

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). <p style="text-align: center;">▶ Complete all entries in accordance with the instructions to the Form 5500.</p>	OMB Nos. 1210-0110 1210-0089 2013 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information
For calendar plan year 2013 or fiscal plan year beginning <u>01/01/2013</u> and ending <u>12/31/2013</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☐
D Check box if filing under:	☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information										
1a Name of plan YONKERS INDUSTRIES, INC 401(K) PLAN	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">1b Three-digit plan number (PN) ▶</td> <td style="width: 20%; text-align: center;">001</td> </tr> <tr> <td colspan="2">1c Effective date of plan 01/01/1987</td> </tr> <tr> <td colspan="2">2b Employer Identification Number (EIN) 76-0199067</td> </tr> <tr> <td colspan="2">2c Sponsor's telephone number 919-469-9903</td> </tr> <tr> <td colspan="2">2d Business code (see instructions) 541600</td> </tr> </table>	1b Three-digit plan number (PN) ▶	001	1c Effective date of plan 01/01/1987		2b Employer Identification Number (EIN) 76-0199067		2c Sponsor's telephone number 919-469-9903		2d Business code (see instructions) 541600	
1b Three-digit plan number (PN) ▶	001										
1c Effective date of plan 01/01/1987											
2b Employer Identification Number (EIN) 76-0199067											
2c Sponsor's telephone number 919-469-9903											
2d Business code (see instructions) 541600											
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) YONKERS INDUSTRIES, INC 2000 REGENCY PARKWAY SUITE 300 CARY, NC 27518											

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2014	DANIELLE BRYANT
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)			Preparer's telephone number (optional)

3a Plan administrator's name and address ☒ Same as Plan Sponsor Name ☐ Same as Plan Sponsor Address		3b Administrator's EIN	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name		3c Administrator's telephone number 4b EIN 4c PN	
5 Total number of participants at the beginning of the plan year		5	153
6 Number of participants as of the end of the plan year (welfare plans complete only lines 6a , 6b , 6c , and 6d).			
a Active participants		6a	108
b Retired or separated participants receiving benefits		6b	0
c Other retired or separated participants entitled to future benefits.....		6c	28
d Subtotal. Add lines 6a , 6b , and 6c		6d	136
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits		6e	0
f Total. Add lines 6d and 6e		6f	136
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		6g	118
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		6h	3
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....		7	
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2E 2F 2G 2J 2K 2T 3D			
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:			
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor		9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)			
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☐ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary		b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)	

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2013
		This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan YONKERS INDUSTRIES, INC 401(K) PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 YONKERS INDUSTRIES, INC	D Employer Identification Number (EIN) 76-0199067	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation
MERRILL LYNCH,PIERCE,FENNER & SMITH

13-5674085

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ASCENSUS

11-3665754

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 99 64 60 50	NONE	4955	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part III **Termination Information on Accountants and Enrolled Actuaries (see instructions)**
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2013</div> This Form is Open to Public Inspection.
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013		
A Name of plan YONKERS INDUSTRIES, INC 401(K) PLAN	B Three-digit plan number (PN) ►	001
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 YONKERS INDUSTRIES, INC	D Employer Identification Number (EIN) 76-0199067	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
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a	Name of MTIA, CCT, PSA, or 103-12 IE: CAP PRESERVATION TRUST
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b	Name of sponsor of entity listed in (a): FEDERATED
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c EIN-PN 22-2712853-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 530793
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a	Name of MTIA, CCT, PSA, or 103-12 IE:
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b	Name of sponsor of entity listed in (a):
---	--

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a	Name of MTIA, CCT, PSA, or 103-12 IE:
---	---------------------------------------

b	Name of sponsor of entity listed in (a):
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c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a	Name of MTIA, CCT, PSA, or 103-12 IE:
---	---------------------------------------

b	Name of sponsor of entity listed in (a):
---	--

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a	Name of MTIA, CCT, PSA, or 103-12 IE:
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b	Name of sponsor of entity listed in (a):
---	--

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a	Name of MTIA, CCT, PSA, or 103-12 IE:
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b	Name of sponsor of entity listed in (a):
---	--

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a	Name of MTIA, CCT, PSA, or 103-12 IE:
---	---------------------------------------

b	Name of sponsor of entity listed in (a):
---	--

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
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code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
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plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2013 This Form is Open to Public Inspection
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013		
A Name of plan YONKERS INDUSTRIES, INC 401(K) PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 YONKERS INDUSTRIES, INC	D Employer Identification Number (EIN) 76-0199067	

Part I	Asset and Liability Statement		
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	30	
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	917233	530793
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	6745316	8401732
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	7662579	8932525

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	7662579	8932525
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income**a Contributions:**

		(a) Amount	(b) Total
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	253538	
(B) Participants	2a(1)(B)	776860	
(C) Others (including rollovers)	2a(1)(C)	1147	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		1031545

b Earnings on investments:**(1) Interest:**

(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		0

(2) Dividends: (A) Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	264933	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		264933

(3) Rents	2b(3)		
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(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		0

(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts.....	2b(6)		3514
(7) Net investment gain (loss) from pooled separate accounts.....	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts.....	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities.....	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		1067677
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		2367669

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers.....	2e(1)	1079646	
(2) To insurance carriers for the provision of benefits.....	2e(2)		
(3) Other.....	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		1079646
f Corrective distributions (see instructions).....	2f		11631
g Certain deemed distributions of participant loans (see instructions).....	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)	1379	
(2) Contract administrator fees.....	2i(2)	5067	
(3) Investment advisory and management fees.....	2i(3)		
(4) Other.....	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		6446
j Total expenses. Add all expense amounts in column (b) and enter total.....	2j		1097723

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d.....	2k		1269946
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MCMILLAN PATE & COMPANY LLP

(2) EIN: 56-1945391

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

- a** Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....
- b** Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.).....

	Yes	No	Amount
4a		X	
4b		X	

	Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)		X	
e Was this plan covered by a fidelity bond?	X		15000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X	
l Has the plan failed to provide any benefit when due under the plan?		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	X		
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	X		

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	6b Trust's EIN

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2013 This Form is Open to Public Inspection.
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For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan <u>YONKERS INDUSTRIES, INC 401(K) PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>YONKERS INDUSTRIES, INC</u>	D Employer Identification Number (EIN) <u>76-0199067</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>45-0404698</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)
----------------	--

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?.....	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived).....	6a		
b Enter the amount contributed by the employer to the plan for this plan year.....	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?.....	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?.....	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☐ No
--	-----------------------------------	-----------------------------------	-------------------------------	-----------------------------

Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
----------------	---

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.).....	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

- 14** Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	14a	
b The plan year immediately preceding the current plan year	14b	
c The second preceding plan year	14c	

- 15** Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	15a	
b The corresponding number for the second preceding plan year	15b	

- 16** Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	16a	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	

- 17** If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

- 18** If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

- 19** If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
 Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____

Yonkers Industries, Inc. 401(k) Plan
Report on Audit of Financial Statements
for the year ended December 31, 2013

McMillan Pate & Company LLP
Certified Public Accountants
615 OBERLIN ROAD, SUITE 200
RALEIGH, NC 27605

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615 OBERLIN ROAD, SUITE 200 | RALEIGH, NC 27605
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J. MICAH PATE, III
ANGELA W. BANASK
THOMAS M. DOHERTY
BRIAN T. BENNETT

JAMES L. McMILLAN, JR.

INDEPENDENT AUDITORS' REPORT

Plan Administrator and Participants
Yonkers Industries, Inc. 401(k) Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Yonkers Industries, Inc. 401(k) Plan (the "Plan"), which comprise the statements of net assets available for plan benefits - modified cash basis as of December 31, 2013 and 2012, and the related statement of changes in net assets available for plan benefits - modified cash basis for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 2; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Ascensus, the recordkeeper of the Plan, as agent for Frontier Trust Company, the trustee of the plan, except for comparing such information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the agent for the trustee as of December 31, 2013 and 2012 and for the year ended December 31, 2013, that the information provided to the plan administrator by the agent for the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedule H, line 4i – schedule of assets (held at end of year) as of December 31, 2013 are required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Basis of Accounting

We draw attention to Note 2 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America.

McMillan Pate & Company LLP

October 15, 2014

YONKERS INDUSTRIES, INC. 401(K) PLAN
Statements of Net Assets Available for Plan Benefits - Modified Cash Basis
December 31, 2013 and 2012

<u>Assets</u>	<u>2013</u>	<u>2012</u>
Investments:		
Cash and cash equivalents	\$ -	\$ 30
Common collective trust fund (at fair value)	532,321	933,377
Mutual funds (at fair value)	<u>8,401,732</u>	<u>6,745,316</u>
Net assets available for plan benefits at fair value	<u>8,934,053</u>	<u>7,678,723</u>
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	(1,528)	(16,144)
Net assets available for plan benefits	\$ <u><u>8,932,525</u></u>	\$ <u><u>7,662,579</u></u>

The accompanying notes are an integral
part of the financial statements.

YONKERS INDUSTRIES, INC. 401(K) PLAN
Statement of Changes in Net Assets Available for Plan Benefits - Modified Cash Basis
for the year ended December 31, 2013

Additions to net assets attributed to:

Contributions:

Employer contributions	\$ 253,538
Employee contributions - elective deferrals	776,860
Rollover contributions	<u>1,147</u>
	<u>1,031,545</u>

Investment income:

Common collective trust fund dividends	3,514
Mutual funds dividends	264,933
Mutual funds net realized gains	169,301
Mutual funds net appreciation in fair value of investments	<u>898,376</u>
	<u>1,336,124</u>

Total additions	<u>2,367,669</u>
-----------------	------------------

Deductions from net assets attributed to:

Benefit payments	1,079,646
Corrective distributions	11,631
Administrative expenses	<u>6,446</u>

Total deductions	<u>1,097,723</u>
------------------	------------------

Increase in net assets	1,269,946
------------------------	-----------

Net assets available for plan benefits at beginning of year	<u>7,662,579</u>
---	------------------

Net assets available for plan benefits at end of year	\$ <u>8,932,525</u>
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The accompanying notes are an integral
part of the financial statements.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

1. DESCRIPTION OF PLAN

The following description of the Yonkers Industries, Inc. 401(k) Plan (the "Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

- a. General - The Plan is a defined contribution plan established January 1, 1987. The Plan is designed to conform to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). All employees of Yonkers Industries, Inc. (the "Company" and "Plan Sponsor") who are 20 years of age and have completed three months of service are eligible to participate in the Plan. Effective December 31, 2012, all of the outstanding shares of stock of Yonkers Industries, Inc. were acquired by Foster Wheeler AG.
- b. Contributions - Each year, participants may contribute from 1% to 50% of their pretax annual compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined contribution plans. Participants may contribute after tax dollars known as Roth 401(k) deferrals. The Company may make a discretionary profit sharing contribution to the Plan. All participants who have completed 1,000 hours of service and are employed on the last day of the plan year unless terminated during the year due to disability, death or attainment of normal retirement age share in this contribution. The Company makes a discretionary matching contribution of one-half of the first 6% of eligible compensation deferred upon. Participants direct the investment of all contributions made on their behalf into various investment options offered by the Plan. The Plan currently offers one common collective trust fund and various mutual funds as investment options for participants. Contributions are subject to annual limits established by the Internal Revenue Service.
- c. Participant accounts - Each participant's account is credited with (1) participant's contribution, and (2) allocations of (a) Plan earnings, (b) administrative expenses and (c) employer discretionary contributions. Allocations are based on participant's plan compensation or participant account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.
- d. Vesting - Participants are vested immediately in their contributions plus actual earnings thereon. Vesting of the Company's contribution portion of their accounts is based on the following schedule:

Prior to December 1, 2007:

<u>Years of Service</u>	<u>Vesting Percentage</u>
Less than two	0%
2	20%
3	40%
4	60%
5	80%
Six or more	100%

Effective December 1, 2007:

Less than one	0%
1	20%
2	40%
3	60%
4	80%
Five or more	100%

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

1. DESCRIPTION OF PLAN (Continued)

d. Vesting (Continued)

Participants will automatically become 100% vested upon attainment of normal retirement age (65) or retirement due to disability or death.

- e. Payment of benefits - Upon termination of service due to death, disability, or retirement, a participant or their beneficiary may elect to receive either a lump sum amount or a partial payment of the vested portion of the value of the funds credited to the participant's account.
- f. Forfeited accounts - At December 31, 2013 and 2012 forfeited non-vested accounts were \$28,468 and \$2,404, respectively. These amounts are used to reduce employer contributions or pay plan expenses. In 2013, plan expenses of \$3,411 and employer contributions of \$22,701 were paid out of forfeited non-vested accounts.
- g. Administrative expenses - Administrative fees paid by the Plan include investment and legal advisory fees, audit fees, recordkeeping fees and transactions fees. The Plan Sponsor also pays significant expenses relating to the plan.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accounting records of the Plan are maintained by the trustee on the modified cash basis. Under this basis, additions to the Plan's assets are recognized when collected rather than when earned and deductions are recognized when paid rather than when incurred.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The statements of net assets available for plan benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The statement of changes in net assets available for plan benefits is prepared on a contract value basis.

Payment of Benefits

Benefits are recorded when paid.

Investment Valuation

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Plan management determines the Plan's valuation policies utilizing information provided by Ascensus as agent for Frontier Trust Company. See Note 7 for discussion on fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest and dividend income is recorded when received. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Estimates

The preparation of financial statements is in conformity with the modified cash basis of accounting which requires management to make estimates and assumptions that affect certain reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Subsequent Events

The Plan has evaluated events and transactions that occurred between December 31, 2013 and October 15, 2014 which is the date the financial statements were available to be issued, for possible recognition or disclosure in the financial statements.

3. PLAN ADMINISTRATIVE COMMITTEE INFORMATION

The plan administrative committee consists of Terry Costello and Danielle Bryant, employees and/or officers of the Company in consultation with James T. Yonkers, Chairman and CEO. They have designated the trustee, Frontier Trust Company to hold the Plan's invested assets and to execute investment transactions.

4. TRUSTEE AGREEMENT

The appointed trustee of the Plan's assets is Frontier Trust Company. The trustee has been given the authority and power to purchase and sell securities and other investments at their discretion. The trustee agreement provides for benefit payments to be made from the Plan by the trustee upon the direction and request of the plan administrator.

5. INFORMATION CERTIFIED BY THE PLAN'S TRUSTEE

The plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, as permitted under such election, the Plan administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the following information certified by Ascensus, the record keeper, as agent for Frontier Trust Company, the trustee of the Plan, except for comparing such information certified by the trustee to information included in the Plan's financial statements and supplemental schedule:

	<u>2013</u>		<u>2012</u>
Cash and cash equivalents	\$ -	\$	30
Common collective trust fund*	530,793		917,233
Mutual funds	8,401,732		6,745,316
Investment income	1,336,124		N/A

* Certified at contract value

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

6. INVESTMENTS

The following presents investments that represent 5 percent or more of the Plan's net assets at December 31:

	<u>2013</u>	<u>2012</u>
BlackRock Equity Dividend Fund A, 40,094 and 39,556 shares, respectively	\$ 973,468	\$ 786,774
Delaware Diversified Fund A, 75,671 and 73,758 shares, respectively	734,947	689,644
Thornburg International Value Fund R4, 22,726 and 21,318 shares, respectively	709,956	582,615
JPMorgan Large Cap Growth Fund A, 21,087 and 20,112 Shares	670,164	482,285
BlackRock Global Allocation Fund A, 29,863 and 30,250 shares, respectively	636,987	597,133
AllianzGI NFJ Small Cap Value Fund A, 17,176 and 15,539 shares, respectively	569,539	441,787
Federated Capital Preservation Fund CL SP, 53,080 and 91,723 shares, respectively (fair value \$532,321 and \$933,377, respectively)	530,793	917,233
Ivy Mid Cap Growth Fund Y, 20,201 shares	482,602	N/A
PIMCO Total Return Fund A, 42,892 and 39,517 shares, respectively	458,520	444,166

During 2013, the Plan's mutual funds (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by \$1,067,677.

7. FAIR VALUE MEASUREMENTS

The Plan follows FASB ASC 820, *Fair Value Measurements*, which defines fair value as the price that would be received from selling an asset in an orderly transaction between market participants at the measurement date. When determining the fair value measurements for assets required to be recorded at fair value, the Plan considers the principal or most advantageous market in which it would transact and considers assumptions that market participants would use when pricing the asset or liability, such as inherent risk, transfer restrictions, and risk of nonperformance.

FASB ASC 820 also establishes a fair value hierarchy that requires the Plan to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The categorization of each investment type within the fair value hierarchy is based upon the lowest level of input that is significant to the fair value measurement. FASB ASC 820 establishes three levels of inputs that may be used to measure fair value: Level 1: Quoted prices in active markets for identical assets or liabilities at the measurement date; Level 2: Inputs other than Level 1 that are observable, either directly or indirectly, such as quoted prices in active market for similar assets or liabilities, quoted prices for identical or similar assets or liabilities in markets that are not active, or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities; or Level 3: Unobservable inputs that are supported by little or no market activity and that reflect the Plan's own assumptions about market participants and prices.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

7. FAIR VALUE MEASUREMENTS (Continued)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

Mutual funds

Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily NAV and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Common collective trust fund

Valued at the net asset value (NAV) of units of a collective trust. The NAV, as provided by the trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment advisor reserves the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013 and 2012, respectively:

		Fair Value Measurement at Reporting Date Using			
		Fair Value	Level 1	Level 2	Level 3
<u>December 31, 2013</u>					
Mutual funds:					
Value	\$	1,543,007	\$ 1,543,007	\$ -	\$ -
Bond		1,503,294	1,503,294	-	-
International		1,253,541	1,253,541	-	-
Large-Cap Equity		1,117,765	1,117,765	-	-
Mid-Cap Equity		913,308	913,308	-	-
Asset Allocation		636,987	636,987	-	-
Growth		597,632	597,632	-	-
Small-Cap Equity		315,529	315,529	-	-
Precious Metals		275,743	275,743	-	-
Growth & Income		244,926	244,926	-	-
Common Collective Trust		532,321	-	532,321	-
Total assets	\$	<u>8,934,053</u>	\$ <u>8,401,732</u>	\$ <u>532,321</u>	\$ <u>-</u>

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

7. FAIR VALUE MEASUREMENTS (Continued)

	Fair Value Measurement at Reporting Date Using			
	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
<u>December 31, 2012</u>				
Mutual funds:				
Bond	\$ 1,396,965	\$ 1,396,965	\$ -	\$ -
Value	1,228,561	1,228,561	-	-
International	1,057,096	1,057,096	-	-
Mid-Cap Equity	659,412	659,412	-	-
Asset Allocation	597,133	597,133	-	-
Growth	449,404	449,404	-	-
Large-Cap Equity	874,599	874,599	-	-
Precious Metals	283,369	283,369	-	-
Small-Cap Equity	135,633	135,633	-	-
Growth & Income	63,144	63,144	-	-
Common Collective Trust	<u>933,377</u>	<u>-</u>	<u>933,377</u>	<u>-</u>
Total assets	\$ <u><u>7,678,693</u></u>	\$ <u><u>6,745,316</u></u>	\$ <u><u>933,377</u></u>	\$ <u><u>-</u></u>

Fair Value of Investment in Entities that use Net Asset Value

The Following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2013 and 2012, respectively.

<u>December 31, 2013</u>	<u>Fair Value</u>	<u>Unfunded Commitments</u>	<u>Redemption Frequency</u>	<u>Redemption Notice Period</u>
Common Collective Trust (a)	\$ 532,321	N/A	Daily	Daily-12 Month (b)
<u>December 31, 2012</u>	<u>Fair Value</u>	<u>Unfunded Commitments</u>	<u>Redemption Frequency</u>	<u>Redemption Notice Period</u>
Common Collective Trust (a)	\$ 933,377	N/A	Daily	Daily-12 Month (b)

(a) – The objective of this investment is to pursue stability of principal while earning current income.

(b) – Daily Withdrawals: Benefit payments, payment of participant loans, payment of trustee fees, participant-directed exchanges to non-competing investments

90-Day Notice Withdrawals: Participant-directed transfers to competing investments (including: money market funds, stable value funds, any investment that maintains 80% of its assets in fixed income securities with an average duration of 3 years or less).

12-Month Notice Withdrawals: Withdrawals for any purpose other than those noted above, including any withdrawals resulting from any employer-initiated event (including: sale or merger of the plan sponsor or a partial plan termination).

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

8. RELATED PARTY TRANSACTIONS

Certain Plan investments are held in an interest bearing account managed by Frontier Trust Company, the trustee of the Plan's assets and therefore, these transactions qualify as party-in-interest transactions.

During 2013, fees of \$5,067 were paid to Ascensus, Inc. and Frontier Trust Company, the Plan record keeper and trustee, respectively. In addition, eligible indirect compensation of \$56,899 was paid to Merrill Lynch, Pierce, Fenner & Smith, the Plan's investment advisor, for shareholder servicing fees, sub transfer agency fees, and distribution fees.

During 2013, the Plan paid legal fees of \$1,379 to Poyner & Spruill for legal fees related to the Plan's operations.

9. PLAN TERMINATION

The Plan Sponsor approved a corporate resolution on December 4, 2013 and effective January 1, 2014 to terminate the Plan's trustee and merge the Plan with Foster Wheeler Inc. 401(k) Plan, which is sponsored by the Plan Sponsor's parent company, Foster Wheeler AG. The Foster Wheeler Inc. 401(k) Plan trustee and record-keeper is Vanguard Fiduciary Trust Company. Subsequent to year end all assets were transferred into the Foster Wheeler Inc. 401(k) Plan as directed by the resolution.

10. TAX STATUS

The Plan follows the provisions of FASB ASC 740-10 *Accounting for Uncertainty in Income Taxes*. FASB ASC 740-10 prescribes a comprehensive model for how the Plan should measure, recognize, present, and disclose in its financial statements uncertain tax positions that the Plan has taken or expects to take on a tax return. The Plan is no longer subject to U.S. Department of Labor and Internal Revenue Service examinations for years ending before December 31, 2008.

The Plan obtained its latest determination letter on March 31, 2008, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended and restated since receiving the determination letter. However, the plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

11. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for plan benefits.

YONKERS INDUSTRIES, INC. 401(K) PLAN
Schedule H, line 4i-Schedule of Assets (Held at End of Year)
December 31, 2013
Employer Identification Number: 76-0199067
Plan Number: 001

(a)	(b)	(c)	(e)
	Identity of issue, borrower lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Contract/ Current Value
	BlackRock	BlackRock Global Allocation Fund A, 29,863 shares	\$ 636,987
	BlackRock	BlackRock Equity Dividend Fund A, 25,748 shares	625,159
	Federated	Federated Capital Preservation Fund CL SP, 50,967 shares	509,665
	Franklin Templeton	Franklin Rising Dividends Fund A, 9,080 shares	440,102
	Delaware	Delaware Diversified Fund A, 45,594 shares	405,330
	BlackRock	BlackRock International Opportunities Port A, 10,036 shares	399,932
	AllianzGI Funds	AllianzGI NFJ Small Cap Value Fund A, 11,466 shares	380,206
	Thornburg	Thornburg International Value Fund R4, 12,096 shares	377,885
	JPMorgan	JPMorgan Large Cap Growth Fund A, 11,124 shares	353,531
	BlackRock	GM BlackRock Equity Dividend Fund A, 14,346 shares	348,309
	Franklin Templeton	GM Templeton Foreign Fund A, 40,292 shares	334,828
	Thornburg	GM Thornburg International Value Fund R4, 10,630 shares	332,071
	Delaware	GM Delaware Diversified Income Fund A, 30,077 shares	329,617
	JPMorgan	GM JPMorgan Large Cap Growth Fund A, 9,963 shares	316,633
	PIMCO	GM PIMCO Total Return Fund A, 27,174 shares	290,494
	Ivy Funds	Ivy Mid Cap Growth Fund Y, 11,672 shares	278,846
	Victory	GM Victory Established Value Fund A, 7,844 shares	270,065
	BlackRock	BlackRock S&P 500 Index Fund Ins., 1,103 shares	244,926
	First Eagle	GM First Eagle U.S. Value Fund A, 12,080 shares	241,479
	Ivy Funds	GM Ivy Mid Cap Growth Fund Y, 8,529 shares	203,756
	American Funds	American Funds Small Cap World Fund R3, 4,125 shares	197,700
	Legg Mason	ClearBridge Small Cap Growth Fund A, 6,742 shares	191,340
	AllianzGI Funds	GM AllianzGI NFJ Small Cap Value Fund A, 5,710 shares	189,333
	PIMCO	PIMCO Low Duration Fund A, 17,883 shares	184,727
	PIMCO	PIMCO Total Return Fund A, 15,718 shares	168,026
	Oppenheimer Funds	GM Oppenheimer Developing Markets Fund A, 3,917 shares	148,938
	Legg Mason	GM ClearBridge Small Cap Growth Fund A, 4,376 shares	124,189
	Victory	Victory Established Value Fund A, 3,452 shares	118,860
	Franklin Templeton	Templeton Global Bond Fund A, 5,920 shares	77,786
	PIMCO	GM PIMCO Low Duration Fund A, 4,580 shares	47,314
	Columbia	Columbia Mid Cap Index Fund A, 2,767	41,781
	First Eagle	First Eagle Gold Fund A, 2,361 shares	34,264
	Oppenheimer Funds	Oppenheimer Developing Markets Fund A, 895 shares	34,021
	Franklin Templeton	Templeton Foreign Fund A, 3,104 shares	25,798
	Federated	GM Federated Capital Preservation Fund CL SP, 2,113 shares	21,128
	First Eagle	First Eagle U.S. Value Fund A, 368 shares	7,365
	John Hancock	John Hancock U.S. Global Leaders Growth A, 3 shares	134
			\$ 8,932,525

* Party-in-interest to the plan

Column (d), cost of investments, is not applicable as these are participant directed accounts.

Yonkers Industries, Inc. 401(k) Plan
Report on Audit of Financial Statements
for the year ended December 31, 2013

McMillan Pate & Company LLP
Certified Public Accountants
615 OBERLIN ROAD, SUITE 200
RALEIGH, NC 27605

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BRIAN T. BENNETT

JAMES L. McMILLAN, JR.

INDEPENDENT AUDITORS' REPORT

Plan Administrator and Participants
Yonkers Industries, Inc. 401(k) Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Yonkers Industries, Inc. 401(k) Plan (the "Plan"), which comprise the statements of net assets available for plan benefits - modified cash basis as of December 31, 2013 and 2012, and the related statement of changes in net assets available for plan benefits - modified cash basis for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 2; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Ascensus, the recordkeeper of the Plan, as agent for Frontier Trust Company, the trustee of the plan, except for comparing such information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the agent for the trustee as of December 31, 2013 and 2012 and for the year ended December 31, 2013, that the information provided to the plan administrator by the agent for the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

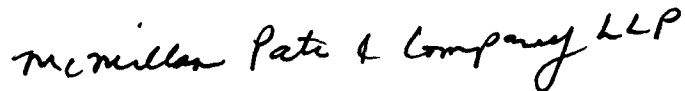
The supplemental schedule H, line 4i – schedule of assets (held at end of year) as of December 31, 2013 are required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Basis of Accounting

We draw attention to Note 2 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America.

A handwritten signature in black ink that reads "McMillan Pate & Company LLP". The signature is written in a cursive, flowing style.

October 15, 2014

YONKERS INDUSTRIES, INC. 401(K) PLAN
Statements of Net Assets Available for Plan Benefits - Modified Cash Basis
December 31, 2013 and 2012

<u>Assets</u>	<u>2013</u>	<u>2012</u>
Investments:		
Cash and cash equivalents	\$ -	\$ 30
Common collective trust fund (at fair value)	532,321	933,377
Mutual funds (at fair value)	<u>8,401,732</u>	<u>6,745,316</u>
Net assets available for plan benefits at fair value	<u>8,934,053</u>	<u>7,678,723</u>
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	(1,528)	(16,144)
Net assets available for plan benefits	\$ <u><u>8,932,525</u></u>	\$ <u><u>7,662,579</u></u>

The accompanying notes are an integral
part of the financial statements.

YONKERS INDUSTRIES, INC. 401(K) PLAN
Statement of Changes in Net Assets Available for Plan Benefits - Modified Cash Basis
for the year ended December 31, 2013

Additions to net assets attributed to:

Contributions:

Employer contributions	\$ 253,538
Employee contributions - elective deferrals	776,860
Rollover contributions	<u>1,147</u>
	<u>1,031,545</u>

Investment income:

Common collective trust fund dividends	3,514
Mutual funds dividends	264,933
Mutual funds net realized gains	169,301
Mutual funds net appreciation in fair value of investments	<u>898,376</u>
	<u>1,336,124</u>

Total additions	<u>2,367,669</u>
-----------------	------------------

Deductions from net assets attributed to:

Benefit payments	1,079,646
Corrective distributions	11,631
Administrative expenses	<u>6,446</u>

Total deductions	<u>1,097,723</u>
------------------	------------------

Increase in net assets	1,269,946
------------------------	-----------

Net assets available for plan benefits at beginning of year	<u>7,662,579</u>
---	------------------

Net assets available for plan benefits at end of year	\$ <u>8,932,525</u>
---	---------------------

The accompanying notes are an integral
part of the financial statements.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

1. DESCRIPTION OF PLAN

The following description of the Yonkers Industries, Inc. 401(k) Plan (the "Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

- a. General - The Plan is a defined contribution plan established January 1, 1987. The Plan is designed to conform to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). All employees of Yonkers Industries, Inc. (the "Company" and "Plan Sponsor") who are 20 years of age and have completed three months of service are eligible to participate in the Plan. Effective December 31, 2012, all of the outstanding shares of stock of Yonkers Industries, Inc. were acquired by Foster Wheeler AG.
- b. Contributions - Each year, participants may contribute from 1% to 50% of their pretax annual compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined contribution plans. Participants may contribute after tax dollars known as Roth 401(k) deferrals. The Company may make a discretionary profit sharing contribution to the Plan. All participants who have completed 1,000 hours of service and are employed on the last day of the plan year unless terminated during the year due to disability, death or attainment of normal retirement age share in this contribution. The Company makes a discretionary matching contribution of one-half of the first 6% of eligible compensation deferred upon. Participants direct the investment of all contributions made on their behalf into various investment options offered by the Plan. The Plan currently offers one common collective trust fund and various mutual funds as investment options for participants. Contributions are subject to annual limits established by the Internal Revenue Service.
- c. Participant accounts - Each participant's account is credited with (1) participant's contribution, and (2) allocations of (a) Plan earnings, (b) administrative expenses and (c) employer discretionary contributions. Allocations are based on participant's plan compensation or participant account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.
- d. Vesting - Participants are vested immediately in their contributions plus actual earnings thereon. Vesting of the Company's contribution portion of their accounts is based on the following schedule:

Prior to December 1, 2007:

<u>Years of Service</u>	<u>Vesting Percentage</u>
Less than two	0%
2	20%
3	40%
4	60%
5	80%
Six or more	100%

Effective December 1, 2007:

Less than one	0%
1	20%
2	40%
3	60%
4	80%
Five or more	100%

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

1. DESCRIPTION OF PLAN (Continued)

d. Vesting (Continued)

Participants will automatically become 100% vested upon attainment of normal retirement age (65) or retirement due to disability or death.

e. Payment of benefits - Upon termination of service due to death, disability, or retirement, a participant or their beneficiary may elect to receive either a lump sum amount or a partial payment of the vested portion of the value of the funds credited to the participant's account.

f. Forfeited accounts - At December 31, 2013 and 2012 forfeited non-vested accounts were \$28,468 and \$2,404, respectively. These amounts are used to reduce employer contributions or pay plan expenses. In 2013, plan expenses of \$3,411 and employer contributions of \$22,701 were paid out of forfeited non-vested accounts.

g. Administrative expenses - Administrative fees paid by the Plan include investment and legal advisory fees, audit fees, recordkeeping fees and transactions fees. The Plan Sponsor also pays significant expenses relating to the plan.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accounting records of the Plan are maintained by the trustee on the modified cash basis. Under this basis, additions to the Plan's assets are recognized when collected rather than when earned and deductions are recognized when paid rather than when incurred.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The statements of net assets available for plan benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The statement of changes in net assets available for plan benefits is prepared on a contract value basis.

Payment of Benefits

Benefits are recorded when paid.

Investment Valuation

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Plan management determines the Plan's valuation policies utilizing information provided by Ascensus as agent for Frontier Trust Company. See Note 7 for discussion on fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest and dividend income is recorded when received. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Estimates

The preparation of financial statements is in conformity with the modified cash basis of accounting which requires management to make estimates and assumptions that affect certain reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Subsequent Events

The Plan has evaluated events and transactions that occurred between December 31, 2013 and October 15, 2014 which is the date the financial statements were available to be issued, for possible recognition or disclosure in the financial statements.

3. PLAN ADMINISTRATIVE COMMITTEE INFORMATION

The plan administrative committee consists of Terry Costello and Danielle Bryant, employees and/or officers of the Company in consultation with James T. Yonkers, Chairman and CEO. They have designated the trustee, Frontier Trust Company to hold the Plan's invested assets and to execute investment transactions.

4. TRUSTEE AGREEMENT

The appointed trustee of the Plan's assets is Frontier Trust Company. The trustee has been given the authority and power to purchase and sell securities and other investments at their discretion. The trustee agreement provides for benefit payments to be made from the Plan by the trustee upon the direction and request of the plan administrator.

5. INFORMATION CERTIFIED BY THE PLAN'S TRUSTEE

The plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, as permitted under such election, the Plan administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the following information certified by Ascensus, the record keeper, as agent for Frontier Trust Company, the trustee of the Plan, except for comparing such information certified by the trustee to information included in the Plan's financial statements and supplemental schedule:

	<u>2013</u>		<u>2012</u>
Cash and cash equivalents	\$ -	\$	30
Common collective trust fund*	530,793		917,233
Mutual funds	8,401,732		6,745,316
Investment income	1,336,124		N/A

* Certified at contract value

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

6. INVESTMENTS

The following presents investments that represent 5 percent or more of the Plan's net assets at December 31:

	<u>2013</u>	<u>2012</u>
BlackRock Equity Dividend Fund A, 40,094 and 39,556 shares, respectively	\$ 973,468	\$ 786,774
Delaware Diversified Fund A, 75,671 and 73,758 shares, respectively	734,947	689,644
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AllianzGI NFJ Small Cap Value Fund A, 17,176 and 15,539 shares, respectively	569,539	441,787
Federated Capital Preservation Fund CL SP, 53,080 and 91,723 shares, respectively (fair value \$532,321 and \$933,377, respectively)	530,793	917,233
Ivy Mid Cap Growth Fund Y, 20,201 shares	482,602	N/A
PIMCO Total Return Fund A, 42,892 and 39,517 shares, respectively	458,520	444,166

During 2013, the Plan's mutual funds (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by \$1,067,677.

7. FAIR VALUE MEASUREMENTS

The Plan follows FASB ASC 820, *Fair Value Measurements*, which defines fair value as the price that would be received from selling an asset in an orderly transaction between market participants at the measurement date. When determining the fair value measurements for assets required to be recorded at fair value, the Plan considers the principal or most advantageous market in which it would transact and considers assumptions that market participants would use when pricing the asset or liability, such as inherent risk, transfer restrictions, and risk of nonperformance.

FASB ASC 820 also establishes a fair value hierarchy that requires the Plan to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The categorization of each investment type within the fair value hierarchy is based upon the lowest level of input that is significant to the fair value measurement. FASB ASC 820 establishes three levels of inputs that may be used to measure fair value: Level 1: Quoted prices in active markets for identical assets or liabilities at the measurement date; Level 2: Inputs other than Level 1 that are observable, either directly or indirectly, such as quoted prices in active market for similar assets or liabilities, quoted prices for identical or similar assets or liabilities in markets that are not active, or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities; or Level 3: Unobservable inputs that are supported by little or no market activity and that reflect the Plan's own assumptions about market participants and prices.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

7. FAIR VALUE MEASUREMENTS (Continued)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

Mutual funds

Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily NAV and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Common collective trust fund

Valued at the net asset value (NAV) of units of a collective trust. The NAV, as provided by the trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment advisor reserves the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013 and 2012, respectively:

	Fair Value Measurement at Reporting Date Using			
	Fair Value	Level 1	Level 2	Level 3
<u>December 31, 2013</u>				
Mutual funds:				
Value	\$ 1,543,007	\$ 1,543,007	\$ -	\$ -
Bond	1,503,294	1,503,294	-	-
International	1,253,541	1,253,541	-	-
Large-Cap Equity	1,117,765	1,117,765	-	-
Mid-Cap Equity	913,308	913,308	-	-
Asset Allocation	636,987	636,987	-	-
Growth	597,632	597,632	-	-
Small-Cap Equity	315,529	315,529	-	-
Precious Metals	275,743	275,743	-	-
Growth & Income	244,926	244,926	-	-
Common Collective Trust	532,321	-	532,321	-
Total assets	\$ <u>8,934,053</u>	\$ <u>8,401,732</u>	\$ <u>532,321</u>	\$ <u>-</u>

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

7. FAIR VALUE MEASUREMENTS (Continued)

	Fair Value Measurement at Reporting Date Using			
	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
<u>December 31, 2012</u>				
Mutual funds:				
Bond	\$ 1,396,965	\$ 1,396,965	\$ -	\$ -
Value	1,228,561	1,228,561	-	-
International	1,057,096	1,057,096	-	-
Mid-Cap Equity	659,412	659,412	-	-
Asset Allocation	597,133	597,133	-	-
Growth	449,404	449,404	-	-
Large-Cap Equity	874,599	874,599	-	-
Precious Metals	283,369	283,369	-	-
Small-Cap Equity	135,633	135,633	-	-
Growth & Income	63,144	63,144	-	-
Common Collective Trust	<u>933,377</u>	<u>-</u>	<u>933,377</u>	<u>-</u>
Total assets	\$ <u><u>7,678,693</u></u>	\$ <u><u>6,745,316</u></u>	\$ <u><u>933,377</u></u>	\$ <u><u>-</u></u>

Fair Value of Investment in Entities that use Net Asset Value

The Following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2013 and 2012, respectively.

<u>December 31, 2013</u>	<u>Fair Value</u>	<u>Unfunded Commitments</u>	<u>Redemption Frequency</u>	<u>Redemption Notice Period</u>
Common Collective Trust (a)	\$ 532,321	N/A	Daily	Daily-12 Month (b)
<u>December 31, 2012</u>	<u>Fair Value</u>	<u>Unfunded Commitments</u>	<u>Redemption Frequency</u>	<u>Redemption Notice Period</u>
Common Collective Trust (a)	\$ 933,377	N/A	Daily	Daily-12 Month (b)

(a) – The objective of this investment is to pursue stability of principal while earning current income.

(b) – Daily Withdrawals: Benefit payments, payment of participant loans, payment of trustee fees, participant-directed exchanges to non-competing investments

90-Day Notice Withdrawals: Participant-directed transfers to competing investments (including: money market funds, stable value funds, any investment that maintains 80% of its assets in fixed income securities with an average duration of 3 years or less).

12-Month Notice Withdrawals: Withdrawals for any purpose other than those noted above, including any withdrawals resulting from any employer-initiated event (including: sale or merger of the plan sponsor or a partial plan termination).

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

8. RELATED PARTY TRANSACTIONS

Certain Plan investments are held in an interest bearing account managed by Frontier Trust Company, the trustee of the Plan's assets and therefore, these transactions qualify as party-in-interest transactions.

During 2013, fees of \$5,067 were paid to Ascensus, Inc. and Frontier Trust Company, the Plan record keeper and trustee, respectively. In addition, eligible indirect compensation of \$56,899 was paid to Merrill Lynch, Pierce, Fenner & Smith, the Plan's investment advisor, for shareholder servicing fees, sub transfer agency fees, and distribution fees.

During 2013, the Plan paid legal fees of \$1,379 to Poyner & Spruill for legal fees related to the Plan's operations.

9. PLAN TERMINATION

The Plan Sponsor approved a corporate resolution on December 4, 2013 and effective January 1, 2014 to terminate the Plan's trustee and merge the Plan with Foster Wheeler Inc. 401(k) Plan, which is sponsored by the Plan Sponsor's parent company, Foster Wheeler AG. The Foster Wheeler Inc. 401(k) Plan trustee and record-keeper is Vanguard Fiduciary Trust Company. Subsequent to year end all assets were transferred into the Foster Wheeler Inc. 401(k) Plan as directed by the resolution.

10. TAX STATUS

The Plan follows the provisions of FASB ASC 740-10 *Accounting for Uncertainty in Income Taxes*. FASB ASC 740-10 prescribes a comprehensive model for how the Plan should measure, recognize, present, and disclose in its financial statements uncertain tax positions that the Plan has taken or expects to take on a tax return. The Plan is no longer subject to U.S. Department of Labor and Internal Revenue Service examinations for years ending before December 31, 2008.

The Plan obtained its latest determination letter on March 31, 2008, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended and restated since receiving the determination letter. However, the plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

11. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for plan benefits.

YONKERS INDUSTRIES, INC. 401(K) PLAN
Schedule H, line 4i-Schedule of Assets (Held at End of Year)
December 31, 2013
Employer Identification Number: 76-0199067
Plan Number: 001

(a)	(b)	(c)	(e)
Identity of issue, borrower lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Contract/ Current Value	
BlackRock	BlackRock Global Allocation Fund A, 29,863 shares	\$	636,987
BlackRock	BlackRock Equity Dividend Fund A, 25,748 shares		625,159
Federated	Federated Capital Preservation Fund CL SP, 50,967 shares		509,665
Franklin Templeton	Franklin Rising Dividends Fund A, 9,080 shares		440,102
Delaware	Delaware Diversified Fund A, 45,594 shares		405,330
BlackRock	BlackRock International Opportunities Port A, 10,036 shares		399,932
AllianzGI Funds	AllianzGI NFJ Small Cap Value Fund A, 11,466 shares		380,206
Thornburg	Thornburg International Value Fund R4, 12,096 shares		377,885
JPMorgan	JPMorgan Large Cap Growth Fund A, 11,124 shares		353,531
BlackRock	GM BlackRock Equity Dividend Fund A, 14,346 shares		348,309
Franklin Templeton	GM Templeton Foreign Fund A, 40,292 shares		334,828
Thornburg	GM Thornburg International Value Fund R4, 10,630 shares		332,071
Delaware	GM Delaware Diversified Income Fund A, 30,077 shares		329,617
JPMorgan	GM JPMorgan Large Cap Growth Fund A, 9,963 shares		316,633
PIMCO	GM PIMCO Total Return Fund A, 27,174 shares		290,494
Ivy Funds	Ivy Mid Cap Growth Fund Y, 11,672 shares		278,846
Victory	GM Victory Established Value Fund A, 7,844 shares		270,065
BlackRock	BlackRock S&P 500 Index Fund Ins., 1,103 shares		244,926
First Eagle	GM First Eagle U.S. Value Fund A, 12,080 shares		241,479
Ivy Funds	GM Ivy Mid Cap Growth Fund Y, 8,529 shares		203,756
American Funds	American Funds Small Cap World Fund R3, 4,125 shares		197,700
Legg Mason	ClearBridge Small Cap Growth Fund A, 6,742 shares		191,340
AllianzGI Funds	GM AllianzGI NFJ Small Cap Value Fund A, 5,710 shares		189,333
PIMCO	PIMCO Low Duration Fund A, 17,883 shares		184,727
PIMCO	PIMCO Total Return Fund A, 15,718 shares		168,026
Oppenheimer Funds	GM Oppenheimer Developing Markets Fund A, 3,917 shares		148,938
Legg Mason	GM ClearBridge Small Cap Growth Fund A, 4,376 shares		124,189
Victory	Victory Established Value Fund A, 3,452 shares		118,860
Franklin Templeton	Templeton Global Bond Fund A, 5,920 shares		77,786
PIMCO	GM PIMCO Low Duration Fund A, 4,580 shares		47,314
Columbia	Columbia Mid Cap Index Fund A, 2,767		41,781
First Eagle	First Eagle Gold Fund A, 2,361 shares		34,264
Oppenheimer Funds	Oppenheimer Developing Markets Fund A, 895 shares		34,021
Franklin Templeton	Templeton Foreign Fund A, 3,104 shares		25,798
Federated	GM Federated Capital Preservation Fund CL SP, 2,113 shares		21,128
First Eagle	First Eagle U.S. Value Fund A, 368 shares		7,365
John Hancock	John Hancock U.S. Global Leaders Growth A, 3 shares		134
		\$	<u>8,932,525</u>

* Party-in-interest to the plan

Column (d), cost of investments, is not applicable as these are participant directed accounts.

Yonkers Industries, Inc. 401(k) Plan
Report on Audit of Financial Statements
for the year ended December 31, 2013

McMillan Pate & Company LLP
Certified Public Accountants
615 OBERLIN ROAD, SUITE 200
RALEIGH, NC 27605

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JAMES L. McMILLAN, JR.

INDEPENDENT AUDITORS' REPORT

Plan Administrator and Participants
Yonkers Industries, Inc. 401(k) Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Yonkers Industries, Inc. 401(k) Plan (the "Plan"), which comprise the statements of net assets available for plan benefits - modified cash basis as of December 31, 2013 and 2012, and the related statement of changes in net assets available for plan benefits - modified cash basis for the year ended December 31, 2013, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 2; this includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Ascensus, the recordkeeper of the Plan, as agent for Frontier Trust Company, the trustee of the plan, except for comparing such information with the related information included in the financial statements and supplemental schedule. We have been informed by the plan administrator that the trustee holds the plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the agent for the trustee as of December 31, 2013 and 2012 and for the year ended December 31, 2013, that the information provided to the plan administrator by the agent for the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedule H, line 4i – schedule of assets (held at end of year) as of December 31, 2013 are required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Basis of Accounting

We draw attention to Note 2 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America.

McMillan Pate & Company LLP

October 15, 2014

YONKERS INDUSTRIES, INC. 401(K) PLAN
Statements of Net Assets Available for Plan Benefits - Modified Cash Basis
December 31, 2013 and 2012

<u>Assets</u>	<u>2013</u>	<u>2012</u>
Investments:		
Cash and cash equivalents	\$ -	\$ 30
Common collective trust fund (at fair value)	532,321	933,377
Mutual funds (at fair value)	<u>8,401,732</u>	<u>6,745,316</u>
Net assets available for plan benefits at fair value	<u>8,934,053</u>	<u>7,678,723</u>
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	(1,528)	(16,144)
Net assets available for plan benefits	\$ <u><u>8,932,525</u></u>	\$ <u><u>7,662,579</u></u>

The accompanying notes are an integral
part of the financial statements.

YONKERS INDUSTRIES, INC. 401(K) PLAN
Statement of Changes in Net Assets Available for Plan Benefits - Modified Cash Basis
for the year ended December 31, 2013

Additions to net assets attributed to:

Contributions:

Employer contributions	\$ 253,538
Employee contributions - elective deferrals	776,860
Rollover contributions	<u>1,147</u>
	<u>1,031,545</u>

Investment income:

Common collective trust fund dividends	3,514
Mutual funds dividends	264,933
Mutual funds net realized gains	169,301
Mutual funds net appreciation in fair value of investments	<u>898,376</u>
	<u>1,336,124</u>

Total additions	<u>2,367,669</u>
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Deductions from net assets attributed to:

Benefit payments	1,079,646
Corrective distributions	11,631
Administrative expenses	<u>6,446</u>

Total deductions	<u>1,097,723</u>
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Increase in net assets	1,269,946
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Net assets available for plan benefits at beginning of year	<u>7,662,579</u>
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Net assets available for plan benefits at end of year	\$ <u>8,932,525</u>
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The accompanying notes are an integral
part of the financial statements.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

1. DESCRIPTION OF PLAN

The following description of the Yonkers Industries, Inc. 401(k) Plan (the "Plan") provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

- a. General - The Plan is a defined contribution plan established January 1, 1987. The Plan is designed to conform to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). All employees of Yonkers Industries, Inc. (the "Company" and "Plan Sponsor") who are 20 years of age and have completed three months of service are eligible to participate in the Plan. Effective December 31, 2012, all of the outstanding shares of stock of Yonkers Industries, Inc. were acquired by Foster Wheeler AG.
- b. Contributions - Each year, participants may contribute from 1% to 50% of their pretax annual compensation, as defined by the Plan. Participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined contribution plans. Participants may contribute after tax dollars known as Roth 401(k) deferrals. The Company may make a discretionary profit sharing contribution to the Plan. All participants who have completed 1,000 hours of service and are employed on the last day of the plan year unless terminated during the year due to disability, death or attainment of normal retirement age share in this contribution. The Company makes a discretionary matching contribution of one-half of the first 6% of eligible compensation deferred upon. Participants direct the investment of all contributions made on their behalf into various investment options offered by the Plan. The Plan currently offers one common collective trust fund and various mutual funds as investment options for participants. Contributions are subject to annual limits established by the Internal Revenue Service.
- c. Participant accounts - Each participant's account is credited with (1) participant's contribution, and (2) allocations of (a) Plan earnings, (b) administrative expenses and (c) employer discretionary contributions. Allocations are based on participant's plan compensation or participant account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.
- d. Vesting - Participants are vested immediately in their contributions plus actual earnings thereon. Vesting of the Company's contribution portion of their accounts is based on the following schedule:

Prior to December 1, 2007:

<u>Years of Service</u>	<u>Vesting Percentage</u>
Less than two	0%
2	20%
3	40%
4	60%
5	80%
Six or more	100%

Effective December 1, 2007:

Less than one	0%
1	20%
2	40%
3	60%
4	80%
Five or more	100%

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

1. DESCRIPTION OF PLAN (Continued)

d. Vesting (Continued)

Participants will automatically become 100% vested upon attainment of normal retirement age (65) or retirement due to disability or death.

e. Payment of benefits - Upon termination of service due to death, disability, or retirement, a participant or their beneficiary may elect to receive either a lump sum amount or a partial payment of the vested portion of the value of the funds credited to the participant's account.

f. Forfeited accounts - At December 31, 2013 and 2012 forfeited non-vested accounts were \$28,468 and \$2,404, respectively. These amounts are used to reduce employer contributions or pay plan expenses. In 2013, plan expenses of \$3,411 and employer contributions of \$22,701 were paid out of forfeited non-vested accounts.

g. Administrative expenses - Administrative fees paid by the Plan include investment and legal advisory fees, audit fees, recordkeeping fees and transactions fees. The Plan Sponsor also pays significant expenses relating to the plan.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accounting records of the Plan are maintained by the trustee on the modified cash basis. Under this basis, additions to the Plan's assets are recognized when collected rather than when earned and deductions are recognized when paid rather than when incurred.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The statements of net assets available for plan benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The statement of changes in net assets available for plan benefits is prepared on a contract value basis.

Payment of Benefits

Benefits are recorded when paid.

Investment Valuation

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Plan management determines the Plan's valuation policies utilizing information provided by Ascensus as agent for Frontier Trust Company. See Note 7 for discussion on fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest and dividend income is recorded when received. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Estimates

The preparation of financial statements is in conformity with the modified cash basis of accounting which requires management to make estimates and assumptions that affect certain reported amounts of assets and liabilities and changes therein, and disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

Subsequent Events

The Plan has evaluated events and transactions that occurred between December 31, 2013 and October 15, 2014 which is the date the financial statements were available to be issued, for possible recognition or disclosure in the financial statements.

3. PLAN ADMINISTRATIVE COMMITTEE INFORMATION

The plan administrative committee consists of Terry Costello and Danielle Bryant, employees and/or officers of the Company in consultation with James T. Yonkers, Chairman and CEO. They have designated the trustee, Frontier Trust Company to hold the Plan's invested assets and to execute investment transactions.

4. TRUSTEE AGREEMENT

The appointed trustee of the Plan's assets is Frontier Trust Company. The trustee has been given the authority and power to purchase and sell securities and other investments at their discretion. The trustee agreement provides for benefit payments to be made from the Plan by the trustee upon the direction and request of the plan administrator.

5. INFORMATION CERTIFIED BY THE PLAN'S TRUSTEE

The plan administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, as permitted under such election, the Plan administrator instructed the Plan's independent auditors not to perform any auditing procedures with respect to the following information certified by Ascensus, the record keeper, as agent for Frontier Trust Company, the trustee of the Plan, except for comparing such information certified by the trustee to information included in the Plan's financial statements and supplemental schedule:

	<u>2013</u>		<u>2012</u>
Cash and cash equivalents	\$ -	\$	30
Common collective trust fund*	530,793		917,233
Mutual funds	8,401,732		6,745,316
Investment income	1,336,124		N/A

* Certified at contract value

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

6. INVESTMENTS

The following presents investments that represent 5 percent or more of the Plan's net assets at December 31:

	<u>2013</u>	<u>2012</u>
BlackRock Equity Dividend Fund A, 40,094 and 39,556 shares, respectively	\$ 973,468	\$ 786,774
Delaware Diversified Fund A, 75,671 and 73,758 shares, respectively	734,947	689,644
Thornburg International Value Fund R4, 22,726 and 21,318 shares, respectively	709,956	582,615
JPMorgan Large Cap Growth Fund A, 21,087 and 20,112 Shares	670,164	482,285
BlackRock Global Allocation Fund A, 29,863 and 30,250 shares, respectively	636,987	597,133
AllianzGI NFJ Small Cap Value Fund A, 17,176 and 15,539 shares, respectively	569,539	441,787
Federated Capital Preservation Fund CL SP, 53,080 and 91,723 shares, respectively (fair value \$532,321 and \$933,377, respectively)	530,793	917,233
Ivy Mid Cap Growth Fund Y, 20,201 shares	482,602	N/A
PIMCO Total Return Fund A, 42,892 and 39,517 shares, respectively	458,520	444,166

During 2013, the Plan's mutual funds (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by \$1,067,677.

7. FAIR VALUE MEASUREMENTS

The Plan follows FASB ASC 820, *Fair Value Measurements*, which defines fair value as the price that would be received from selling an asset in an orderly transaction between market participants at the measurement date. When determining the fair value measurements for assets required to be recorded at fair value, the Plan considers the principal or most advantageous market in which it would transact and considers assumptions that market participants would use when pricing the asset or liability, such as inherent risk, transfer restrictions, and risk of nonperformance.

FASB ASC 820 also establishes a fair value hierarchy that requires the Plan to maximize the use of observable inputs and minimize the use of unobservable inputs when measuring fair value. The categorization of each investment type within the fair value hierarchy is based upon the lowest level of input that is significant to the fair value measurement. FASB ASC 820 establishes three levels of inputs that may be used to measure fair value: Level 1: Quoted prices in active markets for identical assets or liabilities at the measurement date; Level 2: Inputs other than Level 1 that are observable, either directly or indirectly, such as quoted prices in active market for similar assets or liabilities, quoted prices for identical or similar assets or liabilities in markets that are not active, or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities; or Level 3: Unobservable inputs that are supported by little or no market activity and that reflect the Plan's own assumptions about market participants and prices.

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

7. FAIR VALUE MEASUREMENTS (Continued)

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2013 and 2012.

Mutual funds

Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily NAV and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

Common collective trust fund

Valued at the net asset value (NAV) of units of a collective trust. The NAV, as provided by the trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Participant transactions (purchases and sales) may occur daily. Were the Plan to initiate a full redemption of the collective trust, the investment advisor reserves the right to temporarily delay withdrawal from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2013 and 2012, respectively:

		Fair Value Measurement at Reporting Date Using			
		Fair Value	Level 1	Level 2	Level 3
<u>December 31, 2013</u>					
Mutual funds:					
Value	\$	1,543,007	\$ 1,543,007	\$ -	\$ -
Bond		1,503,294	1,503,294	-	-
International		1,253,541	1,253,541	-	-
Large-Cap Equity		1,117,765	1,117,765	-	-
Mid-Cap Equity		913,308	913,308	-	-
Asset Allocation		636,987	636,987	-	-
Growth		597,632	597,632	-	-
Small-Cap Equity		315,529	315,529	-	-
Precious Metals		275,743	275,743	-	-
Growth & Income		244,926	244,926	-	-
Common Collective Trust		532,321	-	532,321	-
Total assets	\$	<u>8,934,053</u>	\$ <u>8,401,732</u>	\$ <u>532,321</u>	\$ <u>-</u>

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

7. FAIR VALUE MEASUREMENTS (Continued)

	Fair Value Measurement at Reporting Date Using			
	<u>Fair Value</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>
<u>December 31, 2012</u>				
Mutual funds:				
Bond	\$ 1,396,965	\$ 1,396,965	\$ -	\$ -
Value	1,228,561	1,228,561	-	-
International	1,057,096	1,057,096	-	-
Mid-Cap Equity	659,412	659,412	-	-
Asset Allocation	597,133	597,133	-	-
Growth	449,404	449,404	-	-
Large-Cap Equity	874,599	874,599	-	-
Precious Metals	283,369	283,369	-	-
Small-Cap Equity	135,633	135,633	-	-
Growth & Income	63,144	63,144	-	-
Common Collective Trust	<u>933,377</u>	<u>-</u>	<u>933,377</u>	<u>-</u>
Total assets	\$ <u><u>7,678,693</u></u>	\$ <u><u>6,745,316</u></u>	\$ <u><u>933,377</u></u>	\$ <u><u>-</u></u>

Fair Value of Investment in Entities that use Net Asset Value

The Following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2013 and 2012, respectively.

<u>December 31, 2013</u>	<u>Fair Value</u>	<u>Unfunded Commitments</u>	<u>Redemption Frequency</u>	<u>Redemption Notice Period</u>
Common Collective Trust (a)	\$ 532,321	N/A	Daily	Daily-12 Month (b)
<u>December 31, 2012</u>	<u>Fair Value</u>	<u>Unfunded Commitments</u>	<u>Redemption Frequency</u>	<u>Redemption Notice Period</u>
Common Collective Trust (a)	\$ 933,377	N/A	Daily	Daily-12 Month (b)

(a) – The objective of this investment is to pursue stability of principal while earning current income.

(b) – Daily Withdrawals: Benefit payments, payment of participant loans, payment of trustee fees, participant-directed exchanges to non-competing investments

90-Day Notice Withdrawals: Participant-directed transfers to competing investments (including: money market funds, stable value funds, any investment that maintains 80% of its assets in fixed income securities with an average duration of 3 years or less).

12-Month Notice Withdrawals: Withdrawals for any purpose other than those noted above, including any withdrawals resulting from any employer-initiated event (including: sale or merger of the plan sponsor or a partial plan termination).

YONKERS INDUSTRIES, INC. 401(k) PLAN
Notes to Financial Statements
December 31, 2013 and 2012

8. RELATED PARTY TRANSACTIONS

Certain Plan investments are held in an interest bearing account managed by Frontier Trust Company, the trustee of the Plan's assets and therefore, these transactions qualify as party-in-interest transactions.

During 2013, fees of \$5,067 were paid to Ascensus, Inc. and Frontier Trust Company, the Plan record keeper and trustee, respectively. In addition, eligible indirect compensation of \$56,899 was paid to Merrill Lynch, Pierce, Fenner & Smith, the Plan's investment advisor, for shareholder servicing fees, sub transfer agency fees, and distribution fees.

During 2013, the Plan paid legal fees of \$1,379 to Poyner & Spruill for legal fees related to the Plan's operations.

9. PLAN TERMINATION

The Plan Sponsor approved a corporate resolution on December 4, 2013 and effective January 1, 2014 to terminate the Plan's trustee and merge the Plan with Foster Wheeler Inc. 401(k) Plan, which is sponsored by the Plan Sponsor's parent company, Foster Wheeler AG. The Foster Wheeler Inc. 401(k) Plan trustee and record-keeper is Vanguard Fiduciary Trust Company. Subsequent to year end all assets were transferred into the Foster Wheeler Inc. 401(k) Plan as directed by the resolution.

10. TAX STATUS

The Plan follows the provisions of FASB ASC 740-10 *Accounting for Uncertainty in Income Taxes*. FASB ASC 740-10 prescribes a comprehensive model for how the Plan should measure, recognize, present, and disclose in its financial statements uncertain tax positions that the Plan has taken or expects to take on a tax return. The Plan is no longer subject to U.S. Department of Labor and Internal Revenue Service examinations for years ending before December 31, 2008.

The Plan obtained its latest determination letter on March 31, 2008, in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended and restated since receiving the determination letter. However, the plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

11. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investments will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net assets available for plan benefits.

YONKERS INDUSTRIES, INC. 401(K) PLAN
Schedule H, line 4i-Schedule of Assets (Held at End of Year)
December 31, 2013
Employer Identification Number: 76-0199067
Plan Number: 001

(a)	(b)	(c)	(e)
Identity of issue, borrower lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Contract/ Current Value	
BlackRock	BlackRock Global Allocation Fund A, 29,863 shares	\$	636,987
BlackRock	BlackRock Equity Dividend Fund A, 25,748 shares		625,159
Federated	Federated Capital Preservation Fund CL SP, 50,967 shares		509,665
Franklin Templeton	Franklin Rising Dividends Fund A, 9,080 shares		440,102
Delaware	Delaware Diversified Fund A, 45,594 shares		405,330
BlackRock	BlackRock International Opportunities Port A, 10,036 shares		399,932
AllianzGI Funds	AllianzGI NFJ Small Cap Value Fund A, 11,466 shares		380,206
Thornburg	Thornburg International Value Fund R4, 12,096 shares		377,885
JPMorgan	JPMorgan Large Cap Growth Fund A, 11,124 shares		353,531
BlackRock	GM BlackRock Equity Dividend Fund A, 14,346 shares		348,309
Franklin Templeton	GM Templeton Foreign Fund A, 40,292 shares		334,828
Thornburg	GM Thornburg International Value Fund R4, 10,630 shares		332,071
Delaware	GM Delaware Diversified Income Fund A, 30,077 shares		329,617
JPMorgan	GM JPMorgan Large Cap Growth Fund A, 9,963 shares		316,633
PIMCO	GM PIMCO Total Return Fund A, 27,174 shares		290,494
Ivy Funds	Ivy Mid Cap Growth Fund Y, 11,672 shares		278,846
Victory	GM Victory Established Value Fund A, 7,844 shares		270,065
BlackRock	BlackRock S&P 500 Index Fund Ins., 1,103 shares		244,926
First Eagle	GM First Eagle U.S. Value Fund A, 12,080 shares		241,479
Ivy Funds	GM Ivy Mid Cap Growth Fund Y, 8,529 shares		203,756
American Funds	American Funds Small Cap World Fund R3, 4,125 shares		197,700
Legg Mason	ClearBridge Small Cap Growth Fund A, 6,742 shares		191,340
AllianzGI Funds	GM AllianzGI NFJ Small Cap Value Fund A, 5,710 shares		189,333
PIMCO	PIMCO Low Duration Fund A, 17,883 shares		184,727
PIMCO	PIMCO Total Return Fund A, 15,718 shares		168,026
Oppenheimer Funds	GM Oppenheimer Developing Markets Fund A, 3,917 shares		148,938
Legg Mason	GM ClearBridge Small Cap Growth Fund A, 4,376 shares		124,189
Victory	Victory Established Value Fund A, 3,452 shares		118,860
Franklin Templeton	Templeton Global Bond Fund A, 5,920 shares		77,786
PIMCO	GM PIMCO Low Duration Fund A, 4,580 shares		47,314
Columbia	Columbia Mid Cap Index Fund A, 2,767		41,781
First Eagle	First Eagle Gold Fund A, 2,361 shares		34,264
Oppenheimer Funds	Oppenheimer Developing Markets Fund A, 895 shares		34,021
Franklin Templeton	Templeton Foreign Fund A, 3,104 shares		25,798
Federated	GM Federated Capital Preservation Fund CL SP, 2,113 shares		21,128
First Eagle	First Eagle U.S. Value Fund A, 368 shares		7,365
John Hancock	John Hancock U.S. Global Leaders Growth A, 3 shares		134
		\$	<u>8,932,525</u>

* Party-in-interest to the plan

Column (d), cost of investments, is not applicable as these are participant directed accounts.

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name YONKERS INDUSTRIES, INC 401(K) PLAN

EIN: 76-0199067

Plan Sponsor's Name YONKERS INDUSTRIES, INC

PN: 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	Delaware	Delaware Diversified Income Fund A	0	405,330
	BlackRock	BlackRock Equity Dividend Inv A	0	625,159
	BlackRock	BlackRock Global Allocation Inv A	0	636,987
	American Funds	American Funds SMALLCAP World Fund R3	0	197,700
	Thornburg	Thornburg International Value R4	0	377,885
	BlackRock	BlackRock International Opp Inv A	0	399,932
	Delaware	GM Delaware Diversified Income Fund A	0	329,617

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name YONKERS INDUSTRIES, INC 401(K) PLAN

EIN: 76-0199067

Plan Sponsor's Name YONKERS INDUSTRIES, INC

PN: 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	BlackRock	GM BlackRock Equity Dividend Inv A	0	348,309
	Thornburg	GM Thornburg International Value R4	0	332,071
	Allianz	(CL)AllianzGI NFJ Small-Cap Value A	0	380,206
	Allianz	GM (CL)AllianzGI NFJ Small-Cap Value A	0	189,333
	PIMCO	PIMCO Total Return A	0	168,026
	PIMCO	GM PIMCO Total Return A	0	290,494
	Federated	Federated Capital Preservation Fd CL SP	0	509,665

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name YONKERS INDUSTRIES, INC 401(K) PLAN

EIN: 76-0199067

Plan Sponsor's Name YONKERS INDUSTRIES, INC

PN: 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	Federated	GM Federated Capital Preservation CL SP	0	21,128
	First Eagle	First Eagle U.S. Value Fund A	0	7,365
	Oppenheimer	Oppenheimer Developing Markets Fund A	0	34,021
	JPMorgan	JPMorgan Large Cap Growth A	0	353,531
	PIMCO	PIMCO Low Duration Fund A	0	184,727
	First Eagle	First Eagle Gold Fund A	0	34,264
	Templeton	Templeton Foreign A	0	25,798

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name YONKERS INDUSTRIES, INC 401(K) PLAN

EIN: 76-0199067

Plan Sponsor's Name YONKERS INDUSTRIES, INC

PN: 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	Templeton	Templeton Global Bond A	0	77,786
	Victory	Victory Established Value A	0	118,860
	Ivy	Ivy Mid Cap Growth Y	0	278,846
	First Eagle	GM First Eagle U.S. Value Fund A	0	241,479
	Oppenheimer	GM Oppenheimer Developing Markets Fund A	0	148,938
	PIMCO	GM PIMCO Low Duration Fund A	0	47,314
	Templeton	GM Templeton Foreign A	0	334,828

Attachment to 2013 Form 5500
Schedule H, line 4i - Schedule of Assets (Held at End of Year)

Plan Name YONKERS INDUSTRIES, INC 401(K) PLAN

EIN: 76-0199067

Plan Sponsor's Name YONKERS INDUSTRIES, INC

PN: 001

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value.	(d) Cost	(e) Current value
	Victory	GM Victory Established Value A	0	270,065
	Ivy	GM Ivy Mid Cap Growth Y	0	203,756
	Franklin	Franklin Rising Dividends A	0	440,102
	John Hancock	John Hancock U.S. Global Leaders GrowthA	0	134
	ClearBridge	(CL) ClearBridge Small Cap Growth Fund A	0	191,340
	ClearBridge	GM (CL) ClearBridge Small Cap Growth Fun	0	124,189
	JPMorgan	GM JPMorgan Large Cap Growth A	0	316,633

Plan Name	YONKERS INDUSTRIES, INC 401(K) PLAN	EIN:	76-0199067
Plan Sponsor's Name	YONKERS INDUSTRIES, INC	PN:	001

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