Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

| Pa | rt I | Annual Repo | rt Identification Informat | ion | | | | | | | |
|---|----------|--|---|-----------------|--|--------------------------|--|--|-------------------|--|--|
| For c | alenda | ar plan year 2012 or | r fiscal plan year beginning 0 | 1/01/2012 | | and ending |)5/09/ | 2012 | | | |
| A T | his ret | urn/report is for: | X a single-employer plan | а | multiple-employer p | lan (not multiemployer) | | a one-particip | oant plan | | |
| B T | his reti | urn/report is: | the first return/report | X th | e final return/report | | | | | | |
| | | | an amended return/repor | rt Xas | short plan year retur | n/report (less than 12 m | onths |) | | | |
| C c | heck b | oox if filing under: | X Form 5558 | au | itomatic extension | | | DFVC progra | ım | | |
| | | - | special extension (enter | description) | | | | _ | | | |
| Par | t II | Basic Plan In | formation—enter all requeste | ed information | on | | | | | | |
| 1a 1 | Name (| of plan | | | | | 1b | Three-digit | | | |
| | | | NC. 401(K) PROFIT SHARING | PLAN | | | | plan number | | | |
| | | | | | | | 4 - | (PN) • | 001 | | |
| | | | | | | | 1c Effective date of plan 03/01/1993 | | | | |
| | | oonsor's name and a PLUMBING, INC. | address; include room or suite n | umber (emp | loyer, if for a single | -employer plan) | 2b Employer Identification Numbe (EIN) 61-0566229 | | | | |
| 11107 | CEDA | AR CREEK ROAD | | | | | 2c | 2c Sponsor's telephone number 502-239-3264 | | | |
| | | , KY 40229 | | | | | 2d | 2d Business code (see instructions 238220 | | | |
| 3a F | Plan ad | dministrator's name | and address XSame as Plan S | Sponsor Nam | ne Same as Pla | n Sponsor Address | 3b | Administrator's | EIN | | |
| | | | | | | | 3c | Administrator's | telephone number | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | the plan sponsor has changed s number from the last return/repo | | return/report filed f | or this plan, enter the | 4b EIN | | | | |
| | | or's name | | | | | 4c PN | | | | |
| 5a Total number of participants at the beginning of the plan year | | | | | | 5a | | 88 | | | |
| b | Total n | number of participar | nts at the end of the plan year | | | | 5b | | 0 | | |
| | | | th account balances as of the er | | • | • | 5c | | 0 | | |
| 6a | Were | all of the plan's ass | ets during the plan year invested | d in eligible a | assets? (See instruc | ctions.) | | | X Yes No | | |
| | , | - C | r of the annual examination and 46? (See instructions on waiver | • | | | , | | X Yes No | | |
| | | | either line 6a or line 6b, the p | 0 , | , | | | | | | |
| Caut | ion: A | penalty for the lat | te or incomplete filing of this r | eturn/repor | t will be assessed | unless reasonable car | ıse is | established. | | | |
| SB o | r Śche | | other penalties set forth in the ir I and signed by an enrolled actual Implete. | | | | | | | | |
| SIGN | | Filed with authorize | ed/valid electronic signature. | | 12/24/2014 | MARK SENNINGER | ER | | | | |
| HER | E | Signature of plan | n administrator | | Date | Enter name of individ | dual signing as plan administrator | | | | |
| SIGN | ı | | | | | | | | | | |
| HER | E | Signature of employer/plan sponsor Date Enter name of individu | | | vidual signing as employer or plan sponsor | | | | | | |
| Preparer's | | | n name, if applicable) and addre | ss; include r | | | | | number (optional) | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |

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| Da | w III Financial Information | | | | | | | | | | | |
|---|---|------------|--------------------------------|----------------------|-----------|----------|-----------------|--------|-------|-----|------|--|
| Part III Financial Information | | | | | | | | | | | | |
| 7 | Plan Assets and Liabilities | | | a) Beginning of Year | | | (b) End of Year | | | | | |
| <u>a</u> | Total plan assets | 7a | 124604 | 4 | | 0 | | | | | | |
| | Total plan liabilities | 7b | | | - | | | | | | | |
| C | Net plan assets (subtract line 7b from line 7a) | 7c | 124604 | 4 | | | | | | 0 | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | | | (b) | Total | | | | |
| а | Contributions received or receivable from: | | | | | | | | | | | |
| | (1) Employers | 8a(1) | | | | | | | | | | |
| | (2) Participants | 8a(2) | 2242 | 21 | | | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | | | | | |
| b | Other income (loss) | 8b | 8354 | 1 | | | | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | 10596 | 2 | | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 134768 | 6 | | | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | 432 | .0 | | | | | | | | |
| q | Other expenses | 8g | | | | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 1 | 35200 | 16 | | |
| ī | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | -1246044 | | | | | |
| Ť | Transfers to (from) the plan (see instructions) | 8j | | | | | | • | 2 100 | • | | |
| Po | rt IV Blan Characteristics | oj | | | | | | | | | | |
| | Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: | | | | | | | | | | | |
| b | 2E 2G 2J 2K 2F If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | es from the List of Plan Chara | cteristi | c Cod | les in t | he instruc | tions: | | | | |
| | | | | | | | | | | | | |
| Par | t V Compliance Questions | | | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Am | ount | | | |
| a | a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | | | X | | | | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | | | X | | | | | | |
| | Was the plan covered by a fidelity bond? | | | 10c | Χ | | | | | 265 | 5000 | |
| С | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | | | 10d | | X | | | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth | ner person | s by an insurance carrier, | | | | | | | | | |
| | insurance service or other organization that provides some or all c | | | 100 | | Χ | | | | | | |
| | instructions.) | | | 10e | | V | | | | | | |
| | f Has the plan failed to provide any benefit when due under the plan? | | | | | X | | | | | | |
| | g Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | | | | | X | | | | | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | | | X | | | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | | | | |
| Par | VI Pension Funding Compliance | | | | | | | | | | | |
| 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form | | | | | | | | | | | | |
| 11: | a Enter the amount from Schedule SB line 39 | | | | | | | | | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No | | | | | | | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, | • | | . Ci 3G | J., OII (| JUZ 01 | | | | ** | | |
| a | a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver | | | | | | | | | | | |
| If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | | | | | | |
| | Enter the minimum required contribution for this plan year | • | • | | | 12b | | | | | | |
| () | r ⊑nter the minimum required contribution for this pian year | | | | | | | | | | | |

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|---|---|----------------------|-----|-----------------|---------------------|------|--|--|--|--|
| | Enter the amount contributed by the employer to the plan for this plan year | 120 | | | | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | Yes | No | N/A | | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | . X | Yes | N | 0 | | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | | | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | contro | I | | X Yes | s No | | | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | | | |
| 1 | 3c(1) Name of plan(s): | 13c(2) EIN(s) | | | 13c(3) PN(s) | | | | | |
| KENTUCKY ASSOCIATION OF PLUMBING-HEATING COOLING CONTRACTORS, INC. 401 61-09 | | | | | 001 | | | | | |
| | | | | | | | | | | |
| Part | VIII Trust Information (optional) | | | | | | | | | |
| 14a Name of trust | | | | 14b Trust's EIN | | | | | | |