Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

| Pensio | on Benefit Guaranty Corporation | ► Complete all entries in accorda | ance with the instruc | tions to the Form 5500 | 0-SF. | | spection | |
|--|-------------------------------------|--|---------------------------|---------------------------|--|---|--------------------|--|
| Part | I Annual Report | Identification Information | | | | • | | |
| For cale | endar plan year 2013 or fis | | | and ending 1 | 2/31/2 | 2013 | | |
| A This | return/report is for: | | | an (not multiemployer) | | a one-partici | pant plan | |
| B This | return/report is: | the first return/report | the final return/report | | | | | |
| | | an amended return/report a | short plan year returr | n/report (less than 12 mo | onths |) | | |
| C Che | ck box if filing under: | 님 | automatic extension | | X DFVC program | | | |
| | | special extension (enter description | • | | | | | |
| Part | • | rmation—enter all requested informat | tion | | | | 1 | |
| | me of plan NATES LLC 401(K) PLAN | N | | | 1b | Three-digit plan number | | |
| | | | | | | (PN) • | 001 | |
| | | | | | 1c | Effective date o | f plan | |
| | | | | | | 10/01 | /2012 | |
| 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) NATURE NATES LLC | | | | | 2b | Employer Identification Number (EIN) 91-1645237 | | |
| | | | | | 2c | Sponsor's telephone number 360-901-4340 | | |
| | E 371ST ST FER, WA 98629-4315 | | | | 2d | | (see instructions) | |
| 2 | | 🗔 | По о | | 26 | 4541 | | |
| 3a Pla | in administrator's name an | nd address XSame as Plan Sponsor Na | ame USame as Plan | Sponsor Address | 30 | Administrator's | EIN | |
| | | | | | 3с | Administrator's | telephone number | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 4 If t | he name and/or EIN of the | e plan sponsor has changed since the la | st return/report filed fo | or this plan, enter the | 4b | EIN | | |
| | | mber from the last return/report. | | | 4. | | | |
| | onsor's name | | | | | PN | | |
| _ | | at the beginning of the plan year | | | 5a | 1 | | |
| | • • | at the end of the plan year | | | 5b | | 1 | |
| | | account balances as of the end of the plants | • • | • | 5c | | 1 | |
| | • | s during the plan year invested in eligible | • | , | | | X Yes No | |
| | | the annual examination and report of an | | | | | X Yes No | |
| | | ? (See instructions on waiver eligibility and ther line 6a or line 6b, the plan canno | | | | | N Tes No | |
| | | it plan, is it covered under the PBGC ins | | | | | Not determined | |
| | ne plan is a defined benefit | it plan, is it covered under the 1 BGC ins | diance program (see | ENION SECTION 4021): . | ····· L | l les 🗌 140 📙 | 1 Not determined | |
| Cautio | n: A penalty for the late of | or incomplete filing of this return/repo | ort will be assessed | unless reasonable cau | se is | established. | | |
| SB or S | . , , | ner penalties set forth in the instructions, nd signed by an enrolled actuary, as wel plete. | | | , | O, 11 | , | |
| SIGN | Filed with authorized/v | valid electronic signature. | 11/25/2014 | NATHAN MCCLELLAN | N | | | |
| HERE | Signature of plan ac | dministrator | Date | Enter name of individu | ne of individual signing as plan administrator | | | |
| SIGN | Filed with authorized/v | valid electronic signature. | 11/25/2014 | NATHAN MCCLELLAN | <u> </u> | | | |
| HERE | Signature of employ | Signature of employer/plan sponsor Date Enter name or | | | dual signing as employer or plan sponsor | | | |
| Prepare | er's name (including firm na | ame, if applicable) and address; include | room or suite number | | _ | | number (optional) | |
| | | | | | | | | |
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| Do | t III Financial Information | | | | | | | | |
|--|---|------------|---------------------------------------|---------|---------|-----------------|-------------------|--|--|
| Pai | | | · · · · · · · · · · · · · · · · · · · | | | | | | |
| | Plan Assets and Liabilities | _ | (a) Beginning of Yea | | | (b) End of Year | | | |
| | Total plan assets | . 7a | 9483 | | | | 102549 | | |
| | Total plan liabilities | . 7b | | 0 | | | 0 | | |
| | Net plan assets (subtract line 7b from line 7a) | - 7c | 9483 | 8 | | 102549 | | | |
| | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | | | (b) Total | | |
| а | Contributions received or receivable from: 1) Employers | | | 0 | | | | | |
| | (2) Participants | 8a(2) | | 0 | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | |
| | Other income (loss) | 8b | 938 | 5 | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | 9385 | | |
| | Benefits paid (including direct rollovers and insurance premiums | | | | | | | | |
| | to provide benefits) | . 8d | | 0 | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | . 8e | | 0 | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | . 8f | 167 | 4 | | | | | |
| g | Other expenses | . 8g | | 0 | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | . 8h | | | | | 1674 | | |
| i_ | Net income (loss) (subtract line 8h from line 8c) | . 8i | | | | | 7711 | | |
| j | Transfers to (from) the plan (see instructions) | 8j | | 0 | | | | | |
| Par | t IV Plan Characteristics | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2T 3D | feature co | odes from the List of Plan Char | acteris | stic Co | des in | the instructions: | | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | des from the List of Plan Chara | cterist | ic Cod | les in t | he instructions: | | |
| Danie | V Compliance Questions | | | | | | | | |
| Par | | | | 1 | · · | | | | |
| 10 | See Free See | | | | Yes | No | Amount | | |
| | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | 10a | | X | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | | | X | | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | | X | | | |
| d | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | - | | 10d | | X | | | |
| е | | | | | | | | | |
| | insurance service, or other organization that provides some or all | of the ber | nefits under the plan? (See | | | X | | | |
| | instructions.) | | | 10e | | X | | | |
| | Has the plan failed to provide any benefit when due under the pla | n? | | 10f | | ^ | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | | | 10g | X | | 46559 | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | X | | | |
| i | | | | 10i | | | | | |
| Part | 1 1 0 11 | | | | | | | | |
| 11 | Part VI Pension Funding Compliance | | | | | | | | |
| | 5500) and line 11a below) | | | | | | | | |
| | 1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 | | | | | | | | |
| 12 | ls this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No | | | | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | |
| a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver | | | | | | | | | |
| If | you completed line 12a, complete lines 3, 9, and 10 of Schedul | e MB (Foi | rm 5500), and skip to line 13. | | | | 1 | | |
| b | Enter the minimum required contribution for this plan year | | | | | 12b | 1 | | |

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|------|-----|---|
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| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | |
|---|---|----------|---------|------------------------|--|--|--|
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | Y | es X No | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC? | ontrol | | Yes X No | | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | |
| 1 | 3c(1) Name of plan(s): | c(2) Ell | V(s) | 13c(3) PN(s) | | | |
| | | | | | | | |
| | | | | | | | |
| Part | VIII Trust Information (optional) | | | | | | |
| 14a Name of trust | | | | 14b Trust's EIN | | | |
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