Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

1b Three-digit plan number (PN) ▶ 002

1c Effective date of plan 10/01/1952

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

BHP COPPER INC.

1360 POST OAK BLVD., SUITE 150
HOUSTON, TX 77056-3020

2b Employer Identification Number (EIN)
86-0219794

2c Sponsor's telephone number
713-961-8500

2d Business code (see instructions)
212200

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/15/2014	ANDREA GLASOFER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address

3b Administrator's EIN

3c Administrator's telephone number

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	4530
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	1
b	Retired or separated participants receiving benefits	**6b**	2075
c	Other retired or separated participants entitled to future benefits	**6c**	1819
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	3895
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	556
f	Total. Add lines **6d** and **6e**.	**6f**	4451
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
1B 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[X]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]	**H** (Financial Information)
(2)	[ ]	**I** (Financial Information – Small Plan)
(3)	[ ]	**A** (Insurance Information)
(4)	[X]	**C** (Service Provider Information)
(5)	[X]	**D** (DFE/Participating Plan Information)
(6)	[ ]	**G** (Financial Transaction Schedules)

SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan
PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
BHP COPPER INC.

D Employer Identification Number (EIN)
86-0219794

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1	Enter the valuation date: Month _____ Day _____ Year _____			
2	Assets:			
	a Market value	**2a**		264107091
	b Actuarial value	**2b**		264107091
3	Funding target/participant count breakdown:		**(1)** Number of participants	**(2)** Funding Target
	a For retired participants and beneficiaries receiving payment	**3a**	2506	137535480
	b For terminated vested participants	**3b**	2026	67263310
	c For active participants:			
	(1) Non-vested benefits	**3c(1)**		293369
	(2) Vested benefits	**3c(2)**		5596751
	(3) Total active	**3c(3)**	51	5890120
	d Total	**3d**	4583	210688910
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]			
	a Funding target disregarding prescribed at-risk assumptions	**4a**		
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	**4b**		
5	Effective interest rate	**5**		6.25 %
6	Target normal cost	**6**		470546

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

Signature of actuary

Date

KEVIN BILLS, FSA, EA, MAAA

Type or print name of actuary

14-07029

Most recent enrollment number

MERCER

Firm name

713-276-2100

Telephone number (including area code)

500 DALLAS STREET, SUITE 1400
HOUSTON, TX 77002

Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	53079552	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	269910	0
9	Amount remaining (line 7 minus line 8)	52809642	0
10	Interest on line 9 using prior year's actual return of 12.14 %	6411091	
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		11710717
	b Interest on (a) using prior year's effective interest rate of 5.40 % except as otherwise provided (see instructions)		650571
	c Total available at beginning of current plan year to add to prefunding balance		12361288
	d Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	59220733	0

Part III Funding Percentages

14	Funding target attainment percentage	14	97.24 %
15	Adjusted funding target attainment percentage	15	125.35 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	102.94 %
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
05/21/2013	6147000				
04/08/2014	2000000				
			Totals ► 18(b)	8147000	18(c) 0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	
	b Contributions made to avoid restrictions adjusted to valuation date	19b	
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	7857980

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? [X] Yes [ ] No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? [X] Yes [ ] No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

1st segment:	2nd segment:	3rd segment:	
4.94%	6.15%	6.76 %	☐ N/A, full yield curve used

b Applicable month (enter code)	**21b**	4
22 Weighted average retirement age	**22**	61

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6)	**31a**	470546
b Excess assets, if applicable, but not greater than line 31a	**31b**	0

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	0
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	470546

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	97119	0	97119

36 Additional cash requirement (line 34 minus line 35)	**36**	373427
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	7857980

38 Present value of excess contributions for current year (see instructions)

a Total (excess, if any, of line 37 over line 36)	**38a**	7484553
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	97119
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☐ 2 plus 7 years ☐ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

42 Amount of acceleration adjustment	**42**	
43 Excess installment acceleration amount to be carried over to future plan years	**43**	

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2013** **This Form is Open to Public Inspection.**

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
BHP COPPER INC.

D Employer Identification Number (EIN)
86-0219794

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

NORTHERN TRUST COMPANY

36-1561860

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PACIFIC INVESTMENT MANAGEMENT CO.

33-0629048

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	625335	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

HEWITT ENNIS KNUPP

36-3109431

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	194196	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

HAM, LANGSTON & BREZINA, LLP

76-0448495

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	12135	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

B Three-digit plan number (PN) ▶ 002

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
BHP COPPER INC.

D Employer Identification Number (EIN)
86-0219794

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: COLTV SHORT TERM INVESTMENT FUND

b Name of sponsor of entity listed in (a): NORTHERN TRUST INVESTMENTS, NA

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-6138589-084	C	493030

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN
a Plan name	
b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
BHP COPPER INC.

D Employer Identification Number (EIN)
86-0219794

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	12590770	2000000
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	11714463	1248121
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)	40753866	46032454
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	137088217	27411005
(B) All other	1c(3)(B)	28548135	25161929
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	563668	493030
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)		128763750
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	32969970	11961968

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	264229089	243072257
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j	6424	120332
k Total liabilities (add all amounts in lines 1g through1j)	1k	6424	120332
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	264222665	242951925

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	8147000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		8147000
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	-1964	
(B) U.S. Government securities	2b(1)(B)	1648339	
(C) Corporate debt instruments	2b(1)(C)	6508932	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	1414474	
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		9569781
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	3935272	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		3935272
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	603312997	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	621948062	
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		-18635065
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-8821654	
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		-8821654

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		1944
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		528478
c Other income	2c		2581
d Total income. Add all **income** amounts in column (b) and enter total	2d		-5271663
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	14860403	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		14860403
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)	319477	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	625335	
(4) Other	2i(4)	193862	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		1138674
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		15999077
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		-21270740
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified **(2)** ☐ Qualified **(3)** ☒ Disclaimer **(4)** ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: HAM, LANGSTON, & BREZINA, LLP **(2)** EIN: 76-0448495

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		10000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year..... ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ..... ☒ Yes ☐ No ☐ Not determined

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2013

This Form is Open to Public Inspection.

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

A Name of plan
PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
BHP COPPER INC.

D Employer Identification Number (EIN)
86-0219794

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 36-3046063

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3** 0

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☒ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☒ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☒ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 a Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: 98% High-Yield Debt: 1% Real Estate: _____% Other: 1%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☒ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

FINANCIAL STATEMENTS AND SUPPLEMENTAL SCHEDULE WITH REPORT OF INDEPENDENT ACCOUNTANTS

as of and for the years ended December 31, 2013 and 2012

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.

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* Other schedules required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.

Ham, Langston & Brezina LLP
Certified Public Accountants

REPORT OF INDEPENDENT ACCOUNTANTS

To the Participants and Plan Administrator of the
Pension Plan for Hourly-Rated Employees of BHP Copper Inc.:

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Pension Plan for Hourly-Rated Employees of BHP Copper Inc. (the Plan) which comprise the Statements of Net Assets Available for Benefits as of December 31, 2013 and 2012, and the related Statements of Changes in Net Assets Available for Benefits for the years then ended, and the Statements of Accumulated Plan Benefits as of December 31, 2012 and 2011, and the related Statements of Changes in Accumulated Plan Benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Notes 5 and 7, which was certified by Northern Trust Company, the trustee of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The Plan administrator has obtained a certification from the trustee, as of December 31, 2013 and 2012, and for the years then ended, that the information provided to the Plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Ham, Langston & Brezina L.L.P. | 11550 Fuqua, Suite 475, Houston, TX 77034 | 281-481-1040 | www.hlb-cpa.com

REPORT OF INDEPENDENT ACCOUNTANTS, continued

Other Matter

The supplemental schedule, Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2013, is required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustee, has been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, is presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Hein, Langford & Bezjian, L.L.P.

Houston, Texas
October 15, 2014

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
Statements of Net Assets Available for Benefits
December 31, 2013 and 2012

	2013	2012
Assets:		
Investments, at fair value (See Notes 3 & 4):		
Common collective trusts	$ 493,030	$ -
Corporate bonds	51,915,496	-
Government securities	56,968,067	-
Mutual funds	128,763,750	-
Collateralized mortgage obligation	657,438	
Asset backed securities	583,779	-
Total investments at fair value	239,381,560	-
Plan interest in BHP USA Master Retirement Trust (See Notes 1 & 7)	-	251,631,895
Receivables:		
Company contributions	2,000,000	12,590,770
Due from broker and accrued income	1,697,121	-
Total receivables	3,697,121	12,590,770
Total assets	243,078,681	264,222,665
Liabilities:		
Liability for pending trades	126,756	-
Net assets available for benefits	$ 242,951,925	$ 264,222,665

See accompanying notes to financial statements.

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
Statements of Changes in Net Assets Available for Benefits
For the Years Ended December 31, 2013 and 2012

	2013	2012
Investment (loss) income and contributions:		
Plan interest in net investment income of BHP USA Master Retirement Trust less investment expenses	$ (14,239,297)	$ 28,021,575
Company contributions	8,147,000	12,590,770
Total investment (loss) income and contributions	(6,092,297)	40,612,345
Deductions from net assets attributed to:		
Benefit payments	14,859,300	14,304,223
Administrative expenses	319,143	283,662
Total deductions	15,178,443	14,587,885
Net (decrease) increase in net assets available for benefits	(21,270,740)	26,024,460
Net assets available for benefits, beginning of year	264,222,665	238,198,205
Net assets available for benefits, end of year	$ 242,951,925	$ 264,222,665

See accompanying notes to financial statements.

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
Statements of Accumulated Plan Benefits
December 31, 2012 and 2011*

	2012	2011
Actuarial present value of vested benefits:		
Participants and beneficiaries currently receiving payments	$ 154,365,450	$ 161,071,645
Other participants	96,308,584	106,603,333
Total vested benefits	250,674,034	267,674,978
Non-vested benefits	341,295	420,808
Total actuarial present value of accumulated benefits	$ 251,015,329	$ 268,095,786

* The Plan presents changes in accumulated benefits using beginning of year actuarial data.

See accompanying notes to financial statements.

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
Statements of Changes in Accumulated Plan Benefits
For the Years Ended December 31, 2012 and 2011*

	2012	2011
Actuarial present value of accumulated plan benefits at beginning of year	$ 268,095,786	$ 237,241,724
Increase (decrease) during the period attributed to:		
Benefit payments	(14,304,223)	(14,137,929)
Increase in interest due to decrease in discount period	10,023,385	11,479,194
Benefits accumulated and Plan experience	83,324	1,251,595
Change in actuarial assumptions	(12,882,943)	32,261,202
Net (decrease) increase	(17,080,457)	30,854,062
Actuarial present value of accumulated plan benefits at end of year	$ 251,015,329	$ 268,095,786

* The Plan presents changes in accumulated benefits using beginning of year actuarial data.

See accompanying notes to financial statements.

1. Description of Plan

The following description of the Pension Plan for Hourly-Rated Employees of BHP Copper Inc. (the Plan) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

General

The Plan, established October 1, 1952, is a defined benefit pension plan covering substantially all hourly-rated employees of BHP Copper Inc. (BHP or the Company) who were hired prior to September 1, 2005 and who are employed in the Pinto Mining Division and are included in a unit of employees subject to a collective bargaining agreement between the Company and certain unions specified in the Plan document. Any employee who is rehired as an hourly employee on or after September 1, 2005, after previously terminating employment with a vested Plan benefit, who is subject to a collective bargaining agreement as defined in the Plan document, may participate in the Plan upon rehire. The Plan has been amended and restated from time to time to incorporate all previous amendments and to comply with the latest tax laws and regulations. On October 11, 2013, BHP sold the Pinto Valley mine and mill site (the Pinto Valley Unit) causing a partial termination of the Plan. Effective October 11, 2013, the Plan was amended to fully vest all participants whose employment was terminated as a result of this transaction. The Plan is subject to the applicable provisions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended.

Administration

The Company is the Plan's sponsor and administrator. Northern Trust Company (the Trustee) is the Plan's trustee and Mercer serves as the Plan's actuary. Certain duties and responsibilities to assist in the administration of the Plan are carried out on the Company's behalf by the BHP USA Retirement Advisory Committee.

Funding Policy

The Company contributes such amounts as are necessary, based on actuarial calculations, to provide the Plan with assets sufficient to meet the benefits to be paid to Plan participants and their beneficiaries. The contributions to the Plan are subject to the limitations of maximum tax deductible contributions and minimum required contributions determined by the actuary and the funding policy adopted by the RAC. The Company was not required to make any contributions to the Plan for the years ended December 31, 2013 and 2012. However, the RAC chose to accelerate the funding of the Plan beyond the required amount and contributed $8,147,000 and $12,590,770 for the years ended December 31, 2013 and 2012, respectively. The Company's contributions for 2013 and 2012 met the minimum funding requirements of ERISA.

The yield (interest, dividends, and net realized and unrealized gains and losses) on Plan investments in excess of amounts established in the actuarial assumptions, plus any gains resulting from forfeiture of nonvested benefits, serve to reduce future contributions that would otherwise be required to provide the defined level of benefits under the Plan.

Investments

As of and for the year ended December 31, 2012 and during the year ended December 31, 2013, the Plan's investments and the investments of two other BHP-sponsored defined benefit retirement plans were held at Northern Trust Company in the BHP USA Master Retirement Trust (the Master Trust).

1. Description of Plan, continued

Investments, continued

Each participating retirement plan had an interest in the net assets of the Master Trust. However, certain investments included in the Master Trust, which held only fixed income investments and had been organized to generally match the maturities of the investments with anticipated cash needs of the Plan to immunize the investments on behalf of the Plan from some market volatility, were solely for the benefit of the Plan. The two other BHP-sponsored defined benefit retirement plans, which participated in the Master Trust, did not participate in such investments. To manage the cash needs of the Plan in a more effective manner, effective December 31, 2013, the Plan's investments, held in the Master Trust, were segregated into a separate account within the Master Trust, and accordingly, this separate account holds only investments specific to the Plan at December 31, 2013.

Pension Benefits and Vesting Provisions

If a participant terminates employment before becoming eligible for a normal or early retirement benefit, he is still eligible for a vested benefit. A participant who has attained age 62, or who has completed five years of service, prior to termination of employment is fully vested. However, if a participant completes at least ten years of service prior to termination of employment, vested benefits may commence as early as age 60. If vested benefits commence prior to age 62, the normal retirement benefit is reduced by two-thirds of 1% for each month for the first 12 months (8.0% for the first year), and three-fifths of 1% for each of the next 12 months (7.2% per year), that benefits commence prior to age 62. Retirement benefits commence at age 62 with a benefit equal to $40 per month for each year of service (Normal Retirement Benefits). The Plan also provides for three early retirement options: (i) Regular Early Retirement, (ii) 30-Year Early Retirement, and (iii) 70-80 Early Retirement.

Regular Early Retirement benefits commence after a participant reaches age 60 and completes 10 years of service. The benefit received is equal to the Normal Retirement Benefit reduced by one-third of 1% for each month (4% for each year) that the annuity starting date precedes age 62.

The 30-Year Early Retirement option is for participants who terminate employment prior to age 62 and have completed 30 years of service. The benefit received is equal to the Normal Retirement Benefit, but only counting years of benefit service through the participant's termination date. The benefit is not reduced for early retirement prior to age 62. In addition, if the participant reaches age 60 prior to termination of employment, a $400 per month supplement is payable until age 62, and a $200 per month supplement is payable from age 62 until the participant reaches social security full retirement age, as defined by the Plan document. Supplement payments cease on the participant's death, or the attainment of social security full retirement age, if earlier.

A participant is entitled to the 70-80 Early Retirement benefit under the Plan, if he or she terminates before age 62, and upon or after (i) the later of the date that the participant attains age 55 and the date that the sum of the participant's age and years of service first equals 70 years or (ii) the date that the sum of the participant's age and years of service first equals 80 years. The amount of the 70-80 early retirement benefit depends on whether the termination resulted from layoff for lack of work, in which case eligible participants are able to apply for the 70-80 early retirement benefit to commence the seventh month after termination. The benefit received is equal to the Normal Retirement Benefit, but counts years of benefit service up to the first six months following termination. Benefit payments will not be reduced for early payment before age 62. In addition, eligible employees at the time of their termination will receive a temporary supplement equal to $400 per month beginning as of the same month the lifetime pension begins. The temporary supplement ceases at the earlier of age 62 or death. If termination is not due to layoff for lack of work, the participant does not qualify for the temporary supplement. The benefit received is equal to the greater benefit provided under the

1. Description of Plan, continued

Pension Benefits and Vesting Provisions, continued

following calculations: (a) the Normal Retirement Benefit, counting only years of benefit service through termination, reduced by one-third of 1% for each month (4% for each year) for the first 24 months and by one-half of 1% for each additional month (6% per year for any additional year) that the annuity starting date precedes age 62, or (b) the participant's years of benefit service as of the earlier of i) December 31, 1997, or ii) the date the participant ceased to be an employee, multiplied by $25 for each year of service up to 15 years, plus $26.50 for each year of service for the next 15 years of service, plus $28 for each year of service in excess of 30 years. For the second calculation (b), years of service earned after December 31, 1997 are disregarded. Furthermore, if the participant ceases to be an employee before December 31, 1997, and was an eligible employee at the time he or she ceased to be an employee, the participant also shall receive a temporary supplement equal to $300 per month until the month in which the participant attains age 62.

Effective July 1, 2010, the Plan was amended to increase the amount of the multiplier used to calculate retirement benefits for participants who were terminated due to a reduction in force during the period from July 1, 2010 through June 30, 2012 to $44. For such terminated participants to be eligible for the increase in the multiplier, they also must first become eligible for certain benefits, as described in the Plan document, within two years of the effective date of their termination.

Effective October 11, 2013, the Plan was amended to fully vest the accrued benefit of participants whose employment was terminated due to the October 11, 2013 sale of the Pinto Valley Unit.

The Plan also has special provisions applicable to participants who have elected to retire under special voluntary early retirement programs or who were covered under a predecessor or subsidiary plan.

Death and Disability Benefits

The Plan also provides for certain death and disability benefits. If a participant terminates employment before his or her 62nd birthday due to total and permanent disability and after completing at least ten years of service, he or she is entitled to a disability benefit. The disability retirement benefit is the greater of $150 or the participant's Normal Retirement Benefit, counting only years of benefit service through the participant's termination date, unreduced for early payment. The participant may also receive a temporary supplement of $300 per month payable until the earlier of death, attainment of age 62, or becoming eligible for social security disability benefits.

The Plan pays benefits to surviving spouses in certain circumstances. If the participant dies before benefits begin, the participant’s spouse is eligible for a pre-retirement survivor’s annuity (the Annuity), provided the participant had been married for at least one year and had a vested right to future plan benefits. The Annuity is a monthly benefit payable for the life of the surviving spouse. If the participant was an employee or was receiving disability benefits when he or she died, the Annuity is equal to 50% of the Normal Retirement Benefit based on completed service prior to death. Otherwise, the Annuity is equal to the survivor’s portion of the 50% joint and survivor annuity that would have been payable under the terms of the Plan.

Payment of Benefits

A participant’s benefits may be paid in one of the following forms, as described in the Plan document: (i) single life annuity; (ii) 50% joint and survivor annuity; (iii) 55% joint and survivor annuity; or (iv) 5-year, 10-year or 15-year certain annuity.

1. Description of Plan, continued

Forfeitures

A participant who ceases to be an employee before the participant's 62nd birthday and before completing five years of service, as defined in the Plan document, forfeits all benefits accrued under the Plan. Forfeitures are used to offset future contributions.

Administrative Expenses

Certain expenses of maintaining the Plan are paid directly by the Company and are excluded from these financial statements.

During 2013 and 2012, Pension Benefit Guaranty Corporation (PBGC) premiums, audit fees, actuarial fees, and other similar direct charges were paid by the Plan.

2. Summary of Significant Accounting Policies

Basis of Accounting

The accompanying financial statements are prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America (GAAP).

Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of net assets available for benefits and changes therein, disclosure of contingent assets and liabilities, and the actuarial present value of accumulated plan benefits at the date of the financial statements. Actual results could differ from those estimates.

Risks and Uncertainties

The Plan's investments, held in a separate account within the Master Trust at December 31, 2013, and the Plan's investment in the Master Trust at December 31, 2012, consist of common collective trusts, fixed income securities, and interest-bearing cash. Investment securities, in general, are exposed to various risks, such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term, and, that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits and the Statements of Changes in Net Assets Available for Benefits.

Plan contributions are made, and the actuarial present value of accumulated plan benefits are reported, based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Because of uncertainties inherent in the estimation and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

Benefit Payments

Benefits are recorded when paid.

2. Summary of Significant Accounting Policies, continued

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. At December 31, 2013, the Plan's investments, held in the separate account within the Master Trust, are stated at fair value. See Note 4 for discussion of fair value of investments held in the separate account within the Master Trust. The Plan's investment in the Master Trust, at December 31, 2012, is carried at fair value, with such value based upon the fair values of underlying investments held by the Master Trust. See Note 7 for discussion of fair value of investments held by the Master Trust.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date. Income from investments, realized gains (losses) on the disposition of investments, appreciation (depreciation) of investments resulting from fluctuations in fair value, less certain expenses (collectively, the net investment income) related to the Master Trust is allocated monthly to the individual plans based upon respective balances invested by the plans at the end of each month.

3. Investments

The following individual investments represent 5% or more of the Plan's net assets at December 31, 2013:

	2013
PIMCO Funds Long Duration Corporate Bond Fund	$ 83,513,735
PIMCO Funds Investment Grade Corporate Bond Fund	30,768,874
PIMCO Funds Emerging Markets Bond Portfolio	14,374,334
U.S. Treasury Bond, 4.375%, due November 15, 2039	13,149,300
Investments less than 5% of net assets	97,575,317
Total investments	$ 239,381,560

See Note 7 for individual investments that represent 5% or more of the Master Trust's net assets at December 31, 2012.

4. Fair Value Measurements

The Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC) Topic 820 (ASC 820), *Fair Value Measurements and Disclosures*, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets and liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under ASC 820 are described below:

Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2 Inputs to the valuation methodology include:

4. Fair Value Measurements, continued

- Quoted prices for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability;
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

In determining fair value, the Plan uses three different approaches (the market approach, the income approach and the cost approach) depending on the nature of the assets and liabilities. The market approach uses prices and other relevant data based on market transactions involving identical or comparable assets and liabilities. The income approach uses valuation techniques to convert future amounts such as cash flows or earnings to a single present amount, with the measurement based on the value indicated by current market expectations about those future amounts. The cost approach is the amount that would be currently required to replace an asset and indicates the cost to the Plan to acquire a substitute asset.

Following is a description of the valuation methodologies used for assets measured at fair value at December 31, 2013. See Note 7 for the fair value measurements of the Plan's investments included in the Master Trust at December 31, 2012.

Common collective trusts: Valued at the net asset value (NAV) of units of a collective trust. The NAV, as provided by the Trustee, is used as a practical expedient to estimate fair value. The NAV is based on the fair value of the underlying investments held by the fund less its liabilities. This practical expedient is not used when it is determined to be probable that the fund will sell the investment for an amount different than the reported NAV. Were the Plan to initiate a full redemption of the collective trust, the investment adviser reserves the right to temporarily delay withdrawals from the trust in order to ensure that securities liquidations will be carried out in an orderly business manner. (Market approach and income approach)

Fixed income securities: Includes corporate and governmental bonds, which have been valued based upon quoted prices from actively traded markets, and of various asset backed securities which have been valued using discounted cash flow and other similar models using information and assumptions about interest rates, prepayment rates, collateral values and creditworthiness of the issuers. (Market approach and income approach)

Interest-bearing cash: Valued at cost plus accrued interest, which approximates fair value. (Cost approach)

The methods described above may produce fair value calculations that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan's management believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

4. Fair Value Measurements, continued

The following table sets forth by level, within the fair value hierarchy, the Plan's investments measured at fair value on a recurring basis as of December 31, 2013:

	Investments at Fair Value as of December 31, 2013			
	Level 1	**Level 2**	**Level 3**	**Total**
Common collective trusts	$ -	$ 493,030	$ -	$ 493,030
Mutual funds:				
Corporate bond funds	114,282,609	-	-	114,282,609
Emerging market bond fund	14,374,334	-	-	14,374,334
Short term bond fund	106,807	-	-	106,807
Total mutual funds	128,763,750	-	-	128,763,750
Fixed income securities:				
Corporate bonds	-	51,915,496	-	51,915,496
Government bonds	-	24,508,517	-	24,508,517
Government agency obligations	-	19,827,828	-	19,827,828
Municipal/provincial bonds	-	10,479,874	-	10,479,874
Government mortgage-backed securities	-	1,570,172	-	1,570,172
Non-government backed collateralized mortgage obligations	-	657,438	-	657,438
Asset-backed securities	-	583,779	-	583,779
Index linked government bonds	-	581,676	-	581,676
Total fixed income securities	-	110,124,780	-	110,124,780
Total investments at fair value	$ 128,763,750	$ 110,617,810	$ -	$ 239,381,560

See Note 7 for fair value hierarchy of the Master Trust investments at December 21, 2012.

5. Information Certified by the Plan's Trustee (Unaudited)

The Plan Administrator has elected the method of annual reporting and compliance permitted by 29 CFR 2520.103-8 of the Department of Labor Rules and Regulations for Reporting and Disclosure under ERISA. Certain information related to investments disclosed in the financial statements and supplemental schedule, including investments held at December 31, 2013, was obtained from information supplied to the Plan administrator and certified as complete and accurate by the Trustee. See Note 7 for information related to certification of investment related income and expenses included in the Master Trust for the year ended December 31, 2013 and for certification of investments and investment related income and expenses as of and for the year ended December 31, 2012.

The Plan's independent accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedule.

6. Actuarial Present Value of Accumulated Plan Benefits

Accumulated plan benefits are those future periodic payments, including lump sum distributions that are attributable under the Plan's provisions to the services employees have rendered. Accumulated plan benefits include benefits expected to be paid to (i) retired or terminated employees or their beneficiaries, (ii) beneficiaries of employees who have died, and (iii) present employees or their beneficiaries. The accumulated plan benefits for active employees are based upon current benefit levels and actual service.

Benefits payable under all circumstances (retirement, death, disability, and termination of employment) are included to the extent that they are deemed attributable to employee service rendered to the valuation date.

The actuarial present value of accumulated plan benefits is determined by the Plan's actuary, and is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal, or retirement) between the valuation date and the expected date of payment. The actuarial present value of accumulated plan benefits was calculated as of January 1, 2013 and 2012 and accordingly, the Plan presents the Statements of Accumulated Plan Benefits and the Statements of Changes in Accumulated Plan Benefits using beginning of year actuarial data. Had the actuarial valuations been performed as of December 31, 2012 and 2011, there would be no material differences.

The significant actuarial assumptions underlying the actuarial valuation as of January 1, 2013 and 2012:

Assumed rate of return on investments	**2013** 4.30% compounded annually **2012** 3.85% compounded annually
Mortality basis:	
Healthy participants	RP-2000 mortality tables (separate static annuitants and non-annuitants), projected with mortality improvement to the valuation year plus 7 and 15 years based on Scale AA.
Disabled participants	Revenue Ruling 96-7 table for participants who become disabled after 1994 and are eligible for social security disability benefits. All others use the healthy participant mortality assumption.
Withdrawal/retirement rates	Percentage of males and females withdrawing from employment with less than 5 years of vesting service:

Years of Vesting Service	Males	Females
0	22.7%	36.8%
1	18.6%	29.7%
2	14.7%	24.2%
3	11.3%	20.4%
4	8.7%	18.8%

6. Actuarial Present Value of Accumulated Plan Benefits, continued

Withdrawal/retirement rates, continued

Percentage of employees, by male and female, with 5 or more years of vesting service withdrawing from employment during the year of age shown:

Age	Males	Females
20	0.75%	14.54%
25	0.75%	14.54%
30	5.89%	8.86%
35	3.20%	4.67%
40	1.79%	2.08%
45	1.11%	0.74%
50	0.73%	0.29%
55	0.39%	0.16%

Percentage of employees retiring from employment during the year of age shown:

	Years of Vesting Service		
Age	< 30	30	> 30
Under 60	0.00%	50.00%	10.00%
60	14.00%	67.00%	67.00%
61	7.00%	14.00%	14.00%
62	100.00%	100.00%	100.00%

Disability Rates

Percentage of employees qualifying for disability during the ages shown:

	Disability Rates	
Age	Males	Females
20	0.145%	0.085%
25	0.209%	0.145%
30	0.300%	0.245%
35	0.417%	0.375%
40	0.568%	0.521%
45	0.771%	0.722%
50	1.110%	1.088%
55	1.876%	1.738%
60	2.816%	2.025%

20% of participants who qualify for disability retirement are assumed not to qualify for social security disability retirement, thereby qualifying for the Plan disability supplement. No recovery is assumed.

6. Actuarial Present Value of Accumulated Plan Benefits, continued

Percentage Married:	Male – 80%, Female – 80%
Spouse Age Difference:	Husbands are assumed to be four years older than their wives.
Form of Payment**:**	Actives – 55% will select 55% joint and survivor annuities with pop-up. Remaining actives will select single life annuities. Current and Future vested deferreds – 45% will select single life annuities and the remainder will select 55% joint and survivor annuities.
Payment Commencement:	Immediate for retirees. Age 62 for vested terminees.

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

7. Plan Interests in BHP Master Trust (Unaudited)

As of December 31, 2012, the Plan held an interest of approximately 43.04% in the Master Trust. The value of the Plan's interest in the Master Trust is based on the beginning of year value of the Plan's interest in the Master Trust plus actual contributions and allocated investment income less actual distributions and allocated administrative expenses. Effective December 31, 2013, the Plan's interest in the Master Trust was segregated into a separate account within the Master Trust and accordingly, the Plan's separate account holds only investments specific to the Plan at December 31, 2013. The total investments in the Plan remained approximately 43.78% of the total investments held by all plans which comprise the Master Trust at December 31, 2013.

The Plan's interest in the Master Trust as of and for the years ended December 31, 2013 and 2012 is as follows:

	2013	2012
Plan interest in Master Trust	$ -	$ 251,631,895
Plan interest in net investment (loss) income of Master Trust	$ (14,239,297)	$ 28,021,575

7. Plan Interests in BHP Master Trust (Unaudited), continued

The following table presents the net assets available for benefits, including investments, of the Master Trust, which was certified by the Trustee, as of December 31, 2013 and 2012:

	2013	2012
Assets		
Investments, at fair value:		
Common collective trust	$ -	$ 31,828,826
Fixed income securities	-	517,404,524
Interest-bearing cash	-	16,401,719
Other	-	458,660
Total investments	-	566,093,729
Receivables:		
Accrued income receivable	-	5,945,708
Receivable for securities sold	-	12,700,166
Total receivables	-	18,645,874
Total assets	-	584,739,603
Liabilities		
Payables for securities purchased and other liabilities	-	(67,592)
Net assets available for benefits	$ -	$ 584,672,011

Investment income of the Master Trust, as certified by the Trustee, for the years ended December 31, 2013 and 2012 is as follows:

	2013	2012
Net (depreciation) appreciation in fair value of investments:		
Common collective trusts	$ 6,017,438	$ 5,879,082
Fixed income securities	(55,262,980)	31,684,548
Other	(649,569)	1,461,276
Total (depreciation) appreciation	(49,895,111)	39,024,906
Interest and dividend income	31,896,135	22,698,690
Total investment (loss) income	(17,998,976)	61,723,596
Investment expenses	(1,754,348)	(1,748,759)
Net investment (loss) income	$ (19,753,324)	$ 59,974,837

No individual investments represented 5% or more of the Master Trust's net assets at December 31, 2012.

7. Plan Interests in BHP Master Trust (Unaudited), continued

Fair Value Measurements

ASC Topic 820, *Fair Value Measurements and Disclosures*, establishes a framework for measuring fair value (See Note 4).

The following table sets forth by level, within the fair value hierarchy, the Plan's investments measured at fair value on a recurring basis as of December 31, 2012:

	Investments at Fair Value as of December 31, 2012			
	Level 1	**Level 2**	**Level 3**	**Total**
Common collective trusts	$ -	$ 31,828,826	$ -	$ 31,828,826
Fixed income securities:				
Corporate bonds	-	327,294,732	-	327,294,732
Government bonds	-	50,352,219	-	50,352,219
Government agency obligations	-	60,954,262	-	60,954,262
Municipal/provincial bonds	-	61,420,112	-	61,420,112
Government mortgage-backed securities	-	13,175,725	-	13,175,725
Asset-backed securities	-	1,174,290	-	1,174,290
Non-government mortgage-backed securities	-	1,811,850	-	1,811,850
Index-linked government bonds	-	784,849	-	784,849
Government-issued commercial mortgage-backed securities	-	436,485	-	436,485
Total fixed income securities	-	517,404,524	-	517,404,524
Interest-bearing cash	16,401,719	-	-	16,401,719
Other	-	458,660	-	458,660
Total investments at fair value	$ 16,401,719	$ 549,692,010	$ -	$ 566,093,729

The table above excludes receivables and payables of $18,645,874 and $67,592 respectively, which are part of the Master Trust at December 31, 2012.

8. Income Tax Status

The Plan obtained its latest determination letter dated March 6, 2012, in which the Internal Revenue Service stated that the Plan was in compliance with the applicable requirements of the Internal Revenue Code (IRC). The Plan has been amended since receiving the determination letter. However, the Plan Administrator and the Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC. Therefore, the Plan Administrator believes that the Plan was qualified and the related trust was tax-exempt as of December 31, 2013 and 2012. Accordingly, no provision for income taxes is included in the Plan's financial statements.

9. Plan Termination

Although it has not expressed any intent to do so, the Company has the right to discontinue contributions at any time and terminate the Plan, subject to the provisions of ERISA and the provisions of the Plan. In the event of Plan termination, any non-vested participants would become 100% vested in their pension benefits and the assets of the Plan will be allocated in the manner and order of priority prescribed by ERISA to provide the benefits accrued under the Plan, as of the date of termination, to retired, terminated vested, and active participants and their beneficiaries and surviving spouses. Whether a particular participant's accumulated plan benefits will be paid depends on both the priority of those benefits and the level of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty while other benefits may not be provided for at all. Any assets remaining after all Plan expenses have been paid and all accrued liabilities have been provided for by the purchase of annuities or otherwise will revert to the Company.

10. Related-Party Transactions

Certain Master Trust investments are shares of common collective trusts, which are managed by an affiliate of the Trustee. These transactions qualify as party-in-interest transactions, as defined by ERISA. However, such transactions are permitted under the provisions of the Plan and are exempt from the prohibition of party-in-interest transactions under ERISA.

The Company provided administrative services and incurred costs on behalf of the Plan, related to 2013 and 2012, but has not received any related compensation or reimbursement from the Plan. The Company also paid certain fees on behalf of the Plan during 2013 and 2012.

11. Form 5500 Reconciliation

For the 2013 and 2012 Plan years, total net assets available for benefits and the net increase in net assets available for benefits per the financial statements agree to the amounts per the Forms 5500. Differences between the individual line items on the Statements of Changes in Net Assets Available for Benefits and the Forms 5500 are attributed to classification differences.

12. Subsequent Events

Plan management has evaluated subsequent events through October 15, 2014, which is the date the financial statements were issued, and has concluded that there are no significant events to report.

SUPPLEMENTAL SCHEDULE

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: 86-0219794
PN: 002

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value	
	PIMCO	Mutual Fund - Long Duration Corporate Bond Fund	$ 83,974,577	$ 83,513,735	**
	PIMCO	Mutual Fund - Investment Grade Corporate Bond Fund	32,524,498	30,768,874	**
	PIMCO	Mutual Fund - Emerging Markets Bond Portfolio	14,755,686	14,374,334	**
	United States Treasury	United States Treasury Bond, bearing interest at 4.375%, $12,100,000 face value, due November 15, 2039	13,463,261	13,149,300	**
	United States Treasury	United States Treasury Bond, bearing interest at 5.25%, $5,600,000 face value, due February 15, 2029	6,904,662	6,741,000	
	Tennessee Valley Authority	Government Agency Obligation, bearing an interest at 7.125%, $3,800,000 face value, due May 1, 2030	4,655,418	4,967,516	
	Federal National Mortgage Association	Government Agency Obligation, bearing interest at 6.00%, $4,200,000 face value, due April 18, 2036	4,523,238	4,600,109	
	Goldman Sachs	Corporate Bond, bearing interest at 6.125%, $3,100,000 face value, due February 15, 2033	2,940,177	3,487,001	
	AT&T Corporation	Corporate Bond, bearing interest at 8%, $2,530,000 face value, due November 15, 2031	2,956,417	3,367,248	
	Federal National Mortgage Association	Government Agency Obligation, bearing interest at 6.625%, $2,300,000 face value, due November 15, 2030	3,238,241	2,991,789	
	Deutsche Telekom - Netherlands	Corporate Bond, bearing interest at 8.75%, $1,650,000 face value, due June 15, 2030	1,911,228	2,327,792	
	Verizon Global Funding	Corporate Bond, bearing interest at 5.85%, $2,000,000 face value, due September 15, 2035	1,728,820	2,123,334	
	United States Treasury	United States Treasury Bond, bearing interest at 5.50%, $1,700,000 face value, due August 15, 2028	2,167,232	2,095,515	
	Wachovia Bank National Association	Corporate Bond, bearing interest at 5.85%, $1,800,000 face value, due February 1, 2037	2,023,308	2,055,085	
	Bay Area Toll Authority	Municipal Bond, bearing an interest at 1.14%, $2,000,000 face value, due April 1, 2045	2,000,000	2,010,000	

* Represents a party-in-interest

** Represents investment comprising at least 5% of net assets available for benefits

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	Wal-Mart Stores	Corporate Bond, bearing interest at 5.25%, $1,850,000 face value, due September 1, 2035	1,613,459	2,009,716
	BNSF Railway Company	Corporate Bond, bearing interest at 5.996%, $1,673,993.68 face value, due April 1, 2024	1,658,207	1,879,728
	Amgen Incorporated	Corporate Bond, bearing interest at 6.375%, $1,600,000 face value, due June 1, 2037	1,597,098	1,834,973
	Tennessee Valley Authority	Government Agency Obligation, bearing an interest at 5.375%, $1,700,000 face value, due April 1, 2056	1,771,383	1,744,236
	Pacific Gas & Electric Company	Corporate Bond, bearing interest at 6.05%, $1,500,000 face value, due March 1, 2034	1,627,665	1,718,243
	Ohio American Municipal Power	Municipal Bond, bearing an interest at 7.834%, $1,250,000 face value, due February 15, 2041	1,806,188	1,579,313
	Devon Energy Corporporation	Corporate Bond, bearing interest at 7.95%, $1,200,000 face value, due April 15, 2032	1,593,912	1,561,764
	State of Israel	Government Bond, bearing interest at 0%, $2,100,000 face value, due September 15, 2022	1,371,332	1,554,588
	Port Authority of New York & New Jersey	Municipal Bond, bearing an interest at 4.458%, $1,750,000 face value, due October 1, 2062	1,753,605	1,483,720
	New York Metropolitan Transportation Authority	Municipal Bond, bearing an interest at 6.668%, $1,250,000 face value, due November 15, 2039	1,655,888	1,474,075
	Federal National Mortgage Association	Government Agency Obligation, bearing interest at 5.625%, $1,300,000 face value, due April 17, 2028	1,414,335	1,470,404
	Bank of Korea	Corporate Bond, bearing interest at 1.75%, $1,500,000 face value, due February 27, 2018	1,495,080	1,459,593
	BP Capital Markets P.L.C. - United Kingdom	Corporate Bond, bearing interest at 3.245%, $1,400,000 face value, due May 6, 2022	1,400,000	1,356,967
	Resolution Funding Corporation	Government Agency Obligation, bearing interest at 0%, $1,500,000 face value, due October 15, 2019	995,070	1,302,473

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	Kinder Morgan	Corporate Bond, bearing interest at 9%, $1,000,000 face value, due February 1, 2019	1,294,600	1,270,545
	Royal KPN NV - Netherlands	Corporate Bond, bearing interest at 8.375%, $1,000,000 face value, due October 1, 2030	1,353,965	1,267,753
	Orange NT Step Up - France	Corporate Bond, bearing interest at 8.75%, $900,000 face value, due March 1, 2031	1,092,554	1,242,607
	General Electric Capital Corporporation	Corporate Bond, bearing interest at 6.75%, $1,000,000 face value, due March 15, 2032	1,074,862	1,238,305
	Morgan Stanley	Corporate Bond, bearing interest at 7.30%, $1,000,000 face value, due May 13, 2019	1,152,648	1,214,408
	Los Angeles County Public Works Financing Authority	Municipal Bond, bearing an interest at 7.618%, $1,000,000 face value, due August 1, 2040	1,312,080	1,176,360
	Time Warner Entertainment	Corporate Bond, bearing interest at 8.375%, $1,000,000 face value, due March 15, 2023	1,277,930	1,150,180
	Royal Bank of Scotland	Corporate Bond, bearing interest at 7.648%, $1,100,000 face value, due August 29, 2049	1,043,175	1,149,500
	Discovery	Corporate Bond, bearing interest at 4.875%, $1,200,000 face value, due April 1, 2043	1,198,656	1,106,988
	Santa Clara Valley California Transporation Authority	Municipal Bond, bearing an interest at 5.876%, $1,000,000 face value, due April 1, 2032	1,231,180	1,092,710
	Resolution Funding Corporation	Government Agency Obligation, bearing an interest at 0%, $2,100,000 face value, due January 15, 2030	1,110,648	1,070,838
	Time Warner Companies	Corporate Bond, bearing interest at 6.95%, $900,000 face value, due January 15, 2028	1,020,186	1,061,851
	Thermo Fisher Scientific	Corporate Bond, bearing interest at 3.15%, $1,100,000 face value, due January 15, 2023	1,094,225	1,023,674
	Mission Health System	Corporate Bond, bearing interest at 4.424%, $1,200,000 face value, due December 1, 2039	1,180,740	978,056

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	America Movil S.A.B De C.V - Mexico	Corporate Bond, bearing interest at 6.125%, $900,000 face value, due March 30, 2040	968,800	952,304
	Metlife Incorporated	Corporate Bond, bearing interest at 6.375%, $800,000 face value, due June 15, 2034	892,528	946,134
	Merrill Lynch & Company Incorporated	Corporate Bond, bearing interest at 6.875%, $800,000 face value, due April 25, 2018	883,080	945,894
	University of California Regents Medical Center	Municipal Bond, bearing an interest at 6.548%, $800,000 face value, due May 15, 2048	870,432	931,240
	State of Israel	Government Agency Obligation, bearing interest at 5.50%, $800,000 face value, due April 26, 2024	884,442	924,293
	Wells Fargo Bank	Corporate Bond, bearing interest at 5.95%, $800,000 face value, due August 26, 2036	769,576	909,946
	Citigroup Incorporated	Corporate Bond, bearing interest at 1.6936%, $900,000 face value, due January 13, 2014	906,525	900,330
	Westin Gas Partners	Corporate Bond, bearing interest at 2.60%, $900,000 face value, due August 15, 2018	898,911	888,929
	Home Depot Incorporated	Corporate Bond, bearing interest at 2.70%, $900,000 face value, due April 1, 2023	898,596	829,665
	California State - Build America	Municipal Bond, bearing an interest at 6.875%, $600,000 face value, due November 1, 2026	789,564	732,456
	Bear Stearns Securities Corporation	Collateralized Mortgage Obligation Asset-backed securities, bearing interest at 2.93%, $691,099.76 face value, due July 25, 2036	685,701	657,438
	Essex Portfolio Limited Partnership	Corporate Bond, bearing interest at 3.25%, $700,000 face value, due May 1, 2023	694,064	633,627
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 6.50% per year, $550,813.64 face value, due July 25, 2031	571,625	619,419
	United States Small Business Administration	Asset-backed securities, bearing interest at a stated rate of 5.17%, $538,557.21 face value, due January 1, 2028	583,493	583,779

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	United States Treasury	Government bond, bearing interest at 0.75%, $700,000 face value, due February 15, 2042	634,684	581,676
	Transocean Incorporated - Switzerland	Corporate Bond, bearing interest at 6.50%, $500,000 face value, due November 15, 2020	567,459	570,970
	Verizon Communications	Corporate Bond, bearing interest at 5.15%, $500,000 face value, due September 15, 2023	498,380	536,846
	Fidelity National Financial	Corporate Bond, bearing interest at 5.50%, $500,000 face value, due September 1, 2022	497,565	518,582
	Altria Group Incorporated	Corporate Bond, bearing interest at 10.2%, $322,000 face falue, due February 6, 2039	432,563	502,389
*	Northern Trust	Collective Fund - Short Term Investment Fund	493,030	493,030
	Verizon	Corporate Bond, bearing interest at 6.90%, $400,000 face value, due April 15, 2038	415,690	476,026
	Continental Airlines Pass-Through Trust	Corpoate Bond, bearing interest at 4.75%, $446,047.48 face value, due July 12, 2022	445,490	473,925
	Dow Chemical Company	Corporate Bond, bearing interest at 3.00%, $500,000 face value, due November 15, 2022	488,550	466,320
	United States Treasury	United States Treasury Bond, bearing interest at 4.625%, $400,000 face value, due February 15, 2040	510,688	451,750
	Resolution Funding Corporation	Government Agency Obligation, bearing interest at 0.00%, $800,000 face value, due April 15, 2029	365,941	423,202
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 7.25% per year, $800,000 face value, due May 15, 2030	366,088	383,206
	Encana Corporation - Canada	Corporate Bond, bearing interest at 5.15%, $400,000 face value, due November 15, 2041	397,156	375,000
	America Movil S.A.B De C.V - Mexico	Corporate Bond, bearing interest at 3.125%, $400,000 face value, due July 16, 2022	397,960	369,259

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	Southern California Edison	Corporate Bond, bearing interest at 6%, $300,000 face value, due January 15, 2034	309,744	353,067
	American International Group	Corporate Bond, bearing interest at 6.25%, $300,000 face value, due May 1, 2036	299,886	347,635
	AEP Texas Central Company	Corporate Bond, bearing interest at 6.65%, $300,000 face value, due February 15, 2033	322,845	346,506
	Corporacion Nacional Del Cobre De Chile	Corporate Bond, bearing interest at 6.15%, $300,000 face value, due October 24, 2036	317,226	316,493
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 4.00% per year, $290,028.86 face value, due February 25, 2019	258,398	305,342
	Codelco Incorporated - Chile	Corporate Bond, bearing interest at 5.625%, $300,000 face value, due September 21, 2035	296,598	296,640
	United States Treasury	United States Treasury Bond, bearing interest at 4.5%, $200,000 face value, due May 15, 2038	263,219	221,750
	TEVA - Israel	Corporate Bond, bearing interest at 6.15%, $200,000 face value, due February 1, 2036	199,150	219,067
	United States Government	Government Agency Obligation, bearing interest at 0.00%, $400,000 face value, due October 15, 2028	187,858	217,744
	Vodafone Group P.L.C. - United Kingdom	Corporate Bond, bearing interest at 6.150%, $200,000 face value, due February 27, 2037	229,786	216,753
	Federal Home Loan Mortgage Corporation	Mortgage-backed securities, bearing interest at a stated rate of 0.397%, $169,240.36 face value, due February 15, 2019	169,201	169,268
	Progress Energy	Corporate Bond, bearing interest at 7.75%, $100,000 face value, due March 1, 2031	119,771	128,776
	Gaz Capital S.A. - Russia	Corporate Bond, bearing interest at 8.625%, $100,000 face value, due April 28, 2034	130,275	118,000
	Morgan Stanley Global Medium	Corporate Bond bearing interest at 6.625%, $1,000,000 face value, due April 1, 2018	97,046	117,009

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	State of Israel	Government Agency Obligation, bearing interest at 5.50%, $100,000 face value, due December 4, 2023	110,265	115,226
	United States Treasury	United States Treasury Bond, stripped, bearing interest at 0%, $200,000 face value, due November 15, 2028	120,522	114,723
	Vale Overseas Limited - Cayman Islands	Corporate Bond, bearing interest at 6.25%, $100,000 face value, due January 23, 2017	99,267	110,914
	PIMCO	Mutual Fund - Short Term Floating NAV Portfolio	106,810	106,807
	Vale Overseas Limited - Cayman Islands	Corporate Bond, bearing interest at 6.875%, $100,000 face value, due November 21, 2036	98,478	103,275
	United States Treasury	United States Treasury Bond, bearing interest at 2.5%, $100,000 face value, due August 15, 2023	98,492	96,031
	United States Treasury	United States Treasury Bond, bearing interest at 3%, $100,000 face value, due May 15, 2042	85,813	83,859
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 6.00% per year, $52,250.11 face value, due October 1, 2037	54,569	57,901
	Federal Home Loan Mortgage Corporation	Mortgage-backed securities, bearing interest at a stated rate of 0.567% per year, $35,000.48 face value, due January 15, 2033	35,230	35,037
	Altria Group Incorporated	Corporate Bond, bearing interest at 9.25%, $23,000 face value, due August 6, 2019	27,771	30,298
U	Yum Brands, Inc	Corporate Bond, bearing interest at 6.875%, $26,000 face value, due November 15, 2037	25,890	29,604
			$ 239,830,125	$ 239,381,560

* Represents a party-in-interest

** Represents investment comprising at least 5% of net assets available for benefits

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

Actuarial Assumptions for Funding Valuation

Discount rate sponsor elections

• Segment rates or full yield curve	Segment	
• Look-back months	4	
•	**Stabilized rates**	**Nonstabilized rates**
• First 5 years	4.94%	1.75%
• Next 15 years	6.15%	4.62%
• Over 20 years	6.76%	5.72%

Mortality sponsor elections

• Healthy participants	Section 430(h)(3) prescribed separate static annuitant and nonannuitant mortality tables. These tables are based on the RP-2000 mortality tables projected with mortality improvement to the valuation year plus 7 and 15 years based on Scale AA.
• Disabled participants	Revenue Ruling 96-7 table for participants who became disabled after 1994 and are eligible for Social Security disability benefits. All others use the healthy participant mortality assumption.

Other economic assumptions

• Salary increases	Not applicable
• Flat-dollar benefit increases	Not applicable
• Social Security wage base	Not applicable
• Inflation	3.00% per year
• Expected investment return	3.85% per year for 2011 and 2012; 3.95% for 2013
• Expenses	Expected administrative expenses (assumed to equal prior year administrative expenses but adjusted for PBGC premiums with current year PBGC premiums replacing prior year) discounted to beginning of year are included in normal cost (equal to $309,561).

Demographic assumptions

• Withdrawal	See table of sample rates.
• Disability incidence	82% of 1985 Disability study class 4 rates. See table of sample rates.

• Retirement age

	Retirement rates		
	Years of vesting service		
Attained age	<30	30	>30
Under 60	0.00%	50.00%	10.00%
60	14.00	67.00	67.00
61	7.00	14.00	14.00
62	100.00	100.00	100.00

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

- Social Security disability incidence: 80% of active participants assumed to become disabled are also assumed to be eligible for Social Security disability payments.
  No inactive participants are assumed to be eligible for Social Security disability.
- Benefit commencement age for
  - Future vested deferred: 62
  - Current vested deferred: 62
- Spouse assumptions

	Male participants	Female participants
Percentage married	80%	80%
Spouse age difference	4 years younger	4 years older

Form of payment	55% J&S with pop-up	Single life	50% J&S
• Active retirements	55%	45%	N/A
• Future vested deferred	N/A	45%	55%
• Future disabilities	N/A	100%	0%
• Future deaths	N/A	100%	0%
• Current vested deferred	N/A	45%	55%

Unpredictable contingent event assumptions

Based on analysis and guidance from BHP Copper Inc. we have not increased the liability for the two UCEBs in the plan due to concluding they are de minimis. The two UCEBs relate to the Safety Valve Provision of Amendment Two and the additional benefits allowed under the 70-80 Early Retirement Benefit under Section 5(c)(ii)(A) of the plan document.

Table of Sample Rates

Withdrawal – less than 5 years of vesting service

Years of vesting service	Males	Females
0	22.70%	36.80%
1	18.60	29.70
2	14.70	24.20
3	11.30	20.40
4	8.70	18.80

Withdrawal – 5 or more years of vesting service

Attained age	Males	Females
20	0.75%	14.54%
25	0.75	14.54
30	5.89	8.86
35	3.20	4.67
40	1.79	2.08

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

45	1.11	0.74
50	0.73	0.29
55	0.39	0.16
60	0.00	0.00

	Disability	
Attained age	**Males**	**Females**
20	.145%	.085%
25	.209	.145
30	.300	.245
35	.417	.375
40	.568	.521
45	.771	.722
50	1.110	1.088
55	1.876	1.738
60	2.816	2.025

Actuarial Methods for Funding

Asset Methods

The asset valuation method is the fair market value.

Participant Methods

Participants or former participants are included or excluded from the valuation as described below:

- **Participants included:** The plan sponsor provides us with data on all employees as of the valuation date, but only those employees who have completed the plan's eligibility requirements are included in the valuation of liabilities.
- **Participants excluded:** No actuarial liability is included for nonvested participants who terminated prior to the valuation date. For this purpose, participants with a break in service on the valuation date are treated as terminated participants.
- **Insurance contracts**: The plan does not have any insurance contracts.

Minimum Funding Methods

The funding target for minimum funding calculations is computed using the traditional unit credit method of funding. The objective under this method is to fund each participant's benefits under the plan as they accrue. Thus, the total pension to which each participant is expected to become entitled at retirement is broken down into units, each associated with a year of past or future credited service.

A detailed description of the calculation follows:

- The plan's valuation date is the beginning of the plan year.
- An individual's **funding target** is the present value of future benefits based on credited service and average pay as of the beginning of the plan year, and an individual's **target**

Schedule SB, Part V — Statement of Actuarial Assumptions/Methods

normal cost is the present value of the benefit expected to accrue in the plan year. If multiple decrements are used, the funding target and the target normal cost for an individual is the sum of the component funding targets and target normal costs associated with the various anticipated separation dates.

- This plan provides benefits that are not a function of a participant's accrued benefit or years of service. This benefit is allocated to funding target based on the ratio of the participant's service at the beginning of the plan year to their service at each decrement age and is allocated to target normal cost based on the proportionate benefit attributable to the increase in the participant's service and compensation during the plan year.
- The plan's **target normal cost** is the sum of the individual target normal costs, and the plan's **funding target** is the sum of the individual funding targets for all participants under the plan.

Schedule SB, Part V — Summary of Plan Provisions

Effective date and plan year	Original plan: October 1, 1952 Restated plan: January 1, 2010 Plan year: Calendar year
Status of the plan	The plan has ongoing benefit accruals. New employees are not eligible to participate in the plan effective September 1, 2005.
Significant events that occurred during the year	None
Definitions	
• Covered employees	All hourly employees of BHP Copper Inc. who are in a unit of employees subject to a collective bargaining agreement with BHP Copper Inc.
• Participation	Covered employees become participants upon employment. Effective September 1, 2005, the Plan was closed to new entrants. If a participant terminated employment with a vested benefit in the Plan and is rehired as an eligible employee on or after September 1, 2005, their participation resumes immediately.
• Employee contributions	None
• Vesting service	Service for purposes of participation, vesting and eligibility includes periods of employment with the Company including: 1. any service recognized for benefit accrual and/or vesting under the terms of any prior plan, 2. any period during military or other types of leave of absence granted by the Company, 3. certain periods of interruption in employment, as governed by the Plan.
• Credited service	Service for purposes of benefit accrual includes all periods of service, except that certain service while on a leave of absence or layoff is generally excluded. Up to six months while on layoff immediately prior to qualifying for 70-80 Early Retirement may be included in benefit service.
• Accrued benefit	Normal retirement benefit as of the valuation date.
Normal retirement	
• Eligibility	Retirement on or after age 62.
• Benefit	A monthly benefit equal to $40 multiplied by the Participant's Years of Benefit Service. As of July 1, 2012, the benefit multiplier will increase to $44.
Early retirement	
• Eligibility	Retirement before age 62 and after both attaining age 60 and completing ten years of service.
• Benefit	Equal to the normal retirement benefit reduced by 1/3 of 1% for each month (4% for each year) that payment starts before age 62.

Schedule SB, Part V — Summary of Plan Provisions

30-year early retirement	
• Eligibility	Retirement before age 62 and after the participant has completed thirty years of service.
• Benefit	Equal to the normal retirement benefit, unreduced for early payment. If the Participant has attained age 60, the Participant will also receive a temporary supplement equal to $400 per month until age 62, and $200 per month until Social Security Full Retirement Age.
70-80 early retirement	
• Eligibility	Retirement before age 62, and after either (1) the attainment of age 55 and the sum of age and years of Service equals 70 or more, or (2) the sum of age and years of Service equals 80 or more, provided the participant was an eligible employee at some time after 1997.
• Benefit	Equal to the greater of 1. the Participant's normal retirement benefit, reduced by 1/3 of 1% for each of the first 24 months and by ½ of 1% for each additional month (if any) that payment begins before the Participant's normal retirement date; or 2. the Participant's Years of Benefit Service as of the earlier of December 31, 1997, or the date of termination multiplied by $25 for each of the first 15 of such full and fractional Years of Benefit Service, $26.50 for each of the next 15 of such full and fractional Years of Benefit Service, and $28 for any such full and fractional Years of Benefit Service in excess of 30.
70-80 involuntary early retirement	
• Eligibility	Retirement under 70-80 early retirement of a participant who was on layoff for at least 6 consecutive months prior to the time the participant ceased to be an employee.
• Benefit	Equal to the participant's unreduced normal retirement benefit. In addition, the Participant also shall receive a temporary supplement equal to $400 per month until age 62 or the participant's death, whichever is earlier.
Late retirement	
• Eligibility	Retirement after age 62.
• Benefit	Equal to the greater of the following options: 1. The accrued benefit calculated as of age 62, increased by 0.75% for each of the first 120 months commencement is deferred, 1.00% for the next 120 months, and 1.25% for months worked beyond age 82. 2. or the benefit calculated as of the date of termination.
Deferred vested	
• Eligibility	Termination before age 62, before eligibility for a normal or early retirement benefit and after the completion of five years of service. The vested termination benefit is payable at age 62, or the benefit may be commenced at age 60 if the Participant has completed 10 years of service.

Schedule SB, Part V — Summary of Plan Provisions

• Benefit	Equal to the normal retirement benefit, reduced by 2/3 of 1% for each of the first 12 months and by 3/5 of 1% for each of the next 12 months (if any) that payment begins before the normal retirement date.
Disability	
• Eligibility	Termination due to Total and Permanent Disability before age 62 and after the completion of 10 years of service, provided that the participant was an Eligible Employee either at the time he or she ceased to be an Employee or at some time after 1997. Eligible for commencement on the first day of any month following the later of five months after the Participant became Totally and Permanently Disabled or the month in which BHP determines that the Participant is Totally and Permanently Disabled.
• Benefit	Equal to the greater of $150 or the Normal Retirement Benefit, unreduced for early payment. If the participant was an eligible employee on the date that he or she ceased to be an employee, the participant also shall receive a temporary supplement equal to $300 until the earlier of age 62, when the participant becomes entitled to a Social Security disability benefit or when BHP finds that the participant is no longer totally and permanently disabled.
Pre-retirement death	
• Eligibility	Death of a vested participant who has been married for at least a year. Eligible for commencement on the first of any month following the date on which the participant would have been eligible to commence his benefit and before the participant's normal retirement date.
• Pre-Retirement Survivor Benefit	Equal to the survivor's portion of the 50% Joint and Survivor Annuity that would have been payable on the earlier of the participant's termination or death; however, if the participant was an Employee, was receiving a Disability Retirement Benefit, or had deferred commencement of a Regular or 70-80 Early Retirement Benefit upon death, the survivor benefit is unreduced for early commencement.
Benefit offsets	The plan benefits for certain participants are offset by benefits earned under prior plans.
Form of benefits	
• Automatic form for unmarried participants	The normal form of payment for monthly pension benefits is a single life annuity for single participants.
• Automatic form for married participants	For married participants eligible for normal or early retirement, the normal form of payment is a 55% joint & survivor annuity, where the benefit reverts to the amount payable as a single life annuity if the spouse predeceases the participant. The normal form for married participants eligible for a vested termination benefit is a 50% joint & survivor annuity.

Schedule SB, Part V — Summary of Plan Provisions

• Optional forms	Optional forms of payment include: • Single life annuity (SLA) • 75% joint & survivor • 5 year certain & life annuity (97.5% of SLA) • 10 year certain & life annuity (92.5% of SLA) • 15 year certain & life annuity (85.0%of SLA) Mandatory lump sums are paid if the present value of the plan benefit is less than $5,000.
• Optional form conversion factors	• Conversion factor for PopUp 55% J&S is 90% of SLA. • Conversion factor for 50% J&S is 87.5% of SLA. • Conversion factor for 75% J&S is actuarially equivalent to 50% J&S or 55% J&S depending on the type of retirement.
Miscellaneous	
• Maximum benefits	Annual benefits may not exceed the limits in IRC Section 415. This limit is indexed annually. For 2013, the limit is $205,000.

Benefits Included or Excluded

Unless noted below, all benefits provided by the plan, as restated and amended through Amendment #4, are included in this valuation.

- **Most recent plan amendments included:** Amendment #2 is included because it was adopted by the valuation date and is effective by the end of the plan year.
- **Plan amendments excluded:** None
- **Late retirement increases:**
  - *Active participants:* The plan provides actuarial increases to benefits of participants who work beyond normal retirement, and we have reflected these increases in the active liabilities.
  - *Deferred vested participants:* Current deferred vested participants over normal retirement age are valued including the late retirement actuarial increase.
- **Involuntary 70-80 retirement:** No participants are assumed to depart under involuntary 70-80 retirement provisions.
- **Internal Revenue Code limitations:** The limitations of Internal Revenue Code Section 415(b) have been incorporated into our calculations.
- **IRC Section 416 rules for top-heavy plans:** We did not test whether this plan is top-heavy (when the present value of benefits for key employees equals or exceeds 60 percent of the present value for all participants). However, we expect that the plan is not top-heavy due to the large number of rank-and-file participants; therefore, the funding target and target normal cost do not reflect any liability for top-heavy benefit accruals.

Plan Provisions Specific to Funding

Additional Benefits Included or Excluded

- **IRC Section 436 benefit restrictions:**
  - *Unpredictable contingent event benefits:* This valuation excludes restricted contingent event benefits that occurred before the valuation date but includes contingent event benefits which are expected to occur on or after the valuation date regardless of anticipated funding-based limitations.

Schedule SB, Part V — Summary of Plan Provisions

- *Plan amendments:* See above.
- *Prohibited payments:* Limitations on prohibited benefits (if any) are reflected for annuity starting dates before the valuation date but are ignored for annuity starting dates on or after the valuation date.
- *Benefit accruals:* The plan's funding target does not reflect any limitation on benefit accruals. The target normal cost does not reflect any limitation on benefit accruals.

- **Scheduled benefit increases:** Scheduled benefit increases effective after the end of the current plan year are excluded from minimum funding requirements.
- **Unpredictable contingent event benefits:** The plan has two unpredictable contingent event benefits. We have not valued as directed by BHP Copper Inc. due to a de minimis determination.

Plan Provision Changes Since Prior Valuation

Maximum benefit amounts under IRS rules were updated from 2012 to 2013.

Schedule SB, line 24 — Change in Actuarial Assumptions

Actuarial Assumption Changes Since Prior Valuation

- The expense component of normal cost increased from $264,455 to $309,561 to reflect our expectations for the current plan year.
- The expected investment return was changed from 3.85% to 3.95% to reflect current expectations.

Schedule SB, line 26 — Schedule of Active Participant Data

Attained age	Years of credited service										
	Under 1	**1-4**	**5-9**	**10-14**	**15-19**	**20-24**	**25-29**	**30-34**	**35-39**	**40 & up**	**Total**
Under 25											
25-29											
30-34											
35-39		1	1								2
40-44											
45-49				1	1	2					4
50-54		1	2	3	1	1	3	1			12
55-59		1	1	1	2	4	3	2	2	1	17
60-64			2		2	1	1	4	3	1	14
65-69										2	2
70 & up											
Total		3	6	5	6	8	7	7	5	4	51

In each cell, the number is the count of active participants for each age/service combination.

Schedule SB, line 22 — Description of Weighted Average Retirement Age

Each employee is assumed to retire in accordance with the table of retirement rates. The proportion of employees expected to retire at each potential retirement age is shown below. Based on this assumption and the current active population, the overall average retirement age is 61.

Participants with service more than 30 years.

(A) Retirement age	(B) Retirement Percent	(C) Lx	(D) Number of employees expected to retire (B) x (C)	(E) (A) x (D)
55	10.00%	10,000	1,000.00	55,000
56	10.00%	9,000	900.00	50,400
57	10.00%	8,100	810.00	46,170
58	10.00%	7,290	729.00	42,282
59	10.00%	6,561	565.10	38,710
60	67.00%	5,905	3,956.28	237,377
61	14.00%	1,949	272.81	16,641
62	100.00%	1,676	1,675.81	103,900
Total			10,000.00	590,480
Average				59.05

Participants with service less than 30 years.

(A) Retirement age	(B) Retirement Percent	(C) Lx	(D) Number of employees expected to retire (B) x (C)	(E) (A) x (D)
55-59	0.00%	10,000	0.00	0
60	14.00%	10,000	1,400.00	84,000
61	7.00%	8,600	602.00	36,722
62	100.00%	7,998	7,998.00	495,876
Total			10,000.00	616,598
Average				61.66

Note: Participants with exactly 30 years of service are assumed to retire at the rate of 50% if under age 60 with retirement rates in all other years similar to participants with service of more than 30 years.

SCHEDULE SB (Form 5500)	Single-Employer Defined Benefit Plan Actuarial Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	2013 This Form is Open to Public Inspection

For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan

PENSION PLAN FOR HRLY-RATED EES OF BHP COPPER INC.

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF

BHP COPPER INC.

D Employer Identification Number (EIN)

86-0219794

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 1 Day 1 Year 2013

2 Assets:

a Market value	2a	264,107,091
b Actuarial value	2b	264,107,091

3 Funding target/participant count breakdown:

		(1) Number of participants	(2) Funding Target
a For retired participants and beneficiaries receiving payment	3a	2,506	137,535,480
b For terminated vested participants	3b	2,026	67,263,310
c For active participants:			
(1) Non-vested benefits	3c(1)		293,369
(2) Vested benefits	3c(2)		5,596,751
(3) Total active	3c(3)	51	5,890,120
d Total	3d	4,583	210,688,910

4 If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]

a Funding target disregarding prescribed at-risk assumptions	4a	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	
5 Effective interest rate	5	6.25 %
6 Target normal cost	6	470,546

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

KB — Signature of actuary

10/13/2014 — Date

KEVIN BILLS, FSA, EA, MAAA — Type or print name of actuary

14-07029 — Most recent enrollment number

MERCER — Firm name

(713) 276-2100 — Telephone number (including area code)

500 DALLAS STREET, SUITE 1400

HOUSTON TX 77002 — Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	53,079,552	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	269,910	0
9	Amount remaining (line 7 minus line 8)	52,809,642	0
10	Interest on line 9 using prior year's actual return of 12.14 %	6,411,091	
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		11,710,717
	b Interest on (a) using prior year's effective interest rate of 5.40 % except as otherwise provided (see instructions)		650,571
	c Total available at beginning of current plan year to add to prefunding balance		12,361,288
	d Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	59,220,733	0

Part III Funding Percentages

14	Funding target attainment percentage	14	97.24 %
15	Adjusted funding target attainment percentage	15	125.35 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	102.94 %
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
05/21/2013	6,147,000				
04/08/2014	2,000,000				
			Totals ▶ 18(b)	8,147,000	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	
b Contributions made to avoid restrictions adjusted to valuation date	19b	
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	7,857,980

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? [X] Yes [ ] No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? [X] Yes [ ] No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates: 1st segment: 4.94 % | 2nd segment: 6.15 % | 3rd segment: 6.76 % | ☐ N/A, full yield curve used

b Applicable month (enter code) — 21b: 4

22 Weighted average retirement age — 22: 61

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No

25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No

26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment — 27:

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years — 28: 0

29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a) — 29:

30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29) — 30: 0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6) — 31a: 470,546

b Excess assets, if applicable, but not greater than line 31a — 31b: 0

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount — 33: 0

34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) — 34: 470,546

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	97,119	0	97,119

36 Additional cash requirement (line 34 minus line 35) — 36: 373,427

37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c) — 37: 7,857,980

38 Present value of excess contributions for current year (see instructions)

a Total (excess, if any, of line 37 over line 36) — 38a: 7,484,553

b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances — 38b: 97,119

39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37) — 39: 0

40 Unpaid minimum required contributions for all years — 40: 0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☐ 2 plus 7 years ☐ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

42 Amount of acceleration adjustment — 42:

43 Excess installment acceleration amount to be carried over to future plan years — 43:

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: **86-0219794**
PN: **002**

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value	
	PIMCO	Mutual Fund - Long Duration Corporate Bond Fund	$ 83,974,577	$ 83,513,735	**
	PIMCO	Mutual Fund - Investment Grade Corporate Bond Fund	32,524,498	30,768,874	**
	PIMCO	Mutual Fund - Emerging Markets Bond Portfolio	14,755,686	14,374,334	**
	United States Treasury	United States Treasury Bond, bearing interest at 4.375%, $12,100,000 face value, due November 15, 2039	13,463,261	13,149,300	**
	United States Treasury	United States Treasury Bond, bearing interest at 5.25%, $5,600,000 face value, due February 15, 2029	6,904,662	6,741,000	
	Tennessee Valley Authority	Government Agency Obligation, bearing an interest at 7.125%, $3,800,000 face value, due May 1, 2030	4,655,418	4,967,516	
	Federal National Mortgage Association	Government Agency Obligation, bearing interest at 6.00%, $4,200,000 face value, due April 18, 2036	4,523,238	4,600,109	
	Goldman Sachs	Corporate Bond, bearing interest at 6.125%, $3,100,000 face value, due February 15, 2033	2,940,177	3,487,001	
	AT&T Corporation	Corporate Bond, bearing interest at 8%, $2,530,000 face value, due November 15, 2031	2,956,417	3,367,248	
	Federal National Mortgage Association	Government Agency Obligation, bearing interest at 6.625%, $2,300,000 face value, due November 15, 2030	3,238,241	2,991,789	
	Deutsche Telekom - Netherlands	Corporate Bond, bearing interest at 8.75%, $1,650,000 face value, due June 15, 2030	1,911,228	2,327,792	
	Verizon Global Funding	Corporate Bond, bearing interest at 5.85%, $2,000,000 face value, due September 15, 2035	1,728,820	2,123,334	
	United States Treasury	United States Treasury Bond, bearing interest at 5.50%, $1,700,000 face value, due August 15, 2028	2,167,232	2,095,515	
	Wachovia Bank National Association	Corporate Bond, bearing interest at 5.85%, $1,800,000 face value, due February 1, 2037	2,023,308	2,055,085	
	Bay Area Toll Authority	Municipal Bond, bearing an interest at 1.14%, $2,000,000 face value, due April 1, 2045	2,000,000	2,010,000	

* Represents a party-in-interest

** Represents investment comprising at least 5% of net assets available for benefits

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: **86-0219794**
PN: **002**

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
	Wal-Mart Stores	Corporate Bond, bearing interest at 5.25%, $1,850,000 face value, due September 1, 2035	1,613,459	2,009,716
	BNSF Railway Company	Corporate Bond, bearing interest at 5.996%, $1,673,993.68 face value, due April 1, 2024	1,658,207	1,879,728
	Amgen Incorporated	Corporate Bond, bearing interest at 6.375%, $1,600,000 face value, due June 1, 2037	1,597,098	1,834,973
	Tennessee Valley Authority	Government Agency Obligation, bearing an interest at 5.375%, $1,700,000 face value, due April 1, 2056	1,771,383	1,744,236
	Pacific Gas & Electric Company	Corporate Bond, bearing interest at 6.05%, $1,500,000 face value, due March 1, 2034	1,627,665	1,718,243
	Ohio American Municipal Power	Municipal Bond, bearing an interest at 7.834%, $1,250,000 face value, due February 15, 2041	1,806,188	1,579,313
	Devon Energy Corporporation	Corporate Bond, bearing interest at 7.95%, $1,200,000 face value, due April 15, 2032	1,593,912	1,561,764
	State of Israel	Government Bond, bearing interest at 0%, $2,100,000 face value, due September 15, 2022	1,371,332	1,554,588
	Port Authority of New York & New Jersey	Municipal Bond, bearing an interest at 4.458%, $1,750,000 face value, due October 1, 2062	1,753,605	1,483,720
	New York Metropolitan Transportation Authority	Municipal Bond, bearing an interest at 6.668%, $1,250,000 face value, due November 15, 2039	1,655,888	1,474,075
	Federal National Mortgage Association	Government Agency Obligation, bearing interest at 5.625%, $1,300,000 face value, due April 17, 2028	1,414,335	1,470,404
	Bank of Korea	Corporate Bond, bearing interest at 1.75%, $1,500,000 face value, due February 27, 2018	1,495,080	1,459,593
	BP Capital Markets P.L.C. - United Kingdom	Corporate Bond, bearing interest at 3.245%, $1,400,000 face value, due May 6, 2022	1,400,000	1,356,967
	Resolution Funding Corporation	Government Agency Obligation, bearing interest at 0%, $1,500,000 face value, due October 15, 2019	995,070	1,302,473

* Represents a party-in-interest

** Represents investment comprising at least 5% of net assets available for benefits

PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: **86-0219794**
PN: **002**

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
	Kinder Morgan	Corporate Bond, bearing interest at 9%, $1,000,000 face value, due February 1, 2019	1,294,600	1,270,545
	Royal KPN NV - Netherlands	Corporate Bond, bearing interest at 8.375%, $1,000,000 face value, due October 1, 2030	1,353,965	1,267,753
	Orange NT Step Up - France	Corporate Bond, bearing interest at 8.75%, $900,000 face value, due March 1, 2031	1,092,554	1,242,607
	General Electric Capital Corporporation	Corporate Bond, bearing interest at 6.75%, $1,000,000 face value, due March 15, 2032	1,074,862	1,238,305
	Morgan Stanley	Corporate Bond, bearing interest at 7.30%, $1,000,000 face value, due May 13, 2019	1,152,648	1,214,408
	Los Angeles County Public Works Financing Authority	Municipal Bond, bearing an interest at 7.618%, $1,000,000 face value, due August 1, 2040	1,312,080	1,176,360
	Time Warner Entertainment	Corporate Bond, bearing interest at 8.375%, $1,000,000 face value, due March 15, 2023	1,277,930	1,150,180
	Royal Bank of Scotland	Corporate Bond, bearing interest at 7.648%, $1,100,000 face value, due August 29, 2049	1,043,175	1,149,500
	Discovery	Corporate Bond, bearing interest at 4.875%, $1,200,000 face value, due April 1, 2043	1,198,656	1,106,988
	Santa Clara Valley California Transporation Authority	Municipal Bond, bearing an interest at 5.876%, $1,000,000 face value, due April 1, 2032	1,231,180	1,092,710
	Resolution Funding Corporation	Government Agency Obligation, bearing an interest at 0%, $2,100,000 face value, due January 15, 2030	1,110,648	1,070,838
	Time Warner Companies	Corporate Bond, bearing interest at 6.95%, $900,000 face value, due January 15, 2028	1,020,186	1,061,851
	Thermo Fisher Scientific	Corporate Bond, bearing interest at 3.15%, $1,100,000 face value, due January 15, 2023	1,094,225	1,023,674
	Mission Health System	Corporate Bond, bearing interest at 4.424%, $1,200,000 face value, due December 1, 2039	1,180,740	978,056

* Represents a party-in-interest

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PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: **86-0219794**
PN: **002**

(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
	America Movil S.A.B De C.V - Mexico	Corporate Bond, bearing interest at 6.125%, $900,000 face value, due March 30, 2040	968,800	952,304
	Metlife Incorporated	Corporate Bond, bearing interest at 6.375%, $800,000 face value, due June 15, 2034	892,528	946,134
	Merrill Lynch & Company Incorporated	Corporate Bond, bearing interest at 6.875%, $800,000 face value, due April 25, 2018	883,080	945,894
	University of California Regents Medical Center	Municipal Bond, bearing an interest at 6.548%, $800,000 face value, due May 15, 2048	870,432	931,240
	State of Israel	Government Agency Obligation, bearing interest at 5.50%, $800,000 face value, due April 26, 2024	884,442	924,293
	Wells Fargo Bank	Corporate Bond, bearing interest at 5.95%, $800,000 face value, due August 26, 2036	769,576	909,946
	Citigroup Incorporated	Corporate Bond, bearing interest at 1.6936%, $900,000 face value, due January 13, 2014	906,525	900,330
	Westin Gas Partners	Corporate Bond, bearing interest at 2.60%, $900,000 face value, due August 15, 2018	898,911	888,929
	Home Depot Incorporated	Corporate Bond, bearing interest at 2.70%, $900,000 face value, due April 1, 2023	898,596	829,665
	California State - Build America	Municipal Bond, bearing an interest at 6.875%, $600,000 face value, due November 1, 2026	789,564	732,456
	Bear Stearns Securities Corporation	Collateralized Mortgage Obligation Asset-backed securities, bearing interest at 2.93%, $691,099.76 face value, due July 25, 2036	685,701	657,438
	Essex Portfolio Limited Partnership	Corporate Bond, bearing interest at 3.25%, $700,000 face value, due May 1, 2023	694,064	633,627
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 6.50% per year, $550,813.64 face value, due July 25, 2031	571,625	619,419
	United States Small Business Administration	Asset-backed securities, bearing interest at a stated rate of 5.17%, $538,557.21 face value, due January 1, 2028	583,493	583,779

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PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: **86-0219794**
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(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
	United States Treasury	Government bond, bearing interest at 0.75%, $700,000 face value, due February 15, 2042	634,684	581,676
	Transocean Incorporated - Switzerland	Corporate Bond, bearing interest at 6.50%, $500,000 face value, due November 15, 2020	567,459	570,970
	Verizon Communications	Corporate Bond, bearing interest at 5.15%, $500,000 face value, due September 15, 2023	498,380	536,846
	Fidelity National Financial	Corporate Bond, bearing interest at 5.50%, $500,000 face value, due September 1, 2022	497,565	518,582
	Altria Group Incorporated	Corporate Bond, bearing interest at 10.2%, $322,000 face falue, due February 6, 2039	432,563	502,389
*	Northern Trust	Collective Fund - Short Term Investment Fund	493,030	493,030
	Verizon	Corporate Bond, bearing interest at 6.90%, $400,000 face value, due April 15, 2038	415,690	476,026
	Continental Airlines Pass-Through Trust	Corpoate Bond, bearing interest at 4.75%, $446,047.48 face value, due July 12, 2022	445,490	473,925
	Dow Chemical Company	Corporate Bond, bearing interest at 3.00%, $500,000 face value, due November 15, 2022	488,550	466,320
	United States Treasury	United States Treasury Bond, bearing interest at 4.625%, $400,000 face value, due February 15, 2040	510,688	451,750
	Resolution Funding Corporation	Government Agency Obligation, bearing interest at 0.00%, $800,000 face value, due April 15, 2029	365,941	423,202
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 7.25% per year, $800,000 face value, due May 15, 2030	366,088	383,206
	Encana Corporation - Canada	Corporate Bond, bearing interest at 5.15%, $400,000 face value, due November 15, 2041	397,156	375,000
	America Movil S.A.B De C.V - Mexico	Corporate Bond, bearing interest at 3.125%, $400,000 face value, due July 16, 2022	397,960	369,259

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PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: **86-0219794**
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(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
	Southern California Edison	Corporate Bond, bearing interest at 6%, $300,000 face value, due January 15, 2034	309,744	353,067
	American International Group	Corporate Bond, bearing interest at 6.25%, $300,000 face value, due May 1, 2036	299,886	347,635
	AEP Texas Central Company	Corporate Bond, bearing interest at 6.65%, $300,000 face value, due February 15, 2033	322,845	346,506
	Corporacion Nacional Del Cobre De Chile	Corporate Bond, bearing interest at 6.15%, $300,000 face value, due October 24, 2036	317,226	316,493
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 4.00% per year, $290,028.86 face value, due February 25, 2019	258,398	305,342
	Codelco Incorporated - Chile	Corporate Bond, bearing interest at 5.625%, $300,000 face value, due September 21, 2035	296,598	296,640
	United States Treasury	United States Treasury Bond, bearing interest at 4.5%, $200,000 face value, due May 15, 2038	263,219	221,750
	TEVA - Israel	Corporate Bond, bearing interest at 6.15%, $200,000 face value, due February 1, 2036	199,150	219,067
	United States Government	Government Agency Obligation, bearing interest at 0.00%, $400,000 face value, due October 15, 2028	187,858	217,744
	Vodafone Group P.L.C. - United Kingdom	Corporate Bond, bearing interest at 6.150%, $200,000 face value, due February 27, 2037	229,786	216,753
	Federal Home Loan Mortgage Corporation	Mortgage-backed securities, bearing interest at a stated rate of 0.397%, $169,240.36 face value, due February 15, 2019	169,201	169,268
	Progress Energy	Corporate Bond, bearing interest at 7.75%, $100,000 face value, due March 1, 2031	119,771	128,776
	Gaz Capital S.A. - Russia	Corporate Bond, bearing interest at 8.625%, $100,000 face value, due April 28, 2034	130,275	118,000
	Morgan Stanley Global Medium	Corporate Bond bearing interest at 6.625%, $1,000,000 face value, due April 1, 2018	97,046	117,009

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PENSION PLAN FOR HOURLY-RATED EMPLOYEES OF BHP COPPER INC.
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2013

EIN: **86-0219794**
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(a)	(b) Identity of Issue, Borrower, Lessor or Similar Party	(c) Discription of Investment, Including Maturity Date Rate of Interest, Collateral Par or Maturity Value	(d) Cost	(e) Current Value
	State of Israel	Government Agency Obligation, bearing interest at 5.50%, $100,000 face value, due December 4, 2023	110,265	115,226
	United States Treasury	United States Treasury Bond, stripped, bearing interest at 0%, $200,000 face value, due November 15, 2028	120,522	114,723
	Vale Overseas Limited - Cayman Islands	Corporate Bond, bearing interest at 6.25%, $100,000 face value, due January 23, 2017	99,267	110,914
	PIMCO	Mutual Fund - Short Term Floating NAV Portfolio	106,810	106,807
	Vale Overseas Limited - Cayman Islands	Corporate Bond, bearing interest at 6.875%, $100,000 face value, due November 21, 2036	98,478	103,275
	United States Treasury	United States Treasury Bond, bearing interest at 2.5%, $100,000 face value, due August 15, 2023	98,492	96,031
	United States Treasury	United States Treasury Bond, bearing interest at 3%, $100,000 face value, due May 15, 2042	85,813	83,859
	Federal National Mortgage Association	Mortgage-backed securities, bearing interest at a stated rate of 6.00% per year, $52,250.11 face value, due October 1, 2037	54,569	57,901
	Federal Home Loan Mortgage Corporation	Mortgage-backed securities, bearing interest at a stated rate of 0.567% per year, $35,000.48 face value, due January 15, 2033	35,230	35,037
	Altria Group Incorporated	Corporate Bond, bearing interest at 9.25%, $23,000 face value, due August 6, 2019	27,771	30,298
U	Yum Brands, Inc	Corporate Bond, bearing interest at 6.875%, $26,000 face value, due November 15, 2037	25,890	29,604
			$ 239,830,125	$ 239,381,560

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5500 all schedules

01 JAN 13 - 31 DEC 13

Account number Multiple Accounts

Account Name BHP COPPER CASH ACCOUNT

◆5% Report - Part C

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
U.S. Government Securities									
United States - USD									
FNMA 6 DUE 04-18-2036 REG	4,200,000.00	6 Sep 13	109.51			0.00	4,599,564.14	4,599,564.14	0.00
FNMA 6 DUE 04-18-2036 REG	3,100,000.00	9 Sep 13	109.90			0.00	3,406,763.69	3,406,763.69	0.00
Total number of acquisitions = 2							**8,006,327.83**	**8,006,327.83**	**0.00**
FNMA 6 DUE 04-18-2036 REG	-4,200,000.00	6 Sep 13		109.56		0.00	4,455,054.85	4,601,625.00	146,570.15
FNMA 6 DUE 04-18-2036 REG	-3,100,000.00	9 Sep 13		110.13		0.00	3,338,580.47	3,413,875.00	75,294.53
Total number of dispositions = 2							**7,793,635.32**	**8,015,500.00**	**221,864.68**
Total FNMA 6 DUE 04-18-2036 REG							**15,799,963.15**	**16,021,827.83**	**221,864.68**
UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG	1,800,000.00	14 Feb 13	133.75			0.00	2,407,500.00	2,407,500.00	0.00
UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG	5,500,000.00	7 Mar 13	133.50			0.00	7,342,500.00	7,342,500.00	0.00
Total number of acquisitions = 2							**9,750,000.00**	**9,750,000.00**	**0.00**
UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG	-2,700,000.00	27 Mar 13		135.45		0.00	3,606,164.38	3,657,234.38	51,070.00
UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG	-4,000,000.00	5 Apr 13		138.72		0.00	5,342,465.76	5,548,750.00	206,284.24
UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG	-200,000.00	9 Apr 13		138.06		0.00	267,123.29	276,125.00	9,001.71
UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG	-400,000.00	23 Jul 13		126.12		0.00	534,246.57	504,490.96	-29,755.61
Total number of dispositions = 4							**9,750,000.00**	**9,986,600.34**	**236,600.34**
Total UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG							**19,500,000.00**	**19,736,600.34**	**236,600.34**

NOTE: TRANSACTIONS ARE BASED ON THE 2012-12-31 VALUE (INCLUDING ACCRUALS) OF 251,631,895.15

5500 all schedules

01 JAN 13 - 31 DEC 13

Account number Multiple Accounts

Account Name BHP COPPER CASH ACCOUNT

◆5% Report - Part C

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
U.S. Government Securities									
United States - USD									
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	2,400,000.00	27 Mar 13	139.22			0.00	3,341,343.75	3,341,343.75	0.00
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	900,000.00	4 Apr 13	140.67			0.00	1,266,025.50	1,266,025.50	0.00
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	1,264,000.00	6 Sep 13	124.43			0.00	1,572,742.97	1,572,742.97	0.00
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	1,264,000.00	9 Sep 13	124.27			0.00	1,570,755.55	1,570,755.55	0.00
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	264,000.00	12 Sep 13	124.66			0.00	329,092.50	329,092.50	0.00
Total number of acquisitions = 5							**8,079,960.27**	**8,079,960.27**	**0.00**
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	-2,000,000.00	28 May 13		136.00		0.00	2,792,345.00	2,720,000.00	-72,345.00
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	-1,264,000.00	6 Sep 13		124.47		0.00	1,670,100.53	1,573,285.00	-96,815.53
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	-1,264,000.00	9 Sep 13		124.47		0.00	1,621,125.47	1,573,285.00	-47,840.47
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	-300,000.00	11 Sep 13		123.50		0.00	384,760.79	370,511.72	-14,249.07
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	-264,000.00	12 Sep 13		124.50		0.00	336,605.95	328,671.37	-7,934.58
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031	-1,000,000.00	25 Sep 13		127.93		0.00	1,275,022.53	1,279,257.81	4,235.28
Total number of dispositions = 6							**8,079,960.27**	**7,845,010.90**	**-234,949.37**
Total UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031							**16,159,920.54**	**15,924,971.17**	**-234,949.37**
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	4,500,000.00	22 May 13	147.11			0.00	6,619,921.88	6,619,921.88	0.00
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	500,000.00	11 Jun 13	144.44			0.00	722,208.40	722,208.40	0.00

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01 JAN 13 - 31 DEC 13

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
U.S. Government Securities									
United States - USD									
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	200,000.00	18 Jun 13	144.28			0.00	288,556.63	288,556.63	0.00
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	4,500,000.00	6 Sep 13	135.26			0.00	6,086,724.35	6,086,724.35	0.00
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	4,500,000.00	9 Sep 13	135.07			0.00	6,078,151.17	6,078,151.17	0.00
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	300,000.00	20 Sep 13	136.82			0.00	410,469.59	410,469.59	0.00
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	300,000.00	26 Sep 13	138.34			0.00	415,031.25	415,031.25	0.00
Total number of acquisitions = 7							**20,621,063.27**	**20,621,063.27**	**0.00**
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	-500,000.00	11 Jun 13		144.56		0.00	734,213.03	722,812.50	-11,400.53
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	-200,000.00	18 Jun 13		144.66		0.00	293,466.97	289,312.50	-4,154.47
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	-4,500,000.00	6 Sep 13		135.31		0.00	6,344,865.63	6,089,062.50	-255,803.13
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	-4,500,000.00	9 Sep 13		135.31		0.00	6,211,508.40	6,089,062.50	-122,445.90
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	-300,000.00	20 Sep 13		137.22		0.00	413,873.62	411,656.25	-2,217.37
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	-4,500,000.00	25 Sep 13		138.64		0.00	6,208,104.37	6,238,828.13	30,723.76
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026	-300,000.00	26 Sep 13		137.99		0.00	415,031.25	413,976.16	-1,055.09
Total number of dispositions = 7							**20,621,063.27**	**20,254,710.54**	**-366,352.73**
Total UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026							**41,242,126.54**	**40,875,773.81**	**-366,352.73**

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◆5% Report - Part C

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
U.S. Government Securities									
United States - USD									
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	800,000.00	29 May 13	142.03			0.00	1,136,250.00	1,136,250.00	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	900,000.00	31 May 13	141.70			0.00	1,275,328.13	1,275,328.13	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	900,000.00	31 May 13	141.73			0.00	1,275,539.06	1,275,539.06	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	900,000.00	9 Aug 13	135.09			0.00	1,215,843.75	1,215,843.75	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	1,300,000.00	9 Aug 13	135.12			0.00	1,756,574.22	1,756,574.22	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	2,600,000.00	9 Aug 13	135.22			0.00	3,515,687.50	3,515,687.50	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	1,500,000.00	12 Aug 13	135.19			0.00	2,027,826.65	2,027,826.65	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	600,000.00	13 Aug 13	133.45			0.00	800,714.21	800,714.21	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	100,000.00	29 Aug 13	133.55			0.00	133,548.90	133,548.90	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	1,500,000.00	3 Sep 13	132.66			0.00	1,989,843.75	1,989,843.75	0.00
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	100,000.00	3 Sep 13	132.66			0.00	132,656.25	132,656.25	0.00
Total number of acquisitions = 11							**15,259,812.42**	**15,259,812.42**	**0.00**
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	-1,500,000.00	12 Aug 13		135.56		0.00	2,056,693.70	2,033,437.50	-23,256.20
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	-600,000.00	13 Aug 13		133.47		0.00	821,030.24	800,812.50	-20,217.74

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Account Name BHP COPPER CASH ACCOUNT

◆5% Report - Part C

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR		Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
U.S. Government Securities										
United States - USD										
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	-100,000.00		29 Aug 13		133.88		0.00	136,794.51	133,875.00	-2,919.51
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	-7,400,000.00		30 Aug 13		133.81		0.00	10,122,793.97	9,902,125.00	-220,668.97
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	-100,000.00		3 Sep 13		132.39		0.00	132,656.25	132,392.34	-263.91
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG	-1,500,000.00		3 Sep 13		132.64		0.00	1,989,843.75	1,989,595.22	-248.53
Total number of dispositions = 6								**15,259,812.42**	**14,992,237.56**	**-267,574.86**
Total UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG								**30,519,624.84**	**30,252,049.98**	**-267,574.86**
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	2,000,000.00		4 Sep 13	111.13			0.00	2,222,500.00	2,222,500.00	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	11,800,000.00	*	4 Sep 13	111.02			0.00	13,100,401.30	13,100,401.30	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	5,100,000.00		5 Sep 13	110.20			0.00	5,620,210.20	5,620,210.20	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	6,200,000.00		6 Sep 13	110.56			0.00	6,854,653.29	6,854,653.29	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	6,200,000.00		9 Sep 13	110.27			0.00	6,837,048.39	6,837,048.39	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	6,900,000.00		19 Sep 13	111.25			0.00	7,675,980.47	7,675,980.47	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	18,200,000.00	*	20 Sep 13	111.70			0.00	20,330,130.91	20,330,130.91	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	1,600,000.00		1 Oct 13	112.66			0.00	1,802,500.00	1,802,500.00	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2012-12-31 VALUE (INCLUDING ACCRUALS) OF 251,631,895.15

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Account number Multiple Accounts

Account Name BHP COPPER CASH ACCOUNT

◆5% Report - Part C

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR		Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
U.S. Government Securities										
United States - USD										
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	600,000.00		4 Oct 13	112.81			0.00	676,875.00	676,875.00	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	600,000.00		15 Oct 13	111.70			0.00	670,218.67	670,218.67	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	400,000.00		22 Oct 13	114.68			0.00	458,731.66	458,731.66	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	2,100,000.00		14 Nov 13	111.20			0.00	2,335,284.84	2,335,284.84	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	1,900,000.00		3 Dec 13	110.64			0.00	2,102,098.04	2,102,098.04	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	1,900,000.00		10 Dec 13	110.73			0.00	2,103,871.16	2,103,871.16	0.00
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	100,000.00		17 Dec 13	109.91			0.00	109,905.71	109,905.71	0.00
Total number of acquisitions = 15								**72,900,409.64**	**72,900,409.64**	**0.00**
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-5,100,000.00		5 Sep 13		110.28		0.00	5,651,315.80	5,624,343.75	-26,972.05
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-2,500,000.00		5 Sep 13		109.58		0.00	2,770,252.84	2,739,550.78	-30,702.06
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-6,200,000.00		6 Sep 13		110.59		0.00	6,864,709.49	6,856,812.50	-7,896.99
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-6,200,000.00		9 Sep 13		110.44		0.00	6,854,909.56	6,847,125.00	-7,784.56
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-18,200,000.00	*	20 Sep 13		111.97		0.00	20,249,868.44	20,378,312.50	128,444.06
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-1,600,000.00		30 Sep 13		113.55		0.00	1,780,208.21	1,816,812.50	36,604.29

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
U.S. Government Securities									
United States - USD									
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-1,600,000.00	1 Oct 13		112.48		0.00	1,782,167.93	1,799,669.60	17,501.67
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-600,000.00	3 Oct 13		113.41		0.00	668,312.97	680,437.50	12,124.53
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-600,000.00	4 Oct 13		112.67		0.00	668,622.45	676,025.89	7,403.44
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-600,000.00	15 Oct 13		111.78		0.00	668,680.14	670,687.50	2,007.36
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-400,000.00	22 Oct 13		115.03		0.00	446,102.49	460,125.00	14,022.51
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-3,900,000.00	13 Nov 13		110.81		0.00	4,349,499.27	4,321,687.50	-27,811.77
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-2,100,000.00	14 Nov 13		111.44		0.00	2,341,039.35	2,340,187.50	-851.85
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-1,900,000.00	3 Dec 13		110.72		0.00	2,115,913.81	2,103,656.25	-12,257.56
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-1,900,000.00	10 Dec 13		110.81		0.00	2,114,279.45	2,105,437.50	-8,841.95
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG	-100,000.00	17 Dec 13		110.22		0.00	111,266.62	110,218.75	-1,047.87
Total number of dispositions = 16							**59,437,148.82**	**59,531,090.02**	**93,941.20**
Total US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG							**132,337,558.46**	**132,431,499.66**	**93,941.20**
Value of Interest in Common/Collective Trusts									
United States - USD									

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	100,242.99	4 Jan 13	1.00			0.00	100,242.99	100,242.99	0.00
COLTV SHORT TERM INVT FD	3,532.93	7 Jan 13	1.00			0.00	3,532.93	3,532.93	0.00
COLTV SHORT TERM INVT FD	17,369.08	8 Jan 13	1.00			0.00	17,369.08	17,369.08	0.00
COLTV SHORT TERM INVT FD	2,970.73	11 Jan 13	1.00			0.00	2,970.73	2,970.73	0.00
COLTV SHORT TERM INVT FD	71,952.08	14 Jan 13	1.00			0.00	71,952.08	71,952.08	0.00
COLTV SHORT TERM INVT FD	34,312.67	16 Jan 13	1.00			0.00	34,312.67	34,312.67	0.00
COLTV SHORT TERM INVT FD	28.89	17 Jan 13	1.00			0.00	28.89	28.89	0.00
COLTV SHORT TERM INVT FD	3,135.83	23 Jan 13	1.00			0.00	3,135.83	3,135.83	0.00
COLTV SHORT TERM INVT FD	100,010.83	24 Jan 13	1.00			0.00	100,010.83	100,010.83	0.00
COLTV SHORT TERM INVT FD	89,925.32	25 Jan 13	1.00			0.00	89,925.32	89,925.32	0.00
COLTV SHORT TERM INVT FD	1,635.97	29 Jan 13	1.00			0.00	1,635.97	1,635.97	0.00
COLTV SHORT TERM INVT FD	3.61	30 Jan 13	1.00			0.00	3.61	3.61	0.00
COLTV SHORT TERM INVT FD	1,352,503.83	4 Feb 13	1.00			0.00	1,352,503.83	1,352,503.83	0.00
COLTV SHORT TERM INVT FD	52,953.72	6 Feb 13	1.00			0.00	52,953.72	52,953.72	0.00
COLTV SHORT TERM INVT FD	453,158.09	11 Feb 13	1.00			0.00	453,158.09	453,158.09	0.00

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Security Description / Asset ID	Shares/PAR		Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts										
United States - USD										
COLTV SHORT TERM INVT FD	2.44		13 Feb 13	1.00			0.00	2.44	2.44	0.00
COLTV SHORT TERM INVT FD	71,545.28		14 Feb 13	1.00			0.00	71,545.28	71,545.28	0.00
COLTV SHORT TERM INVT FD	6.33		19 Feb 13	1.00			0.00	6.33	6.33	0.00
COLTV SHORT TERM INVT FD	236.82		20 Feb 13	1.00			0.00	236.82	236.82	0.00
COLTV SHORT TERM INVT FD	14,501.50		21 Feb 13	1.00			0.00	14,501.50	14,501.50	0.00
COLTV SHORT TERM INVT FD	621,563.18		22 Feb 13	1.00			0.00	621,563.18	621,563.18	0.00
COLTV SHORT TERM INVT FD	23,804.44		26 Feb 13	1.00			0.00	23,804.44	23,804.44	0.00
COLTV SHORT TERM INVT FD	1,495,080.00		28 Feb 13	1.00			0.00	1,495,080.00	1,495,080.00	0.00
COLTV SHORT TERM INVT FD	414,448.15		1 Mar 13	1.00			0.00	414,448.15	414,448.15	0.00
COLTV SHORT TERM INVT FD	46,736.41		5 Mar 13	1.00			0.00	46,736.41	46,736.41	0.00
COLTV SHORT TERM INVT FD	12,590,534.71	*	6 Mar 13	1.00			0.00	12,590,534.71	12,590,534.71	0.00
COLTV SHORT TERM INVT FD	97,758.05		12 Mar 13	1.00			0.00	97,758.05	97,758.05	0.00
COLTV SHORT TERM INVT FD	73,902.95		15 Mar 13	1.00			0.00	73,902.95	73,902.95	0.00
COLTV SHORT TERM INVT FD	10.00		18 Mar 13	1.00			0.00	10.00	10.00	0.00
COLTV SHORT TERM INVT FD	129,376.23		19 Mar 13	1.00			0.00	129,376.23	129,376.23	0.00

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	44,295.11	25 Mar 13	1.00			0.00	44,295.11	44,295.11	0.00
COLTV SHORT TERM INVT FD	660.75	26 Mar 13	1.00			0.00	660.75	660.75	0.00
COLTV SHORT TERM INVT FD	95,423.55	28 Mar 13	1.00			0.00	95,423.55	95,423.55	0.00
COLTV SHORT TERM INVT FD	131,477.82	1 Apr 13	1.00			0.00	131,477.82	131,477.82	0.00
COLTV SHORT TERM INVT FD	100,169.47	3 Apr 13	1.00			0.00	100,169.47	100,169.47	0.00
COLTV SHORT TERM INVT FD	162,023.48	4 Apr 13	1.00			0.00	162,023.48	162,023.48	0.00
COLTV SHORT TERM INVT FD	66,805.97	8 Apr 13	1.00			0.00	66,805.97	66,805.97	0.00
COLTV SHORT TERM INVT FD	10,325.72	9 Apr 13	1.00			0.00	10,325.72	10,325.72	0.00
COLTV SHORT TERM INVT FD	613.50	10 Apr 13	1.00			0.00	613.50	613.50	0.00
COLTV SHORT TERM INVT FD	1,078,534.59	11 Apr 13	1.00			0.00	1,078,534.59	1,078,534.59	0.00
COLTV SHORT TERM INVT FD	39,212.99	15 Apr 13	1.00			0.00	39,212.99	39,212.99	0.00
COLTV SHORT TERM INVT FD	69,559.88	17 Apr 13	1.00			0.00	69,559.88	69,559.88	0.00
COLTV SHORT TERM INVT FD	100,036.25	22 Apr 13	1.00			0.00	100,036.25	100,036.25	0.00
COLTV SHORT TERM INVT FD	9,465.00	24 Apr 13	1.00			0.00	9,465.00	9,465.00	0.00
COLTV SHORT TERM INVT FD	142,498.28	25 Apr 13	1.00			0.00	142,498.28	142,498.28	0.00

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	100,637.95	3 May 13	1.00			0.00	100,637.95	100,637.95	0.00
COLTV SHORT TERM INVT FD	19,230.56	7 May 13	1.00			0.00	19,230.56	19,230.56	0.00
COLTV SHORT TERM INVT FD	3,285.14	9 May 13	1.00			0.00	3,285.14	3,285.14	0.00
COLTV SHORT TERM INVT FD	80,761.67	13 May 13	1.00			0.00	80,761.67	80,761.67	0.00
COLTV SHORT TERM INVT FD	16,267.88	15 May 13	1.00			0.00	16,267.88	16,267.88	0.00
COLTV SHORT TERM INVT FD	6,148,911.25	21 May 13	1.00			0.00	6,148,911.25	6,148,911.25	0.00
COLTV SHORT TERM INVT FD	25,412.62	22 May 13	1.00			0.00	25,412.62	25,412.62	0.00
COLTV SHORT TERM INVT FD	29,968.75	24 May 13	1.00			0.00	29,968.75	29,968.75	0.00
COLTV SHORT TERM INVT FD	45,606.85	28 May 13	1.00			0.00	45,606.85	45,606.85	0.00
COLTV SHORT TERM INVT FD	588,202.18	29 May 13	1.00			0.00	588,202.18	588,202.18	0.00
COLTV SHORT TERM INVT FD	9,789.50	30 May 13	1.00			0.00	9,789.50	9,789.50	0.00
COLTV SHORT TERM INVT FD	256.67	31 May 13	1.00			0.00	256.67	256.67	0.00
COLTV SHORT TERM INVT FD	802,689.48	3 Jun 13	1.00			0.00	802,689.48	802,689.48	0.00
COLTV SHORT TERM INVT FD	705,498.11	4 Jun 13	1.00			0.00	705,498.11	705,498.11	0.00
COLTV SHORT TERM INVT FD	199.08	4 Jun 13	1.00			0.00	199.08	199.08	0.00

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	294.32	5 Jun 13	1.00			0.00	294.32	294.32	0.00
COLTV SHORT TERM INVT FD	905,008.64	6 Jun 13	1.00			0.00	905,008.64	905,008.64	0.00
COLTV SHORT TERM INVT FD	123,490.08	12 Jun 13	1.00			0.00	123,490.08	123,490.08	0.00
COLTV SHORT TERM INVT FD	6,781.25	14 Jun 13	1.00			0.00	6,781.25	6,781.25	0.00
COLTV SHORT TERM INVT FD	206,055.62	17 Jun 13	1.00			0.00	206,055.62	206,055.62	0.00
COLTV SHORT TERM INVT FD	483,341.39	20 Jun 13	1.00			0.00	483,341.39	483,341.39	0.00
COLTV SHORT TERM INVT FD	1,019.76	25 Jun 13	1.00			0.00	1,019.76	1,019.76	0.00
COLTV SHORT TERM INVT FD	5,687.50	27 Jun 13	1.00			0.00	5,687.50	5,687.50	0.00
COLTV SHORT TERM INVT FD	4,375.00	28 Jun 13	1.00			0.00	4,375.00	4,375.00	0.00
COLTV SHORT TERM INVT FD	603,561.13	1 Jul 13	1.00			0.00	603,561.13	603,561.13	0.00
COLTV SHORT TERM INVT FD	1,466.85	3 Jul 13	1.00			0.00	1,466.85	1,466.85	0.00
COLTV SHORT TERM INVT FD	33.77	3 Jul 13	1.00			0.00	33.77	33.77	0.00
COLTV SHORT TERM INVT FD	486,206.25	5 Jul 13	1.00			0.00	486,206.25	486,206.25	0.00
COLTV SHORT TERM INVT FD	545.83	5 Jul 13	1.00			0.00	545.83	545.83	0.00
COLTV SHORT TERM INVT FD	1,796.11	8 Jul 13	1.00			0.00	1,796.11	1,796.11	0.00

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	26,562.42	9 Jul 13	1.00			0.00	26,562.42	26,562.42	0.00
COLTV SHORT TERM INVT FD	47,618.76	10 Jul 13	1.00			0.00	47,618.76	47,618.76	0.00
COLTV SHORT TERM INVT FD	35,917.17	12 Jul 13	1.00			0.00	35,917.17	35,917.17	0.00
COLTV SHORT TERM INVT FD	184,604.76	15 Jul 13	1.00			0.00	184,604.76	184,604.76	0.00
COLTV SHORT TERM INVT FD	10,843.75	16 Jul 13	1.00			0.00	10,843.75	10,843.75	0.00
COLTV SHORT TERM INVT FD	217,802.08	17 Jul 13	1.00			0.00	217,802.08	217,802.08	0.00
COLTV SHORT TERM INVT FD	6,782.08	22 Jul 13	1.00			0.00	6,782.08	6,782.08	0.00
COLTV SHORT TERM INVT FD	3,291.22	23 Jul 13	1.00			0.00	3,291.22	3,291.22	0.00
COLTV SHORT TERM INVT FD	11,496.14	24 Jul 13	1.00			0.00	11,496.14	11,496.14	0.00
COLTV SHORT TERM INVT FD	53,066.32	25 Jul 13	1.00			0.00	53,066.32	53,066.32	0.00
COLTV SHORT TERM INVT FD	15,656.25	26 Jul 13	1.00			0.00	15,656.25	15,656.25	0.00
COLTV SHORT TERM INVT FD	1,332,265.87	1 Aug 13	1.00			0.00	1,332,265.87	1,332,265.87	0.00
COLTV SHORT TERM INVT FD	1,140,000.00	2 Aug 13	1.00			0.00	1,140,000.00	1,140,000.00	0.00
COLTV SHORT TERM INVT FD	16,076.75	5 Aug 13	1.00			0.00	16,076.75	16,076.75	0.00
COLTV SHORT TERM INVT FD	3,935.15	5 Aug 13	1.00			0.00	3,935.15	3,935.15	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2012-12-31 VALUE (INCLUDING ACCRUALS) OF 251,631,895.15

5500 all schedules

01 JAN 13 - 31 DEC 13

Account number Multiple Accounts

Account Name BHP COPPER CASH ACCOUNT

◆5% Report - Part C

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	1,044.08	7 Aug 13	1.00			0.00	1,044.08	1,044.08	0.00
COLTV SHORT TERM INVT FD	5,625.00	8 Aug 13	1.00			0.00	5,625.00	5,625.00	0.00
COLTV SHORT TERM INVT FD	19,375.00	9 Aug 13	1.00			0.00	19,375.00	19,375.00	0.00
COLTV SHORT TERM INVT FD	6,956.90	12 Aug 13	1.00			0.00	6,956.90	6,956.90	0.00
COLTV SHORT TERM INVT FD	507.83	14 Aug 13	1.00			0.00	507.83	507.83	0.00
COLTV SHORT TERM INVT FD	24,498.75	21 Aug 13	1.00			0.00	24,498.75	24,498.75	0.00
COLTV SHORT TERM INVT FD	120,596.77	26 Aug 13	1.00			0.00	120,596.77	120,596.77	0.00
COLTV SHORT TERM INVT FD	21,743.75	27 Aug 13	1.00			0.00	21,743.75	21,743.75	0.00
COLTV SHORT TERM INVT FD	10,531.25	28 Aug 13	1.00			0.00	10,531.25	10,531.25	0.00
COLTV SHORT TERM INVT FD	140,780.91	30 Aug 13	1.00			0.00	140,780.91	140,780.91	0.00
COLTV SHORT TERM INVT FD	2,095.58	30 Aug 13	1.00			0.00	2,095.58	2,095.58	0.00
COLTV SHORT TERM INVT FD	475,075.57	3 Sep 13	1.00			0.00	475,075.57	475,075.57	0.00
COLTV SHORT TERM INVT FD	126,893.27	5 Sep 13	1.00			0.00	126,893.27	126,893.27	0.00
COLTV SHORT TERM INVT FD	745.87	5 Sep 13	1.00			0.00	745.87	745.87	0.00
COLTV SHORT TERM INVT FD	771,409.41	6 Sep 13	1.00			0.00	771,409.41	771,409.41	0.00

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5500 all schedules

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Account Name BHP COPPER CASH ACCOUNT

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR		Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts										
United States - USD										
COLTV SHORT TERM INVT FD	570.28		10 Sep 13	1.00			0.00	570.28	570.28	0.00
COLTV SHORT TERM INVT FD	397,614.17		13 Sep 13	1.00			0.00	397,614.17	397,614.17	0.00
COLTV SHORT TERM INVT FD	3,570,062.53		16 Sep 13	1.00			0.00	3,570,062.53	3,570,062.53	0.00
COLTV SHORT TERM INVT FD	8,407.73		18 Sep 13	1.00			0.00	8,407.73	8,407.73	0.00
COLTV SHORT TERM INVT FD	2,685.35		19 Sep 13	1.00			0.00	2,685.35	2,685.35	0.00
COLTV SHORT TERM INVT FD	17,867.32		20 Sep 13	1.00			0.00	17,867.32	17,867.32	0.00
COLTV SHORT TERM INVT FD	20,709,493.21	*	24 Sep 13	1.00			0.00	20,709,493.21	20,709,493.21	0.00
COLTV SHORT TERM INVT FD	114,221.81		26 Sep 13	1.00			0.00	114,221.81	114,221.81	0.00
COLTV SHORT TERM INVT FD	1,242,052.36		1 Oct 13	1.00			0.00	1,242,052.36	1,242,052.36	0.00
COLTV SHORT TERM INVT FD	1,144,167.99		2 Oct 13	1.00			0.00	1,144,167.99	1,144,167.99	0.00
COLTV SHORT TERM INVT FD	117,549.68		3 Oct 13	1.00			0.00	117,549.68	117,549.68	0.00
COLTV SHORT TERM INVT FD	12.59		3 Oct 13	1.00			0.00	12.59	12.59	0.00
COLTV SHORT TERM INVT FD	137.78		4 Oct 13	1.00			0.00	137.78	137.78	0.00
COLTV SHORT TERM INVT FD	247.53		7 Oct 13	1.00			0.00	247.53	247.53	0.00
COLTV SHORT TERM INVT FD	2,500.00		8 Oct 13	1.00			0.00	2,500.00	2,500.00	0.00

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5500 all schedules

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Account Name BHP COPPER CASH ACCOUNT

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	437.50	9 Oct 13	1.00			0.00	437.50	437.50	0.00
COLTV SHORT TERM INVT FD	70,632.53	15 Oct 13	1.00			0.00	70,632.53	70,632.53	0.00
COLTV SHORT TERM INVT FD	43,125.00	17 Oct 13	1.00			0.00	43,125.00	43,125.00	0.00
COLTV SHORT TERM INVT FD	36,312.50	18 Oct 13	1.00			0.00	36,312.50	36,312.50	0.00
COLTV SHORT TERM INVT FD	21,119.68	25 Oct 13	1.00			0.00	21,119.68	21,119.68	0.00
COLTV SHORT TERM INVT FD	29,031.25	28 Oct 13	1.00			0.00	29,031.25	29,031.25	0.00
COLTV SHORT TERM INVT FD	468.75	30 Oct 13	1.00			0.00	468.75	468.75	0.00
COLTV SHORT TERM INVT FD	39,045.62	1 Nov 13	1.00			0.00	39,045.62	39,045.62	0.00
COLTV SHORT TERM INVT FD	2,171.88	5 Nov 13	1.00			0.00	2,171.88	2,171.88	0.00
COLTV SHORT TERM INVT FD	15,715.00	6 Nov 13	1.00			0.00	15,715.00	15,715.00	0.00
COLTV SHORT TERM INVT FD	1,490.43	6 Nov 13	1.00			0.00	1,490.43	1,490.43	0.00
COLTV SHORT TERM INVT FD	3,250.00	7 Nov 13	1.00			0.00	3,250.00	3,250.00	0.00
COLTV SHORT TERM INVT FD	854.94	7 Nov 13	1.00			0.00	854.94	854.94	0.00
COLTV SHORT TERM INVT FD	5,031.25	8 Nov 13	1.00			0.00	5,031.25	5,031.25	0.00
COLTV SHORT TERM INVT FD	35,968.75	13 Nov 13	1.00			0.00	35,968.75	35,968.75	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2012-12-31 VALUE (INCLUDING ACCRUALS) OF 251,631,895.15

5500 all schedules

01 JAN 13 - 31 DEC 13

Account number Multiple Accounts

Account Name BHP COPPER CASH ACCOUNT

◆5% Report - Part C

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	454,080.68	14 Nov 13	1.00			0.00	454,080.68	454,080.68	0.00
COLTV SHORT TERM INVT FD	16.68	14 Nov 13	1.00			0.00	16.68	16.68	0.00
COLTV SHORT TERM INVT FD	83,642.72	20 Nov 13	1.00			0.00	83,642.72	83,642.72	0.00
COLTV SHORT TERM INVT FD	4,036.23	21 Nov 13	1.00			0.00	4,036.23	4,036.23	0.00
COLTV SHORT TERM INVT FD	147,649.08	25 Nov 13	1.00			0.00	147,649.08	147,649.08	0.00
COLTV SHORT TERM INVT FD	1,098,486.78	26 Nov 13	1.00			0.00	1,098,486.78	1,098,486.78	0.00
COLTV SHORT TERM INVT FD	1,523.85	26 Nov 13	1.00			0.00	1,523.85	1,523.85	0.00
COLTV SHORT TERM INVT FD	908.16	4 Dec 13	1.00			0.00	908.16	908.16	0.00
COLTV SHORT TERM INVT FD	653.63	6 Dec 13	1.00			0.00	653.63	653.63	0.00
COLTV SHORT TERM INVT FD	9,193.75	10 Dec 13	1.00			0.00	9,193.75	9,193.75	0.00
COLTV SHORT TERM INVT FD	24,189.46	11 Dec 13	1.00			0.00	24,189.46	24,189.46	0.00
COLTV SHORT TERM INVT FD	1,734,715.40	13 Dec 13	1.00			0.00	1,734,715.40	1,734,715.40	0.00
COLTV SHORT TERM INVT FD	9,143.75	17 Dec 13	1.00			0.00	9,143.75	9,143.75	0.00
COLTV SHORT TERM INVT FD	21,499.98	18 Dec 13	1.00			0.00	21,499.98	21,499.98	0.00
COLTV SHORT TERM INVT FD	3,781.25	19 Dec 13	1.00			0.00	3,781.25	3,781.25	0.00

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	726.33	24 Dec 13	1.00			0.00	726.33	726.33	0.00
COLTV SHORT TERM INVT FD	6,406.25	30 Dec 13	1.00			0.00	6,406.25	6,406.25	0.00
COLTV SHORT TERM INVT FD	2,279.03	31 Dec 13	1.00			0.00	2,279.03	2,279.03	0.00
Total number of acquisitions = 153							**67,389,703.69**	**67,389,703.69**	**0.00**
COLTV SHORT TERM INVT FD	-100,238.57	2 Jan 13		1.00		0.00	100,238.57	100,238.57	0.00
COLTV SHORT TERM INVT FD	-17,777.70	3 Jan 13		1.00		0.00	17,777.70	17,777.70	0.00
COLTV SHORT TERM INVT FD	-2,369.11	9 Jan 13		1.00		0.00	2,369.11	2,369.11	0.00
COLTV SHORT TERM INVT FD	-51,851.89	10 Jan 13		1.00		0.00	51,851.89	51,851.89	0.00
COLTV SHORT TERM INVT FD	-29,906.87	15 Jan 13		1.00		0.00	29,906.87	29,906.87	0.00
COLTV SHORT TERM INVT FD	-4,130.09	18 Jan 13		1.00		0.00	4,130.09	4,130.09	0.00
COLTV SHORT TERM INVT FD	-157,785.72	22 Jan 13		1.00		0.00	157,785.72	157,785.72	0.00
COLTV SHORT TERM INVT FD	-82,727.17	28 Jan 13		1.00		0.00	82,727.17	82,727.17	0.00
COLTV SHORT TERM INVT FD	-26,774.92	31 Jan 13		1.00		0.00	26,774.92	26,774.92	0.00
COLTV SHORT TERM INVT FD	-59,255.28	1 Feb 13		1.00		0.00	59,255.28	59,255.28	0.00
COLTV SHORT TERM INVT FD	-1,785,736.98	5 Feb 13		1.00		0.00	1,785,736.98	1,785,736.98	0.00

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5500 all schedules

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR		Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts										
United States - USD										
COLTV SHORT TERM INVT FD	-176.27		7 Feb 13		1.00		0.00	176.27	176.27	0.00
COLTV SHORT TERM INVT FD	-113.95		8 Feb 13		1.00		0.00	113.95	113.95	0.00
COLTV SHORT TERM INVT FD	-213.36		12 Feb 13		1.00		0.00	213.36	213.36	0.00
COLTV SHORT TERM INVT FD	-25,346.50		15 Feb 13		1.00		0.00	25,346.50	25,346.50	0.00
COLTV SHORT TERM INVT FD	-651,502.77		25 Feb 13		1.00		0.00	651,502.77	651,502.77	0.00
COLTV SHORT TERM INVT FD	-381,669.33		27 Feb 13		1.00		0.00	381,669.33	381,669.33	0.00
COLTV SHORT TERM INVT FD	-100,000.00		4 Mar 13		1.00		0.00	100,000.00	100,000.00	0.00
COLTV SHORT TERM INVT FD	-18,603.01		7 Mar 13		1.00		0.00	18,603.01	18,603.01	0.00
COLTV SHORT TERM INVT FD	-12,632,629.67	*	8 Mar 13		1.00		0.00	12,632,629.67	12,632,629.67	0.00
COLTV SHORT TERM INVT FD	-1,645.70		11 Mar 13		1.00		0.00	1,645.70	1,645.70	0.00
COLTV SHORT TERM INVT FD	-1,577,518.41		13 Mar 13		1.00		0.00	1,577,518.41	1,577,518.41	0.00
COLTV SHORT TERM INVT FD	-33,148.61		14 Mar 13		1.00		0.00	33,148.61	33,148.61	0.00
COLTV SHORT TERM INVT FD	-87,595.21		20 Mar 13		1.00		0.00	87,595.21	87,595.21	0.00
COLTV SHORT TERM INVT FD	-114,114.38		21 Mar 13		1.00		0.00	114,114.38	114,114.38	0.00
COLTV SHORT TERM INVT FD	-1,098.28		22 Mar 13		1.00		0.00	1,098.28	1,098.28	0.00

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Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-26,134.28	29 Mar 13		1.00		0.00	26,134.28	26,134.28	0.00
COLTV SHORT TERM INVT FD	-299,090.55	2 Apr 13		1.00		0.00	299,090.55	299,090.55	0.00
COLTV SHORT TERM INVT FD	-182,112.40	5 Apr 13		1.00		0.00	182,112.40	182,112.40	0.00
COLTV SHORT TERM INVT FD	-1,099,983.89	12 Apr 13		1.00		0.00	1,099,983.89	1,099,983.89	0.00
COLTV SHORT TERM INVT FD	-99,981.58	16 Apr 13		1.00		0.00	99,981.58	99,981.58	0.00
COLTV SHORT TERM INVT FD	-44,101.71	18 Apr 13		1.00		0.00	44,101.71	44,101.71	0.00
COLTV SHORT TERM INVT FD	-28,081.32	19 Apr 13		1.00		0.00	28,081.32	28,081.32	0.00
COLTV SHORT TERM INVT FD	-99,989.11	23 Apr 13		1.00		0.00	99,989.11	99,989.11	0.00
COLTV SHORT TERM INVT FD	-79,741.14	26 Apr 13		1.00		0.00	79,741.14	79,741.14	0.00
COLTV SHORT TERM INVT FD	-39,682.82	29 Apr 13		1.00		0.00	39,682.82	39,682.82	0.00
COLTV SHORT TERM INVT FD	-1,961.00	30 Apr 13		1.00		0.00	1,961.00	1,961.00	0.00
COLTV SHORT TERM INVT FD	-11,795.60	1 May 13		1.00		0.00	11,795.60	11,795.60	0.00
COLTV SHORT TERM INVT FD	-23,931.59	2 May 13		1.00		0.00	23,931.59	23,931.59	0.00
COLTV SHORT TERM INVT FD	-113,285.91	6 May 13		1.00		0.00	113,285.91	113,285.91	0.00
COLTV SHORT TERM INVT FD	-3,470.45	8 May 13		1.00		0.00	3,470.45	3,470.45	0.00

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Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-1,527.36	10 May 13		1.00		0.00	1,527.36	1,527.36	0.00
COLTV SHORT TERM INVT FD	-2,620.56	14 May 13		1.00		0.00	2,620.56	2,620.56	0.00
COLTV SHORT TERM INVT FD	-48,117.75	16 May 13		1.00		0.00	48,117.75	48,117.75	0.00
COLTV SHORT TERM INVT FD	-26,184.45	17 May 13		1.00		0.00	26,184.45	26,184.45	0.00
COLTV SHORT TERM INVT FD	-10,271.25	20 May 13		1.00		0.00	10,271.25	10,271.25	0.00
COLTV SHORT TERM INVT FD	-7,804.04	22 May 13		1.00		0.00	7,804.04	7,804.04	0.00
COLTV SHORT TERM INVT FD	-6,626,530.58	23 May 13		1.00		0.00	6,626,530.58	6,626,530.58	0.00
COLTV SHORT TERM INVT FD	-100,675.00	31 May 13		1.00		0.00	100,675.00	100,675.00	0.00
COLTV SHORT TERM INVT FD	-954,364.57	3 Jun 13		1.00		0.00	954,364.57	954,364.57	0.00
COLTV SHORT TERM INVT FD	-895,265.44	5 Jun 13		1.00		0.00	895,265.44	895,265.44	0.00
COLTV SHORT TERM INVT FD	-294.00	7 Jun 13		1.00		0.00	294.00	294.00	0.00
COLTV SHORT TERM INVT FD	-129,063.50	7 Jun 13		1.00		0.00	129,063.50	129,063.50	0.00
COLTV SHORT TERM INVT FD	-9,625.00	10 Jun 13		1.00		0.00	9,625.00	9,625.00	0.00
COLTV SHORT TERM INVT FD	-1,737.60	11 Jun 13		1.00		0.00	1,737.60	1,737.60	0.00
COLTV SHORT TERM INVT FD	-6,125.00	11 Jun 13		1.00		0.00	6,125.00	6,125.00	0.00

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-25,412.62	12 Jun 13		1.00		0.00	25,412.62	25,412.62	0.00
COLTV SHORT TERM INVT FD	-3,937.50	13 Jun 13		1.00		0.00	3,937.50	3,937.50	0.00
COLTV SHORT TERM INVT FD	-31,594.61	18 Jun 13		1.00		0.00	31,594.61	31,594.61	0.00
COLTV SHORT TERM INVT FD	-5,687.50	18 Jun 13		1.00		0.00	5,687.50	5,687.50	0.00
COLTV SHORT TERM INVT FD	-434,531.77	19 Jun 13		1.00		0.00	434,531.77	434,531.77	0.00
COLTV SHORT TERM INVT FD	-16,076.42	21 Jun 13		1.00		0.00	16,076.42	16,076.42	0.00
COLTV SHORT TERM INVT FD	-7,656.25	24 Jun 13		1.00		0.00	7,656.25	7,656.25	0.00
COLTV SHORT TERM INVT FD	-12,418.37	25 Jun 13		1.00		0.00	12,418.37	12,418.37	0.00
COLTV SHORT TERM INVT FD	-1,425.76	26 Jun 13		1.00		0.00	1,425.76	1,425.76	0.00
COLTV SHORT TERM INVT FD	-4,593.75	26 Jun 13		1.00		0.00	4,593.75	4,593.75	0.00
COLTV SHORT TERM INVT FD	-60,646.76	1 Jul 13		1.00		0.00	60,646.76	60,646.76	0.00
COLTV SHORT TERM INVT FD	-1,140,656.25	2 Jul 13		1.00		0.00	1,140,656.25	1,140,656.25	0.00
COLTV SHORT TERM INVT FD	-8,335.66	8 Jul 13		1.00		0.00	8,335.66	8,335.66	0.00
COLTV SHORT TERM INVT FD	-1,796.11	9 Jul 13		1.00		0.00	1,796.11	1,796.11	0.00
COLTV SHORT TERM INVT FD	-203.00	10 Jul 13		1.00		0.00	203.00	203.00	0.00

NOTE: TRANSACTIONS ARE BASED ON THE 2012-12-31 VALUE (INCLUDING ACCRUALS) OF 251,631,895.15

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-493,996.30	11 Jul 13		1.00		0.00	493,996.30	493,996.30	0.00
COLTV SHORT TERM INVT FD	-29,964.75	18 Jul 13		1.00		0.00	29,964.75	29,964.75	0.00
COLTV SHORT TERM INVT FD	-20,838.74	18 Jul 13		1.00		0.00	20,838.74	20,838.74	0.00
COLTV SHORT TERM INVT FD	-4,999.32	19 Jul 13		1.00		0.00	4,999.32	4,999.32	0.00
COLTV SHORT TERM INVT FD	-92,640.36	29 Jul 13		1.00		0.00	92,640.36	92,640.36	0.00
COLTV SHORT TERM INVT FD	-4,312.50	30 Jul 13		1.00		0.00	4,312.50	4,312.50	0.00
COLTV SHORT TERM INVT FD	-2,000.00	31 Jul 13		1.00		0.00	2,000.00	2,000.00	0.00
COLTV SHORT TERM INVT FD	-1,204,750.49	1 Aug 13		1.00		0.00	1,204,750.49	1,204,750.49	0.00
COLTV SHORT TERM INVT FD	-1,257,457.71	2 Aug 13		1.00		0.00	1,257,457.71	1,257,457.71	0.00
COLTV SHORT TERM INVT FD	-52,022.95	6 Aug 13		1.00		0.00	52,022.95	52,022.95	0.00
COLTV SHORT TERM INVT FD	-468.75	7 Aug 13		1.00		0.00	468.75	468.75	0.00
COLTV SHORT TERM INVT FD	-771.69	8 Aug 13		1.00		0.00	771.69	771.69	0.00
COLTV SHORT TERM INVT FD	-102,568.95	13 Aug 13		1.00		0.00	102,568.95	102,568.95	0.00
COLTV SHORT TERM INVT FD	-102,760.86	14 Aug 13		1.00		0.00	102,760.86	102,760.86	0.00
COLTV SHORT TERM INVT FD	-268,839.15	15 Aug 13		1.00		0.00	268,839.15	268,839.15	0.00

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Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-6,062.50	16 Aug 13		1.00		0.00	6,062.50	6,062.50	0.00
COLTV SHORT TERM INVT FD	-507.83	19 Aug 13		1.00		0.00	507.83	507.83	0.00
COLTV SHORT TERM INVT FD	-8,968.75	19 Aug 13		1.00		0.00	8,968.75	8,968.75	0.00
COLTV SHORT TERM INVT FD	-12,905.60	20 Aug 13		1.00		0.00	12,905.60	12,905.60	0.00
COLTV SHORT TERM INVT FD	-5,968.75	20 Aug 13		1.00		0.00	5,968.75	5,968.75	0.00
COLTV SHORT TERM INVT FD	-5,593.75	22 Aug 13		1.00		0.00	5,593.75	5,593.75	0.00
COLTV SHORT TERM INVT FD	-34,713.63	23 Aug 13		1.00		0.00	34,713.63	34,713.63	0.00
COLTV SHORT TERM INVT FD	-272.39	27 Aug 13		1.00		0.00	272.39	272.39	0.00
COLTV SHORT TERM INVT FD	-137,791.55	29 Aug 13		1.00		0.00	137,791.55	137,791.55	0.00
COLTV SHORT TERM INVT FD	-74,045.80	3 Sep 13		1.00		0.00	74,045.80	74,045.80	0.00
COLTV SHORT TERM INVT FD	-150,293.81	4 Sep 13		1.00		0.00	150,293.81	150,293.81	0.00
COLTV SHORT TERM INVT FD	-436.76	6 Sep 13		1.00		0.00	436.76	436.76	0.00
COLTV SHORT TERM INVT FD	-139.37	9 Sep 13		1.00		0.00	139.37	139.37	0.00
COLTV SHORT TERM INVT FD	-278,532.71	9 Sep 13		1.00		0.00	278,532.71	278,532.71	0.00
COLTV SHORT TERM INVT FD	-582,701.14	10 Sep 13		1.00		0.00	582,701.14	582,701.14	0.00

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Security Description / Asset ID	Shares/PAR		Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts										
United States - USD										
COLTV SHORT TERM INVT FD	-10,158.47		11 Sep 13		1.00		0.00	10,158.47	10,158.47	0.00
COLTV SHORT TERM INVT FD	-363,699.11		12 Sep 13		1.00		0.00	363,699.11	363,699.11	0.00
COLTV SHORT TERM INVT FD	-54,637.59		17 Sep 13		1.00		0.00	54,637.59	54,637.59	0.00
COLTV SHORT TERM INVT FD	-3,620,252.04		17 Sep 13		1.00		0.00	3,620,252.04	3,620,252.04	0.00
COLTV SHORT TERM INVT FD	-20,698,930.45	*	23 Sep 13		1.00		0.00	20,698,930.45	20,698,930.45	0.00
COLTV SHORT TERM INVT FD	-54,161.27		25 Sep 13		1.00		0.00	54,161.27	54,161.27	0.00
COLTV SHORT TERM INVT FD	-103,906.25		27 Sep 13		1.00		0.00	103,906.25	103,906.25	0.00
COLTV SHORT TERM INVT FD	-5,070.55		30 Sep 13		1.00		0.00	5,070.55	5,070.55	0.00
COLTV SHORT TERM INVT FD	-49,242.84		30 Sep 13		1.00		0.00	49,242.84	49,242.84	0.00
COLTV SHORT TERM INVT FD	-1,214,610.90		1 Oct 13		1.00		0.00	1,214,610.90	1,214,610.90	0.00
COLTV SHORT TERM INVT FD	-1,154,565.00		2 Oct 13		1.00		0.00	1,154,565.00	1,154,565.00	0.00
COLTV SHORT TERM INVT FD	-94,968.75		4 Oct 13		1.00		0.00	94,968.75	94,968.75	0.00
COLTV SHORT TERM INVT FD	-104,406.25		7 Oct 13		1.00		0.00	104,406.25	104,406.25	0.00
COLTV SHORT TERM INVT FD	-385.31		8 Oct 13		1.00		0.00	385.31	385.31	0.00
COLTV SHORT TERM INVT FD	-1,350.12		10 Oct 13		1.00		0.00	1,350.12	1,350.12	0.00

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-3,281.25	11 Oct 13		1.00		0.00	3,281.25	3,281.25	0.00
COLTV SHORT TERM INVT FD	-62,503.59	16 Oct 13		1.00		0.00	62,503.59	62,503.59	0.00
COLTV SHORT TERM INVT FD	-37,733.72	18 Oct 13		1.00		0.00	37,733.72	37,733.72	0.00
COLTV SHORT TERM INVT FD	-937.50	21 Oct 13		1.00		0.00	937.50	937.50	0.00
COLTV SHORT TERM INVT FD	-3,375.00	22 Oct 13		1.00		0.00	3,375.00	3,375.00	0.00
COLTV SHORT TERM INVT FD	-70,719.05	23 Oct 13		1.00		0.00	70,719.05	70,719.05	0.00
COLTV SHORT TERM INVT FD	-138,804.04	24 Oct 13		1.00		0.00	138,804.04	138,804.04	0.00
COLTV SHORT TERM INVT FD	-1,200.00	29 Oct 13		1.00		0.00	1,200.00	1,200.00	0.00
COLTV SHORT TERM INVT FD	-500.00	29 Oct 13		1.00		0.00	500.00	500.00	0.00
COLTV SHORT TERM INVT FD	-262,343.75	31 Oct 13		1.00		0.00	262,343.75	262,343.75	0.00
COLTV SHORT TERM INVT FD	-75,293.57	1 Nov 13		1.00		0.00	75,293.57	75,293.57	0.00
COLTV SHORT TERM INVT FD	-9,187.50	4 Nov 13		1.00		0.00	9,187.50	9,187.50	0.00
COLTV SHORT TERM INVT FD	-317.48	5 Nov 13		1.00		0.00	317.48	317.48	0.00
COLTV SHORT TERM INVT FD	-854.94	8 Nov 13		1.00		0.00	854.94	854.94	0.00
COLTV SHORT TERM INVT FD	-18,550.26	12 Nov 13		1.00		0.00	18,550.26	18,550.26	0.00

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Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-88,946.47	15 Nov 13		1.00		0.00	88,946.47	88,946.47	0.00
COLTV SHORT TERM INVT FD	-70,178.60	18 Nov 13		1.00		0.00	70,178.60	70,178.60	0.00
COLTV SHORT TERM INVT FD	-125.00	18 Nov 13		1.00		0.00	125.00	125.00	0.00
COLTV SHORT TERM INVT FD	-13,277.66	19 Nov 13		1.00		0.00	13,277.66	13,277.66	0.00
COLTV SHORT TERM INVT FD	-95,968.24	19 Nov 13		1.00		0.00	95,968.24	95,968.24	0.00
COLTV SHORT TERM INVT FD	-84,142.17	21 Nov 13		1.00		0.00	84,142.17	84,142.17	0.00
COLTV SHORT TERM INVT FD	-4,036.23	22 Nov 13		1.00		0.00	4,036.23	4,036.23	0.00
COLTV SHORT TERM INVT FD	-457,195.06	22 Nov 13		1.00		0.00	457,195.06	457,195.06	0.00
COLTV SHORT TERM INVT FD	-200.00	25 Nov 13		1.00		0.00	200.00	200.00	0.00
COLTV SHORT TERM INVT FD	-693,999.99	27 Nov 13		1.00		0.00	693,999.99	693,999.99	0.00
COLTV SHORT TERM INVT FD	-4,250.01	29 Nov 13		1.00		0.00	4,250.01	4,250.01	0.00
COLTV SHORT TERM INVT FD	-75,676.37	2 Dec 13		1.00		0.00	75,676.37	75,676.37	0.00
COLTV SHORT TERM INVT FD	-60,731.21	2 Dec 13		1.00		0.00	60,731.21	60,731.21	0.00
COLTV SHORT TERM INVT FD	-14,206.32	3 Dec 13		1.00		0.00	14,206.32	14,206.32	0.00
COLTV SHORT TERM INVT FD	-216,959.55	4 Dec 13		1.00		0.00	216,959.55	216,959.55	0.00

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Value of Interest in Common/Collective Trusts									
United States - USD									
COLTV SHORT TERM INVT FD	-260.43	5 Dec 13		1.00		0.00	260.43	260.43	0.00
COLTV SHORT TERM INVT FD	-23,093.75	5 Dec 13		1.00		0.00	23,093.75	23,093.75	0.00
COLTV SHORT TERM INVT FD	-7,662.50	6 Dec 13		1.00		0.00	7,662.50	7,662.50	0.00
COLTV SHORT TERM INVT FD	-653.63	9 Dec 13		1.00		0.00	653.63	653.63	0.00
COLTV SHORT TERM INVT FD	-16,312.50	9 Dec 13		1.00		0.00	16,312.50	16,312.50	0.00
COLTV SHORT TERM INVT FD	-21,125.00	12 Dec 13		1.00		0.00	21,125.00	21,125.00	0.00
COLTV SHORT TERM INVT FD	-1,487,852.80	16 Dec 13		1.00		0.00	1,487,852.80	1,487,852.80	0.00
COLTV SHORT TERM INVT FD	-48,188.52	19 Dec 13		1.00		0.00	48,188.52	48,188.52	0.00
COLTV SHORT TERM INVT FD	-41,712.50	20 Dec 13		1.00		0.00	41,712.50	41,712.50	0.00
COLTV SHORT TERM INVT FD	-6,356.25	23 Dec 13		1.00		0.00	6,356.25	6,356.25	0.00
COLTV SHORT TERM INVT FD	-28,406.25	24 Dec 13		1.00		0.00	28,406.25	28,406.25	0.00
COLTV SHORT TERM INVT FD	-2,504.13	26 Dec 13		1.00		0.00	2,504.13	2,504.13	0.00
COLTV SHORT TERM INVT FD	-56,378.83	27 Dec 13		1.00		0.00	56,378.83	56,378.83	0.00
COLTV SHORT TERM INVT FD	-14,216.58	31 Dec 13		1.00		0.00	14,216.58	14,216.58	0.00
Total number of dispositions = 160							**67,460,341.49**	**67,460,341.49**	**0.00**

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Value of Interest in Common/Collective Trusts										
United States - USD										
Total COLTV SHORT TERM INVT FD								**134,850,045.18**	**134,850,045.18**	**0.00**
Value of Interest in Registered Investment Companies										
United States - USD										
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	2,700,270.03	*	5 Sep 13	11.11			0.00	30,000,000.00	30,000,000.00	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	2,417,188.90	*	6 Sep 13	11.17			0.00	27,000,000.00	27,000,000.00	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	713,648.53		11 Sep 13	11.21			0.00	8,000,000.00	8,000,000.00	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	620,567.38		19 Sep 13	11.28			0.00	7,000,000.00	7,000,000.00	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	71,663.69		19 Sep 13	11.28			0.00	808,366.43	808,366.43	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	698,080.28		25 Sep 13	11.46			0.00	8,000,000.00	8,000,000.00	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	68,444.56		11 Dec 13	11.36			0.00	777,530.16	777,530.16	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	61,585.48		11 Dec 13	11.36			0.00	699,611.05	699,611.05	0.00
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO	152,031.40		12 Dec 13	11.11			0.00	1,689,068.88	1,689,068.88	0.00
Total number of acquisitions = 9								**83,974,576.52**	**83,974,576.52**	**0.00**
Total MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO								**83,974,576.52**	**83,974,576.52**	**0.00**
MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL CL	1,348,920.86	*	16 Sep 13	11.12			0.00	15,000,000.00	15,000,000.00	0.00
MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL CL	1,347,708.90	*	17 Sep 13	11.13			0.00	15,000,000.00	15,000,000.00	0.00

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Value of Interest in Registered Investment Companies										
United States - USD										
MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL CL	25,639.87		19 Sep 13	11.08			0.00	284,089.95	284,089.95	0.00
MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL CL	143,242.87		11 Dec 13	10.68			0.00	1,529,833.87	1,529,833.87	0.00
MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL CL	10,853.39		11 Dec 13	10.68			0.00	115,914.24	115,914.24	0.00
MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL CL	56,796.55		12 Dec 13	10.47			0.00	594,659.89	594,659.89	0.00
Total number of acquisitions = 6								**32,524,497.95**	**32,524,497.95**	**0.00**
Total MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL C								**32,524,497.95**	**32,524,497.95**	**0.00**
MFO PIMCO FDS PAPS EMERGING MKTS PORTFOLIO INSTL CL	1,390,793.34	*	16 Sep 13	10.21			0.00	14,200,000.00	14,200,000.00	0.00
MFO PIMCO FDS PAPS EMERGING MKTS PORTFOLIO INSTL CL	20,325.19		19 Sep 13	10.21			0.00	207,520.27	207,520.27	0.00
MFO PIMCO FDS PAPS EMERGING MKTS PORTFOLIO INSTL CL	34,991.49		12 Dec 13	9.95			0.00	348,165.28	348,165.28	0.00
Total number of acquisitions = 3								**14,755,685.55**	**14,755,685.55**	**0.00**
Total MFO PIMCO FDS PAPS EMERGING MKTS PORTFOLIO INSTL CL								**14,755,685.55**	**14,755,685.55**	**0.00**
MFO PIMCO SHORT TERM FLOATING NAV PORT	1,938,061.94	*	10 Sep 13	10.01			0.00	19,400,000.00	19,400,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	2,797,202.80	*	11 Sep 13	10.01			0.00	28,000,000.00	28,000,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	89,910.09		13 Sep 13	10.01			0.00	900,000.00	900,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	619,318.75		16 Sep 13	10.01			0.00	6,200,000.00	6,200,000.00	0.00

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Value of Interest in Registered Investment Companies										
United States - USD										
MFO PIMCO SHORT TERM FLOATING NAV PORT	49,945.06		23 Sep 13	10.01			0.00	500,000.00	500,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	1,788,033.16	*	24 Sep 13	10.01			0.00	17,900,000.00	17,900,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		25 Sep 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		27 Sep 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	200.41		30 Sep 13	10.01			0.00	2,006.30	2,006.30	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		30 Sep 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		4 Oct 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		7 Oct 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		18 Oct 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		23 Oct 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	69,923.08		25 Oct 13	10.01			0.00	700,000.00	700,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	123.34		31 Oct 13	10.01			0.00	1,234.78	1,234.78	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	59,934.07		15 Nov 13	10.01			0.00	600,000.00	600,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	9,989.01		19 Nov 13	10.01			0.00	100,000.00	100,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	69,923.08		27 Nov 13	10.01			0.00	700,000.00	700,000.00	0.00

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Shares/PAR		Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Registered Investment Companies										
United States - USD										
MFO PIMCO SHORT TERM FLOATING NAV PORT	9.02		29 Nov 13	10.01			0.00	90.25	90.25	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	159,840.16		16 Dec 13	10.01			0.00	1,600,000.00	1,600,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	7.01		31 Dec 13	10.00			0.00	70.09	70.09	0.00
Total number of acquisitions = 22								**77,303,401.42**	**77,303,401.42**	**0.00**
MFO PIMCO SHORT TERM FLOATING NAV PORT	-1,368,631.37	*	12 Sep 13		10.01		0.00	13,700,000.00	13,700,000.00	0.00
MFO PIMCO SHORT TERM FLOATING NAV PORT	-1,148,736.39		17 Sep 13		10.01		0.00	11,499,025.80	11,500,000.00	974.20
MFO PIMCO SHORT TERM FLOATING NAV PORT	-1,508,340.83	*	18 Sep 13		10.01		0.00	15,098,720.88	15,100,000.00	1,279.12
MFO PIMCO SHORT TERM FLOATING NAV PORT	-149,835.18		19 Sep 13		10.01		0.00	1,499,872.92	1,500,000.00	127.08
MFO PIMCO SHORT TERM FLOATING NAV PORT	-1,238,637.50		20 Sep 13		10.01		0.00	12,398,949.57	12,400,000.00	1,050.43
MFO PIMCO SHORT TERM FLOATING NAV PORT	-89,901.11		26 Sep 13		10.01		0.00	899,998.78	900,000.00	1.22
MFO PIMCO SHORT TERM FLOATING NAV PORT	-69,923.08		1 Oct 13		10.01		0.00	699,999.01	700,000.00	0.99
MFO PIMCO SHORT TERM FLOATING NAV PORT	-1,738,088.10	*	16 Oct 13		10.01		0.00	17,399,976.85	17,400,000.00	23.15
MFO PIMCO SHORT TERM FLOATING NAV PORT	-99,890.12		1 Nov 13		10.01		0.00	999,999.85	1,000,000.00	0.15
MFO PIMCO SHORT TERM FLOATING NAV PORT	-99,890.12		2 Dec 13		10.01		0.00	1,000,000.01	1,000,000.00	-0.01
MFO PIMCO SHORT TERM FLOATING NAV PORT	-199,800.20		18 Dec 13		10.01		0.00	2,000,048.06	2,000,000.00	-48.06

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Value of Interest in Registered Investment Companies									
United States - USD									
Total number of dispositions = 11							**77,196,591.73**	**77,200,000.00**	**3,408.27**
Total MFO PIMCO SHORT TERM FLOATING NAV PORT							**154,499,993.15**	**154,503,401.42**	**3,408.27**
Other									
United States - USD									
BUY TO OPEN REPO W/BARCLAYS CAPITA .1% FROM 01-10-2013 TO 01-11-2013	6,500,000.00	10 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/BARCLAYS CAPITA .1% FROM 01-10-2013 TO 01-11-2013	-6,500,000.00	10 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/BARCLAYS CAPITA .1% FROM 01-10-2013 TO 01-11-2013							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-08-2013 TO 01-09-2013	6,400,000.00	8 Jan 13	100.00			0.00	6,400,000.00	6,400,000.00	0.00
Total number of acquisitions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-08-2013 TO 01-09-2013	-6,400,000.00	8 Jan 13		100.00		0.00	6,400,000.00	6,400,000.00	0.00
Total number of dispositions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
Total BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-08-2013 TO 01-09-201							**12,800,000.00**	**12,800,000.00**	**0.00**
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-09-2013 TO 01-10-2013	6,400,000.00	9 Jan 13	100.00			0.00	6,400,000.00	6,400,000.00	0.00
Total number of acquisitions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Other									
United States - USD									
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-09-2013 TO 01-10-2013	-6,400,000.00	9 Jan 13		100.00		0.00	6,400,000.00	6,400,000.00	0.00
Total number of dispositions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
Total BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-09-2013 TO 01-10-201							**12,800,000.00**	**12,800,000.00**	**0.00**
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-28-2013 TO 01-29-2013	6,500,000.00	28 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-28-2013 TO 01-29-2013	-6,500,000.00	28 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-28-2013 TO 01-29-201							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-22-2013 TO 01-23-2013	6,500,000.00	22 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-22-2013 TO 01-23-2013	-6,500,000.00	22 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-22-2013 TO 01-23-201							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-23-2013 TO 01-24-2013	6,500,000.00	23 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Other									
United States - USD									
BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-23-2013 TO 01-24-2013	-6,500,000.00	23 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-23-2013 TO 01-24-201							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/BNP PARIBAS SEC .13% FROM 01-24-2013 TO 01-25-2013	6,400,000.00	24 Jan 13	100.00			0.00	6,400,000.00	6,400,000.00	0.00
Total number of acquisitions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
BUY TO OPEN REPO W/BNP PARIBAS SEC .13% FROM 01-24-2013 TO 01-25-2013	-6,400,000.00	24 Jan 13		100.00		0.00	6,400,000.00	6,400,000.00	0.00
Total number of dispositions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
Total BUY TO OPEN REPO W/BNP PARIBAS SEC .13% FROM 01-24-2013 TO 01-25-201							**12,800,000.00**	**12,800,000.00**	**0.00**
BUY TO OPEN REPO W/CITIGROUP GLOBA .02% FROM 01-29-2013 TO 01-30-2013	6,500,000.00	29 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/CITIGROUP GLOBA .02% FROM 01-29-2013 TO 01-30-2013	-6,500,000.00	29 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/CITIGROUP GLOBA .02% FROM 01-29-2013 TO 01-30-201							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/CITIGROUP GLOBA .08% FROM 01-30-2013 TO 01-31-2013	6,500,000.00	30 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/CITIGROUP GLOBA .08% FROM 01-30-2013 TO 01-31-2013	-6,500,000.00	30 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Other									
United States - USD									
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/CITIGROUP GLOBA .08% FROM 01-30-2013 TO 01-31-201							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .1% FROM 01-18-2013 TO 01-22-2013	6,500,000.00	18 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .1% FROM 01-18-2013 TO 01-22-2013	-6,500,000.00	18 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/MLPFS INC/FIXED .1% FROM 01-18-2013 TO 01-22-2013							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .12% FROM 01-17-2013 TO 01-18-2013	6,500,000.00	17 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .12% FROM 01-17-2013 TO 01-18-2013	-6,500,000.00	17 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/MLPFS INC/FIXED .12% FROM 01-17-2013 TO 01-18-2013							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .14% FROM 01-07-2013 TO 01-08-2013	7,400,000.00	7 Jan 13	100.00			0.00	7,400,000.00	7,400,000.00	0.00
Total number of acquisitions = 1							**7,400,000.00**	**7,400,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .14% FROM 01-07-2013 TO 01-08-2013	-7,400,000.00	7 Jan 13		100.00		0.00	7,400,000.00	7,400,000.00	0.00
Total number of dispositions = 1							**7,400,000.00**	**7,400,000.00**	**0.00**

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
Other									
United States - USD									
Total BUY TO OPEN REPO W/MLPFS INC/FIXED .14% FROM 01-07-2013 TO 01-08-2013							**14,800,000.00**	**14,800,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .17% FROM 01-04-2013 TO 01-07-2013	8,000,000.00	4 Jan 13	100.00			0.00	8,000,000.00	8,000,000.00	0.00
Total number of acquisitions = 1							**8,000,000.00**	**8,000,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .17% FROM 01-04-2013 TO 01-07-2013	-8,000,000.00	4 Jan 13		100.00		0.00	8,000,000.00	8,000,000.00	0.00
Total number of dispositions = 1							**8,000,000.00**	**8,000,000.00**	**0.00**
Total BUY TO OPEN REPO W/MLPFS INC/FIXED .17% FROM 01-04-2013 TO 01-07-2013							**16,000,000.00**	**16,000,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .24% FROM 01-02-2013 TO 01-03-2013	8,100,000.00	2 Jan 13	100.00			0.00	8,100,000.00	8,100,000.00	0.00
Total number of acquisitions = 1							**8,100,000.00**	**8,100,000.00**	**0.00**
BUY TO OPEN REPO W/MLPFS INC/FIXED .24% FROM 01-02-2013 TO 01-03-2013	-8,100,000.00	2 Jan 13		100.00		0.00	8,100,000.00	8,100,000.00	0.00
Total number of dispositions = 1							**8,100,000.00**	**8,100,000.00**	**0.00**
Total BUY TO OPEN REPO W/MLPFS INC/FIXED .24% FROM 01-02-2013 TO 01-03-2013							**16,200,000.00**	**16,200,000.00**	**0.00**
BUY TO OPEN REPO W/MORGAN STANLEY .08% FROM 09-03-2013 TO 09-04-2013	9,700,000.00	3 Sep 13	100.00			0.00	9,700,000.00	9,700,000.00	0.00
Total number of acquisitions = 1							**9,700,000.00**	**9,700,000.00**	**0.00**
BUY TO OPEN REPO W/MORGAN STANLEY .08% FROM 09-03-2013 TO 09-04-2013	-9,700,000.00	3 Sep 13		100.00		0.00	9,700,000.00	9,700,000.00	0.00
Total number of dispositions = 1							**9,700,000.00**	**9,700,000.00**	**0.00**
Total BUY TO OPEN REPO W/MORGAN STANLEY .08% FROM 09-03-2013 TO 09-04-201							**19,400,000.00**	**19,400,000.00**	**0.00**

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Other									
United States - USD									
BUY TO OPEN REPO W/MORGAN STANLEY .16% FROM 01-16-2013 TO 01-17-2013	6,500,000.00	16 Jan 13	100.00			0.00	6,500,000.00	6,500,000.00	0.00
Total number of acquisitions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
BUY TO OPEN REPO W/MORGAN STANLEY .16% FROM 01-16-2013 TO 01-17-2013	-6,500,000.00	16 Jan 13		100.00		0.00	6,500,000.00	6,500,000.00	0.00
Total number of dispositions = 1							**6,500,000.00**	**6,500,000.00**	**0.00**
Total BUY TO OPEN REPO W/MORGAN STANLEY .16% FROM 01-16-2013 TO 01-17-201							**13,000,000.00**	**13,000,000.00**	**0.00**
BUY TO OPEN REPO W/TORONTO DOMINIO .16% FROM 01-25-2013 TO 01-28-2013	6,400,000.00	25 Jan 13	100.00			0.00	6,400,000.00	6,400,000.00	0.00
Total number of acquisitions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
BUY TO OPEN REPO W/TORONTO DOMINIO .16% FROM 01-25-2013 TO 01-28-2013	-6,400,000.00	25 Jan 13		100.00		0.00	6,400,000.00	6,400,000.00	0.00
Total number of dispositions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
Total BUY TO OPEN REPO W/TORONTO DOMINIO .16% FROM 01-25-2013 TO 01-28-201							**12,800,000.00**	**12,800,000.00**	**0.00**
BUY TO OPEN REPO W/TORONTO DOMINIO .2% FROM 01-15-2013 TO 01-16-2013	6,400,000.00	15 Jan 13	100.00			0.00	6,400,000.00	6,400,000.00	0.00
Total number of acquisitions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
BUY TO OPEN REPO W/TORONTO DOMINIO .2% FROM 01-15-2013 TO 01-16-2013	-6,400,000.00	15 Jan 13		100.00		0.00	6,400,000.00	6,400,000.00	0.00
Total number of dispositions = 1							**6,400,000.00**	**6,400,000.00**	**0.00**
Total BUY TO OPEN REPO W/TORONTO DOMINIO .2% FROM 01-15-2013 TO 01-16-201							**12,800,000.00**	**12,800,000.00**	**0.00**

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Security Description / Asset ID	Shares/PAR	Date	Acquistion Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss

Although this report has been prepared using information believed to be reliable, it may contain information provided by third parties or derived from third party information, and/or information that may have been obtained from, categorized or otherwise reported based upon client direction. The Northern Trust Company does not guarantee the accuracy, timeliness or completeness of any such information. The information included in this report is intended to assist clients with their financial reporting needs, but you must consult with your accountants, auditors and/or legal counsel to ensure your accounting and financial reporting complies with applicable laws, regulations and accounting guidance. The Northern Trust Company and its affiliates shall have no responsibility for the consequences of investment decisions made in reliance on information contained in this report.

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID		Number of Transactions	Transaction Aggregate — Acquisition Price	Transaction Aggregate — Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Value of Asset on Transaction
FNMA 6 DUE 04-18-2036 REG CUSIP: 31359ML84	Total acquisitions	2	8,006,327.83			0.00	8,006,327.83	8,006,327.83
	Total dispositions	2		8,015,500.00		0.00	7,793,635.32	8,015,500.00
UNITED STATES TREAS BDS BD 5.25% DUE 11-15-2028 REG CUSIP: 912810FF0	Total acquisitions	2	9,750,000.00			0.00	9,750,000.00	9,750,000.00
	Total dispositions	4		9,986,600.34		0.00	9,750,000.00	9,986,600.34
UNITED STATES TREAS BDS DTD 02/15/2001 5.375 15 FEB 2031 CUSIP: 912810FP8	Total acquisitions	5	8,079,960.27			0.00	8,079,960.27	8,079,960.27
	Total dispositions	6		7,845,010.90		0.00	8,079,960.27	7,845,010.90
UNITED STATES TREAS BDS DTD 11/15/1996 6.5 15 NOV 2026 CUSIP: 912810EY0	Total acquisitions	7	20,621,063.27			0.00	20,621,063.27	20,621,063.27
	Total dispositions	7		20,254,710.54		0.00	20,621,063.27	20,254,710.54
UNITED STATES TREAS BDS DTD 11/15/1997 6.125% DUE 11-15-2027 REG CUSIP: 912810FB9	Total acquisitions	11	15,259,812.42			0.00	15,259,812.42	15,259,812.42
	Total dispositions	6		14,992,237.56		0.00	15,259,812.42	14,992,237.56
US TREAS BDS 0 TREAS NT 15/11/2039 USD1000 4.375 DUE 11-15-2039 REG CUSIP: 912810QD3	Total acquisitions	15	72,900,409.64			0.00	72,900,409.64	72,900,409.64
	Total dispositions	16		59,531,090.02		0.00	59,437,148.82	59,531,090.02
COLTV SHORT TERM INVT FD CUSIP: 195998AC3	Total acquisitions	153	67,389,703.69			0.00	67,389,703.69	67,389,703.69

NOTE: TRANSACTIONS ARE BASED ON THE 2012-12-31 VALUE (INCLUDING ACCRUALS) OF 251,631,895.15

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Account Name BHP COPPER CASH ACCOUNT

◆5% Report - Part C Summary

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID		Number of Transactions	Transaction Aggregate — Acquisition Price	Transaction Aggregate — Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Value of Asset on Transaction
COLTV SHORT TERM INVT FD CUSIP: 195998AC3	Total dispositions	160		67,460,341.49		0.00	67,460,341.49	67,460,341.49
MFO PIMCO FDS LONG DURATION CORP BD PORTFOLIO CUSIP: 72201P878	Total acquisitions	9	83,974,576.52			0.00	83,974,576.52	83,974,576.52
MFO PIMCO FDS PAC INVT MGMT SER INVT GRADE CORP PORTFOLIO INSTL CL CUSIP: 722005873	Total acquisitions	6	32,524,497.95			0.00	32,524,497.95	32,524,497.95
MFO PIMCO FDS PAPS EMERGING MKTS PORTFOLIO INSTL CL CUSIP: 722005840	Total acquisitions	3	14,755,685.55			0.00	14,755,685.55	14,755,685.55
MFO PIMCO SHORT TERM FLOATING NAV PORT CUSIP: 72201P613	Total acquisitions	22	77,303,401.42			0.00	77,303,401.42	77,303,401.42
	Total dispositions	11		77,200,000.00		0.00	77,196,591.73	77,200,000.00
BUY TO OPEN REPO W/BARCLAYS CAPITA .1% FROM 01-10-2013 TO 01-11-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-08-2013 TO 01-09-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,400,000.00			0.00	6,400,000.00	6,400,000.00
	Total dispositions	1		6,400,000.00		0.00	6,400,000.00	6,400,000.00
BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-09-2013 TO 01-10-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,400,000.00			0.00	6,400,000.00	6,400,000.00
	Total dispositions	1		6,400,000.00		0.00	6,400,000.00	6,400,000.00

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BUY TO OPEN REPO W/BARCLAYS CAPITA .13% FROM 01-28-2013 TO 01-29-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-22-2013 TO 01-23-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/BNP PARIBAS SEC .06% FROM 01-23-2013 TO 01-24-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/BNP PARIBAS SEC .13% FROM 01-24-2013 TO 01-25-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,400,000.00			0.00	6,400,000.00	6,400,000.00
	Total dispositions	1		6,400,000.00		0.00	6,400,000.00	6,400,000.00
BUY TO OPEN REPO W/CITIGROUP GLOBA .02% FROM 01-29-2013 TO 01-30-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/CITIGROUP GLOBA .08% FROM 01-30-2013 TO 01-31-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/MLPFS INC/FIXED .1% FROM 01-18-2013 TO 01-22-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID		Number of Transactions	Transaction Aggregate — Acquisition Price	Transaction Aggregate — Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Value of Asset on Transaction
BUY TO OPEN REPO W/MLPFS INC/FIXED .12% FROM 01-17-2013 TO 01-18-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/MLPFS INC/FIXED .14% FROM 01-07-2013 TO 01-08-2013 CUSIP: 77799JAN1	Total acquisitions	1	7,400,000.00			0.00	7,400,000.00	7,400,000.00
	Total dispositions	1		7,400,000.00		0.00	7,400,000.00	7,400,000.00
BUY TO OPEN REPO W/MLPFS INC/FIXED .17% FROM 01-04-2013 TO 01-07-2013 CUSIP: 77799JAN1	Total acquisitions	1	8,000,000.00			0.00	8,000,000.00	8,000,000.00
	Total dispositions	1		8,000,000.00		0.00	8,000,000.00	8,000,000.00
BUY TO OPEN REPO W/MLPFS INC/FIXED .24% FROM 01-02-2013 TO 01-03-2013 CUSIP: 77799JAN1	Total acquisitions	1	8,100,000.00			0.00	8,100,000.00	8,100,000.00
	Total dispositions	1		8,100,000.00		0.00	8,100,000.00	8,100,000.00
BUY TO OPEN REPO W/MORGAN STANLEY .08% FROM 09-03-2013 TO 09-04-2013 CUSIP: 77799SEP2	Total acquisitions	1	9,700,000.00			0.00	9,700,000.00	9,700,000.00
	Total dispositions	1		9,700,000.00		0.00	9,700,000.00	9,700,000.00
BUY TO OPEN REPO W/MORGAN STANLEY .16% FROM 01-16-2013 TO 01-17-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,500,000.00			0.00	6,500,000.00	6,500,000.00
	Total dispositions	1		6,500,000.00		0.00	6,500,000.00	6,500,000.00
BUY TO OPEN REPO W/TORONTO DOMINIO .16% FROM 01-25-2013 TO 01-28-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,400,000.00			0.00	6,400,000.00	6,400,000.00

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID		Number of Transactions	Transaction Aggregate — Acquisition Price	Transaction Aggregate — Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Value of Asset on Transaction
BUY TO OPEN REPO W/TORONTO DOMINIO .16% FROM 01-25-2013 TO 01-28-2013 CUSIP: 77799JAN1	Total dispositions	1		6,400,000.00		0.00	6,400,000.00	6,400,000.00
BUY TO OPEN REPO W/TORONTO DOMINIO .2% FROM 01-15-2013 TO 01-16-2013 CUSIP: 77799JAN1	Total acquisitions	1	6,400,000.00			0.00	6,400,000.00	6,400,000.00
	Total dispositions	1		6,400,000.00		0.00	6,400,000.00	6,400,000.00

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Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID	Number of Transactions	Transaction Aggregate — Acquisition Price	Transaction Aggregate — Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Value of Asset on Transaction

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