Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension E	Benefit Guaranty Corporation	▶ Complete all entries in acco	rdance with the instru	ctions to the Form 5500	O-SF.				
Part I	Annual Report I	dentification Information							
For calend	dar plan year 2013 or fis	cal plan year beginning 01/01/20	13	and ending 12	2/31/2	2013			
A This re	A This return/report is for: ☐ a multiple-employer plan ☐ a multiple-employer plan (not multiemployer)					r) a one-participant plan			
B This return/report is:									
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)	_			
C Check box if filling under:				DFVC program					
		special extension (enter descript	*						
Part II	Basic Plan Infor	mation—enter all requested inform	nation						
1a Name	•				1b	Three-digit			
SOUNDPA	TH HEALTH 401(K) PLA	AN				plan number	001		
					10	(PN) ▶ Effective date o	001		
			10	01/01					
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) SOUNDPATH HEALTH			2h	Employer Identi					
			20		20801				
					2c	hone number			
32129 WEY	YERHAEUSER WAY S.,	STE 201				253-77	9-8830		
FEDERAL '	WAY, WA 98001				2d	Business code ((see instructions)		
						52414	10		
3a Plan	administrator's name and	d address XSame as Plan Sponsor	Name Same as Plai	n Sponsor Address	3b	EIN			
					3c	Administrator's	telephone number		
						, tarrimotrator o			
		plan sponsor has changed since the	last return/report filed for	or this plan, enter the	4b	EIN			
		nber from the last return/report.			40	DN			
	sor's name	at the beginning of the plan year			4c	PN T	75		
		at the beginning of the plan year			5a				
		at the end of the plan year			5b		51		
		account balances as of the end of the	. , ,	•	5c		51		
6a Were	e all of the plan's assets	during the plan year invested in eligi	ble assets? (See instruc	ctions.)			X Yes No		
		the annual examination and report of							
		(See instructions on waiver eligibility					X Yes No		
•		ther line 6a or line 6b, the plan can			_	. – –	7		
C If the	plan is a defined benefit	t plan, is it covered under the PBGC	insurance program (see	ERISA section 4021)?		Yes No	Not determined		
Caution:	A penalty for the late o	or incomplete filing of this return/re	port will be assessed	unless reasonable cau	se is	established.			
	. , ,	er penalties set forth in the instructio	•		,	O, 11	,		
	nedule MB completed an	d signed by an enrolled actuary, as v	vell as the electronic ver	sion of this return/report,	, and	to the best of my	knowledge and		
haliaf it ia	true correct and comp	loto							
belief, it is	true, correct, and comp	lete.							
SIGN		lete. valid electronic signature.	10/16/2014	HEIDI MIZER					
		valid electronic signature.	10/16/2014 Date	HEIDI MIZER Enter name of individu	ual sig	gning as plan adr	ninistrator		
SIGN HERE	Filed with authorized/v Signature of plan ac	valid electronic signature.			ual sig	gning as plan adr	ninistrator		
SIGN HERE SIGN HERE	Filed with authorized/v Signature of plan ac Filed with authorized/v Signature of employ	valid electronic signature. dministrator valid electronic signature. ver/plan sponsor	Date 10/16/2014 Date	Enter name of individu HEIDI MIZER Enter name of individu					
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SIGN HERE SIGN HERE	Filed with authorized/v Signature of plan ac Filed with authorized/v Signature of employ	valid electronic signature. dministrator valid electronic signature. ver/plan sponsor	Date 10/16/2014 Date	Enter name of individu HEIDI MIZER Enter name of individu	ual sig	gning as employe	er or plan sponsor		
SIGN HERE SIGN HERE	Filed with authorized/v Signature of plan ac Filed with authorized/v Signature of employ	valid electronic signature. dministrator valid electronic signature. ver/plan sponsor	Date 10/16/2014 Date	Enter name of individu HEIDI MIZER Enter name of individu	ual sig	gning as employe	er or plan sponsor		
SIGN HERE SIGN HERE	Filed with authorized/v Signature of plan ac Filed with authorized/v Signature of employ	valid electronic signature. dministrator valid electronic signature. ver/plan sponsor	Date 10/16/2014 Date	Enter name of individu HEIDI MIZER Enter name of individu	ual sig	gning as employe	er or plan sponsor		

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Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar	(b) End of Year					
a	Total plan assets		889987			663181				
	Total plan liabilities	7a 7b								
	Net plan assets (subtract line 7b from line 7a)	7c	88998	7					66318	1
8			(a) Amount				(b) -	Γotal		
	Contributions received or receivable from:		(a) runount				(5)	. Ota.		
	(1) Employers	4040								
	(2) Participants	Participants								
	(3) Others (including rollovers)	Others (including rollovers)								
b	Other income (loss)	8b	12971	7						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						2	293795	5
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	52045	1						
e	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	15	0						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							52060°	1
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						-	22680	6
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instru	ctions	3:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instruc	tions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest	Vere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported in line 10a.)				X				
				10b	Χ					50000
	<u> </u>			10c						30000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		Х				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all	•	,							
	instructions.)		' '	10e		X				
f	Has the plan failed to provide any benefit when due under the plan	Has the plan failed to provide any benefit when due under the plan?				X				
g	Did the plan have any participant loans? (If "Yes." enter amount a	id the plan have any participant loans? (If "Yes," enter amount as of year end.)			Χ					853
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10g 10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	d notice or one of the	10i						
Part		1-0		101						
11	.	anta? (If "	Vac " and instructions and sam	nloto	Cabac	Aula CI) /Form	Г		
	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes	X No
	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	Is this a defined contribution plan subject to the minimum funding	-		or se	ection	302 of	ERISA?		Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,									
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mon		, and e	enter th Day	ne date of	the le		ling
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•			-		1			
h	Enter the minimum required contribution for this plan year					12b	I			

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raye	J		

Enter the amount contributed by the employer to the plan for this plan year	12c					
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
			No	N/A		
VII Plan Terminations and Transfers of Assets						
Has a resolution to terminate the plan been adopted in any plan year?	X	es No				
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			0		
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the co of the PBGC?	ntrol		Yes	X No		
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)	ı					
3c(1) Name of plan(s):	(2) EII	N(s)	13c(3)	PN(s)		
VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN			
1 1	Will the minimum funding amount reported on line 12d be met by the funding deadline? VII Plan Terminations and Transfers of Assets Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC? If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) 13c(1) Name of plan(s): 13c 13c 13c 13c 13c 13c 13c 13	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount). Will the minimum funding amount reported on line 12d be met by the funding deadline? I Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year. If all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) I Trust Information (optional)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount). Will the minimum funding amount reported on line 12d be met by the funding deadline?		