Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Part I Annual Report Identification Information

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2014

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

| For calendar plan year 2014 or fiscal plan year beginning 01/01/2014 and ending 09/16/2014 | | | | | | | | | | | |
|---|---------------------------------------|-----------------------|--|---|--|------------------------|---|---|------------------|-----------------|--|
| A | This ret | turn/report is for: | X | a single-employer plan | a multiple-employer plan (not multiemployer) (Filers checking this box must attended of participating employer information in accordance with the form instructions) | | | | | | |
| | | · | | a one-participant plan | af | foreign plan | | | | • | |
| В | This retu | urn/report is | | the first return/report | X the | final return/report | | | | | |
| | | | | an amended return/report | a short plan year return/report (less than 12 months) | | | | | | |
| C | Check I | box if filing under: | | Form 5558 | au | tomatic extension | | | DFVC progra | m | |
| | special extension (enter description) | | | | | | | | | | |
| ı | Part II | Basic Plan Inf | orm | nation—enter all requested in | nformatio | n | | | | | |
| 1a Name of plan NORTON CORROSION LIMITED LLC 401(K) PROFIT SHARING PLAN | | | | | | • | ree-digit n number N) • | 001 | | | |
| | | | | | | | | 1c Effective date of plan 01/01/1971 | | | |
| 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) NORTON CORROSION LIMITED LLC | | | | | | employer plan) | 2b Employer Identification Number (EIN) 91-1696424 | | | | |
| 882 | 8820 222ND ST. SE | | | | | | | 2c Sponsor's telephone number 425-483-1616 | | | |
| | | LE, WA 98077 | | | | | | 2d Business code (see instructions) 541330 | | | |
| 3 | a Plan a | dministrator's name | and a | address XSame as Plan Spor | nsor. | | | 3b Administrator's EIN | | | |
| | | | | | | | | | | elephone number | |
| 4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report. | | | | | | r this plan, enter the | 4b EIN | | | | |
| a Sponsor's name | | | | | | 4c PN | | | | | |
| 5a Total number of participants at the beginning of the plan year | | | | | | | 5a | | 21 | | |
| b Total number of participants at the end of the plan year | | | | | | 5b | | (| | | |
| C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item) d(1) Total number of active participants at the beginning of the plan year. d(2) Total number of active participants at the end of the plan year. | | | | | | 5c | | C | | | |
| | | | | | | 5d(1) | | 20 | | | |
| | | | | | | 5d(2) | | (| | | |
| Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | | | 5e | | (| | | |
| U S | nder pena B or Sche | alties of perjury and | other and s | ncomplete filing of this retu penalties set forth in the instru- signed by an enrolled actuary, e. | uctions, I | declare that I have e | examined this return/rep | ort, includ | ding, if applica | | |
| | IGN | | Filed with authorized/valid electronic signature. 03/11/2015 LESLEY BERRY | | | | | | | | |
| Н | ERE | Signature of plan | | | | | | ual signing as plan administrator | | | |
| s | IGN | | | | | | | | | | |

Date

Preparer's name (including firm name, if applicable) and address (include room or suite number) (optional)

Signature of employer/plan sponsor

HERE

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number (optional)

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|----------|--|---|---|---------|------------------------|-----------------|-------------------|----------|
| b | Were all of the plan's assets during the plan year invested in eligible Are you claiming a waiver of the annual examination and report of a under 29 CFR 2520.104-46? (See instructions on waiver eligibility a figure of you answered "No" to either line 6a or line 6b, the plan cannot be a contracted to the plan cannot be a contracte | an indepen and conditi ot use For | dent qualified public accounta ons.) m 5500-SF and must instead | nt (IQ | PA) Form | 5500. | X Yes [] 1 | No No |
| | f the plan is a defined benefit plan, is it covered under the PBGC in | surance pi | rogram (see ERISA section 40 |)21)? . | | Yes | No Not determined | <u> </u> |
| Par | III Financial Information | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | | | | (b) End of Year | |
| <u>a</u> | Total plan assets | 7a | 45572 | | | | 0 | |
| | Total plan liabilities | 7b | | 0 | | | 0 | |
| C | Net plan assets (subtract line 7b from line 7a) | 7c | 45572 | 222 | | | 0 | |
| 8 | ncome, Expenses, and Transfers for this Plan Year | | (a) Amount | | | | (b) Total | |
| | Contributions received or receivable from: 1) Employers | 8a(1) | 385 | 585 | | | | |
| | 2) Participants | 8a(2) | | 133552 | | | | |
| | | 8a(3) | | | | | | |
| | 3) Others (including rollovers) | 8b | 1851 | 89 | | | | _ |
| | Fotal income (add lines 8a(1), 8a(2), 8a(3), and 8b) | | | | \vdash | | 357326 | |
| | Benefits paid (including direct rollovers and insurance premiums | 8c | | | | | 337320 | |
| | o provide benefits) | 8d | 13381 | 06 | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | | | | | |
| g | Other expenses | 8g | 20 |)63 | | | | |
| h · | Fotal expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | 1340169 | |
| | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | -982843 | |
| | Fransfers to (from) the plan (see instructions) | 8i | -35743 | 379 | | | | |
| Par | IV Plan Characteristics | ٥, | | | | | | |
| Part | If the plan provides welfare benefits, enter the applicable welfare fe | eature code | es from the List of Plan Charad | cterist | ic Coc | les in t | he instructions: | |
| 10 | During the plan year: | | | | Yes | No | Amount | |
| | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | | | X | | |
| b | Were there any nonexempt transactions with any party-in-interest on line 10a.) | ····· | | 10b | | X | | |
| с | Was the plan covered by a fidelity bond? | | | 10c | X | | 1250 | 00 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | | | 10d | | Χ | | |
| e | Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) | of the bene | efits under the plan? (See | 10e | | X | | |
| f | Has the plan failed to provide any benefit when due under the plan | n? | | 10f | | X | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as | s of year e | nd.) | 10g | X | | | 0 |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | | | | | |
| i | i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | | | | | |
| Part | VI Pension Funding Compliance | | | | | | | |
| 11 | Is this a defined benefit plan subject to minimum funding requirements 5500) and line 11a below) | | | | | | | No |
| 11a | Enter the unpaid minimum required contribution for current year from | om Sched | ule SB (Form 5500) line 39 | | | 11a | | |
| 12 | Is this a defined contribution plan subject to the minimum funding | requireme | nts of section 412 of the Code | or se | ction : | 302 of | ERISA? Yes X | No |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, | | | | | | | |
| а | If a waiver of the minimum funding standard for a prior year is bein granting the waiver. | ng amortize | ed in this plan year, see instruc | | , and e | enter th Day | | |

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|-------------------|---|-------------------------------|----------|---------------------|-----------------|--|--|--|--|
| lf y | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (For | m 5500), and skip to line 13. | | | | | | | |
| b | Enter the minimum required contribution for this plan year | | 12b | | | | | | |
| | | | | | | | | | |
| С | Enter the amount contributed by the employer to the plan for this plan year | | 12c | | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result negative amount) | 12d | | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding | g deadline? | | Yes | No N/A | | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes X No | | | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer the | nis year | 13a | | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred the PBGC? | ne control | | X Yes No | | | | | |
| С | C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | |
| 1 | 3c(1) Name of plan(s): | 13c(2) E | IN(s) | 13c(3) PN(s) | | | | | |
| HEA | TH CONSULTANTS, INC. PROFIT SHARING & RETIREMENT PLAN | 04- | 2144731 | | 002 | | | | |
| | | | | | | | | | |
| Part | VIII Trust Information (optional) | | | | | | | | |
| 14a Name of trust | | | | | 14b Trust's EIN | | | | |