Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in acc	ordance with the instruc	ctions to the Form 550	0-SF.			
Part I	Annual Report	Identification Information						
For calend	ar plan year 2013 or fis	scal plan year beginning 06/01/2	013	and ending 0	5/31/2	2014		
	turn/report is for:	a single-employer plan		lan (not multiemployer)	a one-participant plan			
B This ret	turn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year returi	n/report (less than 12 mo	onths))		
C Check	box if filing under:	X Form 5558	automatic extension			DFVC progra	am	
		special extension (enter descrip	otion)					
Part II	Basic Plan Info	rmation—enter all requested info	rmation					
1a Name					1b	Three-digit		
ROLLER DIE	E & FORMING CO. INC	C. PROFIT SHARING PLAN				plan number (PN) ▶	002	
					10	Effective date of		
					.		/2002	
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) ROLLER DIE & FORMING COMPANY INC.					2b	Employer Identification Number (EIN) 61-0487300		
1172 INDLIS	TPIAL BLVD				2c	Sponsor's telephone number 502-969-1327		
1172 INDUSTRIAL BLVD LOUISVILLE, KY 40219					2d	Business code (see instructions) 332900		
3a Plan a	dministrator's name ar	nd address XSame as Plan Sponso	or Name Same as Plar	Sponsor Address	3b	Administrator's		
					3с	Administrator's	telephone number	
4 If the r	name and/or FIN of the	e plan sponsor has changed since th	ne last return/report filed fo	or this plan enter the	4h	EIN		
		mber from the last return/report.	ic last retain/report lilea it	or this plan, enter the	40	EIIN		
a Spons	or's name				4c	PN		
5a Total i	number of participants	at the beginning of the plan year			5a		54	
b Total i	number of participants	at the end of the plan year			5b		63	
		account balances as of the end of th	. , ,	•	5c		35	
6a Were	all of the plan's assets	s during the plan year invested in elig	gible assets? (See instruc	tions.)			X Yes No	
		the annual examination and report					V vaa □ Na	
		? (See instructions on waiver eligibili ither line 6a or line 6b, the plan ca	-				X Yes No	
-		it plan, is it covered under the PBGC			_		Not determined	
C ii tiie į	Diair is a delined bener	t plan, is it covered under the FBGC	o insurance program (see	ERISA SECTION 4021)?	····· <u></u>	res Lino L	Not determined	
	•	or incomplete filing of this return/	•					
SB or Sche	edule MB completed ar	her penalties set forth in the instructi nd signed by an enrolled actuary, as	*		,	0, 11	,	
belief, it is	true, correct, and comp	Diete.						
SIGN	Filed with authorized/	valid electronic signature.	03/04/2015	ANGELEA HAMMONS	ELEA HAMMONS			
HERE	Signature of plan a	dministrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/	valid electronic signature.	03/04/2015	ANGELEA HAMMONS	SELEA HAMMONS			
HERE	Signature of employer/plan sponsor Date Enter name of individe			dual signing as employer or plan sponsor				
	Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) ICCREADY & KEENE INC. ICCREADY & KEENE INC.			Preparer's telephone number (optional)				
					800-261-9618			
433 N. CAP	PITOL AVENUE							
INDIANAPOLIS, IN 46204								

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Pa	rt III Financial Information							
7		(a) Paginning of Vac	(a) Paginning of Vac			(h) Fod of Voor		
_ <u>'</u> _a	Total plan assets	Plan Assets and Liabilities (a) Beginning of Year (a) 149263			(b) End of Year 1749530			
<u>a</u>	Total plan liabilities	7a 7b	110200	•			174000	
	Net plan assets (subtract line 7b from line 7a)	76 7c	149263	7			1749530	
8	,	76						
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
и	(1) Employers	8a(1)	3277	4				
	(2) Participants	8a(2)	7276	5				
	(3) Others (including rollovers)	8a(3)	3311	5				
b	Other income (loss)	8b	16989	6				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					308550	
d	Benefits paid (including direct rollovers and insurance premiums		EACE	7				
	to provide benefits)	8d	5165	/				
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e						
<u> </u>	Administrative service providers (salaries, fees, commissions)	8f						
<u>g</u>	Other expenses	8g						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					51657	
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i					256893	
	Transfers to (from) the plan (see instructions)	8j						
Pai	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2R 3D	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	es in t	he instructions:	
Par	t V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X		
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X		
	Was the plan covered by a fidelity bond?			10c	X		500000	
	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud					X	300000	
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			10d				
C	insurance service, or other organization that provides some or all					Χ		
	instructions.)			10e				
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X		
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X		81976	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X		
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i				
Part								
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39							
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No							
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
h	Enter the minimum required contribution for this plan year					12b		

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			