## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	► Complete all entries in accord	dance with the instru	ctions to the Form 550	0-SF.	in:	spection		
Part I	Annual Report	Identification Information				•			
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
	urn/report is for:	a single-employer plan		lan (not multiemployer)		a one-partici	ipant plan		
<b>B</b> This ret	urn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)	)			
C Check b	box if filing under:	X Form 5558	automatic extension			DFVC progra	am		
		special extension (enter description	on)						
Part II	Basic Plan Info	rmation—enter all requested information	ation						
1a Name		·			1b	Three-digit			
APPLE INDU	JSTRIAL DEVELOPMI	ENT CORPORATION 403(B) PLAN				plan number			
						(PN) <b>•</b>	002		
					1C	Effective date of			
2a Plan o	noncor's name and ad	drage: include room or quite number (e	mplayor if for a single	omployor plan)	26		1/1991		
	USTRIAL DEVELOPM	dress; include room or suite number (e ENT CORPORATION	imployer, ir for a single-	-employer plan)	20	Employer Identification Number (EIN) 13-3010779			
					2c	2c Sponsor's telephone number			
110 WILLIAN	M STREET					2-3503			
ROOM 400 NEW YORK					2d	Business code	(see instructions)		
NEW YORK	, NY 10036					8130	00		
3a Plan a	dministrator's name ar	nd address XSame as Plan Sponsor N	lame Same as Plai	n Sponsor Address	3b	<b>3b</b> Administrator's EIN			
					30	Administrator's	telephone number		
						Administrators	telephone number		
		e plan sponsor has changed since the l	ast return/report filed for	or this plan, enter the	4b	EIN			
		mber from the last return/report.			4c	DN			
a Sponse		at the beginning of the plan year				FIN	47		
_					5a		17		
<ul> <li>Total number of participants at the end of the plan year</li> <li>Number of participants with account balances as of the end of the plan year (defined benefit plans do not</li> </ul>					5b		16		
		account balances as of the end of the p			5c		16		
6a Were	all of the plan's assets	s during the plan year invested in eligib	le assets? (See instruc	ctions.)			X Yes No		
		the annual examination and report of a					X Yes □ No		
		? (See instructions on waiver eligibility a	,				X Yes   No		
-		ther line 6a or line 6b, the plan cann			_		7 Not dotomotic od		
C ir the p	pian is a defined benef	it plan, is it covered under the PBGC in	isurance program (see	ERISA Section 4021)?	····· <u></u>	Yes No	Not determined		
Caution: A	penalty for the late	or incomplete filing of this return/rep	oort will be assessed	unless reasonable cau	ıse is	established.			
		her penalties set forth in the instruction							
	edule MB completed ar true, correct, and comp	nd signed by an enrolled actuary, as we	ell as the electronic ver	sion of this return/report	t, and	to the best of my	y knowledge and		
belief, it is t	ruc, correct, and comp	oicic.	•	ı					
SIGN	Filed with authorized/	valid electronic signature.	10/15/2014	SPENCER HOBSON	SON				
HERE	Signature of plan a	dministrator	Date	Enter name of individ	er name of individual signing as plan administrator				
SIGN									
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individ	dual signing as employer or plan sponsor				
Preparer's	name (including firm n	ame, if applicable) and address; includ	e room or suite numbe				e number (optional)		

Form 5500-SF 2013 Page **2** 

Pa	rt III Financial Information						
7	Plan Assets and Liabilities	(a) Reginning of Ves	Paginning of Vacy			/h) End of Voor	
_ <u>'</u> _a	Total plan assets	7a	(a) Beginning of Yea		(b) End of Year 862773		
b	Total plan liabilities	7b					002110
	Net plan assets (subtract line 7b from line 7a)	76 7c	77386	6			862773
8	, ,	76					
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total
и	(1) Employers	8a(1)					
	(2) Participants						
	(3) Others (including rollovers)	8a(3)					
b	Other income (loss)	8b	7968	3			
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					131275
d	Benefits paid (including direct rollovers and insurance premiums			_			
	to provide benefits)	8d	3759				
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e	249.	2			
<u>f</u>	Administrative service providers (salaries, fees, commissions)	8f					
g	Other expenses	8g	228	6			
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					42368
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					88907
<u>j</u>	Transfers to (from) the plan (see instructions)	8j					
Pai	t IV Plan Characteristics						
9a	If the plan provides pension benefits, enter the applicable pension 2F 2G 2L 2M 2T	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instructions:
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instructions:
Par	Part V Compliance Questions						
10					Yes	No	Amount
	<ul><li>During the plan year:</li><li>a Was there a failure to transmit to the plan any participant contributions within the time period described in</li></ul>					140	Amount
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X	
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X	
					X		F000000
	· · · · · · · · · · · · · · · · · · ·			10c			5000000
	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X	
е	Were any fees or commissions paid to any brokers, agents, or oth						
	insurance service, or other organization that provides some or all instructions.)			10e		X	
f	f Has the plan failed to provide any benefit when due under the plan?					X	
g	<b>g</b> Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X		36470
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X	
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10h 10i		X	
Davi		1-3		101			
	Part VI Pension Funding Compliance						
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) Yes X No							
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 11a						
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?						
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Day Year							
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
h	Enter the minimum required contribution for this plan year					12b	

Page	3 -	1
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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				<b>13c(3)</b> PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			