Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

				ce with the instruc						
Part I	Annual Report I	dentification Informa	ation							
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013										
A This ref	turn/report is for:	a single-employer plan	a n	nultiple-employer pla	an (not multiemployer	yer) a one-participant plan				
B This ref	B This return/report is: ☐ the first return/report ☐ the first return/report									
		an amended return/rep	oort a sl	hort plan year returr	/report (less than 12	nonths)			
C Check	box if filing under:	X Form 5558	au	tomatic extension			DFVC progra	am		
	· ·	special extension (ente	er description)							
Part II	Basic Plan Infor	mation—enter all reques	· · · · · ·	n						
1a Name				•		1b	Three-digit			
MICROSURGICAL TECHNOLOGY 401(K) PLAN				plan number						
				(PN))	001					
			1c	Effective date o	•					
2a Plan a	noncor's name and add	ress; include room or suite	number (empl	over if for a single	ampleyer plan)	26	01/01			
	GICAL TECHNOLOGY		riumber (empi	oyer, ir ior a sirigle-i	employer plan)	2b Employer Identification Numb (EIN) 91-0956668				
						20	Sponsor's telep			
8415 154TH	I AVENUE NE					425-556-0544				
REDMOND,						2d	2d Business code (see instruc			
							339110			
3a Plan a	dministrator's name and	d address XSame as Plan	Sponsor Nam	e Same as Plan	Sponsor Address	3b	3b Administrator's EIN			
						30	Administrator's	telephone number		
							Administrators	telephone number		
		plan sponsor has changed		return/report filed fo	r this plan, enter the	4b	EIN			
	, EIN, and the plan num or's name	ber from the last return/rep	port.			4c PN				
		at the beginning of the plan	vear			-		101		
_			•			- Ou		0		
 Total number of participants at the end of the plan year Number of participants with account balances as of the end of the plan year (defined benefit plans do not 			0.0							
C Nullib	ci oi participanto with a	ccount balances as of the	end of the plan	year (defined bene	fit plans do not					
comp	lete this item)		·					0		
comp 6a Were	lete this item)all of the plan's assets	during the plan year invest	ted in eligible a	ssets? (See instruct	tions.)			X Yes No		
6a Were b Are ye	lete this item) all of the plan's assets ou claiming a waiver of	during the plan year invest	ted in eligible a	ssets? (See instruct	tions.)d public accountant (I	QPA)				
6a Were b Are younder	lete this item)all of the plan's assets ou claiming a waiver of 29 CFR 2520.104-46?	during the plan year invest	ted in eligible and report of an in	ssets? (See instruct ndependent qualifie conditions.)	tions.)d public accountant (I	QPA)		X Yes No		
6a Were b Are you under	lete this item)	during the plan year invest the annual examination and (See instructions on waive	ted in eligible and report of an iner eligibility and application to the plan cannot under the plan cannot und	ssets? (See instruct ndependent qualifie conditions.)se Form 5500-SF	tions.)d public accountant (I	QPA) e Form	n 5500.	X Yes No		
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Pa	rt III Financial Information							
7	Plan Assets and Liabilities			ar I		(h) End of Voc		
_ <u>'</u> _a		177					(b) End of Year	
<u>a</u>	otar plan addete			0			0	
	Net plan assets (subtract line 7b from line 7a)	otal plan liabilities					0	
8	,	7c		_				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
и	(1) Employers							
	(2) Participants			3				
	(3) Others (including rollovers)	8a(3)	406	1				
b	Other income (loss)	8b	46363	3				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					703752	
d	Benefits paid (including direct rollovers and insurance premiums		400407	4				
	to provide benefits)	8d	136187					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e	1519					
<u> </u>	Administrative service providers (salaries, fees, commissions)	8f	1603	3				
<u>g</u>	Other expenses	8g						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					1393099	
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i					-689347	
	Transfers to (from) the plan (see instructions)	8j	-240198	5				
Pai	t IV Plan Characteristics							
9a b	 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: 							
Par	t V Compliance Questions			-			Т	
10	During the plan year:				Yes	No	Amount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X		
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X		
	,			10c	Χ		250000	
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud					X	230000	
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			10d				
C	insurance service, or other organization that provides some or all					Χ		
	instructions.)			10e				
f	f Has the plan failed to provide any benefit when due under the plan?					X		
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	X		0	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X		
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i		X		
Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							
11a	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39							
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?					ERISA? Yes X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year								
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.				·	
h	Enter the minimum required contribution for this plan year					12b	l	

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A	
Part	Part VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	. X Y	X Yes No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a	C			
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?			X Yes	No	
c If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	13c(1) Name of plan(s):			13c(3) PN(s)		
HALM	A HOLDINGS INC. PROFIT SHARING/ SAVINGS RETIREMENT PLAN 61-096	52400		001		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			