| Form 5500-SF | | Short Form Annual Return/Report of Small Employ Benefit Plan | | | yee | OMB Nos. 1210-0110 1210-0089 | | | | |
|--|---|--|-------------------------------|--------------------------|---|--|--|--|--|--|
| Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration | | This form is required to be filed under sections 104 and 4065 of the Employe Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058 the Internal Revenue Code (the Code). | | | е | 2013 | | | | |
| | | | | | (a) of | This Form is Open to Public | | | | |
| Pension Be | enefit Guaranty Corporation | Complete all entries in accordar | nce with the instruc | tions to the Form 550 | 0-SF. | Inspection | | | | |
| Part I Annual Report Identification Information | | | | | | | | | | |
| For calend | ar plan year 2013 or fisc | | | and ending 1 | 0/31/2 | 2014 | | | | |
| A This ref | turn/report is for: | X a single-employer plan a | multiple-employer pla | an (not multiemployer) | | a one-participant plan | | | | |
| B This ref | turn/report is: | the first return/report X the | e final return/report | | | | | | | |
| | [| an amended return/report X a s | hort plan year return | /report (less than 12 mo | onths) | | | | | |
| C Check | box if filing under: | ☐ Form 5558 | Form 5558 automatic extension | | | DFVC program | | | | |
| | [| special extension (enter description) | | | | | | | | |
| Part II | Basic Plan Inform | mation—enter all requested informatic | n | | - | | | | | |
| 1a Name | • | | | | | Three-digit | | | | |
| ANIMAL CR | ITICAL CARE & EMERG | SENCY SERVICES 401(K) PLAN | | | | plan number (PN) ▶ 001 | | | | |
| | | | | | 1c | Effective date of plan | | | | |
| | | | | | | 01/01/2005 | | | | |
| | ponsor's name and addr ITICAL CARE & EMERC | ess; include room or suite number (emp GENCY SERVICES | loyer, if for a single-e | employer plan) | 2b | Employer Identification Number (EIN) 91-2109441 | | | | |
| 11536 LAKE | E CITY WAY NE | | | | 2c | Sponsor's telephone number 206-364-1660 | | | | |
| SEATTLE, V | | | | | 2d | Business code (see instructions) 541940 | | | | |
| 3a Plan a | dministrator's name and | address 🔀 Same as Plan Sponsor Nam | ne Same as Plan | Sponsor Address | 3b | Administrator's EIN | | | | |
| | | | | | | | | | | |
| 4 If the name and/or EIN of the p name, EIN, and the plan numb | | an sponsor has changed since the last return/report filed for this plan, enter the er from the last return/report. | | 4b EIN | | | | | | |
| · · · | or's name | | | | 4c PN | | | | | |
| 5a Total number of participants at the beginning of the plan year | | | | | 5a | ja 10 | | | | |
| b Total number of participants at the end of the plan year | | | | | 5b | 0 | | | | |
| C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item) | | | | 5c | 0 | | | | | |
| | | | | | | | | | | |
| 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Yes No b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) X Yes No | | | | | | | | | | |
| If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | | | | |
| C If the | plan is a defined benefit | plan, is it covered under the PBGC insu | rance program (see l | ERISA section 4021)? . | | Yes No Not determined | | | | |
| Caution: A | A penalty for the late or | incomplete filing of this return/report | t will be assessed u | Inless reasonable cau | se is | established. | | | | |
| Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. | | | | | | | | | | |
| SIGN | Filed with authorized/valid electronic signature. 11/04/2014 JEAN | | | JEAN | | | | | | |
| HERE | Signature of plan adr | ninistrator | Date | Enter name of individu | ter name of individual signing as plan administrato | | | | | |
| SIGN | | | | | | | | | | |
| HERE | Signature of employe | | Date | | ual sig | ning as employer or plan sponsor | | | | |
| Preparer's | name (including firm nar | ne, if applicable) and address; include re | oom or suite number | (optional) | Prep | arer's telephone number (optional) | | | | |

| Part III Financial Information 7 Plan Assets and Liabilities | | (a) Poginning of Var | r | I | | (b) End - | of Voor | | |
|--|---|--|--|------------------------|---|-----------------|----------|------------------------|--|
| | 70 | (a) Beginning of Yea | | (b) End of Year | | | | | |
| a Total plan assets | 7a 7b | | 0 | | 0 | | | | |
| b Total plan liabilitiesc Net plan assets (subtract line 7b from line 7a) | 7b | 173742 | | | | 0 | | | |
| | 7c | - | - | | | | | | |
| 8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: | | (a) Amount | | (b) Total | | | otal | | |
| (1) Employers | 8a(1) | | | | | | | | |
| (2) Participants | 8a(2) | 1156 | 4 | | | | | | |
| (3) Others (including rollovers) | 8a(3) | 1900 | | | | | | | |
| b Other income (loss) | 8b | -3450 | | | | | | | |
| C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 27118 | | |
| d Benefits paid (including direct rollovers and insurance premiums | | | | | | | | | |
| to provide benefits) | 8d | 1757885 | | | | | | | |
| e Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | | |
| f Administrative service providers (salaries, fees, commissions) | 8f | 6653 | 6653 | | | | | | |
| g Other expenses | 8g | | | | | | | | |
| h Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 1764538 | | |
| i Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | -1737420 | | |
| j Transfers to (from) the plan (see instructions) | 8j | | | | | | | | |
| | earnire codes | from the List of Plan Charac | cterist | | es in ir | | | | |
| b If the plan provides welfare benefits, enter the applicable welfare for Part V Compliance Questions | eature codes | from the List of Plan Charac | cterist | | es in tr | | JII3. | | |
| Part V Compliance Questions | eature codes | from the List of Plan Charac | cterist | Yes | No | | Amount | | |
| Part V Compliance Questions | tions within th | ne time period described in | 10a | | | | | | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribution | tions within th uciary Correct ?? (Do not incl | ne time period described in tion Program) lude transactions reported | | | No | | | | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide b Were there any nonexempt transactions with any party-in-interest | tions within th uciary Correct ? (Do not incl | ne time period described in tion Program) lude transactions reported | 10a | | No X | | Amount | 150000 | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide b Were there any nonexempt transactions with any party-in-interest on line 10a.). | tions within th uciary Correct ? (Do not incl fidelity bond, | ne time period described in tion Program) lude transactions reported that was caused by fraud | 10a 10b | Yes | No X | | Amount | 150000 | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidule) b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all | tions within th uciary Correct ? (Do not incl fidelity bond, ner persons b of the benefit | that was caused by fraud | 10a 10b 10c 10d | Yes | No X X | | Amount | 150000 | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) | tions within th uciary Correct ? (Do not incl fidelity bond, ner persons b of the benefit | the time period described in tion Program) lude transactions reported that was caused by fraud y an insurance carrier, s under the plan? (See | 10a 10b 10c 10d | Yes | No X X X X X X X X | | Amount | 150000 | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidules) b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) f Has the plan failed to provide any benefit when due under the plan | tions within th uciary Correct ? (Do not incl fidelity bond, ner persons b of the benefit n? | that was caused by fraud y an insurance carrier, s under the plan? (See | 10a 10b 10c 10d | Yes | No X X X | | Amount | 150000 | |
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| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) f Has the plan failed to provide any benefit when due under the plan g Did the plan have any participant loans? (If "Yes," enter amount a h If this is an individual account plan, was there a blackout period? 2520.101-3.) | tions within th uciary Correct ? (Do not incl fidelity bond, fidelity bond, ner persons b of the benefit n? s of year end (See instructi | the time period described in tion Program) | 10a 10b 10c 10d 10e 10f | Yes | No X X X X X X X X | | Amount | | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidules b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? | tions within th uciary Correct ? (Do not incl fidelity bond, fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not | the time period described in tion Program) | 10a 10b 10c 10d 10e 10f 10g | Yes | No X X X X X X | | Amount | | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidules b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? | tions within th uciary Correct ? (Do not incl fidelity bond, fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not | the time period described in tion Program) | 10a 10b 10c 10d 10e 10f 10g 10h | Yes | No X X X X X X | | Amount | | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribuzes 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidures Voluntary Fidures Volume and Pole Science Scie | tions within th uciary Correct ? (Do not incl fidelity bond, fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not 1-3 | the time period described in tion Program) | 10a 10b 10c 10d 10d 10f 10g 10h 10i | Yes X | No X X X X X X X | (Form | Amount | (| |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidule) b Were there any nonexempt transactions with any party-in-interest on line 10a.) | tions within th uciary Correct ? (Do not incl fidelity bond, fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not 1-3 | he time period described in tion Program) lude transactions reported that was caused by fraud y an insurance carrier, s under the plan? (See | 10a 10b 10c 10d 10e 10f 10g 10h 10i | Yes X X Schec | No X X X X X X X | (Form | Amount | (| |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide b Were there any nonexempt transactions with any party-in-interest on line 10a.) | tions within th uciary Correct ? (Do not incl fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not 1-3 ments? (If "Yes rom Schedule | he time period described in tion Program) | 10a 10b 10c 10d 10e 10f 10g 10h 10i | Yes X | No X X X X X X Iule SB | (Form | Amount | ((| |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) f Has the plan failed to provide any benefit when due under the pla g Did the plan have any participant loans? (If "Yes," enter amount a h If this is an individual account plan, was there a blackout period? 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.10 Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) | tions within th uciary Correct ? (Do not incl fidelity bond, fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not 1-3 ments? (If "Yes rom Schedule requirements | he time period described in tion Program) | 10a 10b 10c 10d 10e 10f 10g 10h 10i | Yes X | No X X X X X X Iule SB | (Form | Amount | 150000 () No | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) f Has the plan failed to provide any benefit when due under the plan g Did the plan have any participant loans? (If "Yes," enter amount a h If this is an individual account plan, was there a blackout period? 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) 11a Enter the unpaid minimum required contribution for current year fit | tions within th uciary Correct ? (Do not incl fidelity bond, fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not 1-3 ments? (If "Yes rom Schedule requirements , as applicable ng amortized | he time period described in tion Program) | 10a 10b 10c 10d 10d 10f 10g 10h 10i 0 plete | Yes X X Schec | No X X X X X X X X X X X X X X X X 302 of F | (Form ERISA? | Amount | () Nc | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other insurance service, or other organization that provides some or all instructions.) f Has the plan failed to provide any benefit when due under the plan g Did the plan have any participant loans? (If "Yes," enter amount and If this is an individual account plan, was there a blackout period? 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) 11a Enter the unpaid minimum required contribution for current year fit 12 Is this a defined contribution plan subject to the minimum funding (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below a If a waiver of the minimum funding | tions within th uciary Correct ? (Do not incl fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not 1-3 ments? (If "Yes rom Schedule requirements , as applicable ng amortized | ne time period described in tion Program) lude transactions reported that was caused by fraud y an insurance carrier, is under the plan? (See | 10a 10b 10c 10d 10d 10f 10g 10h 10i 0 plete | Yes X Schec | No X X X X X X X Iule SB IIIa 302 of E enter th Day | (Form ERISA? | Amount | | |
| Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu b Were there any nonexempt transactions with any party-in-interest on line 10a.) | tions within th uciary Correct ? (Do not incl fidelity bond, ner persons b of the benefit n? s of year end (See instruction he required not 1-3 | ne time period described in tion Program) lude transactions reported | 10a 10b 10c 10d 10f 10g 10h 10i 0 cor see | Yes X X Schec | No X X X X X X X X Iule SB 11a 302 of E enter th | (Form ERISA? | Amount | | |

| C | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | | |
|---|---|---------|------|-----------------|---------------------|--|--|--|
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No | N/A | | | |
| Part VII Plan Terminations and Transfers of Assets | | | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | . X Y | ′es | No | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | | 0 | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | control | | X Yes | No | | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | |
| 13c(1) Name of plan(s): 1 | | | N(s) | 13c(3) | 13c(3) PN(s) | | | |
| | | | | | | | | |
| | | | | | | | | |
| Part | VIII Trust Information (optional) | | | | | | | |
| 14a Name of trust | | | | 14b Trust's EIN | | | | |
| | | | | | | | | |
| | | | | | | | | |