater in other years. However, over the long term under PPA's smoothing rules, the method has a significant bias to produce an actuarial value of assets that is below the market value of assets.

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Participant Data

Employee data was supplied by Ingersoll Rand as of the census date due to the spin-off. We have not independently verified the accuracy or completeness of the data or information provided, but we have performed limited checks for reasonableness. The following assumptions were made for missing data elements:

- In cases where an accrued benefit was not provided for a terminated vested participant we have estimated the benefit where data is available to reasonably do so. If data is not available to estimate the benefits, we have used an amount equal to the average accrued benefit for the bargained employees or nonbargained employees, whichever is applicable to the specific employee.
- For terminated vested participants for whom a date of termination is not provided, we have assumed the termination occurred prior to 2003.
- Terminated vested participants over age 65 who have not commenced benefits under the plan are assumed to receive benefits actuarially increased from age 65 to January 1, 2013 under the actuarial equivalence basis detailed in the plan document. Any terminated vested participant over age 90 who is not in receipt of a benefit is assumed to be deceased.
- No adjustment is made to estimate the Qualified Preretirement Survivor Annuity (QPSA) charge which is applicable to certain terminated vested participants who terminated employment prior to 2003.
- The December 31, 2002 accrued benefit ("A-piece") and December 31, 2002 credited service for certain active salaried and non-bargained employees, as used in the valuation, are estimates to the extent they have not yet been certified by the employer.
- The December 31, 2012 frozen accrued benefits for employees who chose to freeze benefits under the plan under Retirement Savings Choice are estimates to the extent they have not yet been certified by the employer.

Tax Policy

The actuarial valuation performed for the plan year ending December 31, 2013 is used to determine the maximum deductible contribution for the tax year ending December 31, 2013.

Benefits not Included in Valuation

None.

Changes in Assumptions and Methods Since Last Actuarial Valuation

N/A

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412 / 001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Part V
Summary of Plan Provisions

Summary of principal plan provisions applicable for all non-bargained hourly and salaried employees who terminate on or after January 1, 2003.

Plan Sponsor

Schlage Lock Company LLC

Plan

Schlage Lock Company LLC Pension Plan

Effective Date and Most Recent Amendment

The Plan was originally effective December 1, 2013.

Plan Year

The twelve-month period ending December 31.

Coverage and Participation

Any participant that was transferred to this plan as of the spin off on December 1, 2013 is included in the plan.

Credited Service

One month of service is credited for benefit purposes for each month where the employee works as a participant of the plan or prior plan for at least one day of the month, subject to any exclusions in the plan.

Vesting Service and Vesting

One month of service is credited for eligibility purposes for each month where the employee works as a participant of the plan or prior plan for at least one day of the month, subject to any exclusions in the plan. Additional vesting service may be credited for participation with a previous employer within the control group.

A participant is vested after completing five years of vesting service.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412 / 001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Part V
Summary of Plan Provisions

Final Average Compensation/Salary

The highest average of a participant's annual compensation for a 5 consecutive calendar year period during the last 10 calendar years preceding the date of termination of employment.

Normal Retirement Benefit

Normal Retirement Date: An employee is eligible for a normal retirement benefit on the last day of the calendar month following the month in which he reaches age 65.

Benefit Formula: The monthly benefit payable as a single life annuity at Normal Retirement Date is equal to the greater of [(i) + (ii) + (iii)] and (iv) below:

(i) monthly accrued benefit at December 31, 2002 under the plan provisions in effect on December 31, 2002

(ii) 0.5% of Final Average Pay times years of credited service earned after December 31, 2002

(iii) Plan One Transition Benefit: 0.5% of Final Average Pay in excess of $30,000 times years of credited service earned after December 31, 2002. This transition benefit applies only to employees who were participants in this plan on December 31, 2002, are at least age 50 and whose age plus service exceeds 60 on January 1, 2003

(iv) 0.5% of Final Average Pay times all years of credited service

Schedule SB, Part V
Summary of Plan Provisions

Normal and Optional Forms of Benefit: For an unmarried participant the normal form of payment is a monthly pension for the life of the employee. This single life annuity for an unmarried participant includes a ten year payment guarantee. For a married participant the normal form of payment is a reduced monthly pension which provides for a continuation of payments equal to one-half of the reduced pension to the surviving spouse after the employee's death. Any employee may elect, by written application, to receive the normal benefit or other optional forms of benefit payment. Optional forms of payment include a lump sum payment, a contingent annuity and a life with term certain annuity.

Late Retirement

Effective January 1, 1989, employees may work past the age of 65 and continue to earn credited service. The benefit formula and forms are the same as with a normal retirement and commence on the last day of the month succeeding the participant's late retirement.

Early Retirement Benefit

Eligibility: Every employee eligible for a vested benefit is entitled to commence benefits as of the first day of the calendar month following his separation from service or on the first day of any calendar month thereafter.

Benefit: The early retirement benefit is equal to the normal retirement benefit reduced by 0.3% for each whole calendar month that the benefit commencement date precedes the normal retirement date, provided that the reduction not exceed 85%.

Normal and Optional Forms of Benefit: The forms of payment are the same as for normal retirement, except that some optional payment forms are not available to participants who commence benefits before age 55.

Pre-2004 Disability Benefit

Eligibility: Employees who are totally and permanently disabled prior to January 1, 2004 and receiving benefits under the Schlage Lock Company LLC Long Term Disability Plan are eligible provided they have 15 years of Vesting Service and are not receiving a benefit under the plan. Benefit: Same as normal retirement based on service while receiving LTD benefits and compensation in last full year prior to disability.

Waiting Period: Following cessation of LTD benefit payments at normal or late retirement date.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412 / 001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Part V
Summary of Plan Provisions

Post-2004 Disability Benefit

Any vested participant who becomes disabled after December 31, 2003 is entitled to a normal or early retirement benefit.

Death Benefits Prior to Retirement

Eligibility: The surviving spouse of a vested employee who is married on his date of death or the designated beneficiary of a vested employee who is not married on his date of death is eligible for a pre-retirement death benefit. Non-spouse beneficiaries are permitted under these provisions, subject to IRS consent rules.

Benefit: The pre-retirement death benefit payable to the designated beneficiary of an employee who is not married on his date of death is equal to one half of the benefit which would be payable to the participant had the participant separated from service on the date of death and elected to commence a single life annuity, payable as a lump sum.

The pre-retirement death benefit payable to the surviving spouse of a participant who is married on his date of death is equal to one half the benefit that would be payable to the participant had the participant separated from service on the date of death, survived to the benefit commencement date elected by the spouse and elected to commence a single life annuity.

Normal and Optional Forms of Benefit: The benefit is paid monthly for the life of the surviving spouse. However, the surviving spouse may elect to receive the pre-retirement death benefit in a lump sum in lieu of a life annuity. For unmarried participants the benefit is paid as a lump sum.

Pension Increases

Not applicable

Plan Participants' Contributions

Not applicable

Changes in Plan Provisions since Last Actuarial Valuation

Not applicable

Schedule SB, Part V
Summary of Plan Provisions

Summary of principal plan provisions applicable to Trane Merged Hourly Participants

Plan Sponsor

Schlage Lock Company LLC

Plan

Schlage Lock Company LLC Pension Plan

Effective Date and Most Recent Amendment

The plan was originally effective December 1, 2013.

Plan Year

The twelve-month period ending December 31.

Schedule SB, Part V
Summary of Plan Provisions

Summary of principal plan provisions applicable to bargained Steelcraft Employees.

Plan Sponsor

Schlage Lock Company LLC

Plan

Schlage Lock Company LLC Pension Plan

Effective Date and Most Recent Amendment

The plan was originally effective December 1, 2013.

Plan Year

The twelve-month period ending December 31.

Coverage and Participation

All hourly employees of Steelcraft Manufacturing Company who transferred to this plan as of the spin off on December 1, 2013 is included in the plan.

Credited Service

One month of service is credited for benefit purposes for each month where the employee works as a participant of the plan or prior plan for at least 15 days of the month, subject to any exclusions in the plan.

Vesting Service and Vesting

One month of service is credited for eligibility purposes for each month where the employee works as a participant of the plan or prior plan for at least 15 days of the month, subject to any exclusions in the plan. Additional vesting service may be credited for participation with a previous employer within the control group.

A participant is vested after they completed five years of vesting service.

Schedule SB, Part V
Summary of Plan Provisions

Final Average Compensation/Salary

Not applicable

Normal Retirement Benefit

Normal Retirement Date: An employee who reaches his normal retirement date shall be eligible for a normal retirement benefit. An employee's normal retirement date shall be the first day of the month following his 65th birthday.

Benefit Formula: The normal retirement benefit is a monthly pension as follows:

Credited Service Earned	Monthly Benefit Per Year of Credited Service
Prior to 1/1/1996	$13.00
After 1/1/1996	$24.00

Normal and Optional Forms of Benefit: The normal form of payment is the 50% joint & survivor annuity for married employees and a single life annuity for unmarried employees. Any employee may elect, by written application, to receive the normal benefit or other optional forms of benefit payment.

Late Retirement

Employees may work past the age of 65 and continue to earn credited service. The benefit formula and forms are the same as with a normal retirement and commence on the last day of the month succeeding the participant's late retirement.

Early Retirement Benefit

Eligibility: Employees with 5 or more years of service and who have attained age 55 may retire and are eligible for an early retirement benefit.

Benefit: The same as normal retirement but reduced by 1/2 of 1% for each month under age 65.

Normal and Optional Forms of Benefit: Same as normal retirement.

Vested Benefits Upon Termination of Service

Eligibility: An Employee whose employment is terminated before death or retirement and who have 5 or more years of service are eligible for a vested deferred retirement benefit.

Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412 / 001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB, Part V
Summary of Plan Provisions

Benefit: The employee's accrued benefit under the normal retirement benefit formula is provided.

Normal and Optional Forms of Benefit: Same as normal retirement.

Disability Benefit

Eligibility: Employees with 10 or more years of vesting service who become totally and permanently disabled are eligible for a disability benefit.

Benefit: The monthly benefit payable is equal to the Accrued Benefit at time of Disability Retirement.

Normal and Optional Forms of Benefit: Same as normal retirement.

Death Benefits Prior to Retirement

Eligibility: The surviving spouse of an employee who dies before termination of employment or retirement but after having completed 5 years of service may be entitled to receive a monthly pension

Benefit: A 50% survivor annuity will be paid to the participant's spouse. Benefits to the spouse are payable on the first day of the month following the participant's death, or if later, the first day of the month following the participant's 55th birthday. Benefit payable is the accrued benefit at date of death reduced for early commencement, if applicable, by the formula described above.

Normal and Optional Forms of Benefit: The surviving spouse's benefit is paid monthly for the life of the surviving spouse.

Pension Increases

Not applicable

Plan Participants' Contributions

Not applicable

Changes in Plan Provisions since Last Actuarial Valuation

Not applicable

Schedule SB Attachment

Carryover balance – Line 13

The Schlage Lock Company LLC Pension Plan was effective December 1, 2013 after the spinoff and merger of portions of three plans from Ingersoll Rand. A portion of one of the Ingersoll Rand plan's carryover balance was spunoff to the Schlage plan.

As a result, there were no values in the prior year. The carryover balance shown in line 13 is as of January 1, 2013.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Line 22
Description of Weighted Average Retirement Age
as of January 1, 2013

Employees are assumed to retire in accordance with the following probabilities:

(a) Salaried employees:

Age	Probability
55-59	2%
60-61	0%
62	40%
63-64	5%
65	40%

(b) Bargaining employees:

Age	Probability
55-59	3%
60-61	5%
62	20%
63-64	5%
65	45%

The average expected retirement age for active participants, to the nearest integer, is age 63. This average age was determined by summing the following product for assumed retirement ages 55 through 65: (i) the number of expected employees at the applicable age times (ii) the assumed rate of retirement at the applicable age times (iii) the applicable age, where the employee is assumed to exist at age 55 and are then assumed to retire in accordance with the probabilities shown above. This result is then weighted by the relative number of employees in each group.

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Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Economic Assumptions

Interest rate basis:

• Applicable month	**September**
• Interest rate basis	**3-Segment Rates**

Interest rates:

	Reflecting Corridors (without regard to HATFA)	Not Reflecting Corridors
• First segment rate	**4.94%**	**1.75%**
• Second segment rate	**6.15%**	**4.62%**
• Third segment rate	**6.76%**	**5.72%**
• Effective interest rate	**6.26%**	**4.89%**

Annual rates of increase

• Compensation:	**5.25%**
• Future Social Security wage bases	**4.50%**
• Statutory limits on compensation	**N/A**

Assumed Cost of Living Adjustments

0.00%

Inclusion Date

The valuation date coincident with or next following the date on which the employee becomes a participant.

Mortality

For non-disabled participants: The prescribed mortality assumption under Section 430(h)(3)(A) of the Internal Revenue Code using static tables with separate mortality rates for annuitants and non-annuitants.

For disabled participants: Mortality in accordance with Revenue Ruling 96-7.

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Retirement

Employees are assumed to retire in accordance with the following probabilities:

Salaried employees:

Percentage retiring during the year	
Age	**Probability**
55-59	2%
60-61	0%
62	40%
63-64	5%
65	40%

Bargaining employees:

Percentage retiring during the year	
Age	**Probability**
55-59	3%
60-61	5%
62	20%
63-64	5%
65	45%

Terminated Vested participants:
Assumed to retire at age 65 for former Plan One and former Plan 50 participants, and age 62 for former Trane Merged Hourly participants.

Plan-related Expenses
It is assumed that $0 in administrative expenses will be paid out of the trust in December 2013. This amount is added to the target normal cost.

Disability

The 1985 Wyatt Pension Disability Study Unisex Class Three rates of disablement are used for bargaining employees. The 1985 Wyatt Pension Disability Study Unisex Class One rates of disablement are used for non-bargaining employees.

Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412 / 001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Withdrawal

The rates of withdrawal used for the first three years of service are 30%, 25% and 20%, respectively. Thereafter, the rates vary by age as shown below for the following illustrative ages:

Percentage leaving during the year	
Age	**Unisex Rate of Withdrawal**
25	9.9%
30	6.9%
35	4.9%
40	2.8%
45	1.7%
50	0.4%
55	0.0%

Form of Payment

(a) All Salaried and Non-Bargaining Participants

33% of all participants who retire directly from active service after age 55 are assumed to elect the single life annuity or, if married, the actuarial equivalent 50% joint and survivor annuity. The single life annuity includes a ten year guarantee; the joint and survivor annuity is assumed to be equivalent to this form of payment.

67% of all participants who retire directly from active service after age 55 and 100% of vested employees who terminate employment prior to age 55 are assumed to elect the lump sum payment option. The lump sum payable is assumed to be the greater of:

i. the immediate life annuity multiplied by 10; and

ii. the actuarially equivalent lump sum of the deferred life annuity, determined using the interest rates based on the yield curve used for the determination of the Funding Target and the applicable mortality under Revenue Ruling 2007-67.

(b) Former Plan One Employees with Deferred Vested Benefits

All participants are assumed to elect a single life annuity, with 120 payments guaranteed for participants who terminated on or after January 1, 2003.

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Valuation date

First day of plan year

Funding target

Present value of accrued benefits

Target normal cost

Present value of benefits expected to accrue during plan year plus plan-related expenses expected to be paid from plan assets during plan year.

Marriage

Actual data is used for retired participants. For all other former Plan One participants, 85% of males and 55% of females are assumed to be married. For all other former Trane Merged Hourly participants, 80% of males and females are assumed to be married. Females are assumed to be 3 years younger than their husbands.

Employees

It was assumed that there will be no new or rehired employees.

Asset Method

Average of the fair market value of assets on the valuation date and the two immediately preceding valuation dates, adjusted for contributions, benefits, administrative expenses and expected earnings (with such expected earnings limited as described in IRS Notice 2009-22). The average asset value must be within 10% of market value, including discounted contributions receivable (discounted using the effective interest rate for the 2013 plan year).

The method of computing the actuarial value of assets complies with rules governing the calculation of such values under the Pension Protection Act of 2006 (PPA). These rules produce smoothed values that reflect the underlying market value of plan assets but fluctuate less than the market value. As a result, the actuarial value of assets will be lower than the market value in some years and greater in other years. However, over the long term under PPA's smoothing rules, the method has a significant bias to produce an actuarial value of assets that is below the market value of assets.

Schedule SB, Part V
Statement of Actuarial Assumptions and Methods

Participant Data

Employee data was supplied by Ingersoll Rand as of the census date due to the spin-off. We have not independently verified the accuracy or completeness of the data or information provided, but we have performed limited checks for reasonableness. The following assumptions were made for missing data elements:

- In cases where an accrued benefit was not provided for a terminated vested participant we have estimated the benefit where data is available to reasonably do so. If data is not available to estimate the benefits, we have used an amount equal to the average accrued benefit for the bargained employees or nonbargained employees, whichever is applicable to the specific employee.
- For terminated vested participants for whom a date of termination is not provided, we have assumed the termination occurred prior to 2003.
- Terminated vested participants over age 65 who have not commenced benefits under the plan are assumed to receive benefits actuarially increased from age 65 to January 1, 2013 under the actuarial equivalence basis detailed in the plan document. Any terminated vested participant over age 90 who is not in receipt of a benefit is assumed to be deceased.
- No adjustment is made to estimate the Qualified Preretirement Survivor Annuity (QPSA) charge which is applicable to certain terminated vested participants who terminated employment prior to 2003.
- The December 31, 2002 accrued benefit (“A-piece”) and December 31, 2002 credited service for certain active salaried and non-bargained employees, as used in the valuation, are estimates to the extent they have not yet been certified by the employer.
- The December 31, 2012 frozen accrued benefits for employees who chose to freeze benefits under the plan under Retirement Savings Choice are estimates to the extent they have not yet been certified by the employer.

Tax Policy

The actuarial valuation performed for the plan year ending December 31, 2013 is used to determine the maximum deductible contribution for the tax year ending December 31, 2013.

Benefits not Included in Valuation

None.

Changes in Assumptions and Methods Since Last Actuarial Valuation

N/A

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412 / 001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Part V
Summary of Plan Provisions

Summary of principal plan provisions applicable for all non-bargained hourly and salaried employees who terminate on or after January 1, 2003.

Plan Sponsor

Schlage Lock Company LLC

Plan

Schlage Lock Company LLC Pension Plan

Effective Date and Most Recent Amendment

The Plan was originally effective December 1, 2013.

Plan Year

The twelve-month period ending December 31.

Coverage and Participation

Any participant that was transferred to this plan as of the spin off on December 1, 2013 is included in the plan.

Credited Service

One month of service is credited for benefit purposes for each month where the employee works as a participant of the plan or prior plan for at least one day of the month, subject to any exclusions in the plan.

Vesting Service and Vesting

One month of service is credited for eligibility purposes for each month where the employee works as a participant of the plan or prior plan for at least one day of the month, subject to any exclusions in the plan. Additional vesting service may be credited for participation with a previous employer within the control group.

A participant is vested after completing five years of vesting service.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412 / 001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Part V
Summary of Plan Provisions

Final Average Compensation/Salary

The highest average of a participant's annual compensation for a 5 consecutive calendar year period during the last 10 calendar years preceding the date of termination of employment.

Normal Retirement Benefit

Normal Retirement Date: An employee is eligible for a normal retirement benefit on the last day of the calendar month following the month in which he reaches age 65.

Benefit Formula: The monthly benefit payable as a single life annuity at Normal Retirement Date is equal to the greater of [(i) + (ii) + (iii)] and (iv) below:

(i) monthly accrued benefit at December 31, 2002 under the plan provisions in effect on December 31, 2002

(ii) 0.5% of Final Average Pay times years of credited service earned after December 31, 2002

(iii) Plan One Transition Benefit: 0.5% of Final Average Pay in excess of $30,000 times years of credited service earned after December 31, 2002. This transition benefit applies only to employees who were participants in this plan on December 31, 2002, are at least age 50 and whose age plus service exceeds 60 on January 1, 2003

(iv) 0.5% of Final Average Pay times all years of credited service

Schedule SB, Part V
Summary of Plan Provisions

Normal and Optional Forms of Benefit: For an unmarried participant the normal form of payment is a monthly pension for the life of the employee. This single life annuity for an unmarried participant includes a ten year payment guarantee. For a married participant the normal form of payment is a reduced monthly pension which provides for a continuation of payments equal to one-half of the reduced pension to the surviving spouse after the employee's death. Any employee may elect, by written application, to receive the normal benefit or other optional forms of benefit payment. Optional forms of payment include a lump sum payment, a contingent annuity and a life with term certain annuity.

Late Retirement

Effective January 1, 1989, employees may work past the age of 65 and continue to earn credited service. The benefit formula and forms are the same as with a normal retirement and commence on the last day of the month succeeding the participant's late retirement.

Early Retirement Benefit

Eligibility: Every employee eligible for a vested benefit is entitled to commence benefits as of the first day of the calendar month following his separation from service or on the first day of any calendar month thereafter.

Benefit: The early retirement benefit is equal to the normal retirement benefit reduced by 0.3% for each whole calendar month that the benefit commencement date precedes the normal retirement date, provided that the reduction not exceed 85%.

Normal and Optional Forms of Benefit: The forms of payment are the same as for normal retirement, except that some optional payment forms are not available to participants who commence benefits before age 55.

Pre-2004 Disability Benefit

Eligibility: Employees who are totally and permanently disabled prior to January 1, 2004 and receiving benefits under the Schlage Lock Company LLC Long Term Disability Plan are eligible provided they have 15 years of Vesting Service and are not receiving a benefit under the plan. Benefit: Same as normal retirement based on service while receiving LTD benefits and compensation in last full year prior to disability.

Waiting Period: Following cessation of LTD benefit payments at normal or late retirement date.

Schedule SB, Part V
Summary of Plan Provisions

Post-2004 Disability Benefit

Any vested participant who becomes disabled after December 31, 2003 is entitled to a normal or early retirement benefit.

Death Benefits Prior to Retirement

Eligibility: The surviving spouse of a vested employee who is married on his date of death or the designated beneficiary of a vested employee who is not married on his date of death is eligible for a pre-retirement death benefit. Non-spouse beneficiaries are permitted under these provisions, subject to IRS consent rules.

Benefit: The pre-retirement death benefit payable to the designated beneficiary of an employee who is not married on his date of death is equal to one half of the benefit which would be payable to the participant had the participant separated from service on the date of death and elected to commence a single life annuity, payable as a lump sum.

The pre-retirement death benefit payable to the surviving spouse of a participant who is married on his date of death is equal to one half the benefit that would be payable to the participant had the participant separated from service on the date of death, survived to the benefit commencement date elected by the spouse and elected to commence a single life annuity.

Normal and Optional Forms of Benefit: The benefit is paid monthly for the life of the surviving spouse. However, the surviving spouse may elect to receive the pre-retirement death benefit in a lump sum in lieu of a life annuity. For unmarried participants the benefit is paid as a lump sum.

Pension Increases

Not applicable

Plan Participants' Contributions

Not applicable

Changes in Plan Provisions since Last Actuarial Valuation

Not applicable

Schedule SB, Part V
Summary of Plan Provisions

Summary of principal plan provisions applicable to Trane Merged Hourly Participants

Plan Sponsor

Schlage Lock Company LLC

Plan

Schlage Lock Company LLC Pension Plan

Effective Date and Most Recent Amendment

The plan was originally effective December 1, 2013.

Plan Year

The twelve-month period ending December 31.

Schedule SB, Part V
Summary of Plan Provisions

Summary of principal plan provisions applicable to bargained Steelcraft Employees.

Plan Sponsor

Schlage Lock Company LLC

Plan

Schlage Lock Company LLC Pension Plan

Effective Date and Most Recent Amendment

The plan was originally effective December 1, 2013.

Plan Year

The twelve-month period ending December 31.

Coverage and Participation

All hourly employees of Steelcraft Manufacturing Company who transferred to this plan as of the spin off on December 1, 2013 is included in the plan.

Credited Service

One month of service is credited for benefit purposes for each month where the employee works as a participant of the plan or prior plan for at least 15 days of the month, subject to any exclusions in the plan.

Vesting Service and Vesting

One month of service is credited for eligibility purposes for each month where the employee works as a participant of the plan or prior plan for at least 15 days of the month, subject to any exclusions in the plan. Additional vesting service may be credited for participation with a previous employer within the control group.

A participant is vested after they completed five years of vesting service.

Schedule SB, Part V
Summary of Plan Provisions

Final Average Compensation/Salary

Not applicable

Normal Retirement Benefit

Normal Retirement Date: An employee who reaches his normal retirement date shall be eligible for a normal retirement benefit. An employee's normal retirement date shall be the first day of the month following his 65th birthday.

Benefit Formula: The normal retirement benefit is a monthly pension as follows:

Credited Service Earned	Monthly Benefit Per Year of Credited Service
Prior to 1/1/1996	$13.00
After 1/1/1996	$24.00

Normal and Optional Forms of Benefit: The normal form of payment is the 50% joint & survivor annuity for married employees and a single life annuity for unmarried employees. Any employee may elect, by written application, to receive the normal benefit or other optional forms of benefit payment.

Late Retirement

Employees may work past the age of 65 and continue to earn credited service. The benefit formula and forms are the same as with a normal retirement and commence on the last day of the month succeeding the participant's late retirement.

Early Retirement Benefit

Eligibility: Employees with 5 or more years of service and who have attained age 55 may retire and are eligible for an early retirement benefit.

Benefit: The same as normal retirement but reduced by 1/2 of 1% for each month under age 65.

Normal and Optional Forms of Benefit: Same as normal retirement.

Vested Benefits Upon Termination of Service

Eligibility: An Employee whose employment is terminated before death or retirement and who have 5 or more years of service are eligible for a vested deferred retirement benefit.

Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412 / 001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB, Part V
Summary of Plan Provisions

Benefit: The employee's accrued benefit under the normal retirement benefit formula is provided.

Normal and Optional Forms of Benefit: Same as normal retirement.

Disability Benefit

Eligibility: Employees with 10 or more years of vesting service who become totally and permanently disabled are eligible for a disability benefit.

Benefit: The monthly benefit payable is equal to the Accrued Benefit at time of Disability Retirement.

Normal and Optional Forms of Benefit: Same as normal retirement.

Death Benefits Prior to Retirement

Eligibility: The surviving spouse of an employee who dies before termination of employment or retirement but after having completed 5 years of service may be entitled to receive a monthly pension

Benefit: A 50% survivor annuity will be paid to the participant's spouse. Benefits to the spouse are payable on the first day of the month following the participant's death, or if later, the first day of the month following the participant's 55th birthday. Benefit payable is the accrued benefit at date of death reduced for early commencement, if applicable, by the formula described above.

Normal and Optional Forms of Benefit: The surviving spouse's benefit is paid monthly for the life of the surviving spouse.

Pension Increases

Not applicable

Plan Participants' Contributions

Not applicable

Changes in Plan Provisions since Last Actuarial Valuation

Not applicable

Schedule SB Attachment

Change in Method – Line 25

The method for development of the 2013 plan year minimum required contribution changed as a result of the merger of spinoffs from three plans. Portions of the following three plans were spunoff on December 1, 2013 and merged to form the new Schlage Lock Compay LLC Pension Plan (Schlage):

- Ingersoll Rand Pension Plan Number One (Plan One)
- Trane Merged Hourly Pension Plan (TMH)
- Retirement Plan for Former Employees of Ingersoll Rand Company (Plan 50)

Plan One was a non de minimis spinoff while TMH and Plan 50 were both deminimis spinoffs.

The 2013 minimum required contribution for Plan One was zero prior to the spinoff since the plan was over 100% funded after subtracting credit balance and the excess assets more than offset the normal cost of the plan. There were no SAI's.

The 2013 minimum required contribution for the Schlage Plan for the short plan year December 1, 2013 to December 31, 2013 was based on splitting assets and liabilities as of January 1, 2013. The Schlage Plan did not establish a new valuation date as of December 1, 2013, but rather used the split results as of January 1, 2013.

Since there was no 2013 minimum required contribution in Plan One and the other two plans were de minimis spinoffs, there was no 2013 minimum funding requirement for the Schalge Plan.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB, Line 26 attachment
Schedule of Active Participant Data as of January 1, 2013

Age	0	1	2	3	4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40 & up
Under 25		13	8	4		3							
25 - 29		17	19	15	13	62	4						
						$54,231							
30 - 34		13	15	11	3	72	50	9					
						$61,726	$59,437						
35 - 39		10	22	16	8	66	64	42	1				
			$90,330			$73,127	$77,800	$58,240					
40 - 44		16	21	13	6	74	94	66	36	6			
			$90,878			$75,831	$82,830	$63,336	$66,572				
45 - 49		19	16	13	8	87	95	75	75	31	9		
						$92,212	$86,471	$70,478	$65,369	$63,723			
50 - 54		10	22	16	6	88	137	68	75	57	30	14	
			$96,872			$70,273	$84,158	$67,219	$58,938	$68,374	$71,860		
55 - 59		4	13	6	2	59	106	62	111	38	15	19	1
						$67,835	$75,054	$72,049	$54,913	$70,655			
60 - 64	1		5	3	2	32	59	33	67	14	10	16	13
						$78,356	$78,382	$73,314	$51,743				
65 - 69		2	1			11	13	7	19	3	2	5	2
70 & up							2		4		1		

Plan Name: Schlage Lock Company LLC Pension Plan
EIN / PN: 54-2139412 / 001
Plan Sponsor: Schlage Lock Company LLC
Valuation Date: January 1, 2013

Schedule SB Attachment

Valuation Date – Line 1

Portions of the following three plans were spunoff on December 1, 2013 and merged to form the new Schlage Lock Compay LLC Pension Plan (Schlage):

- Ingersoll Rand Pension Plan Number One (Plan One)
- Trane Merged Hourly Pension Plan (TMH)
- Retirement Plan for Former Employees of Ingersoll Rand Company (Plan 50)

While line item 1 is listed as December 1, 2013, this was done to avoid error messages in Relius. The Schlage Plan did not establish a new valuation date as of December 1, 2013, but rather split results from the prior plans as of January 1, 2013.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

Schedule SB Attachment

Target Normal Cost – Line 31a

The normal cost reflects one month (December) related to the short plan year from December 1, 2013 to December 31, 2013. The normal cost was measured as of January 1, 2013 resulting from the split up of the predecessor plans during a spinoff.

Plan Name:	Schlage Lock Company LLC Pension Plan
EIN / PN:	54-2139412/001
Plan Sponsor:	Schlage Lock Company LLC
Valuation Date:	January 1, 2013

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Schlage Lock Company LLC
Pension Plan
Financial Statements
December 31, 2013

Schlage Lock Company LLC
Pension Plan
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INDEPENDENT AUDITORS' REPORT

Plan Administrator
Schlage Lock Company LLC Pension Plan
Carmel, Indiana

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Schlage Lock Company LLC Pension Plan (the Plan), which comprise the statement of net assets available for benefits as of December 31, 2013, and the related statement of changes in net assets available for benefits for the period of December 1, 2013 through December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by State Street Bank and Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the trustee as of December 31, 2013 and for the period of December 1, 2013 through December 31, 2013, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules, schedule of assets held at end of year as of December 31, 2013 and schedule of reportable transactions for the period of December 1, 2013 to December 31, 2013, are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Indianapolis Indiana
October 13, 2014

Schlage Lock Company LLC
Pension Plan
Statement of Net Assets Available for Benefits
December 31, 2013

		2013
INVESTMENTS, AT FAIR VALUE		
Cash and cash equivalents and short term investments	$	1,494,446
Equity mutual funds		42,085,263
U.S. government and agency obligations		74,945,104
Corporate and non-U.S. bonds		68,195,865
Total investments		186,720,678
RECEIVABLES		
Interest receivable		1,689,946
Securities sold		1,880,578
Net assets transferred into Plan [(1)]		20,030,086
Total receivables		23,600,610
Total assets		210,321,288
LIABILITIES		
Due to broker for securities purchased		1,817,175
Total liabilities		1,817,175
NET ASSETS AVAILABLE FOR BENEFITS	$	208,504,113

(1) Represents a receivable due from the Ingersoll Rand Pension Plan in connection with the spin off from Ingersoll Rand (Note 2).

The accompanying notes are an integral part of these financial statements.

Schlage Lock Company LLC
Pension Plan
Statement of Changes in Net Assets Available for Benefits
Period from December 1, 2013 to December 31, 2013

	2013
ADDITIONS	
Net appreciation in fair value of investments	$ 60,814
Interest and dividends	568,835
Net investment gain	629,649
Total Additions	629,649
DEDUCTIONS	
Benefits paid to participants	988,875
Administrative expenses	145
Total deductions	989,020
Net decrease prior to Plan transfers	(359,371)
Net assets transferred into the plan (Note 1)	208,863,484
NET INCREASE AFTER PLAN TRANSFERS	208,504,113
Net Assets Available for Benefits	
Beginning of Period	-
End of Year	$ 208,504,113

The accompanying notes are an integral part of these financial statements.

1. Description of the Plan

The following brief description of the Schlage Lock Company LLC Pension Plan (the "Plan") is provided for general information purposes. Participants should refer to the plan document for complete information.

General

The Plan is sponsored by Schlage Lock Company LLC (the "Company"), a U.S. subsidiary of Allegion plc ("Allegion"). The Company established the Plan effective December 1, 2013 when Allegion became a stand-alone public company after Ingersoll-Rand plc ("Ingersoll Rand") completed the separation of its commercial and residential security businesses (the "Spin-off"). The assets and liabilities of the Ingersoll-Rand Pension Plan Number One ("Plan One"), the Retirement Plan for Former Employees of Ingersoll-Rand Company ("Plan 50") and the Trane Merged Hourly Pension Plan ("Merged Hourly Plan") with respect to the current and former employees of the spun-off security businesses were transferred into the Plan. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA") and the Internal Revenue Code of 1986, as amended.

Pension Benefits

The normal retirement age is 65, subject to variations for specific subsidiaries. The monthly pension benefit is based on such factors as annual eligible compensation, years of credited service, and, in certain cases, Social Security benefit. Variations of this formula apply to employees of specific subsidiaries of the Company. Employees who elect early retirement receive reduced pension benefits based on the difference between the normal retirement date and the date when pension payments begin. Variation of reduction in benefit payments is dependent on subsidiaries. The Plan also provides for surviving spouse benefits, in accordance with variations of the Plan and applicable law. Certain vested participants have the option to take an immediate lump sum payment. Plan participants are 100% vested upon reaching five years of service or, while employed, attaining age 65. Additionally, a participant also shall be 100% vested if, while employed, becomes disabled or dies.

Effective July 1, 2012, Plan One was closed to new non-bargained employees. Eligible participants hired prior to July 1, 2012 were given a one-time, irrevocable option to continue participation in the Plan until the Plan freezes on December 31, 2022 (or the participant's date of termination, if earlier) or to freeze their accrued benefits in the Plan as of December 31, 2012 and to begin receiving an additional 2% non-matching company contribution into the Company's applicable defined contribution plan. Beginning January 1, 2023, all eligible employees will no longer accrue any benefit in the Plan.

Eligible non-bargained employees hired or rehired on or after July 1, 2012 and before December 1, 2013 are not eligible to participate in the Plan. Effective January 27, 2013, Plan One was closed to bargained employees. Eligible participants hired or rehired prior to the effective date will continue to accrue benefits until they cease to be a covered bargained employee as defined by the Plan. Eligible bargained employees hired or rehired on or after January 27, 2013 do not participate in the Plan.

2. Summary of Significant Accounting Policies

Basis of Accounting

The financial statements of the Plan are prepared under the accrual method of accounting.

Use of Estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America ("GAAP") requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities and changes therein, disclosure of contingent assets and liabilities, and the actuarial present value of accumulated plan benefits at the dates of the financial statements. Actual results could differ from those estimates.

Valuation of Investments

Plan investments are held in the Schlage Lock Company LLC Pension Trust ("Trust") administered by State Street Bank and Trust Company ("Trustee"). Responsibility for the Plan's investment policy, operation, practices, and management of the Plan's assets has been delegated to the Benefits Investment Committee ("BIC"). All other management duties are the responsibility of the Benefits Administration Committee ("BAC"). Towers Watson Investment Services, Inc. ("Towers Watson") serves as a specifically designated fiduciary of the Plan and is responsible for appointing investment managers for the Plan.

Investments in the Trust are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for a discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) represents the Plan's pro-rata share of net realized and unrealized gains and losses on the Trust investments.

Funding Policy

The Plan is funded by contributions from the Company. The Company's funding policy is to make annual minimum contributions as required by law and determined by the actuary, and other contributions in its discretion as allowed by law. The actuary determines the required contributions using the Projected Unit Credit Cost Method. As determined by the actuary, no contributions were required during the period from December 1, 2013 to December 31, 2013. The Plan is non-contributory.

Expenses

The Plan pays all expenses incurred with administrating the Plan as well as the expenses related to administering the Trust. The Plan's actuarial cost method includes a factor to fund such expenses through employer contributions. Fees paid by the Plan include various professional fees, investment fees, Pension Benefit Guaranty Corporation ("PBGC") fees and any other costs of administering the Plan. The PBGC requires the Plan to pay an annual fee based on the number of participants in the Plan. The fee for 2013 was $42 per participant; however, the yearly cost is determined by the PBGC and is subject to change. The annual PBGC fee per participant is expected to be $49 in 2014, $57 in 2015 and $64 in 2016.

Benefit Payments

Benefit payments are recorded when paid.

Subsequent Events

Management has evaluated subsequent events for the Plan through October 13, 2014, the date the financial statements were available to be issued. The amount of receivable net assets transferred into the Plan as of December 31, 2013 and reported on the statement of net assets available for benefits was received by the Plan on June 18, 2014.

3. Actuarial Present Value of Accumulated Plan Benefits

Accumulated plan benefits are those future periodic payments, including lump-sum distributions that are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to retired or terminated employees or their beneficiaries, beneficiaries of employees who have died, and present employees or their beneficiaries. Benefits payable under all circumstances are included to the extent they are deemed attributable to employee service rendered to the valuation date.

The actuarial present value of accumulated plan benefits is determined by an independent actuary and is that amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment.

The significant actuarial assumptions used in the valuation at December 1, 2013 were as follows:

- Participants' life expectancy for non-disabled participants utilized the mortality assumption under Section 430(h)(3)(A) of the Internal Revenue Code using static tables with separate mortality rates for annuitants and non-annuitants. Life expectancy for disabled participants utilized mortality rates in accordance with Revenue Ruling 96-7.
- Retirement ages, which start at 55, and use actual plan experience.
- Turnover rates, which vary with age and length of service.
- Investment return rate of 4.75%.

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

The following is a summary of actuarial present value of accumulated plan benefits as of December 1, 2013:

Actuarial Present Value of Accumulated Plan Benefits:	
Vested Benefits:	
Participants Currently Receiving Payments	$ 86,120,629
Other Participants	141,588,030
Total Vested Benefits	227,708,659
Non-vested Benefits	630,238
Total Actuarial Present Value of Accumulated Plan Benefits	$ 228,338,897

4. Fair Value of Investments

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures, provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described as follows:

Level 1 Inputs to the valuation methodology are based on quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs other than Level 1. Inputs to the valuation methodology include:

- Quoted prices for similar assets or liabilities in active markets.
- Quoted prices for identical or similar assets or liabilities in markets that are not active.
- Other inputs that are observable or can be corroborated by observable market data for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable inputs based on little or no market activity and that are significant to the fair value of the assets and liabilities.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no significant changes in the methodologies used at December 31, 2013.

Cash, cash equivalents and short term investments: The investments are valued at the closing price or amount held on deposit by the custodian bank or at fair value by discounting the related cash flows based on current yields of similar instruments with comparable durations considering the credit-worthiness of the issuer. As these investments are not traded on active markets, these investments are classified as Level 2.

Equity Mutual Funds: Valued at the daily closing price as reported by the fund. These funds are required to publish their daily net asset value (NAV) and to transact at that price. Such securities are classified as Level 2.

U.S. government and agency obligations: Quoted market prices are not available for these securities. Fair values are estimated using pricing models and/or quoted prices of securities with similar characteristics or discounted cash flows. Such securities are classified as Level 2.

Corporate and Non-US bonds: Quoted market prices are not available for these securities. Fair values are estimated by using pricing models and/or quoted prices of securities with similar characteristics or discounted cash flows. Such securities are classified as Level 2.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013:

	Level 1	Level 2	Level 3	Total
Cash and cash equivalents and short term investments	$ -	$ 1,494,446	$ -	$ 1,494,446
Equity mutual funds	-	42,085,263	-	42,085,263
U.S. government and agency obligations	-	74,945,104	-	74,945,104
Corporate and non-U.S. bonds	-	68,195,865	-	68,195,865
Total investments at fair value	$ -	$ 186,720,678	$ -	$ 186,720,678

The following presents investments that represent 5% or more of the Plan's net assets as of December 31:

	2013
Daily MSCI World Index NL CMMB MUTUAL FUND	$ 35,834,887

Investment income is comprised of the following for the period from December 1, 2013 to December 31, 2013:

Net appreciation in fair value of investments in equity mutual funds	$ 927,755
Net depreciation in fair value of investments in U.S. government and agency obligations and in corporate and non-U.S. bonds	(866,941)
Interest and dividends	
Equity mutual funds	40,678
U.S. government and agency obligations and corporate and non-U.S. bonds	528,157
Total investment income	$ 629,649

5. Certification of Investment Information

The Plan administrator has elected the method of annual reporting and compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, State Street Bank and Trust Company, the trustee of the Plan, has supplied the Plan administrator with a certification as to the completeness and accuracy of all investment information reflected on the accompanying statement of net assets available for benefits as of December 31, 2013, the statement of changes in net assets available for benefits for period of December 1, 2013 through December 31, 2013, the supplemental schedule of assets (held at end of year) as of December 31, 2013, and the supplemental schedule of reportable transactions for the period of December 1, 2013 through December 31, 2013.

6. Plan Termination

Although it has not expressed any intention to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA.

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

1. Annuity benefits former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under Plan provisions in effect at any time during the five years preceding plan termination.
2. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) (a U.S. governmental agency) up to the applicable limitations.
3. All other vested benefits (that is, vested benefits not insured by the PBGC).
4. All non-vested benefits.

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated benefit obligations and may also depend on the financial condition of the Plan sponsor and the level of benefits guaranteed by the PBGC.

7. Tax Status

The Plan has applied for but has not received a determination letter from the Internal Revenue Service (IRS) stating that the Plan is qualified under Section 401(a) of the Internal Revenue Code (IRC). However, the plan administrator believes that the Plan is being operated in compliance with the applicable requirements of the IRC and, therefore, believes that the Plan is qualified and the related trust is tax exempt.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Company has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2013, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress, as no prior period filings exist for the Plan.

8. Transactions with Parties-in-interest

Through the Trust, certain plan investments are held by State Street Bank and Trust Company. State Street Bank and Trust Company is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions. These transactions are allowable party-in-interest transactions under Section 408(b)(8) of the ERISA regulations. As described in Note 2, the Plan paid certain expenses related to plan operations to various service providers. These transactions are party-in-interest transactions under ERISA. The Company provides certain administrative services to the Plan at no charge. The cost of providing these services constitutes exempt party-in-interest transactions under ERISA.

9. Risks and Uncertainties

Through the Trust, the Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in such risks in the near term would materially affect the Plan's investment balances, and amounts reported in the statements of net assets available for benefits and the statements of changes in net assets available for benefits.

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates, and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

Supplemental Schedules

SCHLAGE LOCK COMPANY LLC PENSION PLAN
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)

EIN: 54-2139412 PLAN NUMBER: 001

December 31, 2013

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
		Cash and Cash Equivalents and Short Term Investments		
*	SSGA	SHORT TERM INVESTMENT FUND	$ 1,494,446	$ 1,494,446
		Total Cash and Cash Equivalents	$ 1,494,446	$ 1,494,446
		Equity Mutual Funds:		
*	SSGA	DAILY MSCI EMG MKTS INDX ZV86 MUTUAL FUND	$ 4,269,000	$ 4,239,119
*	SSGA	DAILY MSCI WORLD INDX NL CMMB MUTUAL FUND	34,937,235	35,834,887
	PIMCO	PIMCO FDS MUTUAL FUNDS	2,076,252	2,011,257
		Total Equity Mutual Funds	$ 41,282,486	$ 42,085,263
		U.S. Government and Agency Obligations		
	PIMCO	FREDDIE MAC DISCOUNT NT DISCOUNT NOT 07/14 0.00000	$ 499,664	$ 499,760
	PIMCO	FREDDIE MAC NOTES 07/32 6.25	898,919	885,507
	PIMCO	FANNIE MAE NOTES 05/29 6.25	2,404,374	2,381,916
	PIMCO	RESOLUTION FUNDING STRIP BONDS 01/30 0.00000	2,296,485	2,266,785
	PIMCO	TENN VALLEY AUTHORITY SR UNSECURED 01/48 4.875	1,358,140	1,362,284
	PIMCO	STRIP PRINC 11/26 0.00000	128,254	126,522
	PIMCO	STRIP PRINC 08/28 0.00000	58,723	58,016
	PIMCO	STRIP PRINC 02/40 0.00000	104,925	103,596
	PIMCO	STRIP PRINC 05/40 0.00000	103,746	102,318
	PIMCO	STRIP PRINC 08/40 0.00000	511,260	505,170
	PIMCO	STRIP PRINC 02/43 0.00000	180,480	177,990
	PIMCO	US TREASURY N/B 08/28 5.5	500,624	493,064
	PIMCO	US TREASURY N/B 02/29 5.25	7,820,032	7,704,000
	PIMCO	US TREASURY N/B 05/30 6.25	4,745,790	4,675,230
	PIMCO	US TREASURY N/B 05/37 5.	482,624	476,000
	PIMCO	US TREASURY N/B 05/38 4.5	2,814,075	2,771,875
	PIMCO	US TREASURY N/B 11/39 4.375	5,737,888	5,650,944
	PIMCO	US TREASURY N/B 02/40 4.625	229,282	225,876
	PIMCO	US TREASURY N/B 11/40 4.25	2,808,000	2,766,972
	PIMCO	US TREASURY N/B 02/41 4.75	700,878	690,378
	PIMCO	US TREASURY N/B 05/41 4.375	881,248	868,000
	PIMCO	US TREASURY N/B 11/41 3.125	3,067,960	3,023,125
	PIMCO	US TREASURY N/B 02/42 3.125	3,850,000	3,793,636
	PIMCO	US TREASURY N/B 11/42 2.75	1,364,250	1,344,071
	PIMCO	STRIPS 08/33 0.00000	138,312	136,374
	PIMCO	STRIPS 08/36 0.00000	161,372	159,404
	PIMCO	STRIPS 05/31 0.00000	612,324	603,240
	NISA	FEDERAL HOME LOAN BANK BONDS 07/36 5.5	281,522	277,642
	NISA	FREDDIE MAC NOTES 03/31 6.75	294,633	291,080
	PIMCO	FREDDIE MAC NOTES 07/32 6.25	64,209	63,251
	NISA	FANNIE MAE NOTES 11/30 6.625	1,023,705	1,008,105
	NISA	AID ISRAEL US GOVT GUAR 05/23 0.00000	25,314	24,930
	NISA	AID ISRAEL US GOVT GUAR 09/33 5.5	170,449	169,013
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 04/36 5.88	105,412	104,258
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 05/30 7.125	53,084	52,290
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 09/39 5.25	236,592	234,808
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 12/42 3.5	143,561	141,905
	NISA	TREASURY BILL 01/14 0.00000	1,184,976	1,184,992
	NISA	US TREASURY N/B 11/24 7.5	292,887	289,595

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	US TREASURY N/B 02/25 7.625	361,035	357,148
	NISA	US TREASURY N/B 08/25 6.875	372,024	367,621
	NISA	US TREASURY N/B 02/26 6.	875,393	868,061
	NISA	US TREASURY N/B 08/26 6.75	401,239	394,490
	NISA	US TREASURY N/B 02/27 6.625	353,836	344,569
	NISA	US TREASURY N/B 11/27 6.125	370,082	364,350
	PIMCO	US TREASURY N/B 08/28 5.5	160,585	160,246
	PIMCO	US TREASURY N/B 02/29 5.25	54,285	54,169
	NISA	US TREASURY N/B 08/29 6.125	72,835	72,239
	PIMCO	US TREASURY N/B 05/30 6.25	237,290	233,762
	NISA	US TREASURY N/B 02/31 5.375	1,064,740	1,047,777
	NISA	US TREASURY N/B 02/36 4.5	445,732	445,064
	PIMCO	US TREASURY N/B 05/37 5.	301,640	297,500
	NISA	US TREASURY N/B 02/38 4.375	690,638	674,833
	PIMCO	US TREASURY N/B 05/38 4.5	602,212	593,181
	NISA	US TREASURY N/B 05/39 4.25	898,997	885,120
	PIMCO	US TREASURY N/B 11/39 4.375	402,006	396,653
	PIMCO	US TREASURY N/B 02/40 4.625	1,863,310	1,857,830
	PIMCO	US TREASURY N/B 11/40 4.25	177,038	175,596
	PIMCO	US TREASURY N/B 02/41 4.75	297,399	293,411
	PIMCO	US TREASURY N/B 11/41 3.125	988,343	980,356
	PIMCO	US TREASURY N/B 02/42 3.125	1,337,574	1,332,084
	NISA	US TREASURY N/B 05/42 3.	139,510	138,367
	NISA	US TREASURY N/B 08/42 2.75	1,539,502	1,533,178
	PIMCO	US TREASURY N/B 11/42 2.75	2,936,429	2,897,659
	NISA	US TREASURY N/B 02/43 3.125	3,066,747	3,048,250
	NISA	US TREASURY N/B 08/43 3.625	2,332,564	2,313,731
	NISA	US TREASURY N/B 11/43 3.75	5,086,300	5,026,112
	NISA	US TREASURY N/B 11/23 2.75	99,369	97,828
		Total U.S. Government and Agency Obligations	$ 75,864,625	$ 74,945,104
		Corporate and Non-U.S. bonds		
	PIMCO	WESTLB AG NY SUBORDINATED 07/15 4.796	$ 209,438	$ 211,295
	NISA	ANADARKO PETROLEUM CORP SR UNSECURED 10/36 0.00000	181,943	185,225
	NISA	COCA COLA FEMSA SAB CV COMPANY GUAR 11/43 5.25	149,575	153,545
	NISA	INVESCO FINANCE PLC 11/43 5.375	81,826	80,708
	NISA	WPP FINANCE 2010 11/43 5.625	68,334	69,296
	PIMCO	AIG SUNAMER GLOB FIN X SR SECURED 144A 03/32 6.9	612,020	606,195
	PIMCO	AMGEN INC SR UNSECURED 02/39 6.4	1,131,680	1,144,760
	PIMCO	RABOBANK NEDERLAND BANK GUARANT 05/41 5.25	207,940	206,346
	PIMCO	ELECTRICITE DE FRANCE SR UNSECURED 144A 01/39 6.95	244,158	244,358
	PIMCO	GENERAL ELEC CAP CORP SR UNSECURED 01/38 5.875	674,580	683,466
	PIMCO	ING BANK NV SR UNSECURED 144A 08/14 VAR	301,998	301,626
	PIMCO	JPMORGAN CHASE + CO SR UNSECURED 05/38 6.4	237,248	238,700
	PIMCO	JPMORGAN CHASE + CO SR UNSECURED 07/41 5.6	325,212	325,575
	PIMCO	SHELL INTERNATIONAL FIN COMPANY GUAR 12/38 6.375	243,830	248,398
	PIMCO	SIERRA PACIFIC POWER CO GENL REF MOR 07/37 6.75	369,186	367,569
	PIMCO	WAL MART STORES INC SR UNSECURED 09/35 5.25	962,685	977,697
	NISA	ABBVIE INC SR UNSECURED 11/42 4.4	72,778	74,614
	NISA	ACE INA HOLDINGS COMPANY GUAR 03/43 4.15	73,238	72,517
	NISA	ALABAMA POWER CO SR UNSECURED 12/42 3.85	30,005	29,855
	NISA	AMAZON.COM INC SR UNSECURED 11/22 2.5	100,064	99,157
	NISA	AMGEN INC SR UNSECURED 10/41 4.95	141,087	142,509
	NISA	AMGEN INC SR UNSECURED 11/41 5.15	224,567	229,147
	NISA	ANHEUSER BUSCH COS LLC COMPANY GUAR 08/32 6.8	43,068	42,893
	NISA	ANHEUSER BUSCH COS LLC COMPANY GUAR 04/36 5.75	55,632	55,752
	NISA	ANHEUSER BUSCH INBEV WOR COMPANY GUAR 11/39 8.	42,927	43,236
	NISA	ASCENSION HEALTH UNSECURED 11/53 4.847	96,765	96,781
	NISA	ASTRAZENECA PLC SR UNSECURED 09/37 6.45	101,762	101,499
	NISA	ASTRAZENECA PLC SR UNSECURED 09/42 4.	26,220	26,090

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	BHP BILLITON FIN USA LTD COMPANY GUAR 09/43 5.	180,247	183,013
	NISA	BP CAPITAL MARKETS PLC COMPANY GUAR 05/23 2.75	128,957	127,834
	NISA	BERKSHIRE HATHAWAY FIN COMPANY GUAR 01/40 5.75	60,906	60,840
	NISA	BERKSHIRE HATHAWAY FIN COMPANY GUAR 05/42 4.4	73,173	73,158
	NISA	BERKSHIRE HATHAWAY FIN COMPANY GUAR 05/43 4.3	54,133	54,037
	NISA	BERKSHIRE HATHAWAY INC SR UNSECURED 02/43 4.5	45,827	46,223
	NISA	BESTFOODS SR UNSECURED 04/28 6.625	24,892	24,475
	NISA	BURLINGTON RESOURCES FIN COMPANY GUAR 08/31 7.2	96,788	95,246
	NISA	DUKE ENERGY PROGRESS INC 1ST MORTGAGE 05/42 4.1	54,707	55,406
	NISA	CATHOLIC HEALTH INITIATI SECURED 11/42 4.35	109,856	108,438
	NISA	CISCO SYSTEMS INC SR UNSECURED 01/40 5.5	42,635	42,321
	NISA	CONOCOPHILLIPS COMPANY GUAR 02/39 6.5	76,184	75,241
	NISA	CONOCO FUNDING CO COMPANY GUAR 10/31 7.25	123,877	122,689
	NISA	COVIDIEN INTL FINANCE SA COMPANY GUAR 06/23 2.95	46,665	46,253
	NISA	DEERE + COMPANY SR UNSECURED 06/42 3.9	78,845	78,961
	NISA	DIAMOND OFFSHORE DRILL SR UNSECURED 11/43 4.875	106,435	107,960
	NISA	E.I. DU PONT DE NEMOURS SR UNSECURED 02/43 4.15	26,554	26,465
	NISA	DUKE ENERGY CAROLINAS 1ST REF MORT 01/38 6.	80,177	82,516
	NISA	DUKE ENERGY CAROLINAS 1ST MORTGAGE 02/40 5.3	10,720	10,857
	NISA	DUKE ENERGY CAROLINAS 1ST MORTGAGE 12/41 4.25	112,112	111,734
	NISA	DUKE ENERGY CAROLINAS 1ST REF MORT 09/42 4.	179,086	180,522
	NISA	EMC CORP SR UNSECURED 06/23 3.375	48,507	48,040
	NISA	EBAY INC SR UNSECURED 07/42 4.	41,616	42,343
	NISA	WACHOVIA CORPORATION SUBORDINATED 08/26 VAR	49,778	49,246
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 06/35 4.95	26,387	26,201
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 06/36 6.2	18,286	18,085
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 02/38 5.95	65,190	64,450
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 02/41 5.25	114,090	113,877
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 06/42 4.05	50,118	50,270
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 12/42 3.8	61,148	61,139
	NISA	DUKE ENERGY FLORIDA INC 1ST MORTGAGE 11/42 3.85	112,744	113,845
	NISA	GENERAL DYNAMICS CORP COMPANY GUAR 11/42 3.6	33,006	32,677
	NISA	GENERAL ELECTRIC CO SR UNSECURED 10/42 4.125	118,196	120,102
	NISA	GENERAL ELEC CAP CORP SR UNSECURED 08/37 6.15	161,693	163,335
	PIMCO	GENERAL ELEC CAP CORP SR UNSECURED 01/38 5.875	292,318	296,169
	NISA	GENERAL ELEC CAP CORP SR UNSECURED 01/39 6.875	376,895	392,108
	NISA	GENERAL ELEC CAP CORP SR UNSECURED 03/32 6.75	271,093	272,428
	NISA	HSBC BANK USA SUBORDINATED 11/34 5.875	275,300	274,835
	NISA	HALLIBURTON COMPANY SR UNSECURED 144A 08/96 7.6	40,507	39,731
	NISA	HALLIBURTON COMPANY SR UNSECURED 09/39 7.45	66,190	67,296
	NISA	HALLIBURTON COMPANY SR UNSECURED 08/43 4.75	78,461	78,564
	NISA	HOME DEPOT INC SR UNSECURED 04/43 4.2	162,749	164,034
	NISA	HOME DEPOT INC SR UNSECURED 02/44 4.875	161,301	162,011
	NISA	ILLINOIS TOOL WORKS INC SR UNSECURED 09/42 3.9	17,061	17,115
	NISA	INTEL CORP SR UNSECURED 10/41 4.8	105,700	107,275
	NISA	IBM CORP SR UNSECURED 11/32 5.875	17,481	17,304
	NISA	IBM CORP SR UNSECURED 06/42 4.	195,824	200,907
	NISA	IBM CORP SR UNSECURED 08/23 3.375	97,612	97,430
	NISA	JPMORGAN CHASE + CO SR UNSECURED 10/40 5.5	165,866	168,414
	PIMCO	JPMORGAN CHASE + CO SR UNSECURED 07/41 5.6	130,085	130,230
	NISA	JOHN SEVIER COMB CYCLE SECURED 01/42 4.626	135,438	134,461
	NISA	JOHNSON + JOHNSON SR UNSECURED 08/37 5.95	107,663	106,444
	NISA	JPMORGAN CHASE + CO SR UNSECURED 01/42 5.4	31,738	32,297
	NISA	LOEWS CORP SR UNSECURED 05/43 4.125	51,124	51,101
	NISA	MASS MUTUAL LIFE INS CO SUBORDINATED 144A 06/39 8.875	57,222	57,322
	NISA	MASS MUTUAL LIFE INS CO SUBORDINATED 144A 12/41 5.375	46,546	46,523
	NISA	MCDONALD S CORP SR UNSECURED 02/42 3.7	114,340	114,333
	NISA	MEDTRONIC INC SR UNSECURED 03/42 4.5	90,483	89,985
	NISA	MEDTRONIC INC SR UNSECURED 04/43 4.	52,396	52,881
	NISA	MEMORIAL SLOAN KETTERING SR UNSECURED 07/52 4.125	62,030	61,699
	NISA	MERCK SHARP + DOHME CORP COMPANY GUAR 03/28 6.4	110,390	108,860
	NISA	MERCK SHARP + DOHME CORP COMPANY GUAR 12/28 5.95	35,498	34,988
	NISA	MERCK + CO INC SR UNSECURED 09/42 3.6	65,938	66,265

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	MERCK + CO INC SR UNSECURED 05/43 4.15	72,511	73,087
	NISA	MICROSOFT CORP SR UNSECURED 10/40 4.5	37,778	37,999
	NISA	MICROSOFT CORP SR UNSECURED 11/42 3.5	47,762	49,553
	NISA	MICROSOFT CORP SR UNSECURED 12/43 4.875	131,300	132,870
	NISA	NATIONAL RURAL UTIL COOP COLLATERAL T 11/23 3.4	254,179	251,586
	NISA	NEVADA POWER CO GENL REF MOR 07/37 6.75	111,908	111,702
	NISA	NEW YORK LIFE INSURANCE SUBORDINATED 144A 11/39 6.75	36,667	36,595
	NISA	NORTHERN STATES PWR MINN 1ST MORTGAGE 08/42 3.4	36,424	36,179
	NISA	NORTHWESTERN MUTUAL LIFE SUBORDINATED 144A 03/40 6.063	79,556	78,646
	NISA	NORTHWESTERN UNIVERSITY SR UNSECURED 12/44 4.643	65,495	63,990
	NISA	NOVANT HEALTH INC SR UNSECURED 11/43 4.371	33,857	33,440
	NISA	NUCOR CORP SR UNSECURED 08/43 5.2	48,076	48,710
	NISA	OGLETHORPE POWER CORP 1ST MORTGAGE 09/50 5.25	34,255	34,191
	NISA	OGLETHORPE POWER CORP 1ST MORTGAGE 12/42 4.2	85,603	87,215
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 01/33 7.25	49,614	50,254
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 05/32 7	18,047	18,275
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 09/38 7.5	25,489	26,032
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 12/41 4.55	56,706	56,432
	NISA	ORACLE CORP SR UNSECURED 07/40 5.375	287,979	288,301
	NISA	ORACLE CORP SR UNSECURED 07/23 3.625	49,496	49,599
	NISA	PACIFICORP 1ST MORTGAGE 08/36 6.1	46,746	46,844
	NISA	PACIFICORP 1ST MORTGAGE 10/37 6.25	108,516	107,304
	NISA	PEPSI BOTTLING GROUP INC COMPANY GUAR 03/29 7.	126,037	125,922
	NISA	PHILIP MORRIS INTL INC SR UNSECURED 08/42 3.875	158,787	160,833
	NISA	PHILIP MORRIS INTL INC SR UNSECURED 03/43 4.125	96,771	96,296
	NISA	PHILIP MORRIS INTL INC SR UNSECURED 11/43 4.875	49,010	49,532
	NISA	POTOMAC ELECTRIC POWER 1ST MORTGAGE 11/37 6.5	81,565	80,649
	NISA	POTOMAC ELECTRIC POWER 1ST MORTGAGE 03/43 4.15	50,598	50,260
	NISA	PRES + FELLOWS OF HARVAR UNSECURED 144A 01/39 6.5	128,771	127,284
	NISA	PRUDENTIAL INSURANCE CO SR SUBORDINA 144A 07/25 8.3	191,549	188,282
	NISA	PRUDENTIAL FINANCIAL INC SR UNSECURED 11/41 5.8	82,025	83,060
	NISA	PUBLIC SERVICE COLORADO 1ST MORTGAGE 08/38 6.5	124,529	124,459
	NISA	ST JUDE MEDICAL INC SR UNSECURED 04/43 4.75	131,300	132,685
	NISA	SAN DIEGO G + E 1ST MORTGAGE 11/41 3.95	32,329	31,573
	NISA	MERCK + CO INC COMPANY GUAR 12/33 6.5	93,803	93,507
	NISA	MERCK + CO INC COMPANY GUAR 09/37 6.55	49,707	49,875
	NISA	SHELL INTERNATIONAL FIN COMPANY GUAR 08/43 4.55	266,577	268,219
	NISA	SHERWIN WILLIAMS CO SR UNSECURED 12/42 4.	43,117	42,905
	NISA	SIEMENS FINANCIERINGSMAT COMPANY GUAR 144A 08/26 6.125	117,575	115,393
	NISA	SOUTH CAROLINA ELEC+GAS 1ST MORTGAGE 02/32 6.625	91,323	92,819
	NISA	SOUTH CAROLINA ELEC+GAS 1ST MORTGAGE 02/42 4.35	51,093	51,084
	NISA	SOUTH CAROLINA ELEC+GAS 1ST MORTGAGE 06/43 4.6	54,014	53,742
	NISA	SOUTHERN CAL EDISON 1ST REF MORT 01/34 6.	157,411	158,880
	NISA	SOUTHERN CAL EDISON 1ST REF MORT 12/41 3.9	111,070	110,515
	NISA	SOUTHERN CAL EDISON 1ST REF MORT 03/43 3.9	146,198	145,454
	NISA	SWISS RE TREASURY (US) COMPANY GUAR 144A 12/42 4.25	43,513	42,967
	NISA	TARGET CORP SR UNSECURED 07/42 4.	173,218	173,482
	NISA	TEACHERS INSUR + ANNUITY SUBORDINATED 144A 12/39 6.85	179,282	185,159
	NISA	TOSCO CORP COMPANY GUAR 01/27 7.8	133,753	131,553
	NISA	TRAVELERS COS INC SR UNSECURED 08/43 4.6	88,238	87,935
	NISA	TUFTS UNIVERSITY UNSECURED 04/12 5.017	18,208	18,151
	NISA	UNION ELECTRIC CO SR SECURED 09/42 3.9	150,294	148,806
	NISA	UNION PACIFIC CORP SR UNSECURED 09/41 4.75	68,276	67,847
	NISA	UNION PACIFIC CORP SR UNSECURED 06/42 4.3	81,967	81,439
	NISA	UNION PACIFIC CORP SR UNSECURED 04/43 4.25	107,694	106,824
	NISA	UNION PACIFIC CORP SR UNSECURED 12/43 4.75	81,857	82,800
	NISA	UNITED TECHNOLOGIES CORP SR UNSECURED 06/42 4.5	230,021	233,062
	NISA	UNITEDHEALTH GROUP INC SR UNSECURED 06/37 6.5	119,472	118,638
	NISA	UNITEDHEALTH GROUP INC SR UNSECURED 02/41 5.95	56,507	56,644
	PIMCO	WAL MART STORES INC SR UNSECURED 09/35 5.25	42,786	43,453
	NISA	WAL MART STORES INC SR UNSECURED 08/37 6.5	96,937	100,074
	NISA	WAL MART STORES INC SR UNSECURED 04/38 6.2	188,524	192,296
	NISA	WAL MART STORES INC SR UNSECURED 04/40 5.625	33,326	33,888

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	NISA	WAL MART STORES INC SR UNSECURED 10/40 5.	298,117	303,395
	NISA	WAL MART STORES INC SR UNSECURED 04/23 2.55	146,776	146,187
	NISA	WAL MART STORES INC SR UNSECURED 10/43 4.75	177,625	180,700
	NISA	WELLS FARGO + COMPANY SUBORDINATED 144A 01/44 5.606	382,869	394,702
	NISA	WELLS FARGO + COMPANY SUBORDINATED 11/43 5.375	161,130	168,962
	NISA	WISCONSIN PUBLIC SERVICE SR SECURED 11/44 4.752	100,958	100,923
	NISA	WYETH LLC COMPANY GUAR 02/34 6.5	140,759	140,772
	NISA	WYETH LLC COMPANY GUAR 04/37 5.95	221,244	220,246
	PIMCO	AT+T INC SR UNSECURED 12/42 4.3	984,154	1,006,861
	PIMCO	AMERICAN EXPRESS CO SR UNSECURED 12/42 4.05	174,584	175,964
	PIMCO	ANADARKO FINANCE CO COMPANY GUAR 05/31 7.5	621,845	607,905
	PIMCO	APPALACHIAN POWER CO SR UNSECURED 04/36 6.375	554,910	556,050
	PIMCO	BG ENERGY CAPITAL PLC COMPANY GUAR 144A 10/41 5.125	200,342	205,088
	PIMCO	BANK OF AMERICA NA SUBORDINATED 10/36 6.	566,665	573,830
	PIMCO	BOSTON SCIENTIFIC CORP SR UNSECURED 01/40 7.375	492,756	496,996
	PIMCO	CANADIAN NATL RESOURCES SR UNSECURED 06/33 6.45	563,385	566,400
	PIMCO	CITIGROUP INC SUBORDINATED 06/32 6.625	221,166	222,528
	PIMCO	COMCAST CORP COMPANY GUAR 03/33 7.05	969,560	967,872
	PIMCO	CONS EDISON CO OF NY SR UNSECURED 04/38 6.75	252,464	252,310
	PIMCO	COX COMMUNICATIONS INC SR UNSECURED 144A 03/39 8.375	364,410	363,831
	PIMCO	DEUTSCHE TELEKOM INT FIN COMPANY GUAR 06/30 8.75	838,650	846,468
	PIMCO	DEVON ENERGY CORPORATION SR UNSECURED 04/32 7.95	259,112	260,294
	PIMCO	DOW CHEMICAL CO/THE SR UNSECURED 05/39 9.4	296,470	298,782
	PIMCO	ENTERPRISE PRODUCTS OPER COMPANY GUAR 02/43 4.45	262,998	264,996
	PIMCO	FIRSTENERGY CORP SR UNSECURED 11/31 7.375	421,352	434,632
	PIMCO	GOLDMAN SACHS GROUP INC SR UNSECURED 02/33 6.125	222,718	224,968
	PIMCO	GOLDMAN SACHS GROUP INC SUBORDINATED 10/37 6.75	881,624	890,040
	PIMCO	HCP INC SR UNSECURED 02/41 6.75	236,196	236,734
	PIMCO	HSBC HOLDINGS PLC SUBORDINATED 09/37 6.5	473,948	473,096
	PIMCO	KINDER MORGAN ENER PART SR UNSECURED 02/37 6.5	872,616	876,648
	PIMCO	KRAFT FOODS GROUP INC SR UNSECURED 02/40 6.5	699,072	699,720
	PIMCO	MIDAMERICAN ENERGY HLDGS SR UNSECURED 09/37 6.5	699,954	696,924
	PIMCO	MORGAN STANLEY SR UNSECURED 07/20 5.5	450,000	447,360
	PIMCO	PACIFIC GAS + ELECTRIC SR UNSECURED 02/38 6.35	465,132	463,092
	PIMCO	PENNSYLVANIA ELECTRIC CO SR UNSECURED 10/38 6.15	209,048	211,978
	PIMCO	PROGRESS ENERGY INC SR UNSECURED 03/31 7.75	383,433	386,328
	PIMCO	PUGET ENERGY INC SR SECURED 09/21 6.	437,428	447,316
	PIMCO	SLM CORP SR UNSECURED 08/33 5.625	412,500	414,375
	PIMCO	SUNCOR ENERGY INC SR UNSECURED 06/39 6.85	240,428	240,614
	PIMCO	TIME WARNER INC COMPANY GUAR 07/40 6.1	534,845	545,685
	PIMCO	TIME WARNER CABLE INC COMPANY GUAR 07/38 7.3	392,676	398,872
	PIMCO	TRANSNEFT(TRANS CAP INV) SR UNSECURED 144A 08/18 8.7	244,320	242,750
	PIMCO	VERIZON COMMUNICATIONS SR UNSECURED 09/43 6.55	2,039,652	2,105,928
	PIMCO	VIRGINIA ELEC + POWER CO SR UNSECURED 11/37 6.35	361,311	361,581
	PIMCO	VODAFONE GROUP PLC SR UNSECURED 02/37 6.15	215,730	216,754
	PIMCO	ACTAVIS INC SR UNSECURED 10/42 4.625	179,530	181,960
	PIMCO	WEYERHAEUSER CO SR UNSECURED 03/32 7.375	488,200	489,460
	NISA	AGL CAPITAL CORP COMPANY GUAR 06/43 4.4	36,131	36,066
	NISA	TIME WARNER INC COMPANY GUAR 04/31 7.625	106,205	107,573
	NISA	TIME WARNER INC COMPANY GUAR 05/32 7.7	180,993	186,176
	NISA	AON PLC COMPANY GUAR 05/43 4.45	106,289	106,598
	NISA	AT+T CORP COMPANY GUAR 11/31 8.	33,303	33,273
	NISA	AT+T INC SR UNSECURED 02/39 6.55	110,952	113,530
	NISA	AT+T INC SR UNSECURED 08/41 5.55	183,013	187,749
	PIMCO	AT+T INC SR UNSECURED 12/42 4.3	21,096	21,206
	NISA	AT+T INC SR UNSECURED 06/45 4.35	146,163	148,153
	NISA	NEW CINGULAR WIRELESS SV SR UNSECURED 03/31 8.75	260,125	261,727
	NISA	AGRIUM INC SR UNSECURED 01/41 6.125	53,395	53,817
	NISA	ALLSTATE CORP SR UNSECURED 06/43 4.5	47,850	47,309
	NISA	ALLSTATE CORP SUBORDINATED 08/53 VAR	96,207	95,713
	NISA	ALLTEL CORP SR UNSECURED 07/32 7.875	58,313	58,586
	NISA	ALTRIA GROUP INC COMPANY GUAR 01/44 5.375	308,162	311,283
	NISA	HESS CORP SR UNSECURED 03/33 7.125	48,074	48,207

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	NISA	AMERICA MOVIL SAB DE CV COMPANY GUAR 03/35 6.375	106,721	108,164
	NISA	AMERICA MOVIL SAB DE CV COMPANY GUAR 03/40 6.125	105,847	105,812
	PIMCO	AMERICAN EXPRESS CO SR UNSECURED 12/42 4.05	52,375	52,789
	NISA	AMERICAN INTL GROUP SR UNSECURED 05/36 6.25	115,253	115,878
	NISA	AMERICAN INTL GROUP JR SUB DEBS 05/68 VAR	246,256	248,050
	NISA	AMERICAN INTL GROUP SR UNSECURED 11/37 6.82	103,104	102,311
	NISA	AMERICAN TOWER CORP SR UNSECURED 01/23 3.5	36,013	36,470
	NISA	AMERICAN TRANSMISSION SY SR UNSECURED 144A 01/22 5.25	31,616	31,594
	NISA	AON CORP COMPANY GUAR 01/27 8.205	121,918	119,746
	NISA	APACHE CORP SR UNSECURED 04/43 4.75	116,970	116,394
	NISA	ARCH CAPITAL GRP US INC COMPANY GUAR 11/43 5.144	40,000	39,996
	NISA	ARIZONA PUBLIC SERVICE SR UNSECURED 04/42 4.5	47,443	47,878
	NISA	AT+T INC SR UNSECURED 09/40 5.35	266,037	272,093
	NISA	AVON PRODUCTS INC SR UNSECURED 03/43 6.95	48,105	48,212
	NISA	BANK OF AMERICA CORP SR UNSECURED 02/42 5.875	33,779	34,311
	NISA	BANK OF AMERICA CORP SR UNSECURED 07/23 4.1	246,107	251,060
	NISA	BARRICK NA FINANCE LLC COMPANY GUAR 05/41 5.7	17,032	17,182
	NISA	BARRICK PD AU FIN PTY LT COMPANY GUAR 10/39 5.95	184,178	181,700
	NISA	BLACK HILLS CORP SR UNSECURED 11/23 4.25	109,548	109,503
	NISA	BUCKEYE PARTNERS LP SR UNSECURED 11/43 5.85	48,670	49,337
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 09/41 4.95	68,417	69,010
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 03/42 4.4	98,332	98,018
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 09/42 4.375	188,523	187,622
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 03/43 4.45	89,844	90,671
	NISA	CBS CORP COMPANY GUAR 07/42 4.85	107,664	108,126
	NISA	CF INDUSTRIES INC COMPANY GUAR 06/43 4.95	133,545	131,966
	NISA	CIGNA CORP SR UNSECURED 02/42 5.375	41,083	41,588
	NISA	CSX CORP SR UNSECURED 03/44 4.1	118,839	118,636
	NISA	CVS CAREMARK CORP SR UNSECURED 05/41 5.75	75,262	76,396
	NISA	MIDAMERICAN ENERGY HLDGS SR UNSECURED 09/28 8.48	95,401	94,644
	NISA	CAMERON INTL CORP SR UNSECURED 04/22 3.6	69,046	68,586
	NISA	CAMPBELL SOUP CO SR UNSECURED 08/42 3.8	70,845	69,854
	NISA	CANADIAN NATL RESOURCES SR UNSECURED 02/35 5.85	26,364	26,519
	NISA	CARDINAL HEALTH INC SR UNSECURED 03/43 4.6	36,616	37,351
	NISA	CENOVUS ENERGY INC SR UNSECURED 09/42 4.45	17,874	18,009
	NISA	CENOVUS ENERGY INC SR UNSECURED 09/43 5.2	79,473	79,334
	NISA	CINCINNATI FINL CORP SR UNSECURED 11/34 6.125	21,173	21,293
	NISA	CINCINNATI FINL CORP SR UNSECURED 05/28 6.92	153,230	152,339
	NISA	AT+T MOBILITY LLC SR UNSECURED 12/31 7.125	169,191	170,366
	NISA	CINTAS CORPORATION NO. 2 COMPANY GUAR 06/22 3.25	38,669	38,134
	NISA	CITIGROUP INC SR UNSECURED 01/28 6.625	23,505	23,214
	PIMCO	CITIGROUP INC SUBORDINATED 06/32 6.625	43,004	44,506
	NISA	CITIGROUP INC SUBORDINATED 02/33 5.875	40,187	41,113
	NISA	CITIGROUP INC SUBORDINATED 10/33 6.	46,143	47,440
	NISA	CITIGROUP INC SR UNSECURED 12/34 5.85	5,471	5,415
	NISA	CITIGROUP INC SUBORDINATED 08/36 6.125	206,045	213,234
	NISA	CITIGROUP INC SR UNSECURED 07/39 8.125	172,988	175,336
	NISA	CITIGROUP INC SR UNSECURED 01/42 5.875	99,449	101,148
	NISA	CITIGROUP INC SUBORDINATED 09/43 6.675	126,074	132,322
	NISA	CITIGROUP INC SR UNSECURED 11/43 4.95	106,578	109,570
	NISA	CLIFFS NATURAL RESOURCES SR UNSECURED 10/40 6.25	55,626	55,374
	NISA	COMCAST CORP COMPANY GUAR 06/35 5.65	79,511	79,884
	NISA	COMCAST CORP COMPANY GUAR 03/37 6.45	489,073	493,230
	NISA	COMCAST CORP COMPANY GUAR 07/39 6.55	82,030	82,875
	NISA	COMCAST CORP COMPANY GUAR 07/42 4.65	124,306	125,631
	NISA	COMMONWEALTH EDISON 1ST MORTGAGE 10/42 3.8	51,834	51,152
	NISA	CONAGRA FOODS INC SR UNSECURED 09/30 8.25	19,051	19,342
	NISA	CONAGRA FOODS INC SR UNSECURED 01/43 4.65	54,134	55,123
	NISA	CONSTELLATION ENERGY GRP COMPANY GUAR 04/32 7.6	88,100	88,700
	NISA	CORNING INC SR UNSECURED 08/36 7.25	104,689	103,691
	NISA	CORNING INC SR UNSECURED 03/42 4.75	48,535	48,155
	NISA	DARDEN RESTAURANTS INC SR UNSECURED 10/37 6.8	98,598	100,635
	NISA	DEUTSCHE TELEKOM INT FIN COMPANY GUAR 06/32 9.25	230,855	229,637

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	NISA	DEVON ENERGY CORPORATION SR UNSECURED 07/41 5.6	175,466	177,157
	NISA	DIRECTV HOLDINGS/FING COMPANY GUAR 03/40 6.35	101,102	103,976
	NISA	DIRECTV HOLDINGS/FING COMPANY GUAR 08/40 6.	125,579	128,375
	NISA	DOMTAR CORP COMPANY GUAR 09/42 6.25	76,771	76,658
	NISA	DOMTAR CORP COMPANY GUAR 02/44 6.75	50,436	51,006
	NISA	DOW CHEMICAL CO/THE SR UNSECURED 11/42 4.375	166,596	166,826
	NISA	DR PEPPER SNAPPLE GROUP COMPANY GUAR 05/38 7.45	115,979	114,885
	NISA	DUKE ENERGY CAROLINAS SR UNSECURED 12/28 6.	40,463	39,612
	NISA	EATON CORP COMPANY GUAR 11/32 4.	110,782	110,209
	NISA	EL PASO NATURAL GAS SR UNSECURED 06/32 8.375	148,927	147,578
	NISA	EMBARQ CORP SR UNSECURED 06/36 7.995	263,039	263,234
	NISA	ENCANA CORP SR UNSECURED 11/41 5.15	65,640	65,625
	NISA	IBERDROLA INTL BV COMPANY GUAR 07/36 6.75	32,516	32,584
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 10/36 6.625	101,095	102,374
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 07/38 7.5	81,566	82,130
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 06/41 6.05	20,425	20,501
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 02/42 6.5	105,322	107,475
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 02/43 5.15	36,508	36,549
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 03/33 6.875	35,600	35,864
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 03/35 5.75	36,611	37,010
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 10/39 6.125	169,565	171,106
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 02/41 5.95	10,759	10,891
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 02/42 5.7	88,499	89,616
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 03/44 4.85	93,486	94,040
	NISA	EXELON GENERATION CO LLC SR UNSECURED 06/42 5.6	172,705	177,361
	NISA	EXELON CORP SR UNSECURED 06/35 5.625	39,469	39,655
	NISA	EXPRESS SCRIPTS HOLDING COMPANY GUAR 11/41 6.125	100,151	101,241
	NISA	FEDEX CORP COMPANY GUAR 04/43 4.1	110,612	110,600
	NISA	FIFTH THIRD BANCORP SUBORDINATED 03/38 8.25	274,019	272,935
	NISA	FORD MOTOR COMPANY SR UNSECURED 11/46 7.4	102,514	101,626
	NISA	FORD MOTOR COMPANY SR UNSECURED 10/28 6.625	50,814	50,522
	NISA	FORD MOTOR COMPANY SR UNSECURED 01/43 4.75	141,971	144,299
	NISA	FORD MOTOR CREDIT CO LLC SR UNSECURED 08/23 4.375	201,630	201,072
	NISA	ORANGE SA SR UNSECURED 03/31 8.75	55,112	55,227
	NISA	FREEPORT MCMORAN C + G COMPANY GUAR 03/43 5.45	65,232	66,993
	NISA	GATX CORP SR UNSECURED 03/23 3.9	57,059	56,839
	NISA	GTE CORP COMPANY GUAR 04/28 6.94	325,339	323,515
	NISA	GILEAD SCIENCES INC SR UNSECURED 12/41 5.65	77,172	77,927
	PIMCO	GOLDMAN SACHS GROUP INC SR UNSECURED 02/33 6.125	117,291	118,108
	PIMCO	GOLDMAN SACHS GROUP INC SUBORDINATED 10/37 6.75	305,366	311,514
	NISA	GOLDMAN SACHS GROUP INC SR UNSECURED 02/41 6.25	50,952	51,858
	NISA	GOLDMAN SACHS CAPITAL I LIMITD GUARA 02/34 6.345	157,742	156,395
	NISA	GOLDMAN SACHS GROUP INC SUBORDINATED 05/36 6.45	158,701	160,638
	NISA	HARTFORD FINL SVCS GRP SR UNSECURED 10/41 6.1	86,502	85,758
	NISA	HARTFORD FINL SVCS GRP SR UNSECURED 04/43 4.3	70,828	70,374
	NISA	HASBRO INC SR UNSECURED 03/40 6.35	106,058	105,335
	NISA	HUMANA INC SR UNSECURED 12/42 4.625	44,738	45,069
	NISA	ING US INC SR UNSECURED 07/43 5.7	20,113	20,896
	NISA	INTL PAPER CO SR UNSECURED 11/39 7.3	60,666	61,736
	NISA	JERSEY CENTRAL PWR + LT SR UNSECURED 144A 04/24 4.7	110,248	108,878
	NISA	JOHNSON CONTROLS INC SR UNSECURED 12/41 5.25	49,186	48,608
	NISA	JUNIPER NETWORKS INC SR UNSECURED 03/41 5.95	49,575	49,365
	NISA	KEYSPAN CORP SR UNSECURED 04/35 5.803	63,325	63,068
	NISA	KINDER MORGAN ENER PART SR UNSECURED 03/35 5.8	96,944	97,392
	PIMCO	KINDER MORGAN ENER PART SR UNSECURED 02/37 6.5	65,446	65,749
	NISA	KINDER MORGAN ENER PART SR UNSECURED 03/43 5.	156,930	156,902
	NISA	KOHL S CORPORATION SR UNSECURED 12/23 4.75	81,568	81,209
	NISA	MONDELEZ INTERNATIONAL SR UNSECURED 08/37 7.	59,058	61,176
	NISA	MONDELEZ INTERNATIONAL SR UNSECURED 02/38 6.875	244,640	255,835
	NISA	MONDELEZ INTERNATIONAL SR UNSECURED 01/39 6.875	58,693	61,132
	NISA	KRAFT FOODS GROUP INC SR UNSECURED 06/42 5.	155,202	157,726
	NISA	LITTON INDUSTRIES INC COMPANY GUAR 03/26 7.75	63,289	62,462
	NISA	LOWE S COMPANIES INC SR UNSECURED 04/40 5.8	66,392	67,402

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	NISA	LOWE S COMPANIES INC SR UNSECURED 04/42 4.65	76,206	77,368
	NISA	MACYS RETAIL HLDGS INC COMPANY GUAR 01/42 5.125	27,597	28,628
	NISA	MACYS RETAIL HLDGS INC COMPANY GUAR 02/43 4.3	81,714	84,395
	NISA	MARATHON OIL CORP SR UNSECURED 11/22 2.8	78,685	78,337
	NISA	MARATHON PETROLEUM CORP SR UNSECURED 03/41 6.5	157,073	159,559
	NISA	MARKEL CORPORATION SR UNSECURED 03/43 5.	37,694	37,408
	NISA	MARSH + MCLENNAN COS INC SR UNSECURED 08/33 5.875	26,699	26,596
	NISA	MASSACHUSETTS ELECTRIC SR UNSECURED 144A 11/39 5.9	183,538	185,247
	NISA	MEAD JOHNSON NUTRITION C SR UNSECURED 11/39 5.9	32,328	32,315
	NISA	BANK OF AMERICA CORP SUBORDINATED 05/38 7.75	125,642	129,107
	NISA	METLIFE CAPITAL TRUST X JR SUBORDINA 144A 04/68 9.25	128,000	128,500
	NISA	METLIFE INC JR SUBORDINA 08/69 10.75	129,741	132,750
	NISA	METLIFE INC SR UNSECURED 08/42 4.125	8,766	8,696
	NISA	METLIFE INC SR UNSECURED 11/43 4.875	141,947	142,309
	NISA	MIDAMERICAN ENERGY HLDGS SR UNSECURED 04/36 6.125	168,549	170,409
	NISA	MIDAMERICAN ENERGY HLDGS SR UNSECURED 05/37 5.95	185,620	186,024
	NISA	MONONGAHELA POWER CO 1ST MORTGAGE 144A 04/24 4.1	119,396	119,942
	NISA	MONONGAHELA POWER CO 1ST MORTGAGE 144A 12/43 5.4	80,435	83,094
	NISA	MORGAN STANLEY SR UNSECURED 04/32 7.25	67,814	68,067
	NISA	MORGAN STANLEY SR UNSECURED 07/42 6.375	68,449	70,277
	NISA	MOSAIC CO SR UNSECURED 11/43 5.625	100,744	101,448
	NISA	MURPHY OIL CORP SR UNSECURED 12/22 3.7	37,232	36,960
	NISA	MURPHY OIL CORP SR UNSECURED 12/42 5.125	111,098	110,620
	NISA	MYLAN INC SR UNSECURED 11/23 4.2	119,220	118,031
	NISA	NYU HOSPITALS CENTER SR SECURED 07/43 5.75	36,391	35,415
	NISA	NATIONWIDE MUTUAL INSURA SUBORDINATED 144A 08/39 9.375	118,841	119,198
	NISA	NBCUNIVERSAL MEDIA LLC COMPANY GUAR 01/43 4.45	116,292	116,457
	NISA	NEWMONT MINING CORP COMPANY GUAR 04/35 5.875	38,927	38,362
	NISA	NEWMONT MINING CORP COMPANY GUAR 03/42 4.875	95,999	96,257
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 12/45 7.75	69,248	69,498
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 12/34 6.2	186,221	188,185
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 11/37 6.65	69,007	70,036
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 144A 10/43 5.4	69,447	70,879
	NISA	NISOURCE FINANCE CORP COMPANY GUAR 02/43 5.25	88,491	92,325
	NISA	NISOURCE FINANCE CORP COMPANY GUAR 02/44 4.8	93,058	94,738
	NISA	NISOURCE FINANCE CORP COMPANY GUAR 02/45 5.65	60,062	61,460
	NISA	NOBLE HOLDING INTL LTD COMPANY GUAR 03/42 5.25	140,303	143,922
	NISA	NORDSTROM INC SR UNSECURED 144A 01/44 5.	114,548	113,668
	NISA	NORFOLK SOUTHERN CORP SR UNSECURED 05/25 5.59	110,606	109,280
	NISA	NORFOLK SOUTHERN CORP SR UNSECURED 10/42 3.95	41,873	42,071
	NISA	NORTH SHORE LONG ISLAND SR UNSECURED 11/43 6.15	70,143	68,393
	NISA	NORTHROP GRUMMAN CORP SR UNSECURED 06/43 4.75	187,272	189,536
	NISA	OKLAHOMA G+E CO SR UNSECURED 05/43 3.9	34,738	34,393
	NISA	ONEOK PARTNERS LP COMPANY GUAR 09/43 6.2	31,986	32,382
	NISA	PPL ELECTRIC UTILITIES 1ST MORTGAGE 08/37 6.45	104,535	103,380
	NISA	PPL ELECTRIC UTILITIES 1ST MORTGAGE 07/43 4.75	35,316	35,028
	NISA	PACIFIC BELL TELEPHONE COMPANY GUAR 03/26 7.125	35,488	35,902
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 03/37 5.8	81,953	82,679
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 03/39 6.25	17,284	17,207
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 01/40 5.4	72,977	74,422
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 04/42 4.45	100,674	103,181
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 11/43 5.125	51,175	51,633
	NISA	PEPSICO INC SR UNSECURED 11/40 4.875	19,857	19,999
	NISA	PEPSICO INC SR UNSECURED 08/42 3.6	48,901	49,387
	NISA	PETRO CANADA SR UNSECURED 05/35 5.95	54,180	54,157
	NISA	PHILLIPS 66 COMPANY GUAR 05/42 5.875	54,017	54,228
	NISA	PITNEY BOWES INC SR UNSECURED 01/37 5.25	26,578	26,817
	NISA	POTASH CORP SASKATCHEWAN SR UNSECURED 12/40 5.625	21,064	21,238
	NISA	PROGRESS ENERGY INC SR UNSECURED 12/39 6.	22,535	22,432
	NISA	PRUDENTIAL FINANCIAL INC JR SUBORDINA 06/43 VAR	127,400	127,400
	NISA	PUGET SOUND ENERGY INC SR SECURED 07/40 5.764	79,354	79,549
	NISA	QUEST DIAGNOSTIC INC COMPANY GUAR 01/40 5.75	93,057	93,050
	NISA	REPUBLIC SERVICES INC COMPANY GUAR 03/40 6.2	102,194	102,152

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	REYNOLDS AMERICAN INC COMPANY GUAR 11/42 4.75	61,226	62,217
	NISA	RIO TINTO FIN USA PLC COMPANY GUAR 08/42 4.125	203,534	211,022
	NISA	ROCKWELL COLLINS INC SR UNSECURED 12/43 4.8	44,816	45,177
	NISA	ROWAN COMPANIES INC COMPANY GUAR 12/42 5.4	44,937	46,257
	NISA	SAMARCO MINERACAO SA SR UNSECURED 144A 10/23 5.75	196,500	198,000
	NISA	SCHNEIDER ELECTRIC SA SR UNSECURED 144A 09/22 2.95	93,289	93,122
	NISA	SOUTHERN COPPER CORP SR UNSECURED 07/35 7.5	102,885	104,207
	NISA	SOUTHERN COPPER CORP SR UNSECURED 04/40 6.75	18,917	19,365
	NISA	SOUTHERN POWER CO SR UNSECURED 07/43 5.25	104,478	104,298
	NISA	STANDARD CHARTERED PLC SUBORDINATED 144A 01/24 5.2	97,103	94,809
	NISA	STARBUCKS CORP SR UNSECURED 10/23 3.85	140,750	140,818
	NISA	SUNCOR ENERGY INC SR UNSECURED 12/34 5.95	37,940	38,110
	NISA	SYSTEM ENERGY RESOURCES 1ST MORTGAGE 04/23 4.1	68,919	68,385
	NISA	TCI COMMUNICATIONS INC SR UNSECURED 02/26 7.875	98,296	97,161
	NISA	TALISMAN ENERGY SR UNSECURED 02/37 5.85	113,685	115,946
	NISA	TEXAS EASTERN TRANSMISSI SR UNSECURED 144A 10/22 2.8	63,291	62,594
	NISA	THOMSON REUTERS CORP SR UNSECURED 05/43 4.5	25,139	25,395
	NISA	TIME WARNER INC COMPANY GUAR 03/40 6.2	97,075	99,149
	PIMCO	TIME WARNER INC COMPANY GUAR 07/40 6.1	149,757	152,792
	NISA	TIME WARNER CABLE INC COMPANY GUAR 05/37 6.55	235,087	240,591
	NISA	TIME WARNER CABLE INC COMPANY GUAR 06/39 6.75	282,418	301,344
	NISA	TRANS CANADA PIPELINES SR UNSECURED 01/39 7.625	65,838	66,738
	NISA	TRANS CANADA PIPELINES SR UNSECURED 10/37 6.2	101,642	102,531
	NISA	TRANSOCEAN INC COMPANY GUAR 03/38 6.8	112,245	116,866
	NISA	WASTE MANAGEMENT INC COMPANY GUAR 07/28 7.	101,194	102,847
	NISA	US CELLULAR CORP SR UNSECURED 12/33 6.7	19,084	18,959
	NISA	VALE OVERSEAS LIMITED COMPANY GUAR 11/36 6.875	232,070	237,533
	NISA	VALE OVERSEAS LIMITED COMPANY GUAR 11/39 6.875	40,444	41,377
	NISA	VALERO ENERGY CORP COMPANY GUAR 04/32 7.5	90,113	91,217
	NISA	VALERO ENERGY CORP COMPANY GUAR 06/37 6.625	88,383	91,258
	NISA	VALERO ENERGY CORP COMPANY GUAR 03/39 10.5	29,482	30,036
	NISA	VENTAS REALTY LP COMPANY GUAR 09/43 5.7	25,539	25,592
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 04/37 6.25	183,971	188,387
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 02/38 6.4	88,270	89,838
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 04/41 6.	95,483	98,814
	PIMCO	VERIZON COMMUNICATIONS SR UNSECURED 09/43 6.55	1,264,226	1,304,505
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 06/32 7.75	191,820	198,385
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 09/35 5.85	36,301	37,158
	NISA	VIACOM INC SR UNSECURED 03/43 4.375	140,330	143,543
	NISA	VIACOM INC SR UNSECURED 09/43 5.85	144,722	147,171
	PIMCO	VIRGINIA ELEC + POWER CO SR UNSECURED 11/37 6.35	30,109	30,132
	NISA	VIRGINIA ELEC + POWER CO SR UNSECURED 11/38 8.875	138,815	138,875
	NISA	VIRGINIA ELEC + POWER CO SR UNSECURED 08/43 4.65	9,915	9,960
	NISA	VODAFONE GROUP PLC SR UNSECURED 09/22 2.5	75,432	75,067
	NISA	VODAFONE GROUP PLC SR UNSECURED 02/43 4.375	101,507	103,696
	NISA	WASTE MANAGEMENT INC SR UNSECURED 08/26 7.1	73,610	72,561
	NISA	WALGREEN CO SR UNSECURED 09/42 4.4	44,176	44,443
	NISA	WASTE MANAGEMENT INC COMPANY GUAR 05/32 7.75	130,533	130,282
	NISA	WEATHERFORD INTL LLC COMPANY GUAR 06/37 6.8	96,485	97,270
	NISA	WELLPOINT INC SR UNSECURED 05/42 4.625	63,105	64,695
	NISA	WELLPOINT INC SR UNSECURED 01/43 4.65	169,638	175,902
	NISA	WELLPOINT INC SR UNSECURED 01/44 5.1	87,660	89,249
	NISA	WESTVACO CORP COMPANY GUAR 02/31 7.95	23,309	23,206
	NISA	WILLIAMS COMPANIES INC SR UNSECURED 01/31 7.5	27,439	26,424
	NISA	WILLIAMS COMPANIES INC SR UNSECURED 01/23 3.7	62,080	61,097
	NISA	WILLIAMS PARTNERS LP SR UNSECURED 08/22 3.35	74,639	74,268
	NISA	WILLIAMS PARTNERS LP SR UNSECURED 11/43 5.8	160,827	163,317
	NISA	WYNDHAM WORLDWIDE SR UNSECURED 03/23 3.9	85,293	84,777
	NISA	XLIT LTD COMPANY GUAR 12/43 5.25	20,153	20,134
	NISA	YUM BRANDS INC SR UNSECURED 11/37 6.875	22,840	22,772
	NISA	YUM BRANDS INC SR UNSECURED 11/43 5.35	67,245	68,016
	NISA	ZOETIS INC SR UNSECURED 02/43 4.7	128,698	130,852
	PIMCO	CALIFORNIA ST CAS 04/34 FIXED 7.5	258,868	255,174

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	PIMCO	CHICAGO IL TRANSIT AUTH SALES CHITRN 12/40 FIXED 6.899	229,680	222,672
	PIMCO	DALLAS TX AREA RAPID TRANSIT S DALTRN 12/34 FIXED 6.249	217,020	215,302
	NISA	CALIFORNIA ST CAS 04/39 FIXED 7.55	33,365	32,337
	NISA	CALIFORNIA ST CAS 11/39 FIXED 7.35	25,904	25,257
	NISA	DAWSON RIDGE CO MET DIST 1 DAWFAC 10/22 ZEROCPNOID 0	77,299	76,303
	NISA	DENVER CO PUBLIC SCHS COPS DENEDU 12/37 FIXED 4.242	18,175	17,825
	NISA	NEW JERSEY ST TURNPIKE AUTH NJSTRN 01/40 FIXED 7.414	107,438	105,332
	NISA	NEW YORK ST DORM AUTH ST PERSO NYSHGR 03/39 FIXED 5.427	43,682	42,937
		Total Corporate and Non-US Bonds	$ 67,646,908	$ 68,195,865
			$ 186,288,466	$ 186,720,678

SCHLAGE LOCK COMPANY LLC PENSION PLAN
SCHEDULE H, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS

Period of December 1, 2013 through December 31, 2013

EIN: 54-2139412 PLAN NUMBER: 001

(a) Identity of party involved	(b) Description of asset	(c) Purchase price	(d) Selling price	(e) Lease Rental	(f) Expense Incurred with Transaction	(g) Cost of asset	(h) Current value of asset on transaction date	(i) Net gain or (loss)
Category (i) - Individual transactions in excess of 5% of Plan assets								
State Street Global Advisors	SHORT TERM INVESTMENT FUND	$ 39,881,825	$ -	$ -	$ -	$ 39,881,825	$ 39,881,825	$ -
State Street Global Advisors	SHORT TERM INVESTMENT FUND	$ -	$ 39,881,825	$ -	$ -	$ 39,881,825	$ 39,881,825	$ -
PIMCO	US TREASURY N/B 02/29 5.25	$ 9,771,271	$ -	$ -	$ -	$ 9,771,271	$ 9,771,271	$ -
State Street Global Advisors	DAILY MSCI WORLD INDX NL CMMB MUTUAL FUND	$ 36,012,825	$ -	$ -	$ -	$ 36,012,825	$ 36,012,825	$ -
Category (iii) - Series of transactions in excess of 5% of Plan assets								
State Street Global Advisors	SHORT TERM INVESTMENT FUND							
	17 purchases	$ 43,203,185	$ -	$ -	$ -	$ 43,203,185	$ 43,203,185	$ -
	12 sales	-	41,708,739	-	-	41,708,739	41,708,739	-
PIMCO	US TREASURY N/B 02/29 5.25							
	2 purchases	$ 9,825,556	$ -	$ -	$ -	$ 9,825,556	$ 9,825,556	$ -

SCHLAGE LOCK COMPANY LLC PENSION PLAN
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)

EIN: 54-2139412 PLAN NUMBER: 001

December 31, 2013

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
		Cash and Cash Equivalents and Short Term Investments		
*	SSGA	SHORT TERM INVESTMENT FUND	$ 1,494,446	$ 1,494,446
		Total Cash and Cash Equivalents	$ 1,494,446	$ 1,494,446
		Equity Mutual Funds:		
*	SSGA	DAILY MSCI EMG MKTS INDX ZV86 MUTUAL FUND	$ 4,269,000	$ 4,239,119
*	SSGA	DAILY MSCI WORLD INDX NL CMMB MUTUAL FUND	34,937,235	35,834,887
	PIMCO	PIMCO FDS MUTUAL FUNDS	2,076,252	2,011,257
		Total Equity Mutual Funds	$ 41,282,486	$ 42,085,263
		U.S. Government and Agency Obligations		
	PIMCO	FREDDIE MAC DISCOUNT NT DISCOUNT NOT 07/14 0.00000	$ 499,664	$ 499,760
	PIMCO	FREDDIE MAC NOTES 07/32 6.25	898,919	885,507
	PIMCO	FANNIE MAE NOTES 05/29 6.25	2,404,374	2,381,916
	PIMCO	RESOLUTION FUNDING STRIP BONDS 01/30 0.00000	2,296,485	2,266,785
	PIMCO	TENN VALLEY AUTHORITY SR UNSECURED 01/48 4.875	1,358,140	1,362,284
	PIMCO	STRIP PRINC 11/26 0.00000	128,254	126,522
	PIMCO	STRIP PRINC 08/28 0.00000	58,723	58,016
	PIMCO	STRIP PRINC 02/40 0.00000	104,925	103,596
	PIMCO	STRIP PRINC 05/40 0.00000	103,746	102,318
	PIMCO	STRIP PRINC 08/40 0.00000	511,260	505,170
	PIMCO	STRIP PRINC 02/43 0.00000	180,480	177,990
	PIMCO	US TREASURY N/B 08/28 5.5	500,624	493,064
	PIMCO	US TREASURY N/B 02/29 5.25	7,820,032	7,704,000
	PIMCO	US TREASURY N/B 05/30 6.25	4,745,790	4,675,230
	PIMCO	US TREASURY N/B 05/37 5.	482,624	476,000
	PIMCO	US TREASURY N/B 05/38 4.5	2,814,075	2,771,875
	PIMCO	US TREASURY N/B 11/39 4.375	5,737,888	5,650,944
	PIMCO	US TREASURY N/B 02/40 4.625	229,282	225,876
	PIMCO	US TREASURY N/B 11/40 4.25	2,808,000	2,766,972
	PIMCO	US TREASURY N/B 02/41 4.75	700,878	690,378
	PIMCO	US TREASURY N/B 05/41 4.375	881,248	868,000
	PIMCO	US TREASURY N/B 11/41 3.125	3,067,960	3,023,125
	PIMCO	US TREASURY N/B 02/42 3.125	3,850,000	3,793,636
	PIMCO	US TREASURY N/B 11/42 2.75	1,364,250	1,344,071
	PIMCO	STRIPS 08/33 0.00000	138,312	136,374
	PIMCO	STRIPS 08/36 0.00000	161,372	159,404
	PIMCO	STRIPS 05/31 0.00000	612,324	603,240
	NISA	FEDERAL HOME LOAN BANK BONDS 07/36 5.5	281,522	277,642
	NISA	FREDDIE MAC NOTES 03/31 6.75	294,633	291,080
	PIMCO	FREDDIE MAC NOTES 07/32 6.25	64,209	63,251
	NISA	FANNIE MAE NOTES 11/30 6.625	1,023,705	1,008,105
	NISA	AID ISRAEL US GOVT GUAR 05/23 0.00000	25,314	24,930
	NISA	AID ISRAEL US GOVT GUAR 09/33 5.5	170,449	169,013
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 04/36 5.88	105,412	104,258
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 05/30 7.125	53,084	52,290
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 09/39 5.25	236,592	234,808
	NISA	TENN VALLEY AUTHORITY SR UNSECURED 12/42 3.5	143,561	141,905
	NISA	TREASURY BILL 01/14 0.00000	1,184,976	1,184,992
	NISA	US TREASURY N/B 11/24 7.5	292,887	289,595

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	US TREASURY N/B 02/25 7.625	361,035	357,148
	NISA	US TREASURY N/B 08/25 6.875	372,024	367,621
	NISA	US TREASURY N/B 02/26 6.	875,393	868,061
	NISA	US TREASURY N/B 08/26 6.75	401,239	394,490
	NISA	US TREASURY N/B 02/27 6.625	353,836	344,569
	NISA	US TREASURY N/B 11/27 6.125	370,082	364,350
	PIMCO	US TREASURY N/B 08/28 5.5	160,585	160,246
	PIMCO	US TREASURY N/B 02/29 5.25	54,285	54,169
	NISA	US TREASURY N/B 08/29 6.125	72,835	72,239
	PIMCO	US TREASURY N/B 05/30 6.25	237,290	233,762
	NISA	US TREASURY N/B 02/31 5.375	1,064,740	1,047,777
	NISA	US TREASURY N/B 02/36 4.5	445,732	445,064
	PIMCO	US TREASURY N/B 05/37 5.	301,640	297,500
	NISA	US TREASURY N/B 02/38 4.375	690,638	674,833
	PIMCO	US TREASURY N/B 05/38 4.5	602,212	593,181
	NISA	US TREASURY N/B 05/39 4.25	898,997	885,120
	PIMCO	US TREASURY N/B 11/39 4.375	402,006	396,653
	PIMCO	US TREASURY N/B 02/40 4.625	1,863,310	1,857,830
	PIMCO	US TREASURY N/B 11/40 4.25	177,038	175,596
	PIMCO	US TREASURY N/B 02/41 4.75	297,399	293,411
	PIMCO	US TREASURY N/B 11/41 3.125	988,343	980,356
	PIMCO	US TREASURY N/B 02/42 3.125	1,337,574	1,332,084
	NISA	US TREASURY N/B 05/42 3.	139,510	138,367
	NISA	US TREASURY N/B 08/42 2.75	1,539,502	1,533,178
	PIMCO	US TREASURY N/B 11/42 2.75	2,936,429	2,897,659
	NISA	US TREASURY N/B 02/43 3.125	3,066,747	3,048,250
	NISA	US TREASURY N/B 08/43 3.625	2,332,564	2,313,731
	NISA	US TREASURY N/B 11/43 3.75	5,086,300	5,026,112
	NISA	US TREASURY N/B 11/23 2.75	99,369	97,828
		Total U.S. Government and Agency Obligations	$ 75,864,625	$ 74,945,104
		Corporate and Non-U.S. bonds		
	PIMCO	WESTLB AG NY SUBORDINATED 07/15 4.796	$ 209,438	$ 211,295
	NISA	ANADARKO PETROLEUM CORP SR UNSECURED 10/36 0.00000	181,943	185,225
	NISA	COCA COLA FEMSA SAB CV COMPANY GUAR 11/43 5.25	149,575	153,545
	NISA	INVESCO FINANCE PLC 11/43 5.375	81,826	80,708
	NISA	WPP FINANCE 2010 11/43 5.625	68,334	69,296
	PIMCO	AIG SUNAMER GLOB FIN X SR SECURED 144A 03/32 6.9	612,020	606,195
	PIMCO	AMGEN INC SR UNSECURED 02/39 6.4	1,131,680	1,144,760
	PIMCO	RABOBANK NEDERLAND BANK GUARANT 05/41 5.25	207,940	206,346
	PIMCO	ELECTRICITE DE FRANCE SR UNSECURED 144A 01/39 6.95	244,158	244,358
	PIMCO	GENERAL ELEC CAP CORP SR UNSECURED 01/38 5.875	674,580	683,466
	PIMCO	ING BANK NV SR UNSECURED 144A 08/14 VAR	301,998	301,626
	PIMCO	JPMORGAN CHASE + CO SR UNSECURED 05/38 6.4	237,248	238,700
	PIMCO	JPMORGAN CHASE + CO SR UNSECURED 07/41 5.6	325,212	325,575
	PIMCO	SHELL INTERNATIONAL FIN COMPANY GUAR 12/38 6.375	243,830	248,398
	PIMCO	SIERRA PACIFIC POWER CO GENL REF MOR 07/37 6.75	369,186	367,569
	PIMCO	WAL MART STORES INC SR UNSECURED 09/35 5.25	962,685	977,697
	NISA	ABBVIE INC SR UNSECURED 11/42 4.4	72,778	74,614
	NISA	ACE INA HOLDINGS COMPANY GUAR 03/43 4.15	73,238	72,517
	NISA	ALABAMA POWER CO SR UNSECURED 12/42 3.85	30,005	29,855
	NISA	AMAZON.COM INC SR UNSECURED 11/22 2.5	100,064	99,157
	NISA	AMGEN INC SR UNSECURED 10/41 4.95	141,087	142,509
	NISA	AMGEN INC SR UNSECURED 11/41 5.15	224,567	229,147
	NISA	ANHEUSER BUSCH COS LLC COMPANY GUAR 08/32 6.8	43,068	42,893
	NISA	ANHEUSER BUSCH COS LLC COMPANY GUAR 04/36 5.75	55,632	55,752
	NISA	ANHEUSER BUSCH INBEV WOR COMPANY GUAR 11/39 8.	42,927	43,236
	NISA	ASCENSION HEALTH UNSECURED 11/53 4.847	96,765	96,781
	NISA	ASTRAZENECA PLC SR UNSECURED 09/37 6.45	101,762	101,499
	NISA	ASTRAZENECA PLC SR UNSECURED 09/42 4.	26,220	26,090

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	BHP BILLITON FIN USA LTD COMPANY GUAR 09/43 5.	180,247	183,013
	NISA	BP CAPITAL MARKETS PLC COMPANY GUAR 05/23 2.75	128,957	127,834
	NISA	BERKSHIRE HATHAWAY FIN COMPANY GUAR 01/40 5.75	60,906	60,840
	NISA	BERKSHIRE HATHAWAY FIN COMPANY GUAR 05/42 4.4	73,173	73,158
	NISA	BERKSHIRE HATHAWAY FIN COMPANY GUAR 05/43 4.3	54,133	54,037
	NISA	BERKSHIRE HATHAWAY INC SR UNSECURED 02/43 4.5	45,827	46,223
	NISA	BESTFOODS SR UNSECURED 04/28 6.625	24,892	24,475
	NISA	BURLINGTON RESOURCES FIN COMPANY GUAR 08/31 7.2	96,788	95,246
	NISA	DUKE ENERGY PROGRESS INC 1ST MORTGAGE 05/42 4.1	54,707	55,406
	NISA	CATHOLIC HEALTH INITIATI SECURED 11/42 4.35	109,856	108,438
	NISA	CISCO SYSTEMS INC SR UNSECURED 01/40 5.5	42,635	42,321
	NISA	CONOCOPHILLIPS COMPANY GUAR 02/39 6.5	76,184	75,241
	NISA	CONOCO FUNDING CO COMPANY GUAR 10/31 7.25	123,877	122,689
	NISA	COVIDIEN INTL FINANCE SA COMPANY GUAR 06/23 2.95	46,665	46,253
	NISA	DEERE + COMPANY SR UNSECURED 06/42 3.9	78,845	78,961
	NISA	DIAMOND OFFSHORE DRILL SR UNSECURED 11/43 4.875	106,435	107,960
	NISA	E.I. DU PONT DE NEMOURS SR UNSECURED 02/43 4.15	26,554	26,465
	NISA	DUKE ENERGY CAROLINAS 1ST REF MORT 01/38 6.	80,177	82,516
	NISA	DUKE ENERGY CAROLINAS 1ST MORTGAGE 02/40 5.3	10,720	10,857
	NISA	DUKE ENERGY CAROLINAS 1ST MORTGAGE 12/41 4.25	112,112	111,734
	NISA	DUKE ENERGY CAROLINAS 1ST REF MORT 09/42 4.	179,086	180,522
	NISA	EMC CORP SR UNSECURED 06/23 3.375	48,507	48,040
	NISA	EBAY INC SR UNSECURED 07/42 4.	41,616	42,343
	NISA	WACHOVIA CORPORATION SUBORDINATED 08/26 VAR	49,778	49,246
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 06/35 4.95	26,387	26,201
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 06/36 6.2	18,286	18,085
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 02/38 5.95	65,190	64,450
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 02/41 5.25	114,090	113,877
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 06/42 4.05	50,118	50,270
	NISA	FLORIDA POWER + LIGHT 1ST MORTGAGE 12/42 3.8	61,148	61,139
	NISA	DUKE ENERGY FLORIDA INC 1ST MORTGAGE 11/42 3.85	112,744	113,845
	NISA	GENERAL DYNAMICS CORP COMPANY GUAR 11/42 3.6	33,006	32,677
	NISA	GENERAL ELECTRIC CO SR UNSECURED 10/42 4.125	118,196	120,102
	NISA	GENERAL ELEC CAP CORP SR UNSECURED 08/37 6.15	161,693	163,335
	PIMCO	GENERAL ELEC CAP CORP SR UNSECURED 01/38 5.875	292,318	296,169
	NISA	GENERAL ELEC CAP CORP SR UNSECURED 01/39 6.875	376,895	392,108
	NISA	GENERAL ELEC CAP CORP SR UNSECURED 03/32 6.75	271,093	272,428
	NISA	HSBC BANK USA SUBORDINATED 11/34 5.875	275,300	274,835
	NISA	HALLIBURTON COMPANY SR UNSECURED 144A 08/96 7.6	40,507	39,731
	NISA	HALLIBURTON COMPANY SR UNSECURED 09/39 7.45	66,190	67,296
	NISA	HALLIBURTON COMPANY SR UNSECURED 08/43 4.75	78,461	78,564
	NISA	HOME DEPOT INC SR UNSECURED 04/43 4.2	162,749	164,034
	NISA	HOME DEPOT INC SR UNSECURED 02/44 4.875	161,301	162,011
	NISA	ILLINOIS TOOL WORKS INC SR UNSECURED 09/42 3.9	17,061	17,115
	NISA	INTEL CORP SR UNSECURED 10/41 4.8	105,700	107,275
	NISA	IBM CORP SR UNSECURED 11/32 5.875	17,481	17,304
	NISA	IBM CORP SR UNSECURED 06/42 4.	195,824	200,907
	NISA	IBM CORP SR UNSECURED 08/23 3.375	97,612	97,430
	NISA	JPMORGAN CHASE + CO SR UNSECURED 10/40 5.5	165,866	168,414
	PIMCO	JPMORGAN CHASE + CO SR UNSECURED 07/41 5.6	130,085	130,230
	NISA	JOHN SEVIER COMB CYCLE SECURED 01/42 4.626	135,438	134,461
	NISA	JOHNSON + JOHNSON SR UNSECURED 08/37 5.95	107,663	106,444
	NISA	JPMORGAN CHASE + CO SR UNSECURED 01/42 5.4	31,738	32,297
	NISA	LOEWS CORP SR UNSECURED 05/43 4.125	51,124	51,101
	NISA	MASS MUTUAL LIFE INS CO SUBORDINATED 144A 06/39 8.875	57,222	57,322
	NISA	MASS MUTUAL LIFE INS CO SUBORDINATED 144A 12/41 5.375	46,546	46,523
	NISA	MCDONALD S CORP SR UNSECURED 02/42 3.7	114,340	114,333
	NISA	MEDTRONIC INC SR UNSECURED 03/42 4.5	90,483	89,985
	NISA	MEDTRONIC INC SR UNSECURED 04/43 4.	52,396	52,881
	NISA	MEMORIAL SLOAN KETTERING SR UNSECURED 07/52 4.125	62,030	61,699
	NISA	MERCK SHARP + DOHME CORP COMPANY GUAR 03/28 6.4	110,390	108,860
	NISA	MERCK SHARP + DOHME CORP COMPANY GUAR 12/28 5.95	35,498	34,988
	NISA	MERCK + CO INC SR UNSECURED 09/42 3.6	65,938	66,265

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	NISA	MERCK + CO INC SR UNSECURED 05/43 4.15	72,511	73,087
	NISA	MICROSOFT CORP SR UNSECURED 10/40 4.5	37,778	37,999
	NISA	MICROSOFT CORP SR UNSECURED 11/42 3.5	47,762	49,553
	NISA	MICROSOFT CORP SR UNSECURED 12/43 4.875	131,300	132,870
	NISA	NATIONAL RURAL UTIL COOP COLLATERAL T 11/23 3.4	254,179	251,586
	NISA	NEVADA POWER CO GENL REF MOR 07/37 6.75	111,908	111,702
	NISA	NEW YORK LIFE INSURANCE SUBORDINATED 144A 11/39 6.75	36,667	36,595
	NISA	NORTHERN STATES PWR MINN 1ST MORTGAGE 08/42 3.4	36,424	36,179
	NISA	NORTHWESTERN MUTUAL LIFE SUBORDINATED 144A 03/40 6.063	79,556	78,646
	NISA	NORTHWESTERN UNIVERSITY SR UNSECURED 12/44 4.643	65,495	63,990
	NISA	NOVANT HEALTH INC SR UNSECURED 11/43 4.371	33,857	33,440
	NISA	NUCOR CORP SR UNSECURED 08/43 5.2	48,076	48,710
	NISA	OGLETHORPE POWER CORP 1ST MORTGAGE 09/50 5.25	34,255	34,191
	NISA	OGLETHORPE POWER CORP 1ST MORTGAGE 12/42 4.2	85,603	87,215
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 01/33 7.25	49,614	50,254
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 05/32 7	18,047	18,275
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 09/38 7.5	25,489	26,032
	NISA	ONCOR ELECTRIC DELIVERY SR SECURED 12/41 4.55	56,706	56,432
	NISA	ORACLE CORP SR UNSECURED 07/40 5.375	287,979	288,301
	NISA	ORACLE CORP SR UNSECURED 07/23 3.625	49,496	49,599
	NISA	PACIFICORP 1ST MORTGAGE 08/36 6.1	46,746	46,844
	NISA	PACIFICORP 1ST MORTGAGE 10/37 6.25	108,516	107,304
	NISA	PEPSI BOTTLING GROUP INC COMPANY GUAR 03/29 7.	126,037	125,922
	NISA	PHILIP MORRIS INTL INC SR UNSECURED 08/42 3.875	158,787	160,833
	NISA	PHILIP MORRIS INTL INC SR UNSECURED 03/43 4.125	96,771	96,296
	NISA	PHILIP MORRIS INTL INC SR UNSECURED 11/43 4.875	49,010	49,532
	NISA	POTOMAC ELECTRIC POWER 1ST MORTGAGE 11/37 6.5	81,565	80,649
	NISA	POTOMAC ELECTRIC POWER 1ST MORTGAGE 03/43 4.15	50,598	50,260
	NISA	PRES + FELLOWS OF HARVAR UNSECURED 144A 01/39 6.5	128,771	127,284
	NISA	PRUDENTIAL INSURANCE CO SR SUBORDINA 144A 07/25 8.3	191,549	188,282
	NISA	PRUDENTIAL FINANCIAL INC SR UNSECURED 11/41 5.8	82,025	83,060
	NISA	PUBLIC SERVICE COLORADO 1ST MORTGAGE 08/38 6.5	124,529	124,459
	NISA	ST JUDE MEDICAL INC SR UNSECURED 04/43 4.75	131,300	132,685
	NISA	SAN DIEGO G + E 1ST MORTGAGE 11/41 3.95	32,329	31,573
	NISA	MERCK + CO INC COMPANY GUAR 12/33 6.5	93,803	93,507
	NISA	MERCK + CO INC COMPANY GUAR 09/37 6.55	49,707	49,875
	NISA	SHELL INTERNATIONAL FIN COMPANY GUAR 08/43 4.55	266,577	268,219
	NISA	SHERWIN WILLIAMS CO SR UNSECURED 12/42 4.	43,117	42,905
	NISA	SIEMENS FINANCIERINGSMAT COMPANY GUAR 144A 08/26 6.125	117,575	115,393
	NISA	SOUTH CAROLINA ELEC+GAS 1ST MORTGAGE 02/32 6.625	91,323	92,819
	NISA	SOUTH CAROLINA ELEC+GAS 1ST MORTGAGE 02/42 4.35	51,093	51,084
	NISA	SOUTH CAROLINA ELEC+GAS 1ST MORTGAGE 06/43 4.6	54,014	53,742
	NISA	SOUTHERN CAL EDISON 1ST REF MORT 01/34 6.	157,411	158,880
	NISA	SOUTHERN CAL EDISON 1ST REF MORT 12/41 3.9	111,070	110,515
	NISA	SOUTHERN CAL EDISON 1ST REF MORT 03/43 3.9	146,198	145,454
	NISA	SWISS RE TREASURY (US) COMPANY GUAR 144A 12/42 4.25	43,513	42,967
	NISA	TARGET CORP SR UNSECURED 07/42 4.	173,218	173,482
	NISA	TEACHERS INSUR + ANNUITY SUBORDINATED 144A 12/39 6.85	179,282	185,159
	NISA	TOSCO CORP COMPANY GUAR 01/27 7.8	133,753	131,553
	NISA	TRAVELERS COS INC SR UNSECURED 08/43 4.6	88,238	87,935
	NISA	TUFTS UNIVERSITY UNSECURED 04/12 5.017	18,208	18,151
	NISA	UNION ELECTRIC CO SR SECURED 09/42 3.9	150,294	148,806
	NISA	UNION PACIFIC CORP SR UNSECURED 09/41 4.75	68,276	67,847
	NISA	UNION PACIFIC CORP SR UNSECURED 06/42 4.3	81,967	81,439
	NISA	UNION PACIFIC CORP SR UNSECURED 04/43 4.25	107,694	106,824
	NISA	UNION PACIFIC CORP SR UNSECURED 12/43 4.75	81,857	82,800
	NISA	UNITED TECHNOLOGIES CORP SR UNSECURED 06/42 4.5	230,021	233,062
	NISA	UNITEDHEALTH GROUP INC SR UNSECURED 06/37 6.5	119,472	118,638
	NISA	UNITEDHEALTH GROUP INC SR UNSECURED 02/41 5.95	56,507	56,644
	PIMCO	WAL MART STORES INC SR UNSECURED 09/35 5.25	42,786	43,453
	NISA	WAL MART STORES INC SR UNSECURED 08/37 6.5	96,937	100,074
	NISA	WAL MART STORES INC SR UNSECURED 04/38 6.2	188,524	192,296
	NISA	WAL MART STORES INC SR UNSECURED 04/40 5.625	33,326	33,888

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	NISA	WAL MART STORES INC SR UNSECURED 10/40 5.	298,117	303,395
	NISA	WAL MART STORES INC SR UNSECURED 04/23 2.55	146,776	146,187
	NISA	WAL MART STORES INC SR UNSECURED 10/43 4.75	177,625	180,700
	NISA	WELLS FARGO + COMPANY SUBORDINATED 144A 01/44 5.606	382,869	394,702
	NISA	WELLS FARGO + COMPANY SUBORDINATED 11/43 5.375	161,130	168,962
	NISA	WISCONSIN PUBLIC SERVICE SR SECURED 11/44 4.752	100,958	100,923
	NISA	WYETH LLC COMPANY GUAR 02/34 6.5	140,759	140,772
	NISA	WYETH LLC COMPANY GUAR 04/37 5.95	221,244	220,246
	PIMCO	AT+T INC SR UNSECURED 12/42 4.3	984,154	1,006,861
	PIMCO	AMERICAN EXPRESS CO SR UNSECURED 12/42 4.05	174,584	175,964
	PIMCO	ANADARKO FINANCE CO COMPANY GUAR 05/31 7.5	621,845	607,905
	PIMCO	APPALACHIAN POWER CO SR UNSECURED 04/36 6.375	554,910	556,050
	PIMCO	BG ENERGY CAPITAL PLC COMPANY GUAR 144A 10/41 5.125	200,342	205,088
	PIMCO	BANK OF AMERICA NA SUBORDINATED 10/36 6.	566,665	573,830
	PIMCO	BOSTON SCIENTIFIC CORP SR UNSECURED 01/40 7.375	492,756	496,996
	PIMCO	CANADIAN NATL RESOURCES SR UNSECURED 06/33 6.45	563,385	566,400
	PIMCO	CITIGROUP INC SUBORDINATED 06/32 6.625	221,166	222,528
	PIMCO	COMCAST CORP COMPANY GUAR 03/33 7.05	969,560	967,872
	PIMCO	CONS EDISON CO OF NY SR UNSECURED 04/38 6.75	252,464	252,310
	PIMCO	COX COMMUNICATIONS INC SR UNSECURED 144A 03/39 8.375	364,410	363,831
	PIMCO	DEUTSCHE TELEKOM INT FIN COMPANY GUAR 06/30 8.75	838,650	846,468
	PIMCO	DEVON ENERGY CORPORATION SR UNSECURED 04/32 7.95	259,112	260,294
	PIMCO	DOW CHEMICAL CO/THE SR UNSECURED 05/39 9.4	296,470	298,782
	PIMCO	ENTERPRISE PRODUCTS OPER COMPANY GUAR 02/43 4.45	262,998	264,996
	PIMCO	FIRSTENERGY CORP SR UNSECURED 11/31 7.375	421,352	434,632
	PIMCO	GOLDMAN SACHS GROUP INC SR UNSECURED 02/33 6.125	222,718	224,968
	PIMCO	GOLDMAN SACHS GROUP INC SUBORDINATED 10/37 6.75	881,624	890,040
	PIMCO	HCP INC SR UNSECURED 02/41 6.75	236,196	236,734
	PIMCO	HSBC HOLDINGS PLC SUBORDINATED 09/37 6.5	473,948	473,096
	PIMCO	KINDER MORGAN ENER PART SR UNSECURED 02/37 6.5	872,616	876,648
	PIMCO	KRAFT FOODS GROUP INC SR UNSECURED 02/40 6.5	699,072	699,720
	PIMCO	MIDAMERICAN ENERGY HLDGS SR UNSECURED 09/37 6.5	699,954	696,924
	PIMCO	MORGAN STANLEY SR UNSECURED 07/20 5.5	450,000	447,360
	PIMCO	PACIFIC GAS + ELECTRIC SR UNSECURED 02/38 6.35	465,132	463,092
	PIMCO	PENNSYLVANIA ELECTRIC CO SR UNSECURED 10/38 6.15	209,048	211,978
	PIMCO	PROGRESS ENERGY INC SR UNSECURED 03/31 7.75	383,433	386,328
	PIMCO	PUGET ENERGY INC SR SECURED 09/21 6.	437,428	447,316
	PIMCO	SLM CORP SR UNSECURED 08/33 5.625	412,500	414,375
	PIMCO	SUNCOR ENERGY INC SR UNSECURED 06/39 6.85	240,428	240,614
	PIMCO	TIME WARNER INC COMPANY GUAR 07/40 6.1	534,845	545,685
	PIMCO	TIME WARNER CABLE INC COMPANY GUAR 07/38 7.3	392,676	398,872
	PIMCO	TRANSNEFT(TRANS CAP INV) SR UNSECURED 144A 08/18 8.7	244,320	242,750
	PIMCO	VERIZON COMMUNICATIONS SR UNSECURED 09/43 6.55	2,039,652	2,105,928
	PIMCO	VIRGINIA ELEC + POWER CO SR UNSECURED 11/37 6.35	361,311	361,581
	PIMCO	VODAFONE GROUP PLC SR UNSECURED 02/37 6.15	215,730	216,754
	PIMCO	ACTAVIS INC SR UNSECURED 10/42 4.625	179,530	181,960
	PIMCO	WEYERHAEUSER CO SR UNSECURED 03/32 7.375	488,200	489,460
	NISA	AGL CAPITAL CORP COMPANY GUAR 06/43 4.4	36,131	36,066
	NISA	TIME WARNER INC COMPANY GUAR 04/31 7.625	106,205	107,573
	NISA	TIME WARNER INC COMPANY GUAR 05/32 7.7	180,993	186,176
	NISA	AON PLC COMPANY GUAR 05/43 4.45	106,289	106,598
	NISA	AT+T CORP COMPANY GUAR 11/31 8.	33,303	33,273
	NISA	AT+T INC SR UNSECURED 02/39 6.55	110,952	113,530
	NISA	AT+T INC SR UNSECURED 08/41 5.55	183,013	187,749
	PIMCO	AT+T INC SR UNSECURED 12/42 4.3	21,096	21,206
	NISA	AT+T INC SR UNSECURED 06/45 4.35	146,163	148,153
	NISA	NEW CINGULAR WIRELESS SV SR UNSECURED 03/31 8.75	260,125	261,727
	NISA	AGRIUM INC SR UNSECURED 01/41 6.125	53,395	53,817
	NISA	ALLSTATE CORP SR UNSECURED 06/43 4.5	47,850	47,309
	NISA	ALLSTATE CORP SUBORDINATED 08/53 VAR	96,207	95,713
	NISA	ALLTEL CORP SR UNSECURED 07/32 7.875	58,313	58,586
	NISA	ALTRIA GROUP INC COMPANY GUAR 01/44 5.375	308,162	311,283
	NISA	HESS CORP SR UNSECURED 03/33 7.125	48,074	48,207

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	NISA	AMERICA MOVIL SAB DE CV COMPANY GUAR 03/35 6.375	106,721	108,164
	NISA	AMERICA MOVIL SAB DE CV COMPANY GUAR 03/40 6.125	105,847	105,812
	PIMCO	AMERICAN EXPRESS CO SR UNSECURED 12/42 4.05	52,375	52,789
	NISA	AMERICAN INTL GROUP SR UNSECURED 05/36 6.25	115,253	115,878
	NISA	AMERICAN INTL GROUP JR SUB DEBS 05/68 VAR	246,256	248,050
	NISA	AMERICAN INTL GROUP SR UNSECURED 11/37 6.82	103,104	102,311
	NISA	AMERICAN TOWER CORP SR UNSECURED 01/23 3.5	36,013	36,470
	NISA	AMERICAN TRANSMISSION SY SR UNSECURED 144A 01/22 5.25	31,616	31,594
	NISA	AON CORP COMPANY GUAR 01/27 8.205	121,918	119,746
	NISA	APACHE CORP SR UNSECURED 04/43 4.75	116,970	116,394
	NISA	ARCH CAPITAL GRP US INC COMPANY GUAR 11/43 5.144	40,000	39,996
	NISA	ARIZONA PUBLIC SERVICE SR UNSECURED 04/42 4.5	47,443	47,878
	NISA	AT+T INC SR UNSECURED 09/40 5.35	266,037	272,093
	NISA	AVON PRODUCTS INC SR UNSECURED 03/43 6.95	48,105	48,212
	NISA	BANK OF AMERICA CORP SR UNSECURED 02/42 5.875	33,779	34,311
	NISA	BANK OF AMERICA CORP SR UNSECURED 07/23 4.1	246,107	251,060
	NISA	BARRICK NA FINANCE LLC COMPANY GUAR 05/41 5.7	17,032	17,182
	NISA	BARRICK PD AU FIN PTY LT COMPANY GUAR 10/39 5.95	184,178	181,700
	NISA	BLACK HILLS CORP SR UNSECURED 11/23 4.25	109,548	109,503
	NISA	BUCKEYE PARTNERS LP SR UNSECURED 11/43 5.85	48,670	49,337
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 09/41 4.95	68,417	69,010
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 03/42 4.4	98,332	98,018
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 09/42 4.375	188,523	187,622
	NISA	BURLINGTN NORTH SANTA FE SR UNSECURED 03/43 4.45	89,844	90,671
	NISA	CBS CORP COMPANY GUAR 07/42 4.85	107,664	108,126
	NISA	CF INDUSTRIES INC COMPANY GUAR 06/43 4.95	133,545	131,966
	NISA	CIGNA CORP SR UNSECURED 02/42 5.375	41,083	41,588
	NISA	CSX CORP SR UNSECURED 03/44 4.1	118,839	118,636
	NISA	CVS CAREMARK CORP SR UNSECURED 05/41 5.75	75,262	76,396
	NISA	MIDAMERICAN ENERGY HLDGS SR UNSECURED 09/28 8.48	95,401	94,644
	NISA	CAMERON INTL CORP SR UNSECURED 04/22 3.6	69,046	68,586
	NISA	CAMPBELL SOUP CO SR UNSECURED 08/42 3.8	70,845	69,854
	NISA	CANADIAN NATL RESOURCES SR UNSECURED 02/35 5.85	26,364	26,519
	NISA	CARDINAL HEALTH INC SR UNSECURED 03/43 4.6	36,616	37,351
	NISA	CENOVUS ENERGY INC SR UNSECURED 09/42 4.45	17,874	18,009
	NISA	CENOVUS ENERGY INC SR UNSECURED 09/43 5.2	79,473	79,334
	NISA	CINCINNATI FINL CORP SR UNSECURED 11/34 6.125	21,173	21,293
	NISA	CINCINNATI FINL CORP SR UNSECURED 05/28 6.92	153,230	152,339
	NISA	AT+T MOBILITY LLC SR UNSECURED 12/31 7.125	169,191	170,366
	NISA	CINTAS CORPORATION NO. 2 COMPANY GUAR 06/22 3.25	38,669	38,134
	NISA	CITIGROUP INC SR UNSECURED 01/28 6.625	23,505	23,214
	PIMCO	CITIGROUP INC SUBORDINATED 06/32 6.625	43,004	44,506
	NISA	CITIGROUP INC SUBORDINATED 02/33 5.875	40,187	41,113
	NISA	CITIGROUP INC SUBORDINATED 10/33 6.	46,143	47,440
	NISA	CITIGROUP INC SR UNSECURED 12/34 5.85	5,471	5,415
	NISA	CITIGROUP INC SUBORDINATED 08/36 6.125	206,045	213,234
	NISA	CITIGROUP INC SR UNSECURED 07/39 8.125	172,988	175,336
	NISA	CITIGROUP INC SR UNSECURED 01/42 5.875	99,449	101,148
	NISA	CITIGROUP INC SUBORDINATED 09/43 6.675	126,074	132,322
	NISA	CITIGROUP INC SR UNSECURED 11/43 4.95	106,578	109,570
	NISA	CLIFFS NATURAL RESOURCES SR UNSECURED 10/40 6.25	55,626	55,374
	NISA	COMCAST CORP COMPANY GUAR 06/35 5.65	79,511	79,884
	NISA	COMCAST CORP COMPANY GUAR 03/37 6.45	489,073	493,230
	NISA	COMCAST CORP COMPANY GUAR 07/39 6.55	82,030	82,875
	NISA	COMCAST CORP COMPANY GUAR 07/42 4.65	124,306	125,631
	NISA	COMMONWEALTH EDISON 1ST MORTGAGE 10/42 3.8	51,834	51,152
	NISA	CONAGRA FOODS INC SR UNSECURED 09/30 8.25	19,051	19,342
	NISA	CONAGRA FOODS INC SR UNSECURED 01/43 4.65	54,134	55,123
	NISA	CONSTELLATION ENERGY GRP COMPANY GUAR 04/32 7.6	88,100	88,700
	NISA	CORNING INC SR UNSECURED 08/36 7.25	104,689	103,691
	NISA	CORNING INC SR UNSECURED 03/42 4.75	48,535	48,155
	NISA	DARDEN RESTAURANTS INC SR UNSECURED 10/37 6.8	98,598	100,635
	NISA	DEUTSCHE TELEKOM INT FIN COMPANY GUAR 06/32 9.25	230,855	229,637

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	NISA	DEVON ENERGY CORPORATION SR UNSECURED 07/41 5.6	175,466	177,157
	NISA	DIRECTV HOLDINGS/FING COMPANY GUAR 03/40 6.35	101,102	103,976
	NISA	DIRECTV HOLDINGS/FING COMPANY GUAR 08/40 6.	125,579	128,375
	NISA	DOMTAR CORP COMPANY GUAR 09/42 6.25	76,771	76,658
	NISA	DOMTAR CORP COMPANY GUAR 02/44 6.75	50,436	51,006
	NISA	DOW CHEMICAL CO/THE SR UNSECURED 11/42 4.375	166,596	166,826
	NISA	DR PEPPER SNAPPLE GROUP COMPANY GUAR 05/38 7.45	115,979	114,885
	NISA	DUKE ENERGY CAROLINAS SR UNSECURED 12/28 6.	40,463	39,612
	NISA	EATON CORP COMPANY GUAR 11/32 4.	110,782	110,209
	NISA	EL PASO NATURAL GAS SR UNSECURED 06/32 8.375	148,927	147,578
	NISA	EMBARQ CORP SR UNSECURED 06/36 7.995	263,039	263,234
	NISA	ENCANA CORP SR UNSECURED 11/41 5.15	65,640	65,625
	NISA	IBERDROLA INTL BV COMPANY GUAR 07/36 6.75	32,516	32,584
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 10/36 6.625	101,095	102,374
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 07/38 7.5	81,566	82,130
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 06/41 6.05	20,425	20,501
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 02/42 6.5	105,322	107,475
	NISA	ENERGY TRANSFER PARTNERS SR UNSECURED 02/43 5.15	36,508	36,549
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 03/33 6.875	35,600	35,864
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 03/35 5.75	36,611	37,010
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 10/39 6.125	169,565	171,106
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 02/41 5.95	10,759	10,891
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 02/42 5.7	88,499	89,616
	NISA	ENTERPRISE PRODUCTS OPER COMPANY GUAR 03/44 4.85	93,486	94,040
	NISA	EXELON GENERATION CO LLC SR UNSECURED 06/42 5.6	172,705	177,361
	NISA	EXELON CORP SR UNSECURED 06/35 5.625	39,469	39,655
	NISA	EXPRESS SCRIPTS HOLDING COMPANY GUAR 11/41 6.125	100,151	101,241
	NISA	FEDEX CORP COMPANY GUAR 04/43 4.1	110,612	110,600
	NISA	FIFTH THIRD BANCORP SUBORDINATED 03/38 8.25	274,019	272,935
	NISA	FORD MOTOR COMPANY SR UNSECURED 11/46 7.4	102,514	101,626
	NISA	FORD MOTOR COMPANY SR UNSECURED 10/28 6.625	50,814	50,522
	NISA	FORD MOTOR COMPANY SR UNSECURED 01/43 4.75	141,971	144,299
	NISA	FORD MOTOR CREDIT CO LLC SR UNSECURED 08/23 4.375	201,630	201,072
	NISA	ORANGE SA SR UNSECURED 03/31 8.75	55,112	55,227
	NISA	FREEPORT MCMORAN C + G COMPANY GUAR 03/43 5.45	65,232	66,993
	NISA	GATX CORP SR UNSECURED 03/23 3.9	57,059	56,839
	NISA	GTE CORP COMPANY GUAR 04/28 6.94	325,339	323,515
	NISA	GILEAD SCIENCES INC SR UNSECURED 12/41 5.65	77,172	77,927
	PIMCO	GOLDMAN SACHS GROUP INC SR UNSECURED 02/33 6.125	117,291	118,108
	PIMCO	GOLDMAN SACHS GROUP INC SUBORDINATED 10/37 6.75	305,366	311,514
	NISA	GOLDMAN SACHS GROUP INC SR UNSECURED 02/41 6.25	50,952	51,858
	NISA	GOLDMAN SACHS CAPITAL I LIMITD GUARA 02/34 6.345	157,742	156,395
	NISA	GOLDMAN SACHS GROUP INC SUBORDINATED 05/36 6.45	158,701	160,638
	NISA	HARTFORD FINL SVCS GRP SR UNSECURED 10/41 6.1	86,502	85,758
	NISA	HARTFORD FINL SVCS GRP SR UNSECURED 04/43 4.3	70,828	70,374
	NISA	HASBRO INC SR UNSECURED 03/40 6.35	106,058	105,335
	NISA	HUMANA INC SR UNSECURED 12/42 4.625	44,738	45,069
	NISA	ING US INC SR UNSECURED 07/43 5.7	20,113	20,896
	NISA	INTL PAPER CO SR UNSECURED 11/39 7.3	60,666	61,736
	NISA	JERSEY CENTRAL PWR + LT SR UNSECURED 144A 04/24 4.7	110,248	108,878
	NISA	JOHNSON CONTROLS INC SR UNSECURED 12/41 5.25	49,186	48,608
	NISA	JUNIPER NETWORKS INC SR UNSECURED 03/41 5.95	49,575	49,365
	NISA	KEYSPAN CORP SR UNSECURED 04/35 5.803	63,325	63,068
	NISA	KINDER MORGAN ENER PART SR UNSECURED 03/35 5.8	96,944	97,392
	PIMCO	KINDER MORGAN ENER PART SR UNSECURED 02/37 6.5	65,446	65,749
	NISA	KINDER MORGAN ENER PART SR UNSECURED 03/43 5.	156,930	156,902
	NISA	KOHL S CORPORATION SR UNSECURED 12/23 4.75	81,568	81,209
	NISA	MONDELEZ INTERNATIONAL SR UNSECURED 08/37 7.	59,058	61,176
	NISA	MONDELEZ INTERNATIONAL SR UNSECURED 02/38 6.875	244,640	255,835
	NISA	MONDELEZ INTERNATIONAL SR UNSECURED 01/39 6.875	58,693	61,132
	NISA	KRAFT FOODS GROUP INC SR UNSECURED 06/42 5.	155,202	157,726
	NISA	LITTON INDUSTRIES INC COMPANY GUAR 03/26 7.75	63,289	62,462
	NISA	LOWE S COMPANIES INC SR UNSECURED 04/40 5.8	66,392	67,402

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	LOWE S COMPANIES INC SR UNSECURED 04/42 4.65	76,206	77,368
	NISA	MACYS RETAIL HLDGS INC COMPANY GUAR 01/42 5.125	27,597	28,628
	NISA	MACYS RETAIL HLDGS INC COMPANY GUAR 02/43 4.3	81,714	84,395
	NISA	MARATHON OIL CORP SR UNSECURED 11/22 2.8	78,685	78,337
	NISA	MARATHON PETROLEUM CORP SR UNSECURED 03/41 6.5	157,073	159,559
	NISA	MARKEL CORPORATION SR UNSECURED 03/43 5.	37,694	37,408
	NISA	MARSH + MCLENNAN COS INC SR UNSECURED 08/33 5.875	26,699	26,596
	NISA	MASSACHUSETTS ELECTRIC SR UNSECURED 144A 11/39 5.9	183,538	185,247
	NISA	MEAD JOHNSON NUTRITION C SR UNSECURED 11/39 5.9	32,328	32,315
	NISA	BANK OF AMERICA CORP SUBORDINATED 05/38 7.75	125,642	129,107
	NISA	METLIFE CAPITAL TRUST X JR SUBORDINA 144A 04/68 9.25	128,000	128,500
	NISA	METLIFE INC JR SUBORDINA 08/69 10.75	129,741	132,750
	NISA	METLIFE INC SR UNSECURED 08/42 4.125	8,766	8,696
	NISA	METLIFE INC SR UNSECURED 11/43 4.875	141,947	142,309
	NISA	MIDAMERICAN ENERGY HLDGS SR UNSECURED 04/36 6.125	168,549	170,409
	NISA	MIDAMERICAN ENERGY HLDGS SR UNSECURED 05/37 5.95	185,620	186,024
	NISA	MONONGAHELA POWER CO 1ST MORTGAGE 144A 04/24 4.1	119,396	119,942
	NISA	MONONGAHELA POWER CO 1ST MORTGAGE 144A 12/43 5.4	80,435	83,094
	NISA	MORGAN STANLEY SR UNSECURED 04/32 7.25	67,814	68,067
	NISA	MORGAN STANLEY SR UNSECURED 07/42 6.375	68,449	70,277
	NISA	MOSAIC CO SR UNSECURED 11/43 5.625	100,744	101,448
	NISA	MURPHY OIL CORP SR UNSECURED 12/22 3.7	37,232	36,960
	NISA	MURPHY OIL CORP SR UNSECURED 12/42 5.125	111,098	110,620
	NISA	MYLAN INC SR UNSECURED 11/23 4.2	119,220	118,031
	NISA	NYU HOSPITALS CENTER SR SECURED 07/43 5.75	36,391	35,415
	NISA	NATIONWIDE MUTUAL INSURA SUBORDINATED 144A 08/39 9.375	118,841	119,198
	NISA	NBCUNIVERSAL MEDIA LLC COMPANY GUAR 01/43 4.45	116,292	116,457
	NISA	NEWMONT MINING CORP COMPANY GUAR 04/35 5.875	38,927	38,362
	NISA	NEWMONT MINING CORP COMPANY GUAR 03/42 4.875	95,999	96,257
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 12/45 7.75	69,248	69,498
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 12/34 6.2	186,221	188,185
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 11/37 6.65	69,007	70,036
	NISA	21ST CENTURY FOX AMERICA COMPANY GUAR 144A 10/43 5.4	69,447	70,879
	NISA	NISOURCE FINANCE CORP COMPANY GUAR 02/43 5.25	88,491	92,325
	NISA	NISOURCE FINANCE CORP COMPANY GUAR 02/44 4.8	93,058	94,738
	NISA	NISOURCE FINANCE CORP COMPANY GUAR 02/45 5.65	60,062	61,460
	NISA	NOBLE HOLDING INTL LTD COMPANY GUAR 03/42 5.25	140,303	143,922
	NISA	NORDSTROM INC SR UNSECURED 144A 01/44 5.	114,548	113,668
	NISA	NORFOLK SOUTHERN CORP SR UNSECURED 05/25 5.59	110,606	109,280
	NISA	NORFOLK SOUTHERN CORP SR UNSECURED 10/42 3.95	41,873	42,071
	NISA	NORTH SHORE LONG ISLAND SR UNSECURED 11/43 6.15	70,143	68,393
	NISA	NORTHROP GRUMMAN CORP SR UNSECURED 06/43 4.75	187,272	189,536
	NISA	OKLAHOMA G+E CO SR UNSECURED 05/43 3.9	34,738	34,393
	NISA	ONEOK PARTNERS LP COMPANY GUAR 09/43 6.2	31,986	32,382
	NISA	PPL ELECTRIC UTILITIES 1ST MORTGAGE 08/37 6.45	104,535	103,380
	NISA	PPL ELECTRIC UTILITIES 1ST MORTGAGE 07/43 4.75	35,316	35,028
	NISA	PACIFIC BELL TELEPHONE COMPANY GUAR 03/26 7.125	35,488	35,902
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 03/37 5.8	81,953	82,679
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 03/39 6.25	17,284	17,207
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 01/40 5.4	72,977	74,422
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 04/42 4.45	100,674	103,181
	NISA	PACIFIC GAS + ELECTRIC SR UNSECURED 11/43 5.125	51,175	51,633
	NISA	PEPSICO INC SR UNSECURED 11/40 4.875	19,857	19,999
	NISA	PEPSICO INC SR UNSECURED 08/42 3.6	48,901	49,387
	NISA	PETRO CANADA SR UNSECURED 05/35 5.95	54,180	54,157
	NISA	PHILLIPS 66 COMPANY GUAR 05/42 5.875	54,017	54,228
	NISA	PITNEY BOWES INC SR UNSECURED 01/37 5.25	26,578	26,817
	NISA	POTASH CORP SASKATCHEWAN SR UNSECURED 12/40 5.625	21,064	21,238
	NISA	PROGRESS ENERGY INC SR UNSECURED 12/39 6.	22,535	22,432
	NISA	PRUDENTIAL FINANCIAL INC JR SUBORDINA 06/43 VAR	127,400	127,400
	NISA	PUGET SOUND ENERGY INC SR SECURED 07/40 5.764	79,354	79,549
	NISA	QUEST DIAGNOSTIC INC COMPANY GUAR 01/40 5.75	93,057	93,050
	NISA	REPUBLIC SERVICES INC COMPANY GUAR 03/40 6.2	102,194	102,152

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NISA	REYNOLDS AMERICAN INC COMPANY GUAR 11/42 4.75	61,226	62,217
	NISA	RIO TINTO FIN USA PLC COMPANY GUAR 08/42 4.125	203,534	211,022
	NISA	ROCKWELL COLLINS INC SR UNSECURED 12/43 4.8	44,816	45,177
	NISA	ROWAN COMPANIES INC COMPANY GUAR 12/42 5.4	44,937	46,257
	NISA	SAMARCO MINERACAO SA SR UNSECURED 144A 10/23 5.75	196,500	198,000
	NISA	SCHNEIDER ELECTRIC SA SR UNSECURED 144A 09/22 2.95	93,289	93,122
	NISA	SOUTHERN COPPER CORP SR UNSECURED 07/35 7.5	102,885	104,207
	NISA	SOUTHERN COPPER CORP SR UNSECURED 04/40 6.75	18,917	19,365
	NISA	SOUTHERN POWER CO SR UNSECURED 07/43 5.25	104,478	104,298
	NISA	STANDARD CHARTERED PLC SUBORDINATED 144A 01/24 5.2	97,103	94,809
	NISA	STARBUCKS CORP SR UNSECURED 10/23 3.85	140,750	140,818
	NISA	SUNCOR ENERGY INC SR UNSECURED 12/34 5.95	37,940	38,110
	NISA	SYSTEM ENERGY RESOURCES 1ST MORTGAGE 04/23 4.1	68,919	68,385
	NISA	TCI COMMUNICATIONS INC SR UNSECURED 02/26 7.875	98,296	97,161
	NISA	TALISMAN ENERGY SR UNSECURED 02/37 5.85	113,685	115,946
	NISA	TEXAS EASTERN TRANSMISSI SR UNSECURED 144A 10/22 2.8	63,291	62,594
	NISA	THOMSON REUTERS CORP SR UNSECURED 05/43 4.5	25,139	25,395
	NISA	TIME WARNER INC COMPANY GUAR 03/40 6.2	97,075	99,149
	PIMCO	TIME WARNER INC COMPANY GUAR 07/40 6.1	149,757	152,792
	NISA	TIME WARNER CABLE INC COMPANY GUAR 05/37 6.55	235,087	240,591
	NISA	TIME WARNER CABLE INC COMPANY GUAR 06/39 6.75	282,418	301,344
	NISA	TRANS CANADA PIPELINES SR UNSECURED 01/39 7.625	65,838	66,738
	NISA	TRANS CANADA PIPELINES SR UNSECURED 10/37 6.2	101,642	102,531
	NISA	TRANSOCEAN INC COMPANY GUAR 03/38 6.8	112,245	116,866
	NISA	WASTE MANAGEMENT INC COMPANY GUAR 07/28 7.	101,194	102,847
	NISA	US CELLULAR CORP SR UNSECURED 12/33 6.7	19,084	18,959
	NISA	VALE OVERSEAS LIMITED COMPANY GUAR 11/36 6.875	232,070	237,533
	NISA	VALE OVERSEAS LIMITED COMPANY GUAR 11/39 6.875	40,444	41,377
	NISA	VALERO ENERGY CORP COMPANY GUAR 04/32 7.5	90,113	91,217
	NISA	VALERO ENERGY CORP COMPANY GUAR 06/37 6.625	88,383	91,258
	NISA	VALERO ENERGY CORP COMPANY GUAR 03/39 10.5	29,482	30,036
	NISA	VENTAS REALTY LP COMPANY GUAR 09/43 5.7	25,539	25,592
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 04/37 6.25	183,971	188,387
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 02/38 6.4	88,270	89,838
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 04/41 6.	95,483	98,814
	PIMCO	VERIZON COMMUNICATIONS SR UNSECURED 09/43 6.55	1,264,226	1,304,505
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 06/32 7.75	191,820	198,385
	NISA	VERIZON COMMUNICATIONS SR UNSECURED 09/35 5.85	36,301	37,158
	NISA	VIACOM INC SR UNSECURED 03/43 4.375	140,330	143,543
	NISA	VIACOM INC SR UNSECURED 09/43 5.85	144,722	147,171
	PIMCO	VIRGINIA ELEC + POWER CO SR UNSECURED 11/37 6.35	30,109	30,132
	NISA	VIRGINIA ELEC + POWER CO SR UNSECURED 11/38 8.875	138,815	138,875
	NISA	VIRGINIA ELEC + POWER CO SR UNSECURED 08/43 4.65	9,915	9,960
	NISA	VODAFONE GROUP PLC SR UNSECURED 09/22 2.5	75,432	75,067
	NISA	VODAFONE GROUP PLC SR UNSECURED 02/43 4.375	101,507	103,696
	NISA	WASTE MANAGEMENT INC SR UNSECURED 08/26 7.1	73,610	72,561
	NISA	WALGREEN CO SR UNSECURED 09/42 4.4	44,176	44,443
	NISA	WASTE MANAGEMENT INC COMPANY GUAR 05/32 7.75	130,533	130,282
	NISA	WEATHERFORD INTL LLC COMPANY GUAR 06/37 6.8	96,485	97,270
	NISA	WELLPOINT INC SR UNSECURED 05/42 4.625	63,105	64,695
	NISA	WELLPOINT INC SR UNSECURED 01/43 4.65	169,638	175,902
	NISA	WELLPOINT INC SR UNSECURED 01/44 5.1	87,660	89,249
	NISA	WESTVACO CORP COMPANY GUAR 02/31 7.95	23,309	23,206
	NISA	WILLIAMS COMPANIES INC SR UNSECURED 01/31 7.5	27,439	26,424
	NISA	WILLIAMS COMPANIES INC SR UNSECURED 01/23 3.7	62,080	61,097
	NISA	WILLIAMS PARTNERS LP SR UNSECURED 08/22 3.35	74,639	74,268
	NISA	WILLIAMS PARTNERS LP SR UNSECURED 11/43 5.8	160,827	163,317
	NISA	WYNDHAM WORLDWIDE SR UNSECURED 03/23 3.9	85,293	84,777
	NISA	XLIT LTD COMPANY GUAR 12/43 5.25	20,153	20,134
	NISA	YUM BRANDS INC SR UNSECURED 11/37 6.875	22,840	22,772
	NISA	YUM BRANDS INC SR UNSECURED 11/43 5.35	67,245	68,016
	NISA	ZOETIS INC SR UNSECURED 02/43 4.7	128,698	130,852
	PIMCO	CALIFORNIA ST CAS 04/34 FIXED 7.5	258,868	255,174

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of Investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	PIMCO	CHICAGO IL TRANSIT AUTH SALES CHITRN 12/40 FIXED 6.899	229,680	222,672
	PIMCO	DALLAS TX AREA RAPID TRANSIT S DALTRN 12/34 FIXED 6.249	217,020	215,302
	NISA	CALIFORNIA ST CAS 04/39 FIXED 7.55	33,365	32,337
	NISA	CALIFORNIA ST CAS 11/39 FIXED 7.35	25,904	25,257
	NISA	DAWSON RIDGE CO MET DIST 1 DAWFAC 10/22 ZEROCPNOID 0	77,299	76,303
	NISA	DENVER CO PUBLIC SCHS COPS DENEDU 12/37 FIXED 4.242	18,175	17,825
	NISA	NEW JERSEY ST TURNPIKE AUTH NJSTRN 01/40 FIXED 7.414	107,438	105,332
	NISA	NEW YORK ST DORM AUTH ST PERSO NYSHGR 03/39 FIXED 5.427	43,682	42,937
		Total Corporate and Non-US Bonds	$ 67,646,908	$ 68,195,865
			$ 186,288,466	$ 186,720,678

SCHLAGE LOCK COMPANY LLC PENSION PLAN
SCHEDULE H, LINE 4j - SCHEDULE OF REPORTABLE TRANSACTIONS

Period of December 1, 2013 through December 31, 2013

EIN: 54-2139412 PLAN NUMBER: 001

(a) Identity of party involved	(b) Description of asset	(c) Purchase price	(d) Selling price	(e) Lease Rental	(f) Expense Incurred with Transaction	(g) Cost of asset	(h) Current value of asset on transaction date	(i) Net gain or (loss)
Category (i) - Individual transactions in excess of 5% of Plan assets								
State Street Global Advisors	SHORT TERM INVESTMENT FUND	$ 39,881,825	$ -	$ -	$ -	$ 39,881,825	$ 39,881,825	$ -
State Street Global Advisors	SHORT TERM INVESTMENT FUND	$ -	$ 39,881,825	$ -	$ -	$ 39,881,825	$ 39,881,825	$ -
PIMCO	US TREASURY N/B 02/29 5.25	$ 9,771,271	$ -	$ -	$ -	$ 9,771,271	$ 9,771,271	$ -
State Street Global Advisors	DAILY MSCI WORLD INDX NL CMMB MUTUAL FUND	$ 36,012,825	$ -	$ -	$ -	$ 36,012,825	$ 36,012,825	$ -
Category (iii) - Series of transactions in excess of 5% of Plan assets								
State Street Global Advisors	SHORT TERM INVESTMENT FUND							
	17 purchases	$ 43,203,185	$ -	$ -	$ -	$ 43,203,185	$ 43,203,185	$ -
	12 sales	-	41,708,739	-	-	41,708,739	41,708,739	-
PIMCO	US TREASURY N/B 02/29 5.25							
	2 purchases	$ 9,825,556	$ -	$ -	$ -	$ 9,825,556	$ 9,825,556	$ -